

**COLORADO GENERAL ASSEMBLY
JOINT BUDGET COMMITTEE**



**FY 2013-14 STAFF FIGURE SETTING
DEPARTMENT OF REGULATORY AGENCIES**

**JBC Working Document - Subject to Change
Staff Recommendation Does Not Represent Committee Decision**

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DEPARTMENT OF REGULATORY AGENCIES

Department Overview

The Department's mission is broadly defined as consumer protection, that is carried out through regulatory programs that license, establish standards, approve rates, investigate complaints, and conduct enforcement through 38 boards, commissions, and advisory committees across more than 50 professions, occupations, programs, and institutions. The Department is organized in 10 predominantly cash-funded divisions as follows:

- The **Divisions of Banking, Financial Services and Securities** regulate state-chartered financial services institutions including banks, trust companies, credit unions and money transmitters, investment advisers, securities broker-dealers, and sales representatives.
 - The **Civil Rights Division** administers and enforces Colorado's civil rights laws.
 - The **Division of Insurance** regulates and licenses life, health, property and casualty, and other types of insurance companies and agents.
 - The **Public Utilities Commission (PUC)** regulates the providers of public utilities in energy and telecommunications and specified industries that provide transportation for hire directly to consumers. The **Office of Consumer Counsel (OCC)** represents the interests of residential, small business and agricultural consumers on utility matters before the PUC.
 - The **Division of Real Estate** licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.
 - The **Division of Professions and Occupations**, formerly known as the Division of Registrations, oversees regulation of 347,000 licensees in 53 professions, occupations, and entities.
 - The **Office of Policy and Research (OPR)** in the **Executive Director's Office (EDO)** provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly.
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DEPARTMENT REQUEST AND RECOMMENDATION SUMMARY

Executive Request

The Department's request includes adjustments for non-prioritized common policy request items, common policy base adjustments, and indirect cost assessment adjustments. The request did not include any Department request items.

Committees of Reference SMART Act Recommendations

The House Business, Labor, Economic, and Workforce Development Committee and the Senate Business, Labor, and Technology Committee had no recommendations for the JBC related to the Department of Regulatory Agencies budget.

Staff Recommendation

The staff recommendation is summarized in the table below, followed by brief description of each item listed.

The key differences between the recommendation and the Executive Request:

- Staff's recommendation to reflect an appropriation of \$726,000 cash funds rather than \$2.1 million for the Low Income Telephone Assistance line item that operates pursuant to statute and is not subject to annual appropriation by the General Assembly.
- Staff's recommendation to reflect \$1.9 million cash funds rather than \$2.4 million for the Disabled Telephone Users Fund Payments line item that is continuously appropriated.
- Staff's recommendation to eliminate the annualization of H.B. 12-1266, Continuing Licensure for Bail Bond Agents, for a difference of \$663,000 and 2.9FTE. The H.B. 12-1266 fiscal note's FY 2013-14 annualization is a continuation amount for a program scheduled to sunset; therefore staff's recommendation differs from the Department's request in eliminating the annualization.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Department of Regulatory Agencies						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2012-13 Appropriation:						
HB 12-1335 (Long Bill)	78,180,593	1,714,111	70,886,928	4,265,351	1,314,203	555.9
Other Legislation	378,246		378,246	0	0	2.0
SB 13-102 (Supplemental)	326,273	1,707	321,731	1,838	997	2.0
TOTAL	\$78,885,112	\$1,715,818	\$71,586,905	\$4,267,189	\$1,315,200	559.9
FY 2013-14 Recommended Appropriation:						
FY 2012-13 Appropriation	\$78,885,112	\$1,715,818	\$71,586,905	\$4,267,189	\$1,315,200	559.9
NPI-1: Employee Engagement Survey	5,134	164	4,699	176	95	0.0
NPI-2: OIT Enterprise Asset Management	9,801	382	9,419	0	0	0.0
NPI-3: Capitol Complex Building Upgrade	307	0	307	0	0	0.0
NPI-4 - BA - Fleet Request	451	0	451	0	0	0.0
Compensation-related Common Policies	2,560,224	90,300	2,334,096	120,046	15,782	0.0
Other Technical and Base Adjustments	28,880	(12,513)	16,411	(3,647)	28,629	0.0
Operating Common Policies	1,845	(700)	(536)	1,998	1,083	0.0
Staff Recommended Adjustment	(1,957,253)	0	(1,957,253)	0	0	0.0
OIT Common Policies	(458,186)	(18,772)	(439,414)	0	0	0.0
FY12-13 Supplemental Annualizations	(326,273)	(1,707)	(321,731)	(1,838)	(997)	(2.0)
Indirect Cost Assessment Adjustments	(23,356)	0	(19,932)	(2,812)	(612)	0.0
Prior Year Legislation Annualizations	(22,808)	0	(22,808)	0	0	2.0
TOTAL	\$78,703,878	\$1,772,972	\$71,190,614	\$4,381,112	\$1,359,180	559.9
Increase/(Decrease)	(\$181,234)	\$57,154	(\$396,291)	\$113,923	\$43,980	0.0
Percentage Change	(0.2%)	3.3%	(0.6%)	2.7%	3.3%	0.0%
FY 2013-14 Executive Request:						
Request Above/(Below) Recommendation	\$2,462,987	\$4,345	\$2,471,460	(\$12,818)	\$0	2.9

Issue Descriptions

Recommended Long Bill Supplemental: The recommendation includes an adjustment to the FY 2012-13 appropriation for 2.0 FTE and \$265,104 cash funds for the Division of Real Estate for the implementation of H.B. 12-1110; \$7,558 cash funds adjustments for staff technical corrections for payments to the Department of Human Services and the Office of the Governor; and \$53,611 total funds for the Liability Premiums common policy adjustment.

NPI-1: Employee Engagement Survey: The amount shown reflects the request for a \$5,134 increase in total funds to support the Department's share of a survey to gauge employees' attitudes toward their work and their work environment, their overall satisfaction, and trends developing within the workforce. *The staff recommendation is pending committee action.*

NPI-2 OIT Enterprise Asset Management: The amount shown reflects the request for a \$9,801 increase in total funds to support the Department's share of an executive branch information technology asset management program and corresponding data system. *The staff recommendation is pending committee action.*

NPI-3 Capitol Complex Building Upgrade: The amount shown reflects the request for a \$307 increase in total funds to support the Department’s share of building maintenance and upgrades for the State’s Capitol Complex. *The staff recommendation is pending committee action.*

NPI-4 BA – Fleet Request: The amount shown reflects the request for a \$451 increase in cash funds for annual payments to the Department of Personnel for the costs of administration and lease-purchase payments for motor vehicles. *The staff recommendation is pending committee action.*

Compensation-related Common Policies: The recommendation includes a \$2.6 million increase to centrally appropriated line items for compensation including the following: salary survey and merit pay; health, life, and dental; short-term disability; and supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund.

Other Technical and Base Adjustments: The recommendation includes a \$28,880 increase in total funds that reflect a Leased Space rate escalation predominantly in federal funds with a fund adjustment as well as Sunset Review Office funding adjustments.

Operating Common Policies: The amount shown reflects the request for adjustments to centrally appropriated line items for the following: workers' compensation and payment to risk management and property; legal services; administrative law judge services; and capitol complex leased space. *The staff recommendation is pending committee action.*

Staff Recommended Adjustments: The recommendation includes reflecting a \$1.4 million decrease in cash funds for the Low Income Telephone Assistance line item and a \$539,000 decrease in cash funds for the Disabled Telephone Users Fund Payments in the Public Utilities Commission, to better reflect actual expenditures for line items included in the Long Bill for informational purposes.

OIT Common Policies: The amount shown reflects the request for adjustments to centrally appropriated line items for the following: purchase of services from the computer center; multiuse network payments; management and administration of the Governor's Office of Information Technology (OIT); and communication services payments. *The staff recommendation is pending committee action.*

FY 2012-13 Supplemental Annualizations: The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation.

Indirect Cost Assessment Adjustments: The amount shown reflects the request for a \$23,356 decrease in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan. *The staff recommendation is pending committee action.*

Prior Year Legislation Annualizations: The recommendation includes a net decrease of \$22,808 cash funds to reflect the FY 2013-14 impact of legislation that was passed in 2012, including H.B. 12-1052, H.B. 12-1110, H.B. 12-1300, H.B. 12-1303, and H.B. 12-1311.

(1) Executive Director’s Office and Administrative Services

The Executive Director's Office performs operations that are common to all divisions including accounting, budgeting, data processing, human resources, and purchasing. The Office of Policy and Research conducts sunrise reviews if they are requested and statutorily-required sunset evaluations of divisions, commissions, and boards located throughout state government.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Executive Director's Office and Administrative Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2012-13 Appropriation:						
HB 12-1335 (Long Bill)	23,544,121	563,948	19,222,056	3,494,639	263,478	29.5
Other Legislation	78,723		78,723	0	0	0.0
SB 13-102 (Supplemental)	216,450	1,707	211,908	1,838	997	0.0
TOTAL	\$23,839,294	\$565,655	\$19,512,687	\$3,496,477	\$264,475	29.5
FY 2013-14 Recommended Appropriation:						
FY 2012-13 Appropriation	\$23,839,294	\$565,655	\$19,512,687	\$3,496,477	\$264,475	29.5
NPI-1: Employee Engagement Survey	5,134	164	4,699	176	95	0.0
NPI-2: OIT Enterprise Asset Management	9,801	382	9,419	0	0	0.0
NPI-3: Capitol Complex Building Upgrade	307	0	307	0	0	0.0
NPI-4 - BA - Fleet Request	451	0	451	0	0	0.0
Compensation-related Common Policies	2,560,224	90,300	2,334,096	120,046	15,782	0.0
Other Technical and Base Adjustments	28,880	(12,513)	19,223	(6,459)	28,629	0.0
Prior Year Legislation Annualizations	11,727	0	11,727	0	0	0.0
Operating Common Policies	1,845	(700)	(536)	1,998	1,083	0.0
OIT Common Policies	(458,186)	(18,772)	(439,414)	0	0	0.0
FY12-13 Supplemental Annualization	(216,450)	(1,707)	(211,908)	(1,838)	(997)	0.0
TOTAL	\$25,783,027	\$622,809	\$21,240,751	\$3,610,400	\$309,067	29.5
Increase/(Decrease)	\$1,943,733	\$57,154	\$1,728,064	\$113,923	\$44,592	0.0
Percentage Change	8.2%	10.1%	8.9%	3.3%	16.9%	0.0%
FY 2013-14 Executive Request:	\$25,974,691	\$627,154	\$21,440,888	\$3,597,582	\$309,067	29.5
Request Above/(Below) Recommendation	\$191,664	\$4,345	\$200,137	(\$12,818)	\$0	0.0

Issue Descriptions

NPI-1: Employee engagement service adjustment: The amount shown reflects the request for a \$5,134 increase in total funds to support the Department's share of a survey to gauge employees' attitudes toward their work and work environment, their overall satisfaction, and trends developing within the workforce. *Staff recommendation is pending committee action.*

NPI-2 OIT Enterprise Management: The amount shown reflects the request for a \$9,801 increase in total funds to support the Department’s share of an executive branch information technology asset management program and corresponding data system. *Staff recommendation is pending committee action.*

NPI-3 Capitol Complex Building Upgrades: The amount shown reflects the request for a \$307 increase in total funds to support the Department’s share of building maintenance and upgrades for the State’s Capitol Complex. *Staff recommendation is pending committee action.*

NPI-4 BA – Fleet Request: The amount shown reflects the request for a \$451 increase in cash funds for payment to the Department of Personnel for the costs of administration and lease-purchase payments for motor vehicles. *Staff recommendation is pending committee action.*

Compensation-related Common Policies: The recommendation includes a \$2.6 million increase to centrally appropriated line items for compensation including the following: salary survey and merit pay; health, life, and dental; short-term disability; and supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund.

Other Technical and Base Adjustments: The recommendation includes a \$29,000 increase in total funds that reflect a Leased Space rate escalation predominantly in federal funds and a fund adjustment reducing General Fund as well as Sunset Review Office net neutral fund adjustments.

Prior Year Legislation Annualizations: The recommendation includes a net increase of \$11,727 cash funds in Legal Services and Hardware/Software Maintenance line items to reflect the FY 2013-14 impact of legislation that was passed in 2012, including H.B. 12-1052, H.B. 12-1110, H.B. 12-1300, H.B. 12-1303, and H.B. 12-1311.

Operating Common Policies: The amount shown reflects the request for adjustments to centrally appropriated line items for the following: workers' compensation and payment to risk management and property; legal services; administrative law judge services; and capitol complex leased space. *Staff recommendation is pending committee action.*

OIT Common Policies: The amount shown reflects the request for adjustments to centrally appropriated line items for the following: purchase of services from the computer center; multiuse network payments; management and administration of the Governor's Office of Information Technology (OIT); and communication services payments. *Staff recommendation is pending committee action.*

FY 12-13 Supplemental Annualization: The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation.

LINE ITEM DETAIL – EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

Personal Services

This line funds the Executive Director, accounting, budget, purchasing, human resources, and Office of Policy and Research staff.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 29.5 FTE and \$2,233,220 total funds. The Department requests and staff recommends an adjusted fund split of \$3,380 General Fund, \$44,020 cash funds, and \$2,185,820 reappropriated funds.

Executive Director's Office and Administrative Services, Personal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	2,233,220	2,636	37,360	2,193,224	29.5
TOTAL	\$2,233,220	\$2,636	\$37,360	\$2,193,224	29.5
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$2,233,220	\$2,636	\$37,360	\$2,193,224	29.5
Other Technical and Base Adjustments	0	744	6,660	(7,404)	0.0
TOTAL	\$2,233,220	\$3,380	\$44,020	\$2,185,820	29.5
Increase/(Decrease)	\$0	\$744	\$6,660	(\$7,404)	0.0
Percentage Change	0.0%	28.2%	17.8%	(0.3%)	0.0%
FY 2013-14 Executive Request:	\$2,233,220	\$3,380	\$44,020	\$2,185,820	29.5
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Health, Life, and Dental

This line funds the Department's share of the state's group health, life and dental insurance plans for state employees. Health, life, and dental is appropriated to the Executive Director's Office and distributed as needed to the divisions.

Request: The Department requests an appropriation of \$3,757,214 total funds, including \$138,470 General Fund, \$3,395,435 cash funds, \$177,342 reappropriated funds, and \$45,967 federal funds.

Recommendation: Staff recommends an appropriation of \$3,716,294 total funds, including \$133,384 General Fund, \$3,360,040 cash funds, \$178,707 reappropriated funds, and \$44,163 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, Health, Life, and Dental					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	3,257,548	115,010	2,930,931	161,670	49,937
TOTAL	\$3,257,548	\$115,010	\$2,930,931	\$161,670	\$49,937
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$3,257,548	\$115,010	\$2,930,931	\$161,670	\$49,937
Compensation-related Common Policies	458,746	18,374	429,109	17,037	(5,774)
TOTAL	\$3,716,294	\$133,384	\$3,360,040	\$178,707	\$44,163
Increase/(Decrease)	\$458,746	\$18,374	\$429,109	\$17,037	(\$5,774)
Percentage Change	14.1%	16.0%	14.6%	10.5%	(11.6%)
FY 2013-14 Executive Request:	\$3,757,214	\$138,470	\$3,395,435	\$177,342	\$45,967
Request Above/(Below) Recommendation	\$40,920	\$5,086	\$35,395	(\$1,365)	\$1,804

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Short-term Disability

This line item funds the Department's share of the state's short-term disability program which is administered by the Department of Personnel.

Request: The Department requests an appropriation of \$67,420 total funds, including \$2,264 General Fund, \$60,783 cash funds, \$3,778 reappropriated funds, and \$595 federal funds.

Recommendation: Staff recommends an appropriation of \$67,739 total funds, including \$2,267 General Fund, \$61,078 cash funds, \$3,796 reappropriated funds, and \$598 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, Short-term Disability					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	54,636	1,917	48,891	3,432	396
TOTAL	\$54,636	\$1,917	\$48,891	\$3,432	\$396
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$54,636	\$1,917	\$48,891	\$3,432	\$396
Compensation-related Common Policies	13,103	350	12,187	364	202
TOTAL	\$67,739	\$2,267	\$61,078	\$3,796	\$598
Increase/(Decrease)	\$13,103	\$350	\$12,187	\$364	\$202
Percentage Change	24.0%	18.3%	24.9%	10.6%	51.0%
FY 2013-14 Executive Request:	\$67,420	\$2,264	\$60,783	\$3,778	\$595
Request Above/(Below) Recommendation	(\$319)	(\$3)	(\$295)	(\$18)	(\$3)

S.B. 04-257 Amortization Equalization Disbursement

Pursuant to S.B. 04-257, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Request: The Department requests an appropriation of \$1,286,682 total funds, including \$43,339 General Fund, \$1,159,976 cash funds, \$71,764 reappropriated funds, and \$11,603 federal funds.

Recommendation: Staff recommends an appropriation of \$1,292,331 total funds, including \$42,994 General Fund, \$1,165,567 cash funds, \$72,112 reappropriated funds, and \$11,658 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, S.B. 04-257 Amortization Equalization Disbursement					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	1,073,245	34,627	964,304	62,041	12,273
TOTAL	\$1,073,245	\$34,627	\$964,304	\$62,041	\$12,273
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$1,073,245	\$34,627	\$964,304	\$62,041	\$12,273
Compensation-related Common Policies	219,086	8,367	201,263	10,071	(615)
TOTAL	\$1,292,331	\$42,994	\$1,165,567	\$72,112	\$11,658
Increase/(Decrease)	\$219,086	\$8,367	\$201,263	\$10,071	(\$615)
Percentage Change	20.4%	24.2%	20.9%	16.2%	(5.0%)
FY 2013-14 Executive Request:	\$1,286,682	\$43,339	\$1,159,976	\$71,764	\$11,603
Request Above/(Below) Recommendation	(\$5,649)	\$345	(\$5,591)	(\$348)	(\$55)

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

S.B. 06-235 Supplemental Amortization Equalization Disbursement

Pursuant to S.B. 06-235, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Request: The Department requests an appropriation of \$1,161,589 total funds, including \$39,126 General Fund, \$1,047,201 cash funds, \$64,787 reappropriated funds, and \$10,475 federal funds.

Recommendation: Staff recommends an appropriation of \$1,166,550 total funds, including \$38,677 General Fund, \$1,052,248 cash funds, \$65,101 reappropriated funds, and \$10,524 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, S.B. 06-235 Supplemental Amortization Equalization Disbursement					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	922,177	29,615	828,699	53,316	10,547
TOTAL	\$922,177	\$29,615	\$828,699	\$53,316	\$10,547
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$922,177	\$29,615	\$828,699	\$53,316	\$10,547
Compensation-related Common Policies	244,373	9,062	223,549	11,785	(23)
TOTAL	\$1,166,550	\$38,677	\$1,052,248	\$65,101	\$10,524
Increase/(Decrease)	\$244,373	\$9,062	\$223,549	\$11,785	(\$23)
Percentage Change	26.5%	30.6%	27.0%	22.1%	(0.2%)
FY 2013-14 Executive Request:	\$1,161,589	\$39,126	\$1,047,201	\$64,787	\$10,475
Request Above/(Below) Recommendation	(\$4,961)	\$449	(\$5,047)	(\$314)	(\$49)

Salary Survey

This line item provides funding to pay for annual increases for salary survey.

Request: The Department requests an appropriation of \$920,860 total funds, including \$32,528 General Fund, \$838,884 cash funds, \$34,624 reappropriated funds, and \$14,824 federal funds.

Recommendation: Staff recommends an appropriation of \$1,109,859 total funds, including \$35,734 General Fund, \$1,012,207 cash funds, \$45,397 reappropriated funds, and \$16,521 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, Salary Survey					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	0	0	0	0	0
TOTAL	\$0	\$0	\$0	\$0	\$0
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$0	\$0	\$0	\$0	\$0
Compensation-related Common Policies	1,109,859	35,734	1,012,207	45,397	16,521
TOTAL	\$1,109,859	\$35,734	\$1,012,207	\$45,397	\$16,521
Increase/(Decrease)	\$1,109,859	\$35,734	\$1,012,207	\$45,397	\$16,521
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%
FY 2013-14 Executive Request:	\$920,860	\$32,528	\$838,884	\$34,624	\$14,824
Request Above/(Below) Recommendation	(\$188,999)	(\$3,206)	(\$173,323)	(\$10,773)	(\$1,697)

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Merit Pay

This line item provides funding to pay for performance-based pay increases related to employee performance and evaluations.

Request: The Department requests an appropriation of \$516,729 total funds, including \$20,087 General Fund, \$455,779 cash funds, \$35,392 reappropriated funds, and \$5,471 federal funds.

Recommendation: Staff recommends an appropriation of \$515,057 total funds, including \$18,413 General Fund, \$455,781 cash funds, \$35,392 reappropriated funds, and \$5,471 federal funds, in accordance with Committee policy.

Executive Director's Office and Administrative Services, Merit Pay					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	0	0	0	0	0
TOTAL	\$0	\$0	\$0	\$0	\$0
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$0	\$0	\$0	\$0	\$0
Compensation-related Common Policies	515,057	18,413	455,781	35,392	5,471
TOTAL	\$515,057	\$18,413	\$455,781	\$35,392	\$5,471
Increase/(Decrease)	\$515,057	\$18,413	\$455,781	\$35,392	\$5,471
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%
FY 2013-14 Executive Request:	\$516,729	\$20,087	\$455,779	\$35,392	\$5,471
Request Above/(Below) Recommendation	\$1,672	\$1,674	(\$2)	\$0	\$0

Workers' Compensation

This line item is used to pay the Department's share of the state's workers' compensation program run by the Department of Personnel.

Request: The Department requests an appropriation \$60,479 total funds, including \$1,926 General Fund, \$55,354 cash funds, \$2,074 reappropriated funds, and \$1,125 federal funds. The request includes a proposed Department of Personnel common policy base adjustment decrease of \$9,721.

Recommendation: Staff will reflect Committee common policy in the appropriation for this line item.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$210,344 total funds, including \$3,689 General Fund, \$95,427 cash funds, and \$111,228 reappropriated funds.

Legal Services

This line item is used to pay the Department of Law for the provision of legal services to all divisions.

Request: The Department requests an appropriation of 110,045 hours, an increase of 5,705 hours over the FY 2012-13 Long Bill, and an increase of 4,320 hours over the FY 2012-13 total

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

appropriation to this line; the Department's budget reflects an appropriation of \$8,492,167 total funds.

Recommendation: Staff recommends an appropriation of 105,435 hours; the dollar amount is pending Committee policy setting the legal services hourly rate for FY 2013-14. Staff requests permission to adjust the line and apply a funding split once Committee policy is established. The following table summarizes staff's calculation of the FY 2013-14 legal services recommendation.

Executive Director's Office and Administrative Services, Legal Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	Hours
FY 2012-13 Appropriation:						
HB 12-1335 (Long Bill)	8,060,265	156,586	7,669,419	89,339	144,921	104,340
Other Legislation	42,019	0	42,019	0	0	555
SB 13-102 (Supplemental)	62,839	0	62,839	0	0	830
TOTAL	\$8,165,123	\$156,586	\$7,774,277	\$89,339	\$144,921	105,725
FY 2013-14 Recommended Appropriation:						
FY 2012-13 Appropriation	\$8,165,123	\$156,586	\$7,774,277	\$89,339	\$144,921	105,725
Prior Year Legislation Annualizations	40,883	0	40,883	0	0	540
FY12-13 Supplemental Annualizations	(62,839)	0	(62,839)	0	0	(830)
TOTAL	\$8,143,167	\$156,586	\$7,752,321	\$89,339	\$144,921	105,435
Increase/(Decrease)	(\$21,956)		(\$21,956)	\$0	\$0	(290)
Percentage Change	(0.3%)	0.0%	(0.3%)	0.0%	0.0%	(0.3%)
FY 2013-14 Executive Request:	\$8,492,167	\$156,586	\$8,101,321	\$89,339	\$144,921	110,045
Request Above/(Below) Recommendation	\$349,000	\$0	\$349,000	\$0	\$0	4,610

Annualization: The recommendation includes a net decrease of 290 Legal Services hours to reflect the FY 2013-14 impact of legislation that was passed in 2012, including H.B. 12-1110, H.B. 12-1300, H.B. 12-1303, and H.B. 12-1311. The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation. The Department's request includes an annualization of H.B. 12-1266. However the H.B. 12-1266 fiscal note's FY 2013-14 annualization is a continuation amount for a program scheduled to sunset; therefore staff's recommendation differs from the Department's request in eliminating the annualization.

Administrative Law Judge Services

This line item is used to purchase administrative law services from the Department of Personnel.

Request: The Department requests an appropriation of \$240,708 total funds, which includes a common policy base adjustment decrease of \$56,505 total funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

JBC Staff Figure Setting – FY 2013-14
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Executive Director's Office and Administrative Services, Administrative Law Judge Services			
	Total Funds	General Fund	Cash Funds
FY 2012-13 Appropriation:			
HB 12-1335 (Long Bill)	297,213	13,441	283,772
TOTAL	\$297,213	\$13,441	\$283,772
FY 2013-14 Recommended Appropriation:			
FY 2012-13 Appropriation	\$297,213	\$13,441	\$283,772
Operating Common Policy Adjustment	(56,505)	(2,555)	(53,950)
TOTAL	\$240,708	\$10,886	\$229,822
Increase/(Decrease)	(\$56,505)	(\$2,555)	(\$53,950)
Percentage Change	(19.0%)	(19.0%)	(19.0%)
FY 2013-14 Executive Request:	\$240,708	\$10,886	\$229,822
Request Above/(Below) Recommendation	\$0	\$0	\$0

Operating Common Policy Adjustment: The amount shown reflects the request for a common policy base adjustment for administrative law judge services.

Purchase of Services from Computer Center

This line item is used to reimburse the Governor's Office of Information Technology (OIT) for the Department's share of the state's computer system.

➔ NPI-2 OIT Enterprise Asset Management

Request: The Department requests an appropriation of \$1,569,069 total funds, including \$61,193 General Fund and \$1,507,876 cash funds. The request reflects a common policy base adjustment decrease of \$224,343 total funds and a non-prioritized request item (NPI-2: OIT Enterprise Asset Management) increase of \$9,801 total funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Executive Director's Office and Administrative Services, Purchase of Services from Computer Center			
	Total Funds	General Fund	Cash Funds
FY 2012-13 Appropriation:			
HB 12-1335 (Long Bill)	1,783,611	70,270	1,713,341
Other Legislation	0	0	0
SB 13-102 (Supplemental)	100,000	0	100,000
TOTAL	\$1,883,611	\$70,270	\$1,813,341
FY 2013-14 Recommended Appropriation:			
FY 2012-13 Appropriation	\$1,883,611	\$70,270	\$1,813,341
NPI-2 OIT Enterprise Asset Management	9,801	382	9,419
OIT Common Policy Adjustment	(224,343)	(9,459)	(214,884)
FY12-13 Supplemental Annualization	(100,000)	0	(100,000)
TOTAL	\$1,569,069	\$61,193	\$1,507,876
Increase/(Decrease)	(\$314,542)	(\$9,077)	(\$305,465)
Percentage Change	(16.7%)	(12.9%)	(16.8%)
FY 2013-14 Executive Request:	\$1,569,069	\$61,193	\$1,507,876
Request Above/(Below) Recommendation	\$0	\$0	\$0

NPI-2 OIT Enterprise Asset Management: The amount shown reflects the request for a \$9,801 increase in total funds to support the Department’s share of an executive branch information technology asset management program and corresponding data system.

OIT Common Policy Adjustment: The amount shown reflects the request for a common policy base adjustment decrease.

Multiuse Network Payments

This line item was added in FY 2010-11 as part of the statewide information technology staff consolidation. Funds in this line are used to reimburse OIT for the Department's share of the statewide multi-use network.

Request: The Department requests an appropriation of \$154,776 total funds, including \$6,036 General Fund and \$148,740 cash funds. The request reflects a common policy base adjustment decrease of \$193,672 total funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Executive Director's Office and Administrative Services, Multiuse Network Payments			
	Total Funds	General Fund	Cash Funds
FY 2012-13 Appropriation:			
HB 12-1335 (Long Bill)	348,448	13,728	334,720
TOTAL	\$348,448	\$13,728	\$334,720
FY 2013-14 Recommended Appropriation:			
FY 2012-13 Appropriation	\$348,448	\$13,728	\$334,720
OIT Common Policy Adjustment	(193,672)	(7,692)	(185,980)
TOTAL	\$154,776	\$6,036	\$148,740
Increase/(Decrease)	(\$193,672)	(\$7,692)	(\$185,980)
Percentage Change	(55.6%)	(56.0%)	(55.6%)
FY 2013-14 Executive Request:	\$154,776	\$6,036	\$148,740
Request Above/(Below) Recommendation	\$0	\$0	\$0

OIT Common Policy Adjustment: The amount shown reflects the request for a common policy base adjustment decrease for multiuse network payments.

Management and Administration of OIT

This line funds the internal office operations and support for OIT.

Request: The Department requests an appropriation of \$96,614 total funds, including \$3,768 General Fund and \$92,846 cash funds. The request reflects a common policy base adjustment decrease of \$40,171 total funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

JBC Staff Figure Setting – FY 2013-14
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Executive Director's Office and Administrative Services, Management and Administration of OIT			
	Total Funds	General Fund	Cash Funds
FY 2012-13 Appropriation:			
HB 12-1335 (Long Bill)	136,785	5,389	131,396
TOTAL	\$136,785	\$5,389	\$131,396
FY 2013-14 Recommended Appropriation:			
FY 2012-13 Appropriation	\$136,785	\$5,389	\$131,396
OIT Common Policy Adjustment	(40,171)	(1,621)	(38,550)
TOTAL	\$96,614	\$3,768	\$92,846
Increase/(Decrease)	(\$40,171)	(\$1,621)	(\$38,550)
Percentage Change	(29.4%)	(30.1%)	(29.3%)
FY 2013-14 Executive Request:			
Request Above/(Below) Recommendation	\$0	\$0	\$0

OIT Common Policy Adjustment: The amount shown reflects the request for a common policy base adjustment decrease for management and administration of OIT.

COFRS Modernization

This line item funds the Department's share of the first two phases of a five-phase project to replace the statewide accounting system (COFRS) used by the Office of the State Controller to record all state revenues and expenditures.

Request: The Department's request reflects a continuation appropriation of \$144,715 total funds, including \$3,016 General Fund, \$131,452 cash funds, \$7,995 reappropriated funds, and \$2,252 federal funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Payment to Risk Management and Property Funds

This line item is used to reimburse the Department of Personnel for the Department's share of the state's liability and property insurance.

➔ NPI-1 Employee Engagement Survey

Request: The Department requests an appropriation of \$202,531 total funds, including \$6,451 General Fund, \$185,370 cash funds, \$6,945 reappropriated funds, and \$3,765 federal funds. The request reflects a proposed common policy total increase of \$73,109, which includes a common policy base adjustment increase of \$67,975 and the Department's share of the non-prioritized common policy request item (NPI-1 Employee Engagement Survey) of \$5,134.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, Payment to Risk Management and Property Funds					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	129,422	4,122	118,456	4,438	2,406
SB 13-102 (Supplemental)	53,611	1,707	49,069	1,838	997
TOTAL	\$183,033	\$5,829	\$167,525	\$6,276	\$3,403
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$183,033	\$5,829	\$167,525	\$6,276	\$3,403
NPI-1 Employee Engagement Survey	5,134	164	4,699	176	95
Operating Common Policy Adjustment	67,975	2,165	62,215	2,331	1,264
FY12-13 Supplemental Annualization	(53,611)	(1,707)	(49,069)	(1,838)	(997)
TOTAL	\$202,531	\$6,451	\$185,370	\$6,945	\$3,765
Increase/(Decrease)	\$19,498	\$622	\$17,845	\$669	\$362
Percentage Change	10.7%	10.7%	10.7%	10.7%	10.6%
FY 2013-14 Executive Request:	\$202,531	\$6,451	\$185,370	\$6,945	\$3,765
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

NPI-1 Employee Engagement Survey: The amount shown reflects the request for a \$5,134 increase in total funds to support the Department's share of a survey to gauge employees' attitudes toward their work and their work environment, their overall satisfaction, and trends developing within the workforce.

Operating Common Policy Adjustment: The amount shown reflects the request for a common policy base adjustment increase for payment to risk management and property funds.

Vehicle Lease Payments

This line item provides funding for annual payments to the Department of Personnel for the cost of administration, loan repayment, and lease-purchase payments for new and replacement vehicles.

➔ NPI-4 BA Fleet Request

Request: The Department requests \$221,705 cash funds, which includes non-prioritized request item #4, submitted as a budget amendment in the Department of Personnel's Fleet Request for FY 2013-14. The NPI-4 request includes a vehicle lease payment adjustment increase of \$451 and the replacement of 11 vehicles as recommended by Fleet Management in the Department of Personnel. Justification for replacement of 10 of the 11 vehicles is *very high mileage*, defined by Fleet Management as greater than 150,000 miles, which will typically result in higher maintenance and repair costs, lower reliability, and an increase in safety concerns. Justification for replacement of the additional vehicle is maintenance costs greater than 100 percent of average for the vehicle type. The following table provides details about the vehicle replacement request.

*JBC Staff Figure Setting – FY 2013-14
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Summary of the FY 2013-14 Vehicle Replacement Request				
Division/Board that Uses Vehicle	Type of Vehicle	Model Year	Projected Mileage July 2014	Vehicle Need Description
Electrical Board	Small 4x4 PU	2006	166,766	These vehicles are used by electrical inspectors, plumbing inspectors, and for PUC pipeline inspections. Electrical inspectors and plumbing inspectors have three working days after receiving an inspection request to perform the inspection. Inspection vehicles are 4wd because they are statutorily required to perform safety inspections in all weather conditions, on improved (60 percent) and unimproved (40 percent) roads and in mountainous regions.
Electrical Board	Small 4x4 PU	2007	162,172	
Electrical Board	Small 4x4 PU	2009	175,430	
Electrical Board	Small 4x4 PU	2009	164,513	
Plumbers Board	Small 4x4 PU	2009	178,356	
Plumbers Board	Small 4x4 PU	2009	178,772	
Plumbers Board	Small 4x4 PU	2009	166,465	
Plumbers Board	Small 4x4 PU	2009	170,266	
Plumbers Board	Small 4x4 PU	2010	192,206	
Plumbers Board	Small 4x4 PU	2007	211,952	
Public Utilities Commission	Fullsize Sedan	2005	114,915	

Recommendation: Staff recommends the replacement of the recommended 11 vehicles. Ten of the vehicles will be in excess of 150,000 miles, the *very high mileage* replacement criterion set by Fleet Management, by July 2014. The additional vehicle is experiencing a maintenance rate that is 102% higher than average for its vehicle type. **The dollar amount of the staff recommendation is pending the approval of Department of Personnel common policy by the Committee. Once a Committee policy is established, staff requests permission to adjust the line.**

Executive Director's Office and Administrative Services, Vehicle Lease Payments	
Cash Funds	
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	221,254
TOTAL	\$221,254
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$221,254
NPI-4 - BA - Fleet Request	451
TOTAL	\$221,705
Increase/(Decrease)	\$451
Percentage Change	0.2%
FY 2013-14 Executive Request:	
Request Above/(Below) Recommendation	\$0

NPI-4 BA – Fleet Request: The amount shown reflects the request for a \$451 increase in cash funds for annual payments to the Department of Personnel for the costs of administration and lease-purchase payments for motor vehicles.

Information Technology Asset Maintenance

This line item pays for replacement of the Department's information technology assets on a schedule determined by OIT.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$671,403 total funds, including \$480,646 cash funds and \$190,757 reappropriated funds.

Leased Space

The Department leases a total of 159,618 square feet located at 1550 Broadway, Denver Colorado. The Department is in the seventh year of a 10-year lease in its primary lease (149,000 square feet); the current rate is \$17.35 and will be escalating to \$17.60 in FY 2013-14. At the time the lease was signed in FY 2005-06 the Department was paying \$17.75 per square foot; the new lease reduced that figure to \$14.87 per square foot. Discussions and planning for the Department's leased space needs beyond the current lease will begin in the next fiscal year. Current market rates are \$25-30 per square foot.

Request: The Department requests an appropriation of \$3,067,398 total funds, including \$93,609 General Fund, \$2,548,585 cash funds, \$357,135 reappropriated funds, and \$68,069 federal funds. The request includes a net increase of \$28,880, which predominantly includes reflecting an increase of \$28,629 in federal funds for informational purposes. The federal funds increase includes \$16,998 to reflect space funded via a federal grant to implement the Affordable Care Act in the Division of Insurance and \$10,948 to reflect the Civil Rights Division regional office in Pueblo. Other adjustments include increases for rate escalations.

Recommendation: Staff recommends an appropriation of \$3,067,398 total funds, including \$93,609 General Fund, \$2,548,585 cash funds, \$357,135 reappropriated funds, and \$68,069 federal funds.

Executive Director's Office and Administrative Services, Leased Space					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	3,038,518	106,866	2,536,022	356,190	39,440
TOTAL	\$3,038,518	\$106,866	\$2,536,022	\$356,190	\$39,440
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$3,038,518	\$106,866	\$2,536,022	\$356,190	\$39,440
Other Technical and Base Adjustments	28,880	(13,257)	12,563	945	28,629
TOTAL	\$3,067,398	\$93,609	\$2,548,585	\$357,135	\$68,069
Increase/(Decrease)	\$28,880	(\$13,257)	\$12,563	\$945	\$28,629
Percentage Change	1.0%	(12.4%)	0.5%	0.3%	72.6%
FY 2013-14 Executive Request:					
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Other Technical and Base Adjustments: The recommendation includes a \$28,880 increase in total funds for leased space that includes reflecting an increase of \$28,629 in federal funds and other fund adjustments including a \$13,257 decrease in General Fund.

Capitol Complex Leased Space

Capitol complex space is maintained by the Department of Personnel, and the Department occupies 769 square feet in the Grand Junction facility for the Division of Professions and Occupations Electrical Board.

➔ NPI-3 Capitol Complex Building Upgrade and Repair

Request: The Department requests funding for 769 square feet in the Grand Junction facility of Capitol Complex. The request reflects a proposed common policy total increase of \$403, which includes a common policy base adjustment of \$96 and the Department's share of the non-prioritized common policy request item (NPI-3 Capitol Complex Building Upgrade and Repair) of \$307.

Recommendation: Staff recommends an appropriation for the purchase of a continuation level of 769 square feet in the Grand Junction facility. The rate for capitol complex leased space is pending Committee approval of common policy. Staff will reflect Committee common policy in the appropriation for this line item.

Executive Director's Office and Administrative Services, Capitol Complex Leased Space	
Cash Funds	
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	4,787
TOTAL	\$4,787
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$4,787
NPI-3: Capitol Complex Building Upgrade	307
Operating Common Policy Adjustment	96
TOTAL	\$5,190
Increase/(Decrease)	\$403
Percentage Change	8.4%
FY 2013-14 Executive Request:	
Request Above/(Below) Recommendation	\$0

NPI-3: Capitol Complex Building Upgrade: The amount shown reflects the request for a \$307 increase in total funds to support the Department's share of the Department of Personnel's common policy request item for building maintenance and upgrades for the State's Capitol Complex.

Operating Common Policy Adjustment: The amount shown reflects the request for the Department's share of the common policy base adjustment for capitol complex leased space.

Hardware/Software Maintenance

This line item pays for the licensing and maintenance agreements for hardware and software, as well as the costs of required equipment upgrades.

Request: The Department requests an appropriation of \$693,878 total funds, including \$800 General Fund, \$434,476 cash funds, and \$258,602 reappropriated funds. The request includes a decrease of \$29,156 cash funds due to annualizations.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Recommendation: Staff recommends an appropriation of \$693,878 total funds, including \$800 General Fund, \$434,476 cash funds, and \$258,602 reappropriated funds

Executive Director's Office and Administrative Services, Hardware/Software Maintenance				
	Total Funds	General Fund	Cash Funds	Reappropriated Funds
FY 2012-13 Appropriation:				
HB 12-1335 (Long Bill)	686,330	800	426,928	258,602
Other Legislation	36,704	0	36,704	0
TOTAL	\$723,034	\$800	\$463,632	\$258,602
FY 2013-14 Recommended Appropriation:				
FY 2012-13 Appropriation	\$723,034	\$800	\$463,632	\$258,602
Prior Year Legislation Annualizations	(29,156)	0	(29,156)	0
TOTAL	\$693,878	\$800	\$434,476	\$258,602
Increase/(Decrease)	(\$29,156)	\$0	(\$29,156)	\$0
Percentage Change	(4.0%)	0.0%	(6.3%)	0.0%
FY 2013-14 Executive Request:				
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0

Annualization: The recommendation includes a net decrease of \$29,156 cash funds to reflect the FY 2013-14 impact of H.B. 12-1052 (Collection of Health Care Workforce Data) and H.B. 12-1303 (Speech Language Pathologists).

Consumer Outreach/Education Program

This line item was added to the FY 2009-10 Long Bill as a result of H.B. 08-1216, which established the Consumer Outreach and Education Program (Program) within the Department. The Program works to inform consumers of their rights regarding regulated professions and occupations, decrease regulatory violations by licensees, and increase public awareness of consumer protection information available from the Department. The Program is funded by a surcharge on fines issued by various divisions.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$200,000 cash funds.

(2) Division of Banking

The Division of Banking is responsible for the enforcement of banking laws on state-chartered commercial banks, trust companies, industrial banks and money transmitters. The Division holds charter and license application hearings, issues rules and regulations, investigates consumer complaints, and conducts examinations of banking institutions. Pursuant to Section 11-102-403, C.R.S., this Division is funded entirely by the Division of Banking Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Banking		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	4,369,807	40.0
TOTAL	\$4,369,807	40.0
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$4,369,807	40.0
Indirect Cost Assessment Adjustments	(1,575)	0.0
TOTAL	\$4,368,232	40.0
Increase/(Decrease)	(\$1,575)	0.0
Percentage Change	(0.0%)	0.0%
FY 2013-14 Executive Request:	\$4,368,232	40.0
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – DIVISION OF BANKING

Personal Services

Division staff conduct examinations and enforce compliance with the Public Deposit Protection Act and the Uniform Consumer Credit Code. Staff also enforce regulatory guidelines for trust departments, electronic funds transfers, and electronic data processing.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 40.0 FTE and \$3,578,405 cash funds from the Division of Banking Cash Fund.

Operating Expenses

A portion of this line item funds the reimbursement for travel of staff examiners. Travel reimbursements include meal and hotel per diem and mileage reimbursement. The remainder of this line is used for general operating expenses incurred by the Division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$490,703 cash funds from the Division of Banking Cash Fund.

Board Meeting Costs

The eight-member Governor-appointed Colorado State Banking Board (Board) is the policy and rulemaking authority for this Division. The Board conducts monthly hearings on applications for commercial and industrial banks, trust companies, and the licensure of money transmitters.

Board members receive reimbursements for expenses incurred during the performance of official duties pursuant to Section 11-102-103 (6), C.R.S.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$23,500 cash funds from the Division of Banking Cash Fund.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs. Statewide indirect costs are overhead costs associated with the operation of general government functions and departmental administrative duties. Indirect cost recoveries are intended to offset these overhead costs in the Executive Director's Office and statewide, that would otherwise have been supported General Fund. This description applies to all Indirect Cost Assessment lines in each division.

Request: The Department's request reflects an appropriation of \$275,624 cash funds from the Division of Banking Cash Fund.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(3) Civil Rights Division

This Division is the administrative arm of the Colorado Civil Rights Commission and enforces the laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex, national origin, ancestry, physical or mental disability, religion, color, or marital status.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Civil Rights Division					
	Total Funds	General Fund	Reappropriated Funds	Federal Funds	FTE
FY 2012-13 Appropriation:					
HB 12-1335 (Long Bill)	1,779,652	1,150,163	174,930	454,559	27.0
TOTAL	\$1,779,652	\$1,150,163	\$174,930	\$454,559	27.0
FY 2013-14 Recommended Appropriation:					
FY 2012-13 Appropriation	\$1,779,652	\$1,150,163	\$174,930	\$454,559	27.0
Indirect Cost Assessment Adjustments	(612)		0	(612)	0.0
TOTAL	\$1,779,040	1,150,163	\$174,930	\$453,947	27.0
Increase/(Decrease)	(\$612)		\$0	(\$612)	0.0
Percentage Change	(0.0%)	0.0%	0.0%	(0.1%)	0.0%
FY 2013-14 Executive Request:					
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

LINE ITEM DETAIL – CIVIL RIGHTS DIVISION

Personal Services

Division staff investigate and adjudicate charges of discriminatory practices, supervise complaint hearings, provide technical assistance with fair housing laws, and provide general public information and community intervention and education.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 27.0 FTE and \$1,593,380 total funds, including \$1,068,671 General Fund, \$174,930 reappropriated funds, and \$349,779 federal funds.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$100,438 total funds, including \$59,318 General Fund and \$41,120 federal funds.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$18,000 total funds, including \$17,000 General Fund and \$1,000 federal funds.

Commission Meeting Costs

The Colorado Civil Rights Commission holds meetings at various locations around the state, enabling members of the public to tell the Commission about their concerns regarding civil rights issues. This line receives General Funds and federal funds because meetings include federal and state civil rights issues. This line funds the per diem and travel expenses for Commissioners and various other meeting costs.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$12,374 total funds, including \$5,174 General Fund and \$7,200 federal funds.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$54,848 federal funds.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(4) Office of Consumer Counsel

The Office of Consumer Counsel represents the interests of residential, small business, and agricultural consumers in cases heard by the Public Utilities Commission (PUC) and federal

agencies (such as the Federal Communications Commission and the Federal Energy Regulatory Commission). Cases involve proposed changes to electric, gas, and telecommunications utility rates, service, and general policies. This Office is funded entirely with cash funds from the Public Utilities Commission Fixed Utility Fund created in Section 40-2-114, C.R.S.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Office of Consumer Counsel		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	897,250	7.0
TOTAL	\$897,250	7.0
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$897,250	7.0
Indirect Cost Assessment Adjustments	(276)	0.0
TOTAL	\$896,974	7.0
Increase/(Decrease)	(\$276)	0.0
Percentage Change	(0.0%)	0.0%
FY 2013-14 Executive Request:		
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – OFFICE OF CONSUMER COUNSEL

Personal Services

This line item provides funding for the payment of office staffing and contractual services in representing residential, small business, and agricultural consumers in proceedings before the PUC and in the courts on appeals rising from PUC decisions and in federal court and federal regulatory proceedings affecting Colorado utility consumers.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 7.0 FTE and \$792,953 cash funds from the Public Utilities Commission Fixed Utility Fund.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$55,787 cash funds from the Public Utilities Commission Fixed Utility Fund.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$48,234 cash funds from the Public Utilities Commission Fixed Utility Fund.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(5) Division of Financial Services

This Division regulates state-chartered credit unions, savings and loan associations and life-care institutions, which provide care for the duration of a person's life in return for the payment of an initial fee. The Division is entirely cash funded by the Division of Financial Services Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Financial Services		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	1,386,125	13.0
TOTAL	\$1,386,125	13.0
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$1,386,125	13.0
Indirect Cost Assessment Adjustments	(512)	0.0
TOTAL	\$1,385,613	13.0
Increase/(Decrease)	(\$512)	0.0
Percentage Change	(0.0%)	0.0%
FY 2013-14 Executive Request:		
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – DIVISION OF FINANCIAL SERVICES

Personal Services

Division staff enforce the Public Deposit Protection Act and conduct examinations to ensure financial stability and compliance with state and federal laws. When appropriate, division staff will initiate enforcement actions in order to bring an institution into compliance with regulatory laws.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 13.0 FTE and \$1,134,247 cash funds from the Division of Financial Services Cash Fund.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$161,788 cash funds from the Division of Financial Services Cash Fund.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$89,578 cash funds from the Division of Financial Services Cash Fund.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(6) Division of Insurance

This Division regulates insurance entities and insurance producers throughout Colorado. If the Division of Insurance Cash Fund (Fund) does not collect enough revenue to fully fund the Division's appropriated expenditures, the Division is authorized by Section 10-3-209 (4), C.R.S. to divert up to 5.0 percent of insurance premium tax revenues to the Fund from the General Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Insurance				
	Total Funds	Cash Funds	Federal Funds	FTE
FY 2012-13 Appropriation:				
HB 12-1335 (Long Bill)	7,340,684	6,775,299	565,385	85.0
Other Legislation	(3,930)	(3,930)	0	(0.1)
SB 13-102 (Supplemental)	1,535	1,535	0	0.0
TOTAL	\$7,338,289	\$6,772,904	\$565,385	84.9
FY 2013-14 Recommended Appropriation:				
FY 2012-13 Appropriation	\$7,338,289	\$6,772,904	\$565,385	84.9
FY12-13 Supplemental Annualizations	(1,535)	(1,535)	0	0.0
Indirect Cost Assessment Adjustments	(3,457)	(3,457)	0	0.0
TOTAL	\$7,333,297	\$6,767,912	\$565,385	84.9
Increase/(Decrease)	(\$4,992)	(\$4,992)	\$0	0.0
Percentage Change	(0.1%)	(0.1%)	0.0%	0.0%
FY 2013-14 Executive Request:				
Request Above/(Below) Recommendation	\$314,070	\$314,070	\$0	2.9

Issue Descriptions

FY 2012-13 Supplemental Annualizations: The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation.

Indirect Cost Assessment Adjustments: The amount shown reflects the request for a \$3,457 decrease in cash funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan.

LINE ITEM DETAIL – DIVISION OF INSURANCE

Personal Services

There are two units that work within the division: the financial regulation unit and the consumer affairs unit. The financial regulation unit works primarily with insurance companies and agents, conducting financial exams and managing corporate and financial affairs. The consumer affairs unit works mainly with consumers, taking consumer complaints and conducting investigations and insurance market analysis.

Request: The Department requests an appropriation of 85.8 FTE and \$6,039,790 cash funds.

Recommendation: Staff recommends an appropriation of 82.9 FTE and \$5,725,720 cash funds.

Division of Insurance, Personal Services		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	5,729,650	83.0
Other Legislation	(3,930)	(0.1)
TOTAL	\$5,725,720	82.9
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$5,725,720	82.9
Prior Year Legislation Annualization	0	0.0
TOTAL	\$5,725,720	82.9
Increase/(Decrease)	\$0	0.0
Percentage Change	0.0%	0.0%
FY 2013-14 Executive Request:	\$6,039,790	85.8
Request Above/(Below) Recommendation	\$314,070	2.9

Prior Year Legislation Annualization: The recommendation includes no adjustment for the annualization of H.B. 12-1266, Continuing Licensure for Bail Bond Agents. The Department's request includes an annualization of H.B. 12-1266. However the H.B. 12-1266 fiscal note's FY 2013-14 annualization is a continuation amount for a program scheduled to sunset; therefore staff's recommendation differs from the Department's request in eliminating the annualization.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$291,716 cash funds.

Out-of-State Travel Expenses

This line item funds out-of state travel expenses incurred when Division staff are required to do market conduct examinations. Funds in this line item are reimbursements paid by insurance companies and are *continuously appropriated* pursuant to Section 10-1-108 (9), C.R.S.

Request and Recommendation: The Department requests and staff recommends reflecting a continuation appropriation of \$100,000 cash funds for informational purposes.

Senior Health Counseling Program

This federally funded program provides senior citizens with Medicare information, and the appropriation is contingent on the availability of federal money from the Centers for Medicare and Medicaid Services and the U.S. Administration on Aging. Annual spending fluctuations are the result of the availability of funds.

Request and Recommendation: The Department requests and staff recommends reflecting a continuation appropriation of 2.0 FTE and \$509,000 federal funds for informational purposes.

Transfer to CAPCO Administration

This line provides the cash funds for the administration of the capital company (CAPCO) incentives program in the Governor's Office. The Office of Economic Development (OED) certifies CAPCOs that are qualified to offer assistance to business enterprises that create jobs in the state. Insurance companies that make an investment of certified capital in an OED-certified CAPCO can receive a tax credit against premium taxes owed. This line item is set in the CAPCO Administration line in the Governor's Office budget.

Request: The Department's request reflects an appropriation of \$78,746 cash funds.

Recommendation: Staff's recommendation is pending figure setting for the Office of the Governor. Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$628,115 total funds, including \$571,730 cash funds and \$56,385 federal funds.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line and apply a funding split once pending line items are set.

(7) Public Utilities Commission

The three-member Public Utilities Commission (PUC) regulates the rates and services of fixed and transportation utilities, and possesses quasi-legislative and quasi-judicial responsibilities. The PUC administers the Colorado Telecommunications High Cost Program, the Low Income Telephone Assistance Program, and the Disabled Telephone Users Program.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Public Utilities Commission				
	Total Funds	Cash Funds	Federal Funds	FTE
FY 2012-13 Appropriation:				
HB 12-1335 (Long Bill)	15,851,475	15,820,694	30,781	95.0
SB 13-102 (Supplemental)	6,023	6,023	0	0.0
TOTAL	\$15,857,498	\$15,826,717	\$30,781	95.0
FY 2013-14 Recommended Appropriation:				
FY 2012-13 Appropriation	\$15,857,498	\$15,826,717	\$30,781	95.0
Staff Recommended Adjustments	(1,957,253)	(1,957,253)	0	0.0
FY12-13 Supplemental Annualizations	(6,023)	(6,023)	0	0.0
Indirect Cost Assessment Adjustments	(3,740)	(3,740)	0	0.0
TOTAL	\$13,890,482	\$13,859,701	\$30,781	95.0
Increase/(Decrease)	(\$1,967,016)	(\$1,967,016)	\$0	0.0
Percentage Change	(12.4%)	(12.4%)	0.0%	0.0%
FY 2013-14 Executive Request:				
Request Above/(Below) Recommendation	\$1,957,253	\$1,957,253	\$0	0.0

Issue Descriptions

Staff Recommended Adjustments: The recommendation includes reflecting a \$1.4 million decrease in cash funds for the Low Income Telephone Assistance line item and a \$539,000 decrease in cash funds for the Disabled Telephone Users Fund Payments, to better reflect actual expenditures for line items included in the Long Bill for informational purposes.

FY 12-13 Supplemental Annualizations: The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation.

Indirect Cost Assessment Adjustments: The amount shown reflects the request for a \$3,740 decrease in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan.

LINE ITEM DETAIL – PUBLIC UTILITIES COMMISSION

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 95.0 FTE and \$8,673,610 cash funds.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$445,689 cash funds.

Expert Testimony

This line pays for expert testimony during PUC hearings and for the cost of outside contract services for expertise not available from PUC staff.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$25,000 cash funds.

Disable Telephone Users Fund Payments

Revenue for the Disabled Telephone Users Fund is from fees on land lines pursuant to Section 40-17-104 (1), C.R.S. Money in the fund is *continuously appropriated* to pay the cost of a contract that provides telecommunications relay services for disabled individuals.

Request: The Department's request reflects an appropriation of \$2,439,591 cash funds from the Colorado Disabled Telephone Users Fund for informational purposes.

Recommendation: Staff recommends reflecting an appropriation of \$1,900,542 cash funds from the Colorado Disabled Telephone Users Fund for informational purposes. This amount was the actual expenditure in FY 2011-12.

Public Utilities Commission, Disabled Telephone Users Fund Payments	
Cash Funds	
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	2,439,591
TOTAL	\$2,439,591
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$2,439,591
Staff Recommended Adjustment	(539,049)
TOTAL	\$1,900,542
Increase/(Decrease)	(\$539,049)
Percentage Change	(22.1%)
FY 2013-14 Executive Request:	\$2,439,591
Request Above/(Below) Recommendation	\$539,049

Staff Recommended Adjustment: The recommendation includes reflecting a \$539,000 decrease in cash funds to better reflect actual expenditures for this line item.

Transfer to Reading Services for the Blind Cash Fund

Funds are appropriated to this line from the Disabled Telephone Users Fund, and reappropriated to the Department of Education for allocation to privately operated reading services for the blind.

Request: The Department's request reflects an appropriation of \$350,000 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: Staff's recommendation is pending figure setting for the Department of Education. Staff requests permission to adjust the line once the pending line item is set.

Commission for the Deaf and Hard of Hearing Cash Fund

Funds are appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Department of Human Services for use by the Commission for the Deaf and Hard of Hearing.

Request: The Department's request reflects an appropriation of \$980,328 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: Staff's recommendation is pending figure setting for the Department of Human Services. Staff requests permission to adjust the line once the pending line item is set.

Colorado Commission for Individuals who are Blind or Visually Impaired

This line item was eliminated in FY 2012-13 and is shown for historical purposes. Funds were appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Colorado Commission for the Individuals who are Blind or Visually Impaired line item in the Department of Human Services.

Low Income Telephone Assistance

Since 1990 the PUC has run a program that subsidizes services for eligible low income telephone customers pursuant to Section 40-3.4-104, C.R.S. The program is funded by a monthly surcharge on telephone lines, set by the PUC each year. Currently, the monthly surcharge is set at \$0.07 per month. The appropriation in this line item represents the funds collected by the PUC and no part of this appropriation is used by the PUC for administrative expenses. *The PUC's portion of the program operates under the provisions of statute and is not subject to annual appropriation by the General Assembly.* The following table outlines the appropriations and actual expenditures history for this line item since FY 2004-05.

Low Income Telephone Assistance - Line Item History		
	Appropriation	Actual Expenditure
FY 2004-05	\$ 377,265	\$ 394,746
FY 2005-06	224,963	298,212
FY 2006-07	1,097,367	1,779,665
FY 2007-08	2,238,600	2,135,674
FY 2008-09	2,143,752	2,030,531
FY 2009-10	2,143,752	1,094,729
FY 2010-11	2,143,752	253,580
FY 2011-12	2,143,752	725,548
FY 2012-13	2,143,752	n/a

Request: The Department's request reflects a continuation appropriation of \$2,143,752 cash funds from the Low-Income Telephone Assistance Fund for informational purposes.

Recommendation: Staff recommends reflecting an appropriation of \$725,548 cash funds from the Low-Income Telephone Assistance Fund for informational purposes.

Public Utilities Commission, Low Income Telephone Assistance	
	Cash Funds
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	2,143,752
TOTAL	\$2,143,752
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$2,143,752
Staff Recommended Adjustment	(1,418,204)
TOTAL	\$725,548
Increase/(Decrease)	(\$1,418,204)
Percentage Change	(66.2%)
FY 2013-14 Executive Request:	
Request Above/(Below) Recommendation	\$1,418,204

Staff Recommended Adjustment: The recommendation includes reflecting a \$1.4 million decrease in cash funds to better reflect actual expenditures for this line item.

Colorado Bureau of Investigation Background Checks Pass-through

This line item was added to the FY 2008-09 Long Bill to account for reappropriated funds in the Department of Public Safety, Colorado Bureau of Investigation, for processing of fingerprint-based criminal history record checks of drivers of certain motor vehicle carriers.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$104,377 cash funds.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$685,388 total funds, including \$654,607 cash funds and \$30,781 federal funds.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line and apply a funding split once pending line items are set.

(8) Division of Real Estate

The Division of Real Estate licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Real Estate		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	4,187,298	46.9
SB 13-102 (Supplemental)	102,265	2.0
TOTAL	\$4,289,563	48.9
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$4,289,563	48.9
Prior Year Legislation Annualizations	92,859	2.0
FY12-13 Supplemental Annualizations	(102,265)	(2.0)
Indirect Cost Assessment Adjustments	(1,925)	0.0
TOTAL	\$4,278,232	48.9
Increase/(Decrease)	(\$11,331)	0.0
Percentage Change	(0.3%)	0.0%
FY 2013-14 Executive Request:	\$4,278,232	48.9
Request Above/(Below) Recommendation	\$0	0.0

Issue Descriptions

Prior Year Legislation Annualizations: The recommendation includes an increase of \$92,859 cash funds and 2.0 FTE to reflect the FY 2013-14 impact of H.B. 12-1110, Regulation of Appraisal Management Companies.

FY 2012-13 Supplemental Annualizations: The recommendation includes an adjustment to annualize the FY 2012-13 recommended supplemental appropriation.

Indirect Cost Assessment Adjustments: The amount shown reflects the request for a \$1,925 decrease in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan.

LINE ITEM DETAIL – DIVISION OF REAL ESTATE

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 48.9 FTE and \$3,384,429 cash funds.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request: The Department requests an appropriation of \$221,446 cash funds, which includes a net annualization decrease of \$9,406.

Recommendation: Staff recommends an appropriation of \$221,446 cash funds.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Division of Real Estate, Operating Expenses	
Cash Funds	
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	219,546
SB 13-102 (Supplemental)	11,306
TOTAL	\$230,852
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$230,852
Prior Year Legislation Annualization	1,900
FY12-13 Supplemental Annualization	(11,306)
TOTAL	\$221,446
Increase/(Decrease)	(\$9,406)
Percentage Change	(4.1%)
FY 2013-14 Executive Request:	
Request Above/(Below) Recommendation	\$0

Annualizations: The recommendation includes a net decrease of \$9,406 cash funds for the annualization of H.B. 12-1110, Regulation of Appraisal Management Companies, appropriated in FY 2012-13 in the S.B. 13-102 Supplemental Bill and annualized per the H.B. 12-1110 fiscal note for FY 2013-14 and out years.

Commission Meeting Costs

This line item funds the per diem, travel, and miscellaneous expenses for Real Estate Commission members when they attend Commission meetings.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$38,836 cash funds.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$4,000 cash funds.

Mortgage Broker Consumer Protection

This line is used to pay the costs for the investigation and prosecution of mortgage brokers, and mortgage fraud by the Attorney General's Office. Funds are appropriated to the Division and reappropriated to the Department of Law, where the line item is set.

Request: The Department's request reflects a continuation appropriation of \$306,431 cash funds.

Recommendation: Staff recommendation is pending figure setting for the Department of Law. Staff requests permission to adjust the line as necessary.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$323,090 cash funds.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(9) Division of Professions and Occupations

This Division, formerly the Division of Registrations, regulates over 300,000 licensees in more than fifty professions and occupations. The Division is funded by fees paid by regulated professionals and licensees.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Professions and Occupations				
	Total Funds	Cash Funds	Reappropriated Funds	FTE
FY 2012-13 Appropriation:				
HB 12-1335 (Long Bill)	15,719,555	15,123,773	595,782	188.5
Other Legislation	303,453	303,453	0	2.1
TOTAL	\$16,023,008	\$15,427,226	\$595,782	190.6
FY 2013-14 Recommended Appropriation:				
FY 2012-13 Appropriation	\$16,023,008	\$15,427,226	\$595,782	190.6
Prior Year Legislation Annualizations	(127,394)	(127,394)	0	0.0
Other Technical and Base Adjustments	0	(2,812)	2,812	0.0
Indirect Cost Assessment Adjustments	(10,316)	(7,504)	(2,812)	0.0
TOTAL	\$15,885,298	\$15,289,516	\$595,782	190.6
Increase/(Decrease)	(\$137,710)	(\$137,710)	\$0	0.0
Percentage Change	(0.9%)	(0.9%)	0.0%	0.0%
FY 2013-14 Executive Request:				
Request Above/(Below) Recommendation	\$0	\$0	\$0	(0.0)

Issue Descriptions

Prior Year Legislation Annualizations: The recommendation includes a decrease of \$127,394 cash funds to reflect the FY 2013-14 impact of legislation that was passed in 2012, including H.B. 12-1300 (Continue Professional Review Committees), H.B. 12-1303 (Certify Speech Language Pathologists), and H.B. 12-1311 (Continue Pharmacy Board).

Other Technical and Base Adjustments: The recommendation includes a net neutral fund adjustment in the Indirect Cost Assessment line item.

Indirect Cost Assessment Adjustments: The amount shown reflects the request for a \$10,316 decrease in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan.

LINE ITEM DETAIL – DIVISION OF PROFESSIONS AND OCCUPATIONS

Personal Services

Division staff investigate complaints filed against licensees, staff board meetings and respond to questions from applicants and current licensees.

Request: The Department requests an appropriation of 185.6 FTE and \$12,515,093 total funds, including \$11,919,311 cash funds and \$595,782 reappropriated funds, which includes an annualization decrease of \$108,067.

Recommendation: Staff recommends an appropriation of 185.6 FTE and \$12,515,093 total funds, including \$11,919,311 cash funds and \$595,782 reappropriated funds.

Division of Professions and Occupations, Personal Services				
	Total Funds	Cash Funds	Reappropriated Funds	FTE
FY 2012-13 Appropriation:				
HB 12-1335 (Long Bill)	12,350,484	11,754,702	595,782	183.5
Other Legislation	272,676	272,676	0	2.1
TOTAL	\$12,623,160	\$12,027,378	\$595,782	185.6
FY 2013-14 Recommended Appropriation:				
FY 2012-13 Appropriation	\$12,623,160	\$12,027,378	\$595,782	185.6
Annualizations	(108,067)	(108,067)	0	0.0
TOTAL	\$12,515,093	\$11,919,311	\$595,782	185.6
Increase/(Decrease)	(\$108,067)	(\$108,067)	\$0	0.0
Percentage Change	(0.9%)	(0.9%)	0.0%	0.0%
FY 2013-14 Executive Request:	\$12,515,093	\$11,919,311	\$595,782	185.6
Request Above/(Below) Recommendation	\$0	\$0	\$0	(0.0)

Annualizations: The recommendation includes a decrease of \$108,067 cash funds for the annualization of H.B. 12-1300 (Continue Professional Review Committees), H.B. 12-1303 (Certify Speech Language Pathologists), and H.B. 12-1311 (Continue Pharmacy Board).

Operating Expenses

This line item provides funding for operating expenses of the division.

Request: The Department requests an appropriation of \$1,391,098 cash funds, which includes an annualization decrease of \$19,327.

Recommendation: Staff recommends an appropriation of \$1,391,098 cash funds.

Division of Professions and Occupations, Operating Expenses	
	Cash Funds
FY 2012-13 Appropriation:	
HB 12-1335 (Long Bill)	1,379,648
Other Legislation	30,777
TOTAL	\$1,410,425
FY 2013-14 Recommended Appropriation:	
FY 2012-13 Appropriation	\$1,410,425
Annualizations	(19,327)
TOTAL	\$1,391,098
Increase/(Decrease)	(\$19,327)
Percentage Change	(1.4%)
FY 2013-14 Executive Request:	\$1,391,098
Request Above/(Below) Recommendation	\$0

Annualizations: The recommendation includes a decrease of \$19,327 cash funds for the annualization of H.B. 12-1300 (Continue Professional Review Committees), H.B. 12-1303 (Certify Speech Language Pathologists), and H.B. 12-1311 (Continue Pharmacy Board).

Office of Expedited Settlement Program Costs

The Office of Expedited Settlement oversees the expedited settlement process in which all complaints received by boards and commissions are initially sent, for the purpose of resolving complaints prior to the use of the Attorney General's Office and incurring legal service costs.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 5.0 FTE and \$361,397 cash funds.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$307,075 cash funds.

Payments to Department of Health Care Policy and Financing

Federal regulations require the state to certify nurse aides who work in medical facilities with Medicaid or Medicare patients. Federal matching funds pay half the cost of the Medicaid portion of this program, but the Department cannot apply directly for the federal match because Medicaid's centralization rules require that the matching funds be funneled through the Department of Health Care Policy and Financing. The Division obtains the funds to cover the state's expenses from an assessment on nursing homes.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$14,652 cash funds.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$1,295,983 cash funds.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

(10) Division of Securities

This Division regulates the conduct of securities broker-dealers and sales representatives throughout Colorado, and enforces the following programs: the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division is entirely cash funded from the Division of Securities Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Securities		
	Cash Funds	FTE
FY 2012-13 Appropriation:		
HB 12-1335 (Long Bill)	3,104,626	24.0
TOTAL	\$3,104,626	24.0
FY 2013-14 Recommended Appropriation:		
FY 2012-13 Appropriation	\$3,104,626	24.0
Indirect Cost Assessment Adjustments	(943)	0.0
TOTAL	\$3,103,683	24.0
Increase/(Decrease)	(\$943)	0.0
Percentage Change	(0.0%)	0.0%
FY 2013-14 Executive Request:	\$3,103,683	24.0
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – DIVISION OF SECURITIES

Personal Services

Division staff investigate fraudulent and other abusive conduct in the securities market and when appropriate issue sanctions and take other enforcement action. Staff also investigate consumer complaints, monitor Colorado broker-dealer and sale representative conduct, screen license applications, and perform on-site examinations of broker-dealer offices.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of 24.0 FTE and \$2,136,379 cash funds from the Division of Securities Cash Fund.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$58,999 cash funds from the Division of Securities Cash Fund.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$19,594 cash funds from the Division of Securities Cash Fund.

Board Meeting Costs

This line item funds the per diem, travel, and miscellaneous costs incurred by the five Colorado Securities Board members when they attended board meetings.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$4,500 cash funds from the Division of Securities Cash Fund.

Securities Fraud Prosecution

The Division refers cases to the Securities Fraud Unit within the Department of Law's Criminal, Justice and Appellate Division for investigation and criminal prosecution. Funds appropriated in this line are set in and reappropriated to the Department of Law.

Request: The Department's request reflects a continuation appropriation of \$718,835 cash funds from the Division of Securities Cash Fund.

Recommendation: Staff recommendation is pending figure setting for the Department of Law. Staff requests permission to adjust the line as necessary.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs.

Request: The Department's request reflects an appropriation of \$165,376 cash funds from the Division of Securities Cash Fund.

Recommendation: Staff recommendation is pending the approval of Committee common policy. Staff requests permission to adjust the line once pending line items are set.

Long Bill Footnotes and Requests for Information

LONG BILL FOOTNOTES AND REQUESTS FOR INFORMATION

The Long Bill for FY 2012-13 did not contain any footnotes specific to the Department and did not contain any requests for information. Staff recommends not including any footnotes or requests for information for the Department of Regulatory Agencies.

JBC Staff Staff Figure Setting - FY 2013-14
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Numbers Pages

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
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<p>DEPARTMENT OF REGULATORY AGENCIES Barbara Kelley, Executive Director</p>
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(1) EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

The Executive Director's Office performs department-wide administrative functions including accounting, budgeting, and purchasing. The Office of Policy and Research conducts sunrise and sunset evaluations pursuant to section 24-34-104 (8), C.R.S.

Personal Services	<u>2,141,818</u>	<u>2,135,583</u>	<u>2,233,220</u>	<u>2,233,220</u>	<u>2,233,220</u>
FTE	28.4	28.0	29.5	29.5	29.5
General Fund	0	0	2,636	3,380	3,380
Cash Funds	0	0	37,360	44,020	44,020
Reappropriated Funds	2,141,818	2,135,583	2,193,224	2,185,820	2,185,820
Health, Life, and Dental	<u>2,633,444</u>	<u>2,873,888</u>	<u>3,257,548</u>	<u>3,757,214</u>	<u>3,716,294</u>
General Fund	89,650	92,463	115,010	138,470	133,384
Cash Funds	2,362,286	2,596,890	2,930,931	3,395,435	3,360,040
Reappropriated Funds	181,508	157,668	161,670	177,342	178,707
Federal Funds	0	26,867	49,937	45,967	44,163
Short-term Disability	<u>49,782</u>	<u>56,574</u>	<u>54,636</u>	<u>67,420</u>	<u>67,739</u>
General Fund	1,730	1,956	1,917	2,264	2,267
Cash Funds	44,915	49,889	48,891	60,783	61,078
Reappropriated Funds	3,137	4,325	3,432	3,778	3,796
Federal Funds	0	404	396	595	598

JBC Staff Staff Figure Setting - FY 2013-14
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	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
S.B. 04-257 Amortization Equalization					
Disbursement	<u>767,991</u>	<u>880,361</u>	<u>1,073,245</u>	<u>1,286,682</u>	<u>1,292,331</u>
General Fund	26,415	30,573	34,627	43,339	42,994
Cash Funds	693,014	789,205	964,304	1,159,976	1,165,567
Reappropriated Funds	48,562	54,187	62,041	71,764	72,112
Federal Funds	0	6,396	12,273	11,603	11,658
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>559,808</u>	<u>707,267</u>	<u>922,177</u>	<u>1,161,589</u>	<u>1,166,550</u>
General Fund	19,067	24,403	29,615	39,126	38,677
Cash Funds	505,331	634,182	828,699	1,047,201	1,052,248
Reappropriated Funds	35,410	43,543	53,316	64,787	65,101
Federal Funds	0	5,139	10,547	10,475	10,524
Salary Survey					
General Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>920,860</u>	<u>1,109,859</u>
Cash Funds	0	0	0	32,528	35,734
Reappropriated Funds	0	0	0	838,884	1,012,207
Federal Funds	0	0	0	34,624	45,397
Federal Funds	0	0	0	14,824	16,521
Merit Pay					
General Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>516,729</u>	<u>515,057</u>
Cash Funds	0	0	0	20,087	18,413
Reappropriated Funds	0	0	0	455,779	455,781
Federal Funds	0	0	0	35,392	35,392
Federal Funds	0	0	0	5,471	5,471

JBC Staff Staff Figure Setting - FY 2013-14
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	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation	
Workers' Compensation	<u>77,407</u>	<u>67,729</u>	<u>70,200</u>	<u>60,479</u>	<u>60,479</u>	P
General Fund	2,850	2,328	2,236	1,926	1,926	
Cash Funds	69,615	64,296	64,251	55,354	55,354	
Reappropriated Funds	4,942	0	2,407	2,074	2,074	
Federal Funds	0	1,105	1,306	1,125	1,125	
Operating Expenses	<u>131,851</u>	<u>125,640</u>	<u>210,344</u>	<u>210,344</u>	<u>210,344</u>	
General Fund	0	0	3,689	3,689	3,689	
Cash Funds	23,238	18,984	95,427	95,427	95,427	
Reappropriated Funds	108,613	106,656	111,228	111,228	111,228	
Legal Services	<u>7,877,947</u>	<u>8,007,882</u>	<u>8,165,123</u>	<u>8,492,167</u>	<u>8,143,167</u>	P
General Fund	148,721	153,464	156,586	156,586	156,586	
Cash Funds	7,081,789	7,679,034	7,774,277	8,101,321	7,752,321	
Reappropriated Funds	647,437	30,433	89,339	89,339	89,339	
Federal Funds	0	144,951	144,921	144,921	144,921	
Administrative Law Judge Services	<u>300,459</u>	<u>405,007</u>	<u>297,213</u>	<u>240,708</u>	<u>240,708</u>	P
General Fund	13,842	18,658	13,441	10,886	10,886	
Cash Funds	286,617	386,349	283,772	229,822	229,822	
Purchase of Services from Computer Center	<u>1,484,641</u>	<u>1,695,122</u>	<u>1,883,611</u>	<u>1,569,069</u>	<u>1,569,069</u>	* P
General Fund	24,265	60,624	70,270	61,193	61,193	
Cash Funds	1,371,730	1,634,498	1,813,341	1,507,876	1,507,876	
Reappropriated Funds	88,646	0	0	0	0	

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation	
Multiuse Network Payments	<u>127,783</u>	<u>149,825</u>	<u>348,448</u>	<u>154,776</u>	<u>154,776</u>	P
General Fund	4,625	5,385	13,728	6,036	6,036	
Cash Funds	112,232	144,440	334,720	148,740	148,740	
Reappropriated Funds	10,926	0	0	0	0	
Management and Administration of OIT	<u>139,117</u>	<u>278,679</u>	<u>136,785</u>	<u>96,614</u>	<u>96,614</u>	P
General Fund	2,574	9,957	5,389	3,768	3,768	
Cash Funds	122,801	268,722	131,396	92,846	92,846	
Reappropriated Funds	13,742	0	0	0	0	
COFRS Modernization	<u>0</u>	<u>0</u>	<u>144,715</u>	<u>144,715</u>	<u>144,715</u>	P
General Fund	0	0	3,016	3,016	3,016	
Cash Funds	0	0	131,452	131,452	131,452	
Reappropriated Funds	0	0	7,995	7,995	7,995	
Federal Funds	0	0	2,252	2,252	2,252	
Payment to Risk Management and Property Funds	<u>27,792</u>	<u>106,459</u>	<u>183,033</u>	<u>202,531</u>	<u>202,531</u>	* P
General Fund	987	3,537	5,829	6,451	6,451	
Cash Funds	25,094	97,694	167,525	185,370	185,370	
Reappropriated Funds	1,711	3,550	6,276	6,945	6,945	
Federal Funds	0	1,678	3,403	3,765	3,765	
Vehicle Lease Payments	<u>205,660</u>	<u>151,802</u>	<u>221,254</u>	<u>221,705</u>	<u>221,705</u>	* P
Cash Funds	205,660	151,802	221,254	221,705	221,705	
Information Technology Asset Maintenance	<u>668,485</u>	<u>669,379</u>	<u>671,403</u>	<u>671,403</u>	<u>671,403</u>	
Cash Funds	478,227	478,622	480,646	480,646	480,646	
Reappropriated Funds	190,258	190,757	190,757	190,757	190,757	

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
Leased Space	<u>2,791,912</u>	<u>2,906,477</u>	<u>3,038,518</u>	<u>3,067,398</u>	<u>3,067,398</u>
General Fund	96,132	106,866	106,866	93,609	93,609
Cash Funds	2,262,957	2,446,956	2,536,022	2,548,585	2,548,585
Reappropriated Funds	432,823	313,215	356,190	357,135	357,135
Federal Funds	0	39,440	39,440	68,069	68,069
Capitol Complex Leased Space	<u>6,358</u>	<u>6,098</u>	<u>4,787</u>	<u>5,190</u>	<u>5,190</u> * P
Cash Funds	6,358	6,098	4,787	5,190	5,190
Hardware/Software Maintenance	<u>690,689</u>	<u>714,220</u>	<u>723,034</u>	<u>693,878</u>	<u>693,878</u>
General Fund	0	800	800	800	800
Cash Funds	433,268	454,823	463,632	434,476	434,476
Reappropriated Funds	257,421	258,597	258,602	258,602	258,602
Consumer Outreach/Education Program	<u>200,000</u>	<u>198,581</u>	<u>200,000</u>	<u>200,000</u>	<u>200,000</u>
Cash Funds	200,000	198,581	200,000	200,000	200,000
TOTAL - (1) Executive Director's Office and Administrative Services	20,882,944	22,136,573	23,839,294	25,974,691	25,783,027
FTE	<u>28.4</u>	<u>28.0</u>	<u>29.5</u>	<u>29.5</u>	<u>29.5</u>
General Fund	430,858	511,014	565,655	627,154	622,809
Cash Funds	16,285,132	18,101,065	19,512,687	21,440,888	21,240,751
Reappropriated Funds	4,166,954	3,298,514	3,496,477	3,597,582	3,610,400
Federal Funds	0	225,980	264,475	309,067	309,067

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(2) DIVISION OF BANKING					
The Division of Banking regulates state-chartered commercial and industrial banks, trust companies, debt adjusters, and money order companies; and conducts examinations of institutions and ensures institutions comply with the Public Deposit Protection Act. The Division is entirely cash funded by the Division of Banking Cash Fund, pursuant to section 11-102-403, C.R.S.					
Personal Services	<u>2,860,656</u>	<u>2,828,701</u>	<u>3,578,405</u>	<u>3,578,405</u>	<u>3,578,405</u>
FTE	36.7	36.1	40.0	40.0	40.0
Cash Funds	2,860,656	2,828,701	3,578,405	3,578,405	3,578,405
Operating Expenses	<u>432,187</u>	<u>494,995</u>	<u>490,703</u>	<u>490,703</u>	<u>490,703</u>
Cash Funds	432,187	494,995	490,703	490,703	490,703
Board Meeting Costs	<u>19,167</u>	<u>22,703</u>	<u>23,500</u>	<u>23,500</u>	<u>23,500</u>
Cash Funds	19,167	22,703	23,500	23,500	23,500
Indirect Cost Assessment	<u>309,464</u>	<u>301,494</u>	<u>277,199</u>	<u>275,624</u>	<u>275,624</u> P
Cash Funds	309,464	301,494	277,199	275,624	275,624
TOTAL - (2) Division of Banking	3,621,474	3,647,893	4,369,807	4,368,232	4,368,232
FTE	<u>36.7</u>	<u>36.1</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
Cash Funds	3,621,474	3,647,893	4,369,807	4,368,232	4,368,232

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(3) CIVIL RIGHTS DIVISION					
The Civil Rights Division enforces state laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex (gender), national origin, ancestry, physical or mental disability, religion, color, marital status, or sexual orientation.					
Personal Services	<u>1,590,869</u>	<u>1,580,235</u>	<u>1,593,380</u>	<u>1,593,380</u>	<u>1,593,380</u>
FTE	25.9	26.9	27.0	27.0	27.0
General Fund	945,538	999,428	1,068,671	1,068,671	1,068,671
Reappropriated Funds	297,629	232,343	174,930	174,930	174,930
Federal Funds	347,702	348,464	349,779	349,779	349,779
Operating Expenses	<u>100,438</u>	<u>100,438</u>	<u>100,438</u>	<u>100,438</u>	<u>100,438</u>
General Fund	59,318	59,318	59,318	59,318	59,318
Federal Funds	41,120	41,120	41,120	41,120	41,120
Hearings Pursuant to Complaint	<u>17,000</u>	<u>17,000</u>	<u>18,000</u>	<u>18,000</u>	<u>18,000</u>
General Fund	17,000	17,000	17,000	17,000	17,000
Federal Funds	0	0	1,000	1,000	1,000
Commission Meeting Costs	<u>5,168</u>	<u>5,174</u>	<u>12,374</u>	<u>12,374</u>	<u>12,374</u>
General Fund	5,168	5,174	5,174	5,174	5,174
Federal Funds	0	0	7,200	7,200	7,200
Indirect Cost Assessment	<u>35,191</u>	<u>51,798</u>	<u>55,460</u>	<u>54,848</u>	<u>54,848</u> P
Federal Funds	35,191	51,798	55,460	54,848	54,848
TOTAL - (3) Civil Rights Division	<u>1,748,666</u>	<u>1,754,645</u>	<u>1,779,652</u>	<u>1,779,040</u>	<u>1,779,040</u>
FTE	<u>25.9</u>	<u>26.9</u>	<u>27.0</u>	<u>27.0</u>	<u>27.0</u>
General Fund	1,027,024	1,080,920	1,150,163	1,150,163	1,150,163
Reappropriated Funds	297,629	232,343	174,930	174,930	174,930
Federal Funds	424,013	441,382	454,559	453,947	453,947

P - This line item is pending. The figure shown reflects the request amount.
20-Feb-13

JBC Staff Staff Figure Setting - FY 2013-14
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	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(4) OFFICE OF CONSUMER COUNSEL					
The Office of Consumer Counsel represents the interests of residential, agricultural and small business consumers at electric, gas, and telecommunications utility rate and service proceedings before the Public Utility Commission. The Division is cash funded by the Public Utilities Commission Fixed Utility Fund pursuant to section 40-2-114, C.R.S.					
Personal Services	<u>708,610</u>	<u>728,909</u>	<u>792,953</u>	<u>792,953</u>	<u>792,953</u>
FTE	6.8	7.0	7.0	7.0	7.0
Cash Funds	708,610	728,909	792,953	792,953	792,953
Operating Expenses	<u>48,022</u>	<u>40,471</u>	<u>55,787</u>	<u>55,787</u>	<u>55,787</u>
Cash Funds	48,022	40,471	55,787	55,787	55,787
Indirect Cost Assessment	<u>49,233</u>	<u>44,431</u>	<u>48,510</u>	<u>48,234</u>	<u>48,234</u> P
Cash Funds	49,233	44,431	48,510	48,234	48,234
TOTAL - (4) Office of Consumer Counsel	805,865	813,811	897,250	896,974	896,974
FTE	<u>6.8</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>
Cash Funds	805,865	813,811	897,250	896,974	896,974

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(5) DIVISION OF FINANCIAL SERVICES					
The Division of Financial Services regulates state-chartered credit unions, savings and loans, and life care institutions; and conducts examinations of institutions to ensure continued compliance with regulatory standards. The Division is entirely cash funded by the Division of Financial Services Cash Fund pursuant to section 11-40-106 (2), C.R.S.					
Personal Services	<u>978,162</u>	<u>979,976</u>	<u>1,134,247</u>	<u>1,134,247</u>	<u>1,134,247</u>
FTE	11.9	12.3	13.0	13.0	13.0
Cash Funds	978,162	979,976	1,134,247	1,134,247	1,134,247
Operating Expenses	<u>95,719</u>	<u>110,024</u>	<u>161,788</u>	<u>161,788</u>	<u>161,788</u>
Cash Funds	95,719	110,024	161,788	161,788	161,788
Indirect Cost Assessment	<u>105,499</u>	<u>95,208</u>	<u>90,090</u>	<u>89,578</u>	<u>89,578</u> P
Cash Funds	105,499	95,208	90,090	89,578	89,578
TOTAL - (5) Division of Financial Services	1,179,380	1,185,208	1,386,125	1,385,613	1,385,613
FTE	<u>11.9</u>	<u>12.3</u>	<u>13.0</u>	<u>13.0</u>	<u>13.0</u>
Cash Funds	1,179,380	1,185,208	1,386,125	1,385,613	1,385,613

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
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(6) DIVISION OF INSURANCE

The Division of Insurance is responsible for licensing insurance agents and adjusters; and regulating insurance companies, non-profit hospitals, prepaid dental plans, health maintenance organizations, self-insurance pools for workers' compensation, bail bondsmen, and pre-need funeral contracts. Unless otherwise indicated, the Division is funded by the Division of Insurance Cash Fund pursuant to section 10-1-103 (3), C.R.S.

Personal Services	<u>5,762,069</u>	<u>5,560,322</u>	<u>5,725,720</u>	<u>6,039,790</u>	<u>5,725,720</u>
FTE	77.8	77.7	82.9	85.8	82.9
Cash Funds	5,762,069	5,560,322	5,725,720	6,039,790	5,725,720
Federal Funds	0	0	0	0	0
Operating Expenses	<u>294,370</u>	<u>274,058</u>	<u>291,716</u>	<u>291,716</u>	<u>291,716</u>
Cash Funds	294,370	274,058	291,716	291,716	291,716
Out-of-State Travel Expenses	<u>11,117</u>	<u>2,313</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
Cash Funds	11,117	2,313	100,000	100,000	100,000
Senior Health Counseling Program	<u>507,838</u>	<u>507,838</u>	<u>509,000</u>	<u>509,000</u>	<u>509,000</u>
FTE	0.0	2.9	2.0	2.0	2.0
Federal Funds	507,838	507,838	509,000	509,000	509,000
Transfer to CAPCO Administration	<u>79,593</u>	<u>80,281</u>	<u>80,281</u>	<u>78,746</u>	<u>78,746</u> P
Cash Funds	79,593	80,281	80,281	78,746	78,746
Indirect Cost Assessment	<u>613,227</u>	<u>537,612</u>	<u>631,572</u>	<u>628,115</u>	<u>628,115</u> P
Cash Funds	613,227	537,612	575,187	571,730	571,730
Federal Funds	0	0	56,385	56,385	56,385

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
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	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
TOTAL - (6) Division of Insurance	7,268,214	6,962,424	7,338,289	7,647,367	7,333,297
<i>FTE</i>	<u>77.8</u>	<u>80.6</u>	<u>84.9</u>	<u>87.8</u>	<u>84.9</u>
Cash Funds	6,760,376	6,454,586	6,772,904	7,081,982	6,767,912
Federal Funds	507,838	507,838	565,385	565,385	565,385

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(7) PUBLIC UTILITIES COMMISSION					
The Public Utilities Commission regulates the rates and services of fixed and transportation utilities in Colorado; and administers the Colorado Telecommunications High Cost Program, the Low-Income Telephone Assistance Program, and the Disabled Telephone Users Program.					
Personal Services	<u>8,323,065</u>	<u>8,055,031</u>	<u>8,673,610</u>	<u>8,673,610</u>	<u>8,673,610</u>
FTE	88.1	89.6	95.0	95.0	95.0
Cash Funds	8,323,065	8,055,031	8,673,610	8,673,610	8,673,610
Operating Expenses	<u>430,361</u>	<u>420,792</u>	<u>445,689</u>	<u>445,689</u>	<u>445,689</u>
Cash Funds	430,361	420,792	445,689	445,689	445,689
Expert Testimony	<u>24,450</u>	<u>20,881</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Cash Funds	24,450	20,881	25,000	25,000	25,000
Disabled Telephone Users Fund Payments	<u>1,890,099</u>	<u>1,900,542</u>	<u>2,439,591</u>	<u>2,439,591</u>	<u>1,900,542</u>
Cash Funds	1,890,099	1,900,542	2,439,591	2,439,591	1,900,542
Transfer to Reading Services for the Blind Cash Fund	<u>250,000</u>	<u>250,000</u>	<u>350,000</u>	<u>350,000</u>	<u>350,000</u> P
Cash Funds	250,000	250,000	350,000	350,000	350,000
Commission for the Deaf and Hard of Hearing Cash Fund	<u>910,190</u>	<u>900,534</u>	<u>986,351</u>	<u>980,328</u>	<u>980,328</u> P
Cash Funds	910,190	900,534	986,351	980,328	980,328
Colorado Commission for Individuals who are Blind or Visually Impaired	<u>98,089</u>	<u>92,476</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Funds	98,089	92,476	0	0	0

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
Low Income Telephone Assistance	<u>253,580</u>	<u>725,548</u>	<u>2,143,752</u>	<u>2,143,752</u>	<u>725,548</u>
Cash Funds	253,580	725,548	2,143,752	2,143,752	725,548
Colorado Bureau of Investigation Background					
Checks Pass-through	<u>66,795</u>	<u>38,157</u>	<u>104,377</u>	<u>104,377</u>	<u>104,377</u>
Cash Funds	66,795	38,157	104,377	104,377	104,377
Indirect Cost Assessment	<u>706,846</u>	<u>641,705</u>	<u>689,128</u>	<u>685,388</u>	<u>685,388</u> P
Cash Funds	706,846	641,705	658,347	654,607	654,607
Federal Funds	0	0	30,781	30,781	30,781
TOTAL - (7) Public Utilities Commission	12,953,475	13,045,666	15,857,498	15,847,735	13,890,482
FTE	<u>88.1</u>	<u>89.6</u>	<u>95.0</u>	<u>95.0</u>	<u>95.0</u>
Cash Funds	12,953,475	13,045,666	15,826,717	15,816,954	13,859,701
Federal Funds	0	0	30,781	30,781	30,781

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(8) DIVISION OF REAL ESTATE					
The Division of Real Estate licenses real estate brokers, real estate appraisers, and mortgage brokers; and administers enforcement programs to ensure compliance with state and federal regulatory laws.					
Personal Services	<u>2,744,215</u>	<u>2,670,742</u>	<u>3,384,429</u>	<u>3,384,429</u>	<u>3,384,429</u>
FTE	45.4	45.3	48.9	48.9	48.9
Cash Funds	2,744,215	2,670,742	3,384,429	3,384,429	3,384,429
Operating Expenses	<u>181,370</u>	<u>138,920</u>	<u>230,852</u>	<u>221,446</u>	<u>221,446</u>
Cash Funds	181,370	138,920	230,852	221,446	221,446
Commission Meeting Costs	<u>30,472</u>	<u>29,097</u>	<u>38,836</u>	<u>38,836</u>	<u>38,836</u>
Cash Funds	30,472	29,097	38,836	38,836	38,836
Hearings Pursuant to Complaint	<u>1,808</u>	<u>0</u>	<u>4,000</u>	<u>4,000</u>	<u>4,000</u>
Cash Funds	1,808	0	4,000	4,000	4,000
Mortgage Broker Consumer Protection	<u>305,312</u>	<u>303,770</u>	<u>306,431</u>	<u>306,431</u>	<u>306,431</u> P
Cash Funds	305,312	303,770	306,431	306,431	306,431
Indirect Cost Assessment	<u>352,365</u>	<u>331,962</u>	<u>325,015</u>	<u>323,090</u>	<u>323,090</u> P
Cash Funds	352,365	331,962	325,015	323,090	323,090
TOTAL - (8) Division of Real Estate	3,615,542	3,474,491	4,289,563	4,278,232	4,278,232
FTE	45.4	45.3	48.9	48.9	48.9
Cash Funds	3,615,542	3,474,491	4,289,563	4,278,232	4,278,232

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
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(9) DIVISION OF PROFESSIONS AND OCCUPATIONS

The Division of Professions and Occupations oversees boards and commissions that promulgate rules to ensure continued competency of regulated professionals; enforces laws; and takes action against individuals failing to follow laws and regulations.

Personal Services	<u>11,816,640</u>	<u>12,077,085</u>	<u>12,623,160</u>	<u>12,515,093</u>	<u>12,515,093</u>
FTE	183.6	181.4	185.6	185.6	185.6
Cash Funds	9,603,281	11,457,671	12,027,378	11,919,311	11,919,311
Reappropriated Funds	2,213,359	619,414	595,782	595,782	595,782
Operating Expenses	<u>1,284,616</u>	<u>1,417,936</u>	<u>1,410,425</u>	<u>1,391,098</u>	<u>1,391,098</u>
Cash Funds	1,284,616	1,417,936	1,410,425	1,391,098	1,391,098
Reappropriated Funds	0	0	0	0	0
Office of Expedited Settlement Program Costs	<u>349,130</u>	<u>350,988</u>	<u>361,397</u>	<u>361,397</u>	<u>361,397</u>
FTE	4.9	5.0	5.0	5.0	5.0
Cash Funds	349,130	350,988	361,397	361,397	361,397
Hearings Pursuant to Complaint	<u>256,617</u>	<u>257,530</u>	<u>307,075</u>	<u>307,075</u>	<u>307,075</u>
Cash Funds	256,617	257,530	307,075	307,075	307,075
Payments to Department of Health Care Policy and Financing	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>
Cash Funds	14,652	14,652	14,652	14,652	14,652
Indirect Cost Assessment	<u>3,422,037</u>	<u>1,219,303</u>	<u>1,306,299</u>	<u>1,295,983</u>	<u>1,295,983</u> P
Cash Funds	3,422,037	1,219,303	1,306,299	1,295,983	1,295,983
Reappropriated Funds	0	0	0	0	0

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
TOTAL - (9) Division of Professions and Occupations	17,143,692	15,337,494	16,023,008	15,885,298	15,885,298
<i>FTE</i>	<u>188.5</u>	<u>186.4</u>	<u>190.6</u>	<u>190.6</u>	<u>190.6</u>
Cash Funds	14,930,333	14,718,080	15,427,226	15,289,516	15,289,516
Reappropriated Funds	2,213,359	619,414	595,782	595,782	595,782

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
(10) DIVISION OF SECURITIES					
The Division of Securities monitors the conduct of Colorado broker-dealers and sales representatives; and investigates citizen complaints and other indications of investment fraud. The Division is funded by the Division of Securities Cash Fund pursuant to section 11-51-707 (2), C.R.S.					
Personal Services	<u>1,804,415</u>	<u>2,084,691</u>	<u>2,136,379</u>	<u>2,136,379</u>	<u>2,136,379</u>
FTE	21.3	23.1	24.0	24.0	24.0
Cash Funds	1,804,415	2,084,691	2,136,379	2,136,379	2,136,379
Operating Expenses	<u>52,921</u>	<u>72,322</u>	<u>58,999</u>	<u>58,999</u>	<u>58,999</u>
Cash Funds	52,921	72,322	58,999	58,999	58,999
Hearings Pursuant to Complaint	<u>19,371</u>	<u>17,100</u>	<u>19,594</u>	<u>19,594</u>	<u>19,594</u>
Cash Funds	19,371	17,100	19,594	19,594	19,594
Board Meeting Costs	<u>4,182</u>	<u>2,071</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Cash Funds	4,182	2,071	4,500	4,500	4,500
Securities Fraud Prosecution	<u>493,081</u>	<u>720,637</u>	<u>718,835</u>	<u>718,835</u>	<u>718,835</u> P
Cash Funds	493,081	720,637	718,835	718,835	718,835
Indirect Cost Assessment	<u>160,809</u>	<u>165,028</u>	<u>166,319</u>	<u>165,376</u>	<u>165,376</u> P
Cash Funds	160,809	165,028	166,319	165,376	165,376
TOTAL - (10) Division of Securities	2,534,779	3,061,849	3,104,626	3,103,683	3,103,683
FTE	21.3	23.1	24.0	24.0	24.0
Cash Funds	2,534,779	3,061,849	3,104,626	3,103,683	3,103,683

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2013-14
Staff Working Document - Does Not Represent Committee Decision

	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Appropriation	FY 2013-14 Request	FY 2013-14 Recommendation
TOTAL - Department of Regulatory Agencies	71,754,031	71,420,054	78,885,112	81,166,865	78,703,878
<i>FTE</i>	<u>530.8</u>	<u>535.3</u>	<u>559.9</u>	<u>562.8</u>	<u>559.9</u>
General Fund	1,457,882	1,591,934	1,715,818	1,777,317	1,772,972
Cash Funds	62,686,356	64,502,649	71,586,905	73,662,074	71,190,614
Reappropriated Funds	6,677,942	4,150,271	4,267,189	4,368,294	4,381,112
Federal Funds	931,851	1,175,200	1,315,200	1,359,180	1,359,180

FY 2013-14 Joint Budget Committee Staff Figure Setting
Department of Regulatory Agencies

APPENDIX A: INDIRECT COST ASSESSMENT METHODOLOGY

Description of Indirect Cost Assessment Methodology

The Department of Regulatory Agencies indirect cost assessment methodology is calculated based on four steps or components:

1. The *Indirect Cost Assessment Percentage by Division FTE*;
2. The *Federal Funded Share of Indirects*;
3. The *Indirect Cost Recovery Pool* (indirect costs to recover); and
4. The *Indirect Cost Assessment Base* (indirect cost assessments by division and fund source).

1. The *Indirect Cost Assessment Percentage by Division FTE* is the proportion of indirect cost assessments paid by each division based on FTE. Proportions are defined separately for statewide indirects and departmental indirects because the Civil Rights Division is General Funded and therefore not included for payment of statewide indirects, and federal funded FTE in the Divisions of Civil Rights and Insurance are calculated for the federal fund share of indirect cost assessments based on the federal fund indirect collectible rate. **Table 1** summarizes the department's *Indirect Cost Assessment Percentage by Division FTE* for statewide and departmental indirects.

Table 1: Calculation of FTE Percentage by Division				
Division	Statewide		Departmental	
	FTE	Percentage	FTE	Percentage
Banking	40.0	7.98%	40.0	7.67%
Civil Rights	0.0	0.00%	20.4	3.91%
Office of Consumer Counsel	7.0	1.40%	7.0	1.34%
Financial Services	13.0	2.59%	13.0	2.49%
Insurance	82.9	16.53%	82.9	15.89%
Professions and Occupations	190.6	38.01%	190.6	36.53%
Public Utilities Commission	95.0	18.95%	95.0	18.21%
Real Estate	48.9	9.75%	48.9	9.37%
Securities	24.0	4.79%	24.0	4.60%
FTE assessed indirects	501.4	100.00%	521.8	100.00%
FTE not assessed indirects				
Civil Rights - GF	20.4		0.0	
Civil Rights - FF	6.6		6.6	
Insurance - FF	2.0		2.0	
Executive Director's Office	29.5		29.5	
Department Total FTE	559.9		559.9	

2. The *Federal Funded Share of Indirects* is the calculation of the federal funded share based on the Department's, federally-allowed, indirect collectible rate of 15.6 percent. The statewide share is calculated as the proportion of the statewide indirect total relative to the sum of statewide indirects and the Executive Director's Office (EDO) personal services. **Table 2** summarizes the department's *Federal Funded Share of Indirects* for statewide and departmental indirects.

Table 2: Calculation of Federal Funded Share of Indirects				
Indirect Collectible Rate*			15.6%	
Statewide Indirects Total		\$	281,164	
EDO Personal Services			2,233,220	
Statewide Indirects plus EDO PS		\$	2,514,384	
Statewide Indirects Share Percentage**			11.2%	
Division	Personal Services	Indirects Collectible	Statewide Share	Departmental Share
Civil Rights	\$ 355,512	\$ 55,460	\$ 6,202	\$ 49,258
Insurance	361,440	56,385	6,305	50,080
Public Utilities Commission	197,316	30,781	3,442	27,339
Total		\$ 142,626	\$ 15,949	\$ 126,677
*Indirect Collectible Rate is calculated on base personal services.				
**Calculated using only base personal services of departmental indirects.				

3. The *Indirect Cost Recovery Pool* (indirect costs to recover) are indirect costs to be recovered by the Department and is comprised of statewide indirects and departmental indirects from the EDO excluding Sunset Office appropriations. The Sunset Office is excluded from overhead costs as it is a cash-funded, *program* office located within the EDO. The Department calculates the departmental indirect costs to recover using same budget year appropriations for the EDO that include personal services and the EDO share of compensation-related common policy line items and operating expenses and the EDO share of operating common policy line items. The Indirect Cost Recovery Pool is reduced by the federal funded share calculated in Table 2, and then is further reduced by a Civil Rights Division General Fund offset. The Civil Rights Division offset is calculated as the product of the departmental percentage from Table 1 – 3.94 percent – and the departmental indirects total after the federal share – \$3,289,305. This offset is included in the calculation because the Division is funded by this amount of statewide indirect cost recoveries, and avoids having this funding source pay for departmental indirects. **Table 3a** summarizes the *Indirect Cost Recovery Pool*, including the federal fund share and the Civil Rights Division General Fund offset. **Table 3b**, *Departmental Indirect Costs to Recover*, outlines which line items are included in the departmental *Indirect Cost Recovery Pool*.

Table 3a: Calculation of Indirect Costs to Recover					
	Indirect Costs	Federal Share	Indirects After FF Share	Civil Rights GF Offset*	CF Indirects Remaining
Statewide	\$ 281,164	\$ 15,949	\$ 265,215	\$ -	\$ 265,215
Departmental	3,448,440	126,677	3,321,763	(129,866)	3,191,897
Total	\$ 3,729,604	\$ 142,626	\$ 3,586,978	\$(129,866)	\$ 3,457,112

*Civil Rights GF Offset equals product of departmental percentage and departmental indirects after federal portion. Because the Civil Rights Division is General Funded, its portion of departmental indirects after federal funds is removed from the total in order to avoid having GF pay for departmental indirects.

Table 3b: Calculation of Departmental Indirect Costs to Recover	
Executive Director's Office	
Personal Services	\$ 2,233,220
Health, Life and Dental	163,323
Short-term Disability	3,467
AED	62,675
SAED	53,861
Workers' Compensation	2,406
Operating Expenses	111,228
Legal Services	44,670
Risk Management	6,894
IT Asset Management	190,757
Leased Space	359,575
Hardware/Software Maintenance	261,446
EDO Subtotal	3,493,522
Sunset Office (non-DORA overhead)	(45,082)
Total Departmental Indirect Costs to Recover	\$ 3,448,440

4. The *Indirect Cost Assessment Base* is the calculation of indirect assessments by division and fund source and is based on the federal shares calculated in Table 2 and the product of the percentages by division FTE calculated in Table 1 and the cash fund indirects remaining in Table 3a. **Table 4** summarizes the department's *Indirect Cost Assessment Base*.

Table 4: Calculation of Indirect Assessments by Division and Fund Source

Division	Statewide		Departmental		Subtotal by Fund		Total
	CF	FF	CF	FF	CF	FF	
Banking	\$ 21,158	\$ -	\$ 244,684	\$ -	\$ 265,842	\$ -	\$ 265,842
Civil Rights	-	6,202	124,789	49,258	124,789	55,460	180,248
OCC	3,703	-	42,820	-	46,522	-	46,522
Fin Svcs	6,876	-	79,522	-	86,399	-	86,399
Insurance	43,850	6,305	507,107	50,080	550,957	56,385	607,341
Prof and Occ	100,818	-	1,165,917	-	1,266,735	-	1,266,735
PUC	50,250	3,442	581,123	27,339	631,374	30,781	662,155
Real Estate	25,866	-	299,126	-	324,991	-	324,991
Securities	12,695	-	146,810	-	159,505	-	159,505
Total	\$ 265,215	\$ 15,949	\$ 3,191,897	\$ 126,677	\$ 3,457,112	\$ 142,626	\$ 3,599,738

FY 2013-14 Indirect Cost Assessment Request vs. Pending Recommendation

For FY 2013-14 the Department's budget request included adjustments based on the statewide indirect cost plan, but does not include adjustments for departmental indirects. Staff's pending recommendation, which includes current staff recommendations and Department request for pending line items, compared to the Department's request is shown in the following table.

Table 5: Request vs. Pending Recommendation

Division	Request			Pending Recommendation			Difference Total
	CF	FF	Total	CF	FF	Total	
Banking	\$ 275,624	\$ -	\$ 275,624	\$ 265,842	\$ -	\$ 265,842	\$ (9,782)
Civil Rights	-	54,848	54,848	-	55,460	55,460	612
OCC	48,234	-	48,234	46,522	-	46,522	(1,712)
Fin Svcs	89,578	-	89,578	86,399	-	86,399	(3,179)
Insurance	571,730	56,385	628,115	550,957	56,385	607,341	(20,774)
Prof and Occup	1,295,983	-	1,295,983	1,266,735	-	1,266,735	(29,248)
PUC	654,607	30,781	685,388	631,374	30,781	662,155	(23,233)
Real Estate	323,090	-	323,090	324,991	-	324,991	1,901
Securities	165,376	-	165,376	159,505	-	159,505	(5,871)
Total	\$ 3,424,222	\$ 142,014	\$ 3,566,236	\$ 3,332,324	\$ 142,626	\$ 3,474,950	\$ (91,286)