

**COLORADO GENERAL ASSEMBLY
JOINT BUDGET COMMITTEE**



**FY 2014-15 STAFF FIGURE SETTING
DEPARTMENT OF REGULATORY AGENCIES**

**JBC Working Document - Subject to Change
Staff Recommendation Does Not Represent Committee Decision**

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February 13, 2014**

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DEPARTMENT OF REGULATORY AGENCIES

Department Overview

The Department's mission is broadly defined as consumer protection, that is carried out through regulatory programs that license, establish standards, approve rates, investigate complaints, and conduct enforcement through 38 boards, commissions, and advisory committees across more than 50 professions, occupations, programs, and institutions. The Department is organized in 10 predominantly cash-funded divisions as follows:

- The **Divisions of Banking, Financial Services and Securities** regulate state-chartered financial services institutions including banks, trust companies, credit unions and money transmitters, investment advisers, securities broker-dealers, and sales representatives.
 - The **Civil Rights Division** administers and enforces Colorado's civil rights laws.
 - The **Division of Insurance** regulates and licenses life, health, property and casualty, and other types of insurance companies and agents.
 - The **Public Utilities Commission (PUC)** regulates the providers of public utilities in energy and telecommunications and specified industries that provide transportation for hire directly to consumers. The **Office of Consumer Counsel (OCC)** represents the interests of residential, small business and agricultural consumers on utility matters before the PUC.
 - The **Division of Real Estate** licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.
 - The **Division of Professions and Occupations**, formerly known as the Division of Registrations, oversees regulation of 347,000 licensees in 53 professions, occupations, and entities.
 - The **Office of Policy and Research (OPR)** in the **Executive Director's Office (EDO)** provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly.
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DEPARTMENT REQUEST AND RECOMMENDATION SUMMARY

Executive Request

The Department requests an appropriation of \$84.0 million total funds (including \$1.8 million General Fund) and 580.6 FTE for FY 2014-15. The request represents an increase of \$2.9 million total funds (including \$67,000 General Fund) and 7.9 FTE. The Department's request includes two request items: (1) a budget neutral request for two additional vehicles for the PUC funded by an equivalent decrease in operating expenses; and (2) a decrease in operating expenses for the Division of Financial Services. The request also includes adjustments for non-prioritized common policy request items, common policy base adjustments, indirect cost assessment adjustments, and annualization adjustments for prior year legislation and budget actions.

Staff Recommendation

The staff recommendation is summarized in the table below. The key difference between the recommendation and the Executive Request is an additional \$641,000 for compensation-related common policies.

Department of Regulatory Agencies						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2013-14 Appropriation						
SB 13-230 (Long Bill)	\$80,850,194	\$1,703,494	\$73,318,346	\$4,504,371	\$1,323,983	559.9
Other Legislation	334,518	0	334,518	0	0	12.8
HB 14-9999 (Supplemental)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$81,184,712	\$1,703,494	\$73,652,864	\$4,504,371	\$1,323,983	572.7
FY 2014-15 Recommended Appropriation						
FY 2013-14 Appropriation	\$81,184,712	\$1,703,494	\$73,652,864	\$4,504,371	\$1,323,983	572.7
R1 New Vehicles for PUC	0	0	0	0	0	0.0
R2 Operating Expenses Reduction	(30,000)	0	(30,000)	0	0	0.0
Non-prioritized requested changes	239,775	9,498	230,277	0	0	0.0
Centrally appropriated line items	2,245,475	28,679	2,023,789	193,719	(712)	0.0
Statewide IT common policy adjustments	742,926	37,736	705,190	0	0	0.0
Annualize prior year funding	230,738	11,992	225,120	(1,719)	(4,655)	7.9
JBC Staff-initiated - Replace FY10-11 CCRD GF Reductions	194,314	145,931	0	0	48,383	0.0
Indirect cost assessment	76,074	0	74,354	0	1,720	0.0
Fund source adjustments	<u>0</u>	<u>(76,454)</u>	<u>26,640</u>	<u>49,814</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$84,884,014	\$1,860,876	\$76,908,234	\$4,746,185	\$1,368,719	580.6
Increase/(Decrease)	\$3,699,302	\$157,382	\$3,255,370	\$241,814	\$44,736	7.9
Percentage Change	4.6%	9.2%	4.4%	5.4%	3.4%	1.4%

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FY 2014-15 Executive Request	\$84,048,458	\$1,770,959	\$76,329,690	\$4,632,163	\$1,315,646	580.6
Request Above/(Below) Recommendation	(\$835,556)	(\$89,917)	(\$578,544)	(\$114,022)	(\$53,073)	0.0

Issue Descriptions

R1 New Vehicles for PUC: The recommendation includes a budget neutral request for two additional sedans for the Public Utilities Commission, including one for the Investigations and Compliance Unit of the Motor Carrier Safety Program and one for the Gas Pipeline Safety Section. The expenditure will be financed through a reallocation of \$7,017 cash funds from operating expenses to vehicle lease payments for FY 2014-15 and out years.

R2 Operating Expenses Reduction: The recommendation includes a reduction of \$30,000 cash funds in the Division of Financial Services operating expenses line item representing an 18.5 percent decrease of the current \$161,788 appropriation. The Division has reverted an average of 35.2 percent (\$57,000) of its appropriation over the last three years due to credit union industry consolidation.

Non-prioritized requested changes: The amount shown includes the annual fleet vehicle change from the Department of Personnel, and the following changes from the Office of Information Technology: secure Colorado phase II, eliminate redundant applications, Capitol Complex network resiliency, IT service management ecosystem, and IT technical development. *The staff recommendation is pending committee action.*

Centrally appropriated line items: The amount shown includes adjustments to centrally appropriated line items for the following: state contributions for health, life, and dental benefits; merit pay; salary survey; short-term disability; supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund; workers' compensation; administrative law judges; payment to risk management and property funds; and leased space and Capitol complex leased space. *The staff recommendation on operating common policies is pending committee action.*

Statewide IT common policy adjustments: The amount shown includes adjustments to line items appropriated for: purchase of services from the computer center; Colorado state network; management and administration of the Governor's Office of Information Technology (OIT); information technology security, and COFRS modernization. *The staff recommendation is pending committee action.*

Annualize prior year funding: The recommendation includes a net increase of \$230,738 total funds to reflect the FY 2014-15 impact of prior year budget actions and legislation, including S.B. 13-014, S.B. 13-026, S.B. 13-039, S.B. 13-151, S.B. 13-162, S.B. 13-172, S.B. 13-180, S.B. 13-207, S.B. 13-221, S.B. 13-238, H.B. 13-1111, H.B. 13-1136, and H.B. 13-1277.

JBC Staff-initiated - Replace FY10-11 CCRD GF Reductions: The recommendation includes an increase of \$194,314 total funds, including \$145,931 General Fund and \$48,383 federal funds,

to replace General Fund reductions taken in the FY 2010-11 long bill and FY 2009-10 supplemental in the Civil Rights Division.

Indirect Cost Assessment Adjustments: The amount shown includes a \$76,074 increase in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan. *The staff recommendation on all indirect cost assessment line items is pending.*

Fund source adjustment: The recommendation includes an increase in cash funds offset by a decrease in General Fund and reappropriated funds related to Sunset Office reviews and an increase in reappropriated funds offset by a decrease in General Fund related to increased statewide indirect cost recoveries used to offset General Fund in the Civil Rights Division.

(1) Executive Director’s Office and Administrative Services

The Executive Director's Office performs operations that are common to all divisions including accounting, budgeting, data processing, human resources, and purchasing. The Office of Policy and Research conducts sunrise reviews if they are requested and statutorily-required sunset evaluations of divisions, commissions, and boards located throughout state government.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Executive Director's Office and Administrative Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2013-14 Appropriation						
SB 13-230 (Long Bill)	\$27,396,263	\$659,565	\$22,774,759	\$3,627,425	\$334,514	29.5
Other Legislation	<u>283,897</u>	<u>0</u>	<u>283,897</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$27,680,160	\$659,565	\$23,058,656	\$3,627,425	\$334,514	29.5
FY 2014-15 Recommended Appropriation						
FY 2013-14 Appropriation	\$27,680,160	\$659,565	\$23,058,656	\$3,627,425	\$334,514	29.5
R1 New Vehicles for PUC	7,017	0	7,017	0	0	0.0
Non-prioritized requested changes	239,775	9,498	230,277	0	0	0.0
Centrally appropriated line items	2,245,475	28,679	2,023,789	193,719	(712)	0.0
Statewide IT common policy adjustments	742,926	37,736	705,190	0	0	0.0
Annualize prior year funding	(1,552,128)	(51,057)	(1,477,360)	(1,719)	(21,992)	0.0
Fund source adjustments	<u>0</u>	<u>(380)</u>	<u>26,640</u>	<u>(26,260)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$29,363,225	\$684,041	\$24,574,209	\$3,793,165	\$311,810	29.5
Increase/(Decrease)	\$1,683,065	\$24,476	\$1,515,553	\$165,740	(\$22,704)	0.0
Percentage Change	6.1%	3.7%	6.6%	4.6%	(6.8%)	0.0%
FY 2014-15 Executive Request:	\$28,721,983	\$663,981	\$23,995,665	\$3,755,217	\$307,120	29.5
Request Above/(Below) Recommendation	(\$641,242)	(\$20,060)	(\$578,544)	(\$37,948)	(\$4,690)	0.0

Issue Descriptions

R1 New Vehicles for PUC: The recommendation includes a \$7,017 increase for the vehicle lease payments line item for two additional sedans for the Public Utilities Commission, including one for the Investigations and Compliance Unit of the Motor Carrier Safety Program and one for the Gas Pipeline Safety Section. The expenditure will be financed through a decrease of \$7,017 cash funds from operating expenses in the PUC for FY 2014-15 and out years.

Non-prioritized requested changes: The amount shown includes the annual fleet vehicle change from the Department of Personnel, and the following changes from the Office of Information Technology: secure Colorado phase II, eliminate redundant applications, Capitol Complex network resiliency, IT service management ecosystem, and IT technical development. *The staff recommendation is pending committee action.*

Centrally appropriated line items: The amount shown includes adjustments to centrally appropriated line items for the following: state contributions for health, life, and dental benefits; merit pay; salary survey; short-term disability; supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund; workers' compensation; administrative law judges; payment to risk management and property funds; and leased space and Capitol complex leased space. *The staff recommendation on operating common policies is pending committee action.*

Statewide IT common policy adjustments: The amount shown includes adjustments to line items appropriated for: purchase of services from the computer center; Colorado state network; management and administration of the Governor's Office of Information Technology (OIT); information technology security, and COFRS modernization. *The staff recommendation is pending committee action.*

Annualize prior year funding: The recommendation includes a net decrease of \$1,552,128 total funds to reflect the FY 2014-15 impact of prior year budget actions (salary survey and merit pay) and legislation, including S.B. 13-014, S.B. 13-026, S.B. 13-039, S.B. 13-151, S.B. 13-162, S.B. 13-172, S.B. 13-180, S.B. 13-207, S.B. 13-221, S.B. 13-238, H.B. 13-1111, H.B. 13-1136, and H.B. 13-1277.

Fund source adjustment: The recommendation includes an increase in cash funds offset by a decrease in General Fund and reappropriated funds related to Sunset Office reviews.

LINE ITEM DETAIL – EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

Personal Services

This line funds the Executive Director, accounting, budget, purchasing, human resources, and Office of Policy and Research staff.

Request and Recommendation: The Department requests and staff recommends an appropriation of 29.5 FTE and \$2,312,290 total funds, which includes a salary survey and merit pay annualization and fund source adjustment. Staff recommends the fund splits included in the table, but requests permission to make adjustments if necessary for indirect cost recoveries.

JBC Staff Figure Setting – FY 2013-14
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Executive Director's Office and Administrative Services, Personal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2013-14 Appropriation					
SB 13-230 (Long Bill)	<u>\$2,233,220</u>	<u>\$3,380</u>	<u>\$44,020</u>	<u>\$2,185,820</u>	<u>29.5</u>
TOTAL	\$2,233,220	\$3,380	\$44,020	\$2,185,820	29.5
FY 2014-15 Recommended Appropriation					
FY 2013-14 Appropriation	\$2,233,220	\$3,380	\$44,020	\$2,185,820	29.5
Annualize prior year funding	79,070	0	0	79,070	0.0
Fund source adjustments	<u>0</u>	<u>(380)</u>	<u>26,640</u>	<u>(26,260)</u>	<u>0.0</u>
TOTAL	\$2,312,290	\$3,000	\$70,660	\$2,238,630	29.5
Increase/(Decrease)	\$79,070	(\$380)	\$26,640	\$52,810	0.0
Percentage Change	3.5%	(11.2%)	60.5%	2.4%	0.0%
FY 2014-15 Executive Request:	\$2,312,290	\$3,000	\$70,660	\$2,238,630	29.5
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Health, Life, and Dental

This line funds the Department's share of the state's group health, life and dental insurance plans for state employees. Health, life, and dental is appropriated to the Executive Director's Office and distributed as needed to the divisions.

Request and Recommendation: The Department requests and staff recommends an appropriation of \$3,699,832 total funds, including \$96,445 General Fund, \$3,364,783 cash funds, \$210,172 reappropriated funds, and \$28,432 federal funds, in accordance with Committee common policy.

Short-term Disability

This line item funds the Department's share of the state's short-term disability program which is administered by the Department of Personnel.

Request: The Department requests an appropriation of \$78,888 total funds.

Recommendation: Staff recommends an appropriation of \$79,245 total funds, including \$2,451 General Fund, \$71,273 cash funds, \$4,915 reappropriated funds, and \$606 federal funds, in accordance with Committee common policy.

S.B. 04-257 Amortization Equalization Disbursement

Pursuant to S.B. 04-257, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Request: The Department requests an appropriation of \$1,463,263 total funds.

JBC Staff Figure Setting – FY 2013-14
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Recommendation: Staff recommends an appropriation of \$1,469,915 total funds, including \$45,475 General Fund, \$1,321,748 cash funds, \$91,420 reappropriated funds, and \$11,272 federal funds, in accordance with Committee common policy.

S.B. 06-235 Supplemental Amortization Equalization Disbursement

Pursuant to S.B. 06-235, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Request: The Department requests an appropriation of \$1,371,809 total funds.

Recommendation: Staff recommends an appropriation of \$1,378,045 total funds, including \$42,633 General Fund, \$1,239,138 cash funds, \$85,706 reappropriated funds, and \$10,568 federal funds, in accordance with Committee common policy.

Salary Survey

This line item provides funding to pay for annual increases for salary survey.

Request: The Department requests an appropriation of \$565,282 total funds.

Recommendation: Staff recommends an appropriation of \$1,192,981 total funds, including the fund splits outlined in the table, in accordance with Committee common policy.

Executive Director's Office and Administrative Services, Salary Survey					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2013-14 Appropriation					
SB 13-230 (Long Bill)	\$1,109,859	\$35,734	\$1,012,207	\$45,397	\$16,521
TOTAL	\$1,109,859	\$35,734	\$1,012,207	\$45,397	\$16,521
FY 2014-15 Recommended Appropriation					
FY 2013-14 Appropriation	\$1,109,859	\$35,734	\$1,012,207	\$45,397	\$16,521
Centrally appropriated line items	1,192,981	36,900	1,072,963	73,998	9,120
Annualize prior year funding	(1,109,859)	(35,734)	(1,012,207)	(45,397)	(16,521)
TOTAL	\$1,192,981	\$36,900	\$1,072,963	\$73,998	\$9,120
Increase/(Decrease)	\$83,122	\$1,166	\$60,756	\$28,601	(\$7,401)
Percentage Change	7.5%	3.3%	6.0%	63.0%	(44.8%)
FY 2014-15 Executive Request:	\$565,282	\$17,333	\$506,446	\$36,943	\$4,560
Request Above/(Below) Recommendation	(\$627,699)	(\$19,567)	(\$566,517)	(\$37,055)	(\$4,560)

Merit Pay

This line item provides funding to pay for performance-based pay increases related to employee performance and evaluations.

Request: The Department requests an appropriation of \$493,706 total funds.

JBC Staff Figure Setting – FY 2013-14
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Recommendation: Staff recommends an appropriation of \$494,004 total funds, including fund splits outlined in the table, in accordance with Committee policy.

Executive Director's Office and Administrative Services, Merit Pay					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2013-14 Appropriation					
SB 13-230 (Long Bill)	<u>\$515,057</u>	<u>\$18,413</u>	<u>\$455,781</u>	<u>\$35,392</u>	<u>\$5,471</u>
TOTAL	\$515,057	\$18,413	\$455,781	\$35,392	\$5,471
FY 2014-15 Recommended Appropriation					
FY 2013-14 Appropriation	\$515,057	\$18,413	\$455,781	\$35,392	\$5,471
Centrally appropriated line items	494,004	15,637	439,214	34,744	4,409
Annualize prior year funding	<u>(515,057)</u>	<u>(18,413)</u>	<u>(455,781)</u>	<u>(35,392)</u>	<u>(5,471)</u>
TOTAL	\$494,004	\$15,637	\$439,214	\$34,744	\$4,409
Increase/(Decrease)	(\$21,053)	(\$2,776)	(\$16,567)	(\$648)	(\$1,062)
Percentage Change	(4.1%)	(15.1%)	(3.6%)	(1.8%)	(19.4%)
FY 2014-15 Executive Request:	\$493,706	\$15,562	\$439,027	\$34,729	\$4,388
Request Above/(Below)					
Recommendation	(\$298)	(\$75)	(\$187)	(\$15)	(\$21)

Workers' Compensation

This line item is used to pay the Department's share of the state's workers' compensation program administered by the Department of Personnel.

Request: The Department requests an appropriation \$78,879 total funds, including \$2,667 General Fund, \$72,313 cash funds, \$2,713 reappropriated funds, and \$1,186 federal funds. The request includes a proposed Department of Personnel common policy base adjustment increase of \$17,930 total funds, representing a 29.4 percent increase.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$210,344 total funds, including \$3,689 General Fund, \$95,427 cash funds, and \$111,228 reappropriated funds.

Legal Services

This line item is used to pay the Department of Law for the provision of legal services to all divisions.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Request: The Department requests an appropriation of \$9,829,302 total funds.

Recommendation: Staff recommends an appropriation of 108,364 hours; the dollar amount is pending Committee policy, setting the legal services hourly rate for FY 2014-15. Staff requests permission to adjust the line and apply a funding split once Committee policy is established. The following table summarizes staff's calculation of the FY 2014-15 legal services recommendation.

Executive Director's Office and Administrative Services, Legal Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	Hours
FY 2013-14 Appropriation						
SB 13-230 (Long Bill)	\$9,603,020	\$186,557	\$9,137,364	\$106,439	\$172,660	105,435
Other Legislation	<u>164,636</u>	<u>0</u>	<u>164,636</u>	<u>0</u>	<u>0</u>	<u>2,131</u>
TOTAL	\$9,767,656	\$186,557	\$9,302,000	\$106,439	\$172,660	107,566
FY 2014-15 Recommended Appropriation						
FY 2013-14 Appropriation	\$9,767,656	\$186,557	\$9,302,000	\$106,439	\$172,660	107,566
Annualize prior year funding	<u>61,646</u>	<u>3,090</u>	<u>58,556</u>	<u>0</u>	<u>0</u>	<u>798</u>
TOTAL	\$9,829,302	\$189,647	\$9,360,556	\$106,439	\$172,660	108,364
Increase/(Decrease)	\$61,646	\$3,090	\$58,556	\$0	\$0	798
Percentage Change	0.6%	1.7%	0.6%	0.0%	0.0%	0.7%
FY 2014-15 Executive Request:	\$9,829,302	\$189,647	\$9,360,556	\$106,439	\$172,660	108,364
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0

Annualize prior year funding: The recommendation includes a net increase of 798 Legal Services hours to reflect the FY 2014-15 impact of legislation that was passed in 2013, including S.B. 13-014, S.B. 13-026, S.B. 13-039, S.B. 13-151, S.B. 13-162, S.B. 13-172, S.B. 13-180, S.B. 13-207, S.B. 13-221, S.B. 13-238, H.B. 13-1111, H.B. 13-1136, and H.B. 13-1277.

Administrative Law Judge Services

This line item is used to purchase administrative law services from the Department of Personnel.

Request: The Department requests an appropriation of \$262,311 total funds, which includes a Department of Personnel common policy base adjustment increase of \$18,958 total funds, representing a 7.8 percent increase.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Purchase of Services from Computer Center

This line item is used to reimburse the Governor's Office of Information Technology (OIT) for the Department's share of the state's computer system.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Request: The Department requests an appropriation of \$0 total funds, which includes an OIT common policy base adjustment increase of \$632,541 total funds, representing a 38.5 percent increase, an annualization decrease of \$7,104, and a non-prioritized request item to consolidate OIT line items. The following table outlines the elements of the request.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Executive Director's Office and Administrative Services, Purchase of Services from Computer Center			
	Total Funds	General Fund	Cash Funds
FY 2013-14 Appropriation			
SB 13-230 (Long Bill)	\$1,624,276	\$63,346	\$1,560,930
Other Legislation	<u>18,097</u>	<u>0</u>	<u>18,097</u>
TOTAL	\$1,642,373	\$63,346	\$1,579,027
FY 2014-15 Recommended Appropriation			
FY 2013-14 Appropriation	\$1,642,373	\$63,346	\$1,579,027
Non-prioritized requested changes Statewide IT common policy adjustments	(2,267,810)	(95,323)	(2,172,487)
Annualize prior year funding	<u>(7,104)</u>	<u>0</u>	<u>(7,104)</u>
TOTAL	\$0	\$0	\$0
Increase/(Decrease)	(\$1,642,373)	(\$63,346)	(\$1,579,027)
Percentage Change	(100.0%)	(100.0%)	(100.0%)
FY 2014-15 Executive Request:	\$0	\$0	\$0
Request Above/(Below) Recommendation	\$0	\$0	\$0

Colorado State Network

Funds in this line are used to reimburse OIT for the Department's share of the statewide multi-use network.

Request: The Department requests an appropriation of \$0 total funds, which includes an OIT common policy base adjustment increase of \$261,942 total funds, representing a 128.4 percent increase, and a non-prioritized request item to consolidate OIT line items. The following table outlines the elements of the request.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Executive Director's Office and Administrative Services, Colorado State Network			
	Total Funds	General Fund	Cash Funds
FY 2013-14 Appropriation			
SB 13-230 (Long Bill)	<u>\$203,964</u>	<u>\$7,954</u>	<u>\$196,010</u>
TOTAL	\$203,964	\$7,954	\$196,010
FY 2014-15 Recommended Appropriation			
FY 2013-14 Appropriation	\$203,964	\$7,954	\$196,010
Non-prioritized requested changes Statewide IT common policy adjustments	(465,906)	(19,583)	(446,323)
	<u>261,942</u>	<u>11,629</u>	<u>250,313</u>
TOTAL	\$0	\$0	\$0
Increase/(Decrease)	(\$203,964)	(\$7,954)	(\$196,010)
Percentage Change	(100.0%)	(100.0%)	(100.0%)
FY 2014-15 Executive Request:	\$0	\$0	\$0
Request Above/(Below) Recommendation	\$0	\$0	\$0

Management and Administration of OIT

This line funds the internal office operations and support for OIT.

Request: The Department requests an appropriation of \$0 total funds, which includes an OIT common policy base adjustment decrease of \$151,484 total funds, representing a 105.0 percent decrease, resulting in a negative appropriation, and a non-prioritized request item to consolidate OIT line items which backfills the otherwise negative appropriation. The following table outlines the elements of the request.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Executive Director's Office and Administrative Services, Management and Administration of OIT			
	Total Funds	General Fund	Cash Funds
FY 2013-14 Appropriation			
SB 13-230 (Long Bill)	<u>\$144,258</u>	<u>\$5,626</u>	<u>\$138,632</u>
TOTAL	\$144,258	\$5,626	\$138,632
FY 2014-15 Recommended Appropriation			
FY 2013-14 Appropriation	\$144,258	\$5,626	\$138,632
Non-prioritized requested changes	7,226	304	6,922

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Statewide IT common policy adjustments	(151,484)	(5,930)	(145,554)
TOTAL	\$0	\$0	\$0
Increase/(Decrease)	(\$144,258)	(\$5,626)	(\$138,632)
Percentage Change	(100.0%)	(100.0%)	(100.0%)
FY 2014-15 Executive Request:	\$0	\$0	\$0
Request Above/(Below)			
Recommendation	\$0	\$0	\$0

COFRS Modernization

This line item funds the Department's share of the first two phases of a five-phase project to replace the statewide accounting system (COFRS) used by the Office of the State Controller to record all state revenues and expenditures.

Request: The Department's request reflects a continuation appropriation of \$142,463 total funds, including \$3,016 General Fund, \$131,452 cash funds, and \$7,995 reappropriated funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee common policy is established.

Information Technology Security

Funds in this line are used to reimburse OIT for the Department's share of statewide information technology security.

Request: The Department requests an appropriation of \$0 total funds, which includes an OIT common policy base adjustment decrease of \$73 total funds, representing a 0.4 percent decrease, and a non-prioritized request item to consolidate OIT line items. The following table outlines the elements of the request.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee policy is established.

Executive Director's Office and Administrative Services, Information Technology Security			
	Total Funds	General Fund	Cash Funds
FY 2013-14 Appropriation			
SB 13-230 (Long Bill)	\$20,702	\$807	\$19,895
TOTAL	\$20,702	\$807	\$19,895
FY 2014-15 Recommended Appropriation			
FY 2013-14 Appropriation	\$20,702	\$807	\$19,895
Non-prioritized requested changes	(20,629)	(867)	(19,762)
Statewide IT common policy adjustments	(73)	60	(133)
TOTAL	\$0	\$0	\$0

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Increase/(Decrease)	(\$20,702)	(\$807)	(\$19,895)
Percentage Change	(100.0%)	(100.0%)	(100.0%)
FY 2014-15 Executive Request:	\$0	\$0	\$0
Request Above/(Below)			
Recommendation	\$0	\$0	\$0

Payment to Risk Management and Property Funds

This line item is used to reimburse the Department of Personnel for the Department's share of the state's liability and property insurance.

Request: The Department requests an appropriation of \$254,577 total funds, including \$8,607 General Fund, \$233,387 cash funds, \$8,755 reappropriated funds, and \$3,828 federal funds. The request includes a Department of Personnel common policy base adjustment increase of \$54,699 total funds, representing a 27.4 percent increase.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to adjust the line and apply a funding split once Committee common policy is established.

Vehicle Lease Payments

This line item provides funding for annual payments to the Department of Personnel for the cost of administration, loan repayment, and lease-purchase payments for new and replacement vehicles.

➔ R1 New Vehicles for PUC

Request: The Department requests two additional sedans for the Public Utilities Commission, including one for the Investigations and Compliance Unit of the Motor Carrier Safety Program and one for the Gas Pipeline Safety Section. The request is budget neutral and will be financed through a reallocation of \$7,017 cash funds from PUC operating expenses to vehicle lease payments for FY 2014-15 and out years.

Analysis: The PUC has eight of the Department's 57 vehicles: two vehicles are PUC motor pool vehicles, three are used for the Investigations and Compliance (IC) Unit of the Motor Carrier Safety Program, and three are used for the Gas/Pipeline Safety Section (GPS).

The Motor Carrier Safety Program regulates approximately 1,800 motor carriers with approximately 8,100 vehicles, that include taxi cab and tow truck operators. The GPS conduct pipeline operator office audits, pipeline construction and field site inspections, and pipeline incident and accident site inspection and investigation, all of which require travel to sites.

The IC Unit currently includes seven full-time inspectors using its three vehicles. The following table includes workload data and analysis for the IC Unit.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Investigations and Compliance Unit, Motor Carrier Safety Program, PUC							
	FY09-10	FY10-11	FY11-12	FY12-13	FY13-14	FY14-15	Staff
	Actual	Actual	Actual	Actual	Estimate	Projected	Projected*
IC Unit Investigators	7	7	7	7	7	7	
IC Unit Vehicles	3	3	3	3	3	4	
Vehicle Inspections	563	502	830	1,128	1,128	1,620	1,504
Inspections per IC Vehicle	188	167	277	376	376	405	
Compliance Audits	237	190	208	244	244	360	325
Audits per IC Vehicle	79	63	69	81	81	90	
Complaints Received	361	575	569	549	n/a	n/a	
Complaint Investigations	236	322	348	333	n/a	n/a	444
Investigations per IC Vehicle	79	107	116	111	n/a	n/a	

* Staff Projected uses FY 12-13 actual per vehicle ratios for projection.

The IC Unit has a goal of visiting and inspecting 20.0 percent of the carriers and vehicles, which represents a five-year schedule, and totals 360 compliance audits and 1,620 vehicle inspections. Staff's projection using FY 2012-13 actual per vehicle ratios suggests that 325 compliance audits and 1,504 vehicle inspections would be completed. The Department's request appears to be reasonable based on workload data.

The GPS includes four full-time inspectors using its three vehicles. The following table includes workload data and analysis for the GPS.

Gas Pipeline Safety (GPS) Program, PUC							
	FY09-10	FY10-11	FY11-12	FY12-13	FY13-14	FY14-15	Staff
	Actual	Actual	Actual	Actual	Estimate	Projected	Projected*
GPS Program Investigators	3	3	3	4	4	4	
GPS Program Vehicles	3	3	3	3	3	4	
Gas Safety Inspections	326	306	267	231	231	n/a	308
Inspections per GPS Vehicle	109	102	89	77	77	n/a	
Construction Inspections	142	173	152	94	150	225	203
Inspections per GPS Vehicle	47	58	51	31	50	56	
Incident Investigations	12	13	7	10	10	20	13
Investigations per GPS Vehicle	4	4	2	3	3	5	

* Staff Projected uses FY 12-13 actual per vehicle ratios for projection; Construction Inspections uses the FY 11-12 ratio.

The full-time inspectors were increased in FY 2012-13 from 3.0 FTE to 4.0 FTE. In addition to the workload data included in the table, the program has historically included one vehicle per full-time inspector. The Department's request is reasonable based on workload data and analysis.

Recommendation: Staff recommends that the Committee approve the Department's budget neutral request for two additional vehicles for the PUC.

➔ NP1 Annual Fleet Vehicle Request

Request: The NP1 request includes a vehicle lease payment adjustment increase of \$13,819 and the replacement of seven vehicles as recommended by Fleet Management in the Department of Personnel. Justification for replacement of five of the seven vehicles is *very high mileage*, defined by Fleet Management as greater than 150,000 miles, which will typically result in higher maintenance and repair costs, lower reliability, and an increase in safety concerns. Justification for replacement of the additional vehicles is maintenance costs greater than 100 percent of average for the vehicle type. The following table provides details about the vehicle replacement request.

Summary of the FY 2014-15 Vehicle Replacement Request				
Division or Board that Uses Vehicle	Type of Vehicle	Model Year	Projected Mileage June 2015	Vehicle Need Description
Electrical Board	Small 4x4 PU	2007	132,861	Vehicles are used by electrical and plumbing inspectors, who have three working days after receiving a request to perform the inspection. Inspection vehicles are 4wd because they are statutorily required to perform safety inspections in all weather conditions, on improved (60 percent) and unimproved (40 percent) roads and in mountainous regions.
Electrical Board	Small 4x4 PU	2010	159,524	
Electrical Board	Small 4x4 PU	2008	160,469	
Electrical Board	Small 4x4 PU	2012	146,936	
Electrical Board	Small 4x4 PU	2007	178,647	
Plumbers Board	Small 4x4 PU	2010	216,424	
PUC	Full-size Sedan	2005	100,444	

Recommendation: Staff recommends the replacement of the recommended seven vehicles. Four of the vehicles will be in excess of 150,000 miles, the *very high mileage* replacement criterion set by Fleet Management, by June 2015; the additional high mileage vehicle is projected to have over 150,000 miles by July 2015. The additional vehicles are experiencing maintenance rates that are 211.7 percent and 249.6 percent higher than average for their vehicle types. **The dollar amount of the staff recommendation is pending the approval of Department of Personnel common policy by the Committee. Once a Committee policy is established, staff requests permission to adjust the line.** The following table outlines the elements of the request.

Executive Director's Office and Administrative Services, Vehicle Lease Payments	
Cash Funds	
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	<u>\$203,988</u>
TOTAL	\$203,988
FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	\$203,988

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

R1 New Vehicles for PUC	7,017
Non-prioritized requested changes	<u>13,819</u>
TOTAL	\$224,824
Increase/(Decrease)	\$20,836
Percentage Change	10.2%
FY 2014-15 Executive Request:	\$224,824
Request Above/(Below) Recommendation	\$0

Information Technology Asset Maintenance

This line item pays for replacement of the Department's information technology assets on a schedule determined by OIT.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$671,403 total funds, including \$480,646 cash funds and \$190,757 reappropriated funds.

Leased Space

The Department leases a total of 159,618 square feet located at 1550 Broadway, Denver Colorado. The Department is in the eighth year of a 10-year lease in its primary lease (149,000 square feet); the current rate is \$17.60 and will be escalating to \$18.12 in FY 2014-15. At the time the lease was signed in FY 2005-06 the Department was paying \$17.75 per square foot; the new lease reduced that figure to \$14.87 per square foot. Discussions and planning for the Department's leased space needs beyond the current lease will begin this fiscal year. Current market rates are \$25-30 per square foot.

Request and Recommendation: The Department requests and staff recommends an appropriation of \$3,150,891 total funds, including \$96,244 General Fund, \$2,617,827 cash funds, \$367,091 reappropriated funds, and \$69,729 federal funds. The request includes an increase for rate escalation.

Capitol Complex Leased Space

Capitol complex space is maintained by the Department of Personnel, and the Department occupies 769 square feet in the Grand Junction facility for the Division of Professions and Occupations Electrical Board.

Request: The Department requests funding for 769 square feet in the Grand Junction facility of Capitol Complex and an appropriation of \$4,626 cash funds. The request includes a common policy base adjustment decrease of \$713, representing a 13.4 percent decrease.

Recommendation: Staff recommends an appropriation for the purchase of a continuation level of 769 square feet in the Grand Junction facility. The rate for capitol complex leased space is pending Committee approval of common policy. Staff will reflect Committee common policy in the appropriation for this line item.

Hardware/Software Maintenance

This line item pays for the licensing and maintenance agreements for hardware and software, as well as the costs of required equipment upgrades.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Request and Recommendation: The Department requests and staff recommends an appropriation of \$729,218 total funds. The request includes a decrease of \$60,824 cash funds due to annualizations of prior year legislation, including S.B. 13-026, S.B. 13-221, H.B. 13-1111, and H.B. 13-1277. The following table outlines the elements of the request, including fund splits.

Executive Director's Office and Administrative Services, Hardware/Software Maintenance				
	Total Funds	General Fund	Cash Funds	Reappropriated Funds
FY 2013-14 Appropriation				
SB 13-230 (Long Bill)	\$693,878	\$800	\$434,476	\$258,602
Other Legislation	<u>96,164</u>	<u>0</u>	<u>96,164</u>	<u>0</u>
TOTAL	\$790,042	\$800	\$530,640	\$258,602
FY 2014-15 Recommended Appropriation				
FY 2013-14 Appropriation	\$790,042	\$800	\$530,640	\$258,602
Annualize prior year funding	<u>(60,824)</u>	<u>0</u>	<u>(60,824)</u>	<u>0</u>
TOTAL	\$729,218	\$800	\$469,816	\$258,602
Increase/(Decrease)	(\$60,824)	\$0	(\$60,824)	\$0
Percentage Change	(7.7%)	0.0%	(11.5%)	0.0%
FY 2014-15 Executive Request:	\$729,218	\$800	\$469,816	\$258,602
Request Above/(Below)				
Recommendation	\$0	\$0	\$0	\$0

Consumer Outreach/Education Program

This line item was added to the FY 2009-10 Long Bill as a result of H.B. 08-1216, which established the Consumer Outreach and Education Program (Program) within the Department. The Program works to inform consumers of their rights regarding regulated professions and occupations, decrease regulatory violations by licensees, and increase public awareness of consumer protection information available from the Department. The Program is funded by a surcharge on fines issued by various divisions.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$205,000 cash funds.

Executive Director's Office and Administrative Services, Consumer Outreach/Education Program Cash Funds	
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	\$200,000
Other Legislation	<u>5,000</u>
TOTAL	\$205,000

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	<u>\$205,000</u>
TOTAL	\$205,000
Percentage Change	0.0%
FY 2014-15 Executive Request:	\$205,000
Request Above/(Below) Recommendation	\$0

Payments to OIT

This line item represents the Governor's Office of Information Technology request, included as non-prioritized requests 2 through 6, an increase of \$225,957 total funds, and the consolidation of OIT common policy payment line items, equal to \$2,747,119 total funds.

Request: The Department's request reflects an appropriation of \$2,973,075 total funds, including \$124,967 General Fund and \$2,848,108 cash funds.

Recommendation: Staff's recommendation is pending the approval of common policy by the Committee. Staff requests permission to add this line item if necessary, adjust the line, and apply a funding split once Committee policy is established.

(2) Division of Banking

The Division of Banking is responsible for the enforcement of banking laws on state-chartered commercial banks, trust companies, industrial banks and money transmitters. The Division holds charter and license application hearings, issues rules and regulations, investigates consumer complaints, and conducts examinations of banking institutions. Pursuant to Section 11-102-403, C.R.S., this Division is funded entirely by the Division of Banking Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Banking		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$4,396,128</u>	<u>40.0</u>
TOTAL	\$4,396,128	40.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$4,396,128	40.0
Annualize prior year funding	96,877	0.0
Indirect cost assessment	<u>5,932</u>	<u>0.0</u>
TOTAL	\$4,498,937	40.0
Increase/(Decrease)		
	\$102,809	0.0
Percentage Change		
	2.3%	0.0%
FY 2014-15 Executive Request:		
	\$4,498,937	40.0
Request Above/(Below) Recommendation		
	\$0	0.0

LINE ITEM DETAIL – DIVISION OF BANKING

Personal Services

Division staff conduct examinations and enforce compliance with the Public Deposit Protection Act and the Uniform Consumer Credit Code. Staff also enforce regulatory guidelines for trust departments, electronic funds transfers, and electronic data processing.

Request and Recommendation: The Department requests and staff recommends an appropriation of 40.0 FTE and \$3,675,282 cash funds from the Division of Banking Cash Fund, which represents a continuation amount plus salary survey and merit pay annualizations.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Division of Banking, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$3,578,405</u>	<u>40.0</u>
TOTAL	\$3,578,405	40.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$3,578,405	40.0
Annualize prior year funding	<u>96,877</u>	<u>0.0</u>
TOTAL	\$3,675,282	40.0
Increase/(Decrease)	\$96,877	0.0
Percentage Change	2.7%	0.0%
FY 2014-15 Executive Request:	\$3,675,282	40.0
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

A portion of this line item funds the reimbursement for travel of staff examiners. Travel reimbursements include meal and hotel per diem and mileage reimbursement. The remainder of this line is used for general operating expenses incurred by the Division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$490,703 cash funds from the Division of Banking Cash Fund.

Board Meeting Costs

The eight-member Governor-appointed Colorado State Banking Board (Board) is the policy and rulemaking authority for this Division. The Board conducts monthly hearings on applications for commercial and industrial banks, trust companies, and the licensure of money transmitters. Board members receive reimbursements for expenses incurred during the performance of official duties pursuant to Section 11-102-103 (6), C.R.S.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$23,500 cash funds from the Division of Banking Cash Fund.

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs. Statewide indirect costs are overhead costs associated with the operation of general government functions and departmental administrative duties. Indirect cost recoveries are intended to offset these overhead costs in the Executive Director's Office and statewide, that would otherwise have been supported General Fund. This description applies to all Indirect Cost Assessment lines in each division.

Request: The Department's request reflects an appropriation of \$309,452 cash funds from the Division of Banking Cash Fund.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(3) Civil Rights Division

This Division is the administrative arm of the Colorado Civil Rights Commission and enforces the laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex, national origin, ancestry, physical or mental disability, religion, color, or marital status.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Civil Rights Division					
	Total Funds	General Fund	Reappropriated Funds	Federal Funds	FTE
FY 2013-14 Appropriation					
SB 13-230 (Long Bill)	<u>\$1,755,833</u>	<u>\$1,043,929</u>	<u>\$281,164</u>	<u>\$430,740</u>	<u>27.0</u>
TOTAL	\$1,755,833	\$1,043,929	\$281,164	\$430,740	27.0
FY 2014-15 Recommended Appropriation					
FY 2013-14 Appropriation	\$1,755,833	\$1,043,929	\$281,164	\$430,740	27.0
JBC Staff-initiated - Replace FY10-11 CCRD GF Reductions	194,314	145,931	0	48,383	0.0
Annualize prior year funding	71,592	63,049	0	8,543	0.2
Indirect cost assessment	669	0	0	669	0.0
Fund source adjustments	<u>0</u>	<u>(76,074)</u>	<u>76,074</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$2,022,408	\$1,176,835	\$357,238	\$488,335	27.2
Increase/(Decrease)	\$266,575	\$132,906	\$76,074	\$57,595	0.2
Percentage Change	15.2%	12.7%	27.1%	13.4%	0.7%
FY 2014-15 Executive Request:	\$1,828,094	\$1,106,978	\$281,164	\$439,952	27.2
Request Above/(Below) Recommendation	(\$194,314)	(\$69,857)	(\$76,074)	(\$48,383)	(0.0)



JBC Staff-initiated – Replace FY10-11 CCRD GF Reductions

Analysis: In the FY 2009-10 supplemental and FY 2010-11 long bill, the personal services line item was reduced \$142,965 General Fund, related to statewide General Fund reductions. Additionally, in the FY 2010-11 long bill, the operating expenses line item was reduced 5.0 percent. The following table outlines appropriations and expenditures since FY 2009-10, and the recommended appropriation for FY 2014-15. The personal services recommendation includes the salary survey and merit pay annualizations.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Civil Rights Division Personal Services and Operating Expenses						
	FY 09-10	FY 10-11	FY 11-12	FY 12-13	FY 13-14	FY 14-15 Rec.
Personal Services						
L.B. Appropriation	\$1,730,639	\$1,590,869	\$1,609,144	\$1,593,380	\$1,593,380	\$1,854,264
Supp. Appropriation	1,577,908	1,590,869	1,609,144	1,593,380	1,593,380	n/a
Expenditure	1,556,303	1,574,017	1,580,235	1,593,380	n/a	n/a
Reversion	\$21,605	\$16,852	\$28,909	\$0	n/a	n/a
Percentage of Reversion	1.4%	1.1%	1.8%	0.0%	n/a	n/a
Operating Expenses						
L.B. Appropriation	\$105,185	\$100,438	\$100,438	\$100,438	\$100,438	\$105,460
Supp. Appropriation	104,457	100,438	100,438	100,438	100,438	n/a
Expenditure	68,224	85,637	100,438	100,427	n/a	n/a
Reversion	\$36,233	\$14,801	\$0	\$11	n/a	n/a
Percentage of Reversion	34.7%	14.7%	0.0%	0.0%	n/a	n/a

The table suggests that the Division is spending up to its appropriation in recent years and would likely benefit in more completely executing its responsibilities with the restoration of General Fund reductions.

Recommendation: Staff recommends that the Committee increase the personal services line item \$189,292 total funds, including \$142,965 General Fund and \$46,327 federal funds, and increase the operating expenses line item \$5,022 total funds, including \$2,966 General Fund and \$2,056 federal funds to replace reductions taken in FY 2009-10 and FY 2010-11.

LINE ITEM DETAIL – CIVIL RIGHTS DIVISION

Personal Services

Division staff investigate and adjudicate charges of discriminatory practices, supervise complaint hearings, provide technical assistance with fair housing laws, and provide general public information and community intervention and education.

Request: The Department requests \$1,664,972 total funds and 27.2 FTE.

Recommendation: Staff recommends an appropriation of 27.2 FTE and \$1,854,264 total funds, which includes staff's recommended replacement of General Fund reductions taken in FY 2009-10 and FY 2010-11, and staff's recommended fund splits, including a General Fund offset related to statewide indirect cost recoveries, as outlined in the following table. The additional adjustments are the salary survey and merit pay annualizations. Staff requests permission to adjust the fund splits if necessary based on indirect cost assessments and recoveries once pending line items are set.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Civil Rights Division, Personal Services					
	Total Funds	General Fund	Reappropriated Funds	Federal Funds	FTE
FY 2013-14 Appropriation					
SB 13-230 (Long Bill)	<u>\$1,593,380</u>	<u>\$962,437</u>	<u>\$281,164</u>	<u>\$349,779</u>	<u>27.0</u>
TOTAL	\$1,593,380	\$962,437	\$281,164	\$349,779	27.0
FY 2014-15 Recommended Appropriation					
FY 2013-14 Appropriation	\$1,593,380	\$962,437	\$281,164	\$349,779	27.0
JBC Staff-initiated - Replace FY10-11 CCRD GF Reductions	189,292	142,965	0	46,327	0.0
Annualize prior year funding	71,592	63,049	0	8,543	0.2
Fund source adjustments	<u>0</u>	<u>(76,074)</u>	<u>76,074</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$1,854,264	\$1,092,377	\$357,238	\$404,649	27.2
Increase/(Decrease)	\$260,884	\$129,940	\$76,074	\$54,870	0.2
Percentage Change	16.4%	13.5%	27.1%	15.7%	0.7%
FY 2014-15 Executive Request:	\$1,664,972	\$1,025,486	\$281,164	\$358,322	27.2
Request Above/(Below) Recommendation	(\$189,292)	(\$66,891)	(\$76,074)	(\$46,327)	(0.0)

Operating Expenses

This line item provides funding for operating expenses of the division.

Request: The Department requests a continuation appropriation of \$100,438 total funds.

Recommendation: Staff recommends an appropriation of \$105,460 total funds, which includes staff's recommended replacement of General Fund reductions taken in FY 2010-11 and staff's recommended fund splits as outlined in the following table.

Civil Rights Division, Operating Expenses			
	Total Funds	General Fund	Federal Funds
FY 2013-14 Appropriation			
SB 13-230 (Long Bill)	<u>\$100,438</u>	<u>\$59,318</u>	<u>\$41,120</u>
TOTAL	\$100,438	\$59,318	\$41,120
FY 2014-15 Recommended Appropriation			
FY 2013-14 Appropriation	\$100,438	\$59,318	\$41,120
JBC Staff-initiated - Replace FY10-11 CCRD GF Reductions	<u>5,022</u>	<u>2,966</u>	<u>2,056</u>
TOTAL	\$105,460	\$62,284	\$43,176

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Increase/(Decrease)	\$5,022	\$2,966	\$2,056
Percentage Change	5.0%	5.0%	5.0%
FY 2014-15 Executive Request:	\$100,438	\$59,318	\$41,120
Request Above/(Below)			
Recommendation	(\$5,022)	(\$2,966)	(\$2,056)

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$18,000 total funds, including \$17,000 General Fund and \$1,000 federal funds.

Commission Meeting Costs

The Colorado Civil Rights Commission holds meetings at various locations around the state, enabling members of the public to tell the Commission about their concerns regarding civil rights issues. This line receives General Funds and federal funds because meetings include federal and state civil rights issues. This line funds the per diem and travel expenses for Commissioners and various other meeting costs.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$12,374 total funds, including \$5,174 General Fund and \$7,200 federal funds.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$32,310 federal funds.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(4) Office of Consumer Counsel

The Office of Consumer Counsel represents the interests of residential, small business, and agricultural consumers in cases heard by the Public Utilities Commission (PUC) and federal agencies (such as the Federal Communications Commission and the Federal Energy Regulatory Commission). Cases involve proposed changes to electric, gas, and telecommunications utility rates, service, and general policies. This Office is funded entirely with cash funds from the Public Utilities Commission Fixed Utility Fund created in Section 40-2-114, C.R.S.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Office of Consumer Counsel		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$901,856</u>	<u>7.0</u>
TOTAL	\$901,856	7.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$901,856	7.0
Annualize prior year funding	23,758	0.0
Indirect cost assessment	<u>1,038</u>	<u>0.0</u>
TOTAL	\$926,652	7.0
Increase/(Decrease)	\$24,796	0.0
Percentage Change	2.7%	0.0%
FY 2014-15 Executive Request:	\$926,652	7.0
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – OFFICE OF CONSUMER COUNSEL

Personal Services

This line item provides funding for the payment of office staffing and contractual services in representing residential, small business, and agricultural consumers in proceedings before the PUC and in the courts on appeals rising from PUC decisions and in federal court and federal regulatory proceedings affecting Colorado utility consumers.

Request and Recommendation: The Department requests and staff recommends an appropriation of 7.0 FTE and \$816,711 cash funds from the Public Utilities Commission Fixed

JBC Staff Figure Setting – FY 2013-14
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Utility Fund, which includes a continuation amount plus salary survey and merit pay annualizations.

Office of Consumer Counsel, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$792,953</u>	<u>7.0</u>
TOTAL	\$792,953	7.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$792,953	7.0
Annualize prior year funding	<u>23,758</u>	<u>0.0</u>
TOTAL	\$816,711	7.0
Increase/(Decrease)	\$23,758	0.0
Percentage Change	3.0%	0.0%
FY 2014-15 Executive Request:	\$816,711	7.0
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$55,787 cash funds from the Public Utilities Commission Fixed Utility Fund.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$54,154 cash funds from the Public Utilities Commission Fixed Utility Fund.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(5) Division of Financial Services

This Division regulates state-chartered credit unions, savings and loan associations and life-care institutions, which provide care for the duration of a person's life in return for the payment of an initial fee. The Division is entirely cash funded by the Division of Financial Services Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Financial Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$1,394,679</u>	<u>13.0</u>
TOTAL	\$1,394,679	13.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$1,394,679	13.0
R2 Operating Expenses Reduction	(30,000)	0.0
Annualize prior year funding	35,694	0.0
Indirect cost assessment	<u>1,928</u>	<u>0.0</u>
TOTAL	\$1,402,301	13.0
Increase/(Decrease)		
	\$7,622	0.0
Percentage Change		
	0.5%	0.0%
FY 2014-15 Executive Request:		
	\$1,402,301	13.0
Request Above/(Below) Recommendation		
	\$0	0.0

LINE ITEM DETAIL – DIVISION OF FINANCIAL SERVICES

Personal Services

Division staff enforce the Public Deposit Protection Act and conduct examinations to ensure financial stability and compliance with state and federal laws. When appropriate, division staff initiate enforcement actions in order to bring an institution into compliance with regulatory laws.

Request and Recommendation: The Department requests and staff recommends an appropriation of 13.0 FTE and \$1,169,941 cash funds from the Division of Financial Services Cash Fund, which includes a continuation amount plus salary survey and merit pay annualizations.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Division of Financial Services, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$1,134,247</u>	<u>13.0</u>
TOTAL	\$1,134,247	13.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$1,134,247	13.0
Annualize prior year funding	<u>35,694</u>	<u>0.0</u>
TOTAL	\$1,169,941	13.0
Increase/(Decrease)	\$35,694	0.0
Percentage Change	3.1%	0.0%
FY 2014-15 Executive Request:	\$1,169,941	13.0
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

➔ R2 Operating Expenses Reduction

Request: The Department requests a decrease of \$30,000 cash funds in the Division of Financial Services operating expenses line item.

Analysis: The reduction represents an 18.5 percent decrease of the current \$161,788 appropriation. The Division has reverted an average of 35.2 percent (\$57,000) of its appropriation over the last three years due to credit union industry consolidation.

Recommendation: Staff recommends that the Committee approve the request for a decrease of \$30,000 cash funds in the operating expenses line item.

Request and Recommendation: The Department requests and staff recommends an appropriation of \$131,788 cash funds from the Division of Financial Services Cash Fund, which includes a \$30,000 decrease for the R2 Operating Expenses Reduction request item.

Division of Financial Services, Operating Expenses	
	Cash Funds
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	<u>\$161,788</u>
TOTAL	\$161,788

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	\$161,788
R2 Operating Expenses Reduction	<u>(30,000)</u>
TOTAL	\$131,788
Increase/(Decrease)	
	(\$30,000)
Percentage Change	(18.5%)
FY 2014-15 Executive Request:	
Request Above/(Below) Recommendation	\$0

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$100,572 cash funds from the Division of Financial Services Cash Fund.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(6) Division of Insurance

This Division regulates insurance entities and insurance producers throughout Colorado. If the Division of Insurance Cash Fund (Fund) does not collect enough revenue to fully fund the Division's appropriated expenditures, the Division is authorized by Section 10-3-209 (4), C.R.S. to divert up to 5.0 percent of insurance premium tax revenues to the Fund from the General Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Insurance				
	Total Funds	Cash Funds	Federal Funds	FTE
FY 2013-14 Appropriation				
SB 13-230 (Long Bill)	\$7,367,931	\$6,826,763	\$541,168	84.9
Other Legislation	<u>6,356</u>	<u>6,356</u>	<u>0</u>	<u>0.1</u>
TOTAL	\$7,374,287	\$6,833,119	\$541,168	85.0
FY 2014-15 Recommended Appropriation				
FY 2013-14 Appropriation	\$7,374,287	\$6,833,119	\$541,168	85.0
Annualize prior year funding	204,346	195,552	8,794	(0.1)
Indirect cost assessment	<u>12,973</u>	<u>12,293</u>	<u>680</u>	<u>0.0</u>
TOTAL	\$7,591,606	\$7,040,964	\$550,642	84.9
Increase/(Decrease)	\$217,319	\$207,845	\$9,474	(0.1)
Percentage Change	2.9%	3.0%	1.8%	(0.1%)
FY 2014-15 Executive Request:	\$7,591,606	\$7,040,964	\$550,642	84.9
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

LINE ITEM DETAIL – DIVISION OF INSURANCE

Personal Services

There are two units that work within the division: the financial regulation unit and the consumer affairs unit. The financial regulation unit works primarily with insurance companies and agents, conducting financial exams and managing corporate and financial affairs. The consumer affairs unit works mainly with consumers, taking consumer complaints and conducting investigations and insurance market analysis.

Request and Recommendation: The Department requests and staff recommends an appropriation of 82.9 FTE and \$5,927,628 cash funds from the Division of Insurance Cash Fund,

which includes annualizations of salary survey and merit pay and prior year legislation, including S.B. 13-277.

Division of Insurance, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	\$5,725,720	82.9
Other Legislation	<u>6,356</u>	<u>0.1</u>
TOTAL	\$5,732,076	83.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$5,732,076	83.0
Annualize prior year funding	<u>195,552</u>	<u>(0.1)</u>
TOTAL	\$5,927,628	82.9
Increase/(Decrease)	\$195,552	(0.1)
Percentage Change	3.4%	(0.1%)
FY 2014-15 Executive Request:	\$5,927,628	82.9
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$291,716 cash funds.

Out-of-State Travel Expenses

This line item funds out-of state travel expenses incurred when Division staff are required to do market conduct examinations. Funds in this line item are reimbursements paid by insurance companies and are *continuously appropriated* pursuant to Section 10-1-108 (9), C.R.S.

Request and Recommendation: The Department requests and staff recommends reflecting a continuation appropriation of \$100,000 cash funds for informational purposes.

Senior Health Counseling Program

This federally funded program provides senior citizens with Medicare information, and the appropriation is contingent on the availability of federal money from the Centers for Medicare and Medicaid Services and the U.S. Administration on Aging. Annual spending fluctuations are the result of the availability of funds.

Request and Recommendation: The Department requests and staff recommends reflecting an appropriation of 2.0 FTE and \$517,794 federal funds for informational purposes, which includes salary survey and merit pay annualizations.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Division of Insurance, Senior Health Counseling Program		
	Federal Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$509,000</u>	<u>2.0</u>
TOTAL	\$509,000	2.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$509,000	2.0
Annualize prior year funding	<u>8,794</u>	<u>0.0</u>
TOTAL	\$517,794	2.0
Increase/(Decrease)	\$8,794	0.0
Percentage Change	1.7%	0.0%
FY 2014-15 Executive Request:	\$517,794	2.0
Request Above/(Below) Recommendation	\$0	0.0

Transfer to CAPCO Administration

This line provides the cash funds for the administration of the capital company (CAPCO) incentives program in the Governor's Office. The Office of Economic Development (OED) certifies CAPCOs that are qualified to offer assistance to business enterprises that create jobs in the state. Insurance companies that make an investment of certified capital in an OED-certified CAPCO can receive a tax credit against premium taxes owed. This line item is set in the CAPCO Administration line in the Governor's Office budget.

Request: The Department's request reflects an appropriation of \$80,281 cash funds.

Recommendation: Staff's recommendation is pending figure setting for the Office of the Governor. Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$674,187 total funds, including \$641,339 cash funds and \$32,848 federal funds.

Recommendation: Staff requests permission to adjust the line and apply a funding split once pending line items are set.

(7) Public Utilities Commission

The three-member Public Utilities Commission (PUC) regulates the rates and services of fixed and transportation utilities, and possesses quasi-legislative and quasi-judicial responsibilities. The PUC administers the Colorado Telecommunications High Cost Program and the Disabled Telephone Users Program.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Public Utilities Commission				
	Total Funds	Cash Funds	Federal Funds	FTE
FY 2013-14 Appropriation				
SB 13-230 (Long Bill)	\$13,958,322	\$13,940,761	\$17,561	95.0
Other Legislation	<u>(725,548)</u>	<u>(725,548)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$13,232,774	\$13,215,213	\$17,561	95.0
FY 2014-15 Recommended Appropriation				
FY 2013-14 Appropriation	\$13,232,774	\$13,215,213	\$17,561	95.0
R1 New Vehicles for PUC	(7,017)	(7,017)	0	0.0
Annualize prior year funding	262,387	262,387	0	0.0
Indirect cost assessment	<u>14,459</u>	<u>14,088</u>	<u>371</u>	<u>0.0</u>
TOTAL	\$13,502,603	\$13,484,671	\$17,932	95.0
Increase/(Decrease)	\$269,829	\$269,458	\$371	0.0
Percentage Change	2.0%	2.0%	2.1%	0.0%
FY 2014-15 Executive Request:	\$13,502,603	\$13,484,671	\$17,932	95.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

LINE ITEM DETAIL – PUBLIC UTILITIES COMMISSION

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Request and Recommendation: The Department requests and staff recommends an appropriation of 95.0 FTE and \$8,935,997 cash funds, which includes a continuation amount plus salary survey and merit pay annualizations.

Public Utilities Commission, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$8,673,610</u>	<u>95.0</u>
TOTAL	\$8,673,610	95.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$8,673,610	95.0
Annualize prior year funding	<u>262,387</u>	<u>0.0</u>
TOTAL	\$8,935,997	95.0
Increase/(Decrease)	\$262,387	0.0
Percentage Change	3.0%	0.0%
FY 2014-15 Executive Request:	\$8,935,997	95.0
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

➔ R1 New Vehicles for PUC

Request: As detailed in the vehicle lease payments line item in the Executive Director's Office and Administrative Services division, the Department requests two additional sedans for the Public Utilities Commission, including one for the Investigations and Compliance Unit of the Motor Carrier Safety Program and one for the Gas Pipeline Safety Section. The request is budget neutral and will be financed through a reallocation of \$7,017 cash funds from PUC operating expenses to vehicle lease payments for FY 2014-15 and out years.

Analysis: For analysis of this request item, please see the analysis provided in the vehicle lease payments line item in the Executive Director's Office and Administrative Services division.

Recommendation: **Staff recommends that the Committee approve the Department's budget neutral request for two additional vehicles for the PUC.**

Request and Recommendation: The Department requests and staff recommends an appropriation of \$438,672 cash funds, which includes a continuation amount and a decrease of \$7,017 cash funds for the Department's R1 request.

Public Utilities Commission, Operating Expenses	
	Cash Funds
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	<u>\$445,689</u>

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

TOTAL	\$445,689
FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	\$445,689
R1 New Vehicles for PUC	<u>(7,017)</u>
TOTAL	\$438,672
Increase/(Decrease)	(\$7,017)
Percentage Change	(1.6%)
FY 2014-15 Executive Request:	\$438,672
Request Above/(Below) Recommendation	\$0

Expert Testimony

This line pays for expert testimony during PUC hearings and for the cost of outside contract services for expertise not available from PUC staff.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$25,000 cash funds.

Disabled Telephone Users Fund Payments

Revenue for the Disabled Telephone Users Fund is from fees on land lines pursuant to Section 40-17-104 (1), C.R.S. Money in the fund is *continuously appropriated* to pay the cost of a contract that provides telecommunications relay services for disabled individuals.

Request and Recommendation: The Department requests and staff recommends reflecting an appropriation of \$1,900,542 cash funds from the Colorado Disabled Telephone Users Fund for informational purposes.

Transfer to Reading Services for the Blind Cash Fund

Funds are appropriated to this line from the Disabled Telephone Users Fund, and reappropriated to the Department of Education for allocation to privately operated reading services for the blind.

Request: The Department's request reflects an appropriation of \$350,000 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: Staff's recommendation is pending figure setting for the Department of Education. Staff requests permission to adjust the line once the pending line item is set.

Commission for the Deaf and Hard of Hearing Cash Fund

Funds are appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Department of Human Services for use by the Commission for the Deaf and Hard of Hearing.

Request: The Department's request reflects an appropriation of \$995,134 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: Staff's recommendation is pending figure setting for the Department of Human Services. Staff requests permission to adjust the line once the pending line item is set.

Colorado Commission for Individuals who are Blind or Visually Impaired

This line item was eliminated in FY 2012-13 and is shown for historical purposes. Funds were appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Colorado Commission for the Individuals who are Blind or Visually Impaired line item in the Department of Human Services.

Low Income Telephone Assistance

The program was repealed and the line item was eliminated in FY 2013-14 and is shown for historical purposes. From 1990 the PUC ran a program that subsidized services for eligible low income telephone customers. The program was funded by a monthly surcharge on telephone lines, set by the PUC each year.

Request: The Department does not include a request for this line item.

Recommendation: Staff will eliminate this line item from the Long Bill.

Colorado Bureau of Investigation Background Checks Pass-through

This line item was added to the FY 2008-09 Long Bill to account for reappropriated funds in the Department of Public Safety, Colorado Bureau of Investigation, for processing of fingerprint-based criminal history record checks of drivers of certain motor vehicle carriers.

Request: The Department requests a continuation appropriation of \$104,377 cash funds.

Recommendation: Staff's recommendation is pending figure setting for the Department of Public Safety. Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$752,881 total funds, including \$734,949 cash funds and \$17,932 federal funds.

Recommendation: Staff requests permission to adjust the line and apply a funding split once pending line items are set.

(8) Division of Real Estate

The Division of Real Estate licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Real Estate		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	\$4,363,457	48.9
Other Legislation	<u>130,521</u>	<u>3.5</u>
TOTAL	\$4,493,978	52.4
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$4,493,978	52.4
Annualize prior year funding	352,489	3.5
Indirect cost assessment	<u>7,252</u>	<u>0.0</u>
TOTAL	\$4,853,719	55.9
Increase/(Decrease)	\$359,741	3.5
Percentage Change	8.0%	6.7%
FY 2014-15 Executive Request:	\$4,853,719	55.9
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – DIVISION OF REAL ESTATE

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Request and Recommendation: The Department requests and staff recommends an appropriation of 55.9 FTE and \$3,844,328 cash funds, which includes a continuation amount plus annualizations for salary survey, merit pay, and prior year legislation, including S.B. 13-221 and H.B. 13-1277.

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

Division of Real Estate, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	\$3,384,429	48.9
Other Legislation	<u>110,735</u>	<u>3.5</u>
TOTAL	\$3,495,164	52.4
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$3,495,164	52.4
Annualize prior year funding	<u>349,164</u>	<u>3.5</u>
TOTAL	\$3,844,328	55.9
Increase/(Decrease)	\$349,164	3.5
Percentage Change	10.0%	6.7%
FY 2014-15 Executive Request:	\$3,844,328	55.9
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends an appropriation of \$244,557 cash funds, which includes a continuation amount plus annualizations for prior year legislation, including S.B. 13-221 and H.B. 13-1277.

Division of Real Estate, Operating Expenses	
	Cash Funds
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	\$221,446
Other Legislation	<u>19,786</u>
TOTAL	\$241,232
FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	\$241,232
Annualize prior year funding	<u>3,325</u>
TOTAL	\$244,557
Increase/(Decrease)	\$3,325
Percentage Change	1.4%

JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision

FY 2014-15 Executive Request:	\$244,557
Request Above/(Below) Recommendation	\$0

Commission Meeting Costs

This line item funds the per diem, travel, and miscellaneous expenses for Real Estate Commission members when they attend Commission meetings.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$38,836 cash funds.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$4,000 cash funds.

Mortgage Broker Consumer Protection

This line is used to pay the costs for the investigation and prosecution of mortgage brokers, and mortgage fraud by the Attorney General's Office. Funds are appropriated to the Division and reappropriated to the Department of Law, where the line item is set.

Request: The Department's request reflects a continuation appropriation of \$343,692 cash funds.

Recommendation: Staff recommendation is pending figure setting for the Department of Law. Staff requests permission to adjust the line as necessary.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$378,306 cash funds.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(9) Division of Professions and Occupations

This Division, formerly the Division of Registrations, regulates over 300,000 licensees in more than fifty professions and occupations. The Division is funded by fees paid by regulated professionals and licensees.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Professions and Occupations				
	Total Funds	Cash Funds	Reappropriated Funds	FTE
FY 2013-14 Appropriation				
SB 13-230 (Long Bill)	\$16,035,590	\$15,439,808	\$595,782	190.6
Other Legislation	<u>639,292</u>	<u>639,292</u>	<u>0</u>	<u>9.2</u>
TOTAL	\$16,674,882	\$16,079,100	\$595,782	199.8
FY 2014-15 Recommended Appropriation				
FY 2013-14 Appropriation	\$16,674,882	\$16,079,100	\$595,782	199.8
Annualize prior year funding	669,195	669,195	0	4.3
Indirect cost assessment	<u>28,265</u>	<u>28,265</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$17,372,342	\$16,776,560	\$595,782	204.1
Increase/(Decrease)	\$697,460	\$697,460	\$0	4.3
Percentage Change	4.2%	4.3%	0.0%	2.2%
FY 2014-15 Executive Request:	\$17,372,342	\$16,776,560	\$595,782	204.1
Request Above/(Below) Recommendation	\$0	\$0	\$0	(0.0)

LINE ITEM DETAIL – DIVISION OF PROFESSIONS AND OCCUPATIONS

Personal Services

Division staff investigate complaints filed against licensees, staff board meetings, and respond to questions from applicants and current licensees.

Request and Recommendation: The Department requests and staff recommends an appropriation of 199.1 FTE and \$13,716,086 total funds, including fund splits outlined in the following table. The amount includes a continuation amount plus annualizations for salary survey, merit pay, and prior year legislation, including S.B. 13-026, S.B. 13-151, S.B. 13-180, and H.B. 13-1111.

*JBC Staff Figure Setting – FY 2013-14
Staff Working Document – Does Not Represent Committee Decision*

Division of Professions and Occupations, Personal Services				
	Total Funds	Cash Funds	Reappropriated Funds	FTE
FY 2013-14 Appropriation				
SB 13-230 (Long Bill)	\$12,515,093	\$11,919,311	\$595,782	185.6
Other Legislation	<u>532,085</u>	<u>532,085</u>	<u>0</u>	<u>9.2</u>
TOTAL	\$13,047,178	\$12,451,396	\$595,782	194.8
FY 2014-15 Recommended Appropriation				
FY 2013-14 Appropriation	\$13,047,178	\$12,451,396	\$595,782	194.8
Annualize prior year funding	<u>668,908</u>	<u>668,908</u>	<u>0</u>	<u>4.3</u>
TOTAL	\$13,716,086	\$13,120,304	\$595,782	199.1
Increase/(Decrease)	\$668,908	\$668,908	\$0	4.3
Percentage Change	5.1%	5.4%	0.0%	2.2%
FY 2014-15 Executive Request:	\$13,716,086	\$13,120,304	\$595,782	199.1
Request Above/(Below) Recommendation	\$0	\$0	\$0	(0.0)

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends an appropriation of \$1,480,869 cash funds, which includes an annualization for prior year legislation, including S.B. 13-014, S.B. 13-026, S.B. 13-151, S.B. 13-162, S.B. 13-180, and H.B. 13-1111.

Division of Professions and Occupations, Operating Expenses	
	Cash Funds
FY 2013-14 Appropriation	
SB 13-230 (Long Bill)	\$1,391,098
Other Legislation	<u>107,207</u>
TOTAL	\$1,498,305
FY 2014-15 Recommended Appropriation	
FY 2013-14 Appropriation	\$1,498,305
Annualize prior year funding	<u>(17,436)</u>
TOTAL	\$1,480,869
Increase/(Decrease)	(\$17,436)
Percentage Change	(1.2%)

FY 2014-15 Executive Request:	\$1,480,869
Request Above/(Below) Recommendation	\$0

Office of Expedited Settlement Program Costs

The Office of Expedited Settlement oversees the expedited settlement process in which all complaints received by boards and commissions are initially sent, for the purpose of resolving complaints prior to the use of the Attorney General's Office and incurring legal service costs.

Request and Recommendation: The Department requests and staff recommends an appropriation of 5.0 FTE and \$379,120 cash funds, which includes a continuation amount plus salary survey and merit pay annualizations.

Division of Professions and Occupations, Office of Expedited Settlement Program Costs		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$361,397</u>	<u>5.0</u>
TOTAL	\$361,397	5.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$361,397	5.0
Annualize prior year funding	<u>17,723</u>	<u>0.0</u>
TOTAL	\$379,120	5.0
Increase/(Decrease)	\$17,723	0.0
Percentage Change	4.9%	0.0%
FY 2014-15 Executive Request:		
	\$379,120	5.0
Request Above/(Below) Recommendation	\$0	0.0

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$307,075 cash funds.

Payments to Department of Health Care Policy and Financing

Federal regulations require the state to certify nurse aides who work in medical facilities with Medicaid or Medicare patients. Federal matching funds pay half the cost of the Medicaid portion of this program, but the Department cannot apply directly for the federal match because Medicaid's centralization rules require that the matching funds be funneled through the

Department of Health Care Policy and Financing. The Division obtains the funds to cover the state's expenses from an assessment on nursing homes.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$14,652 cash funds.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$1,474,540 cash funds.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

(10) Division of Securities

This Division regulates the conduct of securities broker-dealers and sales representatives throughout Colorado, and enforces the following programs: the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division is entirely cash funded from the Division of Securities Cash Fund.

DIVISION REQUEST AND RECOMMENDATION SUMMARY

Division of Securities		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$3,280,135</u>	<u>24.0</u>
TOTAL	\$3,280,135	24.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$3,280,135	24.0
Annualize prior year funding	66,528	0.0
Indirect cost assessment	<u>3,558</u>	<u>0.0</u>
TOTAL	\$3,350,221	24.0
Increase/(Decrease)	\$70,086	0.0
Percentage Change	2.1%	0.0%
FY 2014-15 Executive Request:	\$3,350,221	24.0
Request Above/(Below) Recommendation	\$0	0.0

LINE ITEM DETAIL – DIVISION OF SECURITIES

Personal Services

Division staff investigate fraudulent and other abusive conduct in the securities market and when appropriate issue sanctions and take other enforcement action. Staff also investigate consumer complaints, monitor Colorado broker-dealer and sale representative conduct, screen license applications, and perform on-site examinations of broker-dealer offices.

Request and Recommendation: The Department requests and staff recommends an appropriation of 24.0 FTE and \$2,202,907 cash funds from the Division of Securities Cash Fund, which includes a continuation amount plus salary survey and merit pay annualizations.

Division of Securities, Personal Services		
	Cash Funds	FTE
FY 2013-14 Appropriation		
SB 13-230 (Long Bill)	<u>\$2,136,379</u>	<u>24.0</u>
TOTAL	\$2,136,379	24.0
FY 2014-15 Recommended Appropriation		
FY 2013-14 Appropriation	\$2,136,379	24.0
Annualize prior year funding	<u>66,528</u>	<u>0.0</u>
TOTAL	\$2,202,907	24.0
Increase/(Decrease)	\$66,528	0.0
Percentage Change	3.1%	0.0%
FY 2014-15 Executive Request:	\$2,202,907	24.0
Request Above/(Below) Recommendation	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$58,999 cash funds from the Division of Securities Cash Fund.

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$19,594 cash funds from the Division of Securities Cash Fund.

Board Meeting Costs

This line item funds the per diem, travel, and miscellaneous costs incurred by the five Colorado Securities Board members when they attended board meetings.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$4,500 cash funds from the Division of Securities Cash Fund.

Securities Fraud Prosecution

The Division refers cases to the Securities Fraud Unit within the Department of Law's Criminal, Justice and Appellate Division for investigation and criminal prosecution. Funds appropriated in this line are set in and reappropriated to the Department of Law.

Request: The Department's request reflects a continuation appropriation of \$878,551 cash funds from the Division of Securities Cash Fund.

Recommendation: Staff recommendation is pending figure setting for the Department of Law. Staff requests permission to adjust the line as necessary.

Indirect Cost Assessment

Request: The Department's request reflects an appropriation of \$185,670 cash funds from the Division of Securities Cash Fund.

Recommendation: Staff requests permission to adjust the line once pending line items are set.

Long Bill Footnotes and Requests for Information

The Long Bill for FY 2013-14 did not contain any footnotes specific to the Department and did not contain any requests for information. Staff recommends not including any footnotes or requests for information for the Department of Regulatory Agencies.

Indirect Cost Assessment Methodology

Description of Indirect Cost Assessment Methodology

The Department of Regulatory Agencies indirect cost assessment methodology is calculated based on four steps or components:

1. The *Indirect Cost Assessment Percentage by Division FTE*;
2. The *Federal Funded Share of Indirects*;
3. The *Indirect Cost Recovery Pool* (indirect costs to recover); and
4. The *Indirect Cost Assessment Base* (indirect cost assessments by division and fund source).

1. The *Indirect Cost Assessment Percentage by Division FTE* is the proportion of indirect cost assessments paid by each division based on FTE. Proportions are defined separately for statewide indirects and departmental indirects because the Civil Rights Division is General Funded and therefore not included for payment of statewide indirects, and federal funded FTE in the Divisions of Civil Rights and Insurance are calculated for the federal fund share of indirect cost assessments based on the federal fund indirect collectible rate. **Table 1** summarizes the department's *Indirect Cost Assessment Percentage by Division FTE* for statewide and departmental indirects.

Table 1: Calculation of FTE Percentage by Division				
Division	Statewide		Departmental	
	FTE	Percentage	FTE	Percentage
Banking	40.0	7.66%	40.0	7.37%
Civil Rights	0.0	0.00%	20.6	3.80%
Office of Consumer Counsel	7.0	1.34%	7.0	1.29%
Financial Services	13.0	2.49%	13.0	2.40%
Insurance	82.9	15.88%	82.9	15.28%
Professions and Occupations	204.1	39.11%	204.1	37.62%
Public Utilities Commission	95.0	18.20%	95.0	17.51%
Real Estate	55.9	10.71%	55.9	10.30%
Securities	24.0	4.60%	24.0	4.42%
FTE assessed indirects	521.9	100.00%	542.5	100.00%
FTE not assessed indirects				
Civil Rights - GF	20.6		0.0	
Civil Rights - FF	6.6		6.6	
Insurance - FF	2.0		2.0	
Executive Director's Office	29.5		29.5	
Department Total FTE	580.6		580.6	

2. The *Federal Funded Share of Indirects* is the calculation of the federal funded share based on the Department's, federally-allowed, indirect collectible rate of 15.6 percent. The statewide share is calculated as the proportion of the statewide indirect total relative to the sum of statewide indirects and the Executive Director's Office (EDO) personal services. **Table 2** summarizes the department's *Federal Funded Share of Indirects* for statewide and departmental indirects.

Table 2: Calculation of Federal Funded Share of Indirects				
Indirect Collectible Rate*			8.9%	
Statewide Indirects Total			\$357,238	
EDO Personal Services			2,312,290	
Statewide Indirects plus EDO PS			\$2,669,528	
Statewide Indirects Share Percentage**			13.4%	
Division	Personal Services	Indirects Collectible	Statewide Share	Departmental Share
Civil Rights	\$404,649	\$36,014	\$4,819	\$31,194
Insurance	370,234	32,951	4,410	28,541
Public Utilities Commission	197,316	17,561	2,350	15,211
Total		\$86,526	\$11,579	\$74,947
*Indirect Collectible Rate is calculated on base personal services.				
**Calculated using only base personal services of departmental indirects.				

3. The *Indirect Cost Recovery Pool* (indirect costs to recover) are indirect costs to be recovered by the Department and is comprised of statewide indirects and departmental indirects from the EDO excluding Sunset Office appropriations. The Sunset Office is excluded from overhead costs as it is a cash-funded, *program* office located within the EDO. The Department calculates the departmental indirect costs to recover using same budget year appropriations for the EDO that include personal services and the EDO share of compensation-related common policy line items and operating expenses and the EDO share of operating common policy line items. The Indirect Cost Recovery Pool is reduced by the federal funded share calculated in Table 2. **Table 3a** summarizes the *Indirect Cost Recovery Pool*, including the federal fund share and the Civil Rights Division General Fund offset. **Table 3b**, *Departmental Indirect Costs to Recover*, outlines which line items are included in the departmental *Indirect Cost Recovery Pool*.

Table 3a: Calculation of Indirect Costs to Recover				
	Indirect Costs	Federal Share	CF Indirects Remaining	
Statewide	\$ 357,238	\$ 11,579	\$ 345,659	
Departmental	3,724,927	74,947	3,649,980	
Total	\$ 4,082,165	\$ 86,526	\$ 3,995,639	

JBC Staff Figure Setting – FY 2013-14
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Table 3b: Calculation of Departmental Indirect Costs to Recover	
Executive Director's Office	
Personal Services	\$2,312,290
Health, Life and Dental	187,987
Short-term Disability	4,026
AED	74,686
SAED	70,018
Salary Survey	60,615
Merit Pay	25,100
Workers' Compensation	2,676
Operating Expenses	111,228
Legal Services	54,474
Purch of Svcs from Comp Center	-
Colorado State Network	23,672
Mgt and Admin of OIT	7,697
COFRS Modernization	7,238
Information Technology Security	1,048
Risk Management	8,637
IT Asset Maintenance	190,757
Leased Space	359,575
Hardware/Software Maintenance	<u>274,762</u>
EDO Subtotal	3,776,487
Sunset Office (non-DORA overhead)	(51,560)
Total Departmental Indirect Costs to Recover	\$3,724,927

4. The *Indirect Cost Assessment Base* is the calculation of indirect assessments by division and fund source and is based on the federal shares calculated in Table 2 and the product of the percentages by division FTE calculated in Table 1 and the cash fund indirects remaining in Table 3a. **Table 4** summarizes the department's *Indirect Cost Assessment Base*.

Table 4: Calculation of Indirect Assessments by Division and Fund Source							
Division	Statewide		Departmental		Subtotal by Fund		Total
	CF	FF	CF	FF	CF	FF	
Banking	\$26,492	\$0	\$279,746	\$0	\$306,238	\$0	\$306,238
Civil Rights	0	4,819	0	31,194	0	36,014	36,014
OCC	4,636	0	48,955	0	53,592	0	53,592
Fin Svcs	8,610	0	90,917	0	99,527	0	99,527
Insurance	54,905	4,410	579,773	28,541	634,678	32,951	667,629
Prof and Occ	135,177	0	1,427,402	0	1,562,579	0	1,562,579
PUC	62,919	2,350	664,396	15,211	727,315	17,561	744,876
Real Estate	37,023	0	390,944	0	427,968	0	427,968
Securities	15,895	0	167,847	0	183,743	0	183,743
Total	\$345,659	\$11,579	\$3,649,980	\$74,947	\$3,995,639	\$86,526	\$4,082,165

JBC Staff Figure Setting – FY 2013-14
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FY 2014-15 Indirect Cost Assessment Request vs. Pending Recommendation

For FY 2014-15 the Department's budget request included adjustments based on the statewide indirect cost plan, but does not include adjustments for departmental indirects. Staff's pending recommendation, which includes current staff recommendations and Department request for pending line items, compared to the Department's request is shown in the following table.

Table 5: Request vs. Figure-setting Pending							
Division	Request			Figure-setting Pending			Difference
	CF	FF	Total	CF	FF	Total	Total
Banking	\$309,452	\$0	\$309,452	\$306,238	\$0	\$306,238	(\$3,214)
Civil Rights	0	32,310	32,310	0	36,014	36,014	3,704
OCC	54,154	0	54,154	53,592	0	53,592	(562)
Fin Svcs	100,572	0	100,572	99,527	0	99,527	(1,045)
Insurance	641,339	32,848	674,187	634,678	32,951	667,629	(6,558)
Prof and Occ	1,474,540	0	1,474,540	1,562,579	0	1,562,579	88,039
PUC	734,949	17,932	752,881	727,315	17,561	744,876	(8,005)
Real Estate	378,306	0	378,306	427,968	0	427,968	49,662
Securities	185,670	0	185,670	183,743	0	183,743	(1,927)
Total	\$3,878,982	\$83,090	\$3,962,072	\$3,995,639	\$86,526	\$4,082,165	\$120,093

JBC Staff Staff Figure Setting - FY 2014-15
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Appendix A: Number Pages

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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DEPARTMENT OF REGULATORY AGENCIES
Barbara Kelley, Executive Director

(1) EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

The Executive Director's Office performs department-wide administrative functions including accounting, budgeting, and purchasing. The Office of Policy and Research conducts sunrise and sunset evaluations pursuant to section 24-34-104 (8), C.R.S.

Personal Services	<u>2,151,811</u>	<u>2,151,832</u>	<u>2,233,220</u>	<u>2,312,290</u>	<u>2,312,290</u>
FTE	28.0	27.5	29.5	29.5	29.5
General Fund	0	0	3,380	3,000	3,000
Cash Funds	16,228	37,360	44,020	70,660	70,660
Reappropriated Funds	2,135,583	2,114,472	2,185,820	2,238,630	2,238,630
Health, Life, and Dental	<u>2,873,888</u>	<u>3,231,165</u>	<u>3,716,294</u>	<u>3,699,832</u>	<u>3,699,832</u>
General Fund	92,463	115,010	133,384	96,445	96,445
Cash Funds	2,596,890	2,904,637	3,360,040	3,364,783	3,364,783
Reappropriated Funds	157,668	161,581	178,707	210,172	210,172
Federal Funds	26,867	49,937	44,163	28,432	28,432
Short-term Disability	<u>56,574</u>	<u>54,323</u>	<u>67,739</u>	<u>78,888</u>	<u>79,245</u>
General Fund	1,956	1,917	2,267	2,440	2,451
Cash Funds	49,889	48,874	61,078	70,951	71,273
Reappropriated Funds	4,325	3,136	3,796	4,893	4,915
Federal Funds	404	396	598	604	606

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
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	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
S.B. 04-257 Amortization Equalization					
Disbursement	<u>880,361</u>	<u>1,038,756</u>	<u>1,292,331</u>	<u>1,463,263</u>	<u>1,469,915</u>
General Fund	30,573	34,627	42,994	45,265	45,475
Cash Funds	789,205	936,163	1,165,567	1,315,803	1,321,748
Reappropriated Funds	54,187	55,693	72,112	90,978	91,420
Federal Funds	6,396	12,273	11,658	11,217	11,272
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>707,267</u>	<u>892,635</u>	<u>1,166,550</u>	<u>1,371,809</u>	<u>1,378,045</u>
General Fund	24,403	29,615	38,677	42,436	42,633
Cash Funds	634,182	804,564	1,052,248	1,233,565	1,239,138
Reappropriated Funds	43,543	47,909	65,101	85,292	85,706
Federal Funds	5,139	10,547	10,524	10,516	10,568
Salary Survey					
General Fund	<u>0</u>	<u>0</u>	<u>1,109,859</u>	<u>565,282</u>	<u>1,192,981</u>
Cash Funds	0	0	35,734	17,333	36,900
Reappropriated Funds	0	0	1,012,207	506,446	1,072,963
Federal Funds	0	0	45,397	36,943	73,998
Federal Funds	0	0	16,521	4,560	9,120
Merit Pay					
General Fund	<u>0</u>	<u>0</u>	<u>515,057</u>	<u>493,706</u>	<u>494,004</u>
Cash Funds	0	0	18,413	15,562	15,637
Reappropriated Funds	0	0	455,781	439,027	439,214
Federal Funds	0	0	35,392	34,729	34,744
Federal Funds	0	0	5,471	4,388	4,409

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
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	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
Workers' Compensation	<u>70,066</u>	<u>70,200</u>	<u>60,949</u>	<u>78,879</u>	<u>78,879</u> P
General Fund	2,328	2,236	1,941	2,667	2,667
Cash Funds	64,296	64,251	55,784	72,313	72,313
Reappropriated Funds	2,337	2,407	2,090	2,713	2,713
Federal Funds	1,105	1,306	1,134	1,186	1,186
Operating Expenses	<u>125,640</u>	<u>152,561</u>	<u>210,344</u>	<u>210,344</u>	<u>210,344</u>
General Fund	0	3,689	3,689	3,689	3,689
Cash Funds	18,984	66,100	95,427	95,427	95,427
Reappropriated Funds	106,656	82,772	111,228	111,228	111,228
Legal Services	<u>8,007,882</u>	<u>8,013,885</u>	<u>9,767,656</u>	<u>9,829,302</u>	<u>9,829,302</u> P
General Fund	153,464	156,586	186,557	189,647	189,647
Cash Funds	7,679,034	7,693,292	9,302,000	9,360,556	9,360,556
Reappropriated Funds	30,433	19,086	106,439	106,439	106,439
Federal Funds	144,951	144,921	172,660	172,660	172,660
Administrative Law Judge Services	<u>430,196</u>	<u>297,213</u>	<u>243,353</u>	<u>262,311</u>	<u>262,311</u> P
General Fund	19,819	13,441	11,005	11,863	11,863
Cash Funds	410,377	283,772	232,348	250,448	250,448
Purchase of Services from Computer Center	<u>1,718,802</u>	<u>1,883,611</u>	<u>1,642,373</u>	0	0 P
General Fund	60,624	70,270	63,346	0	0
Cash Funds	1,658,178	1,813,341	1,579,027	0	0
Colorado State Network	<u>149,825</u>	<u>348,448</u>	<u>203,964</u>	0	0 P
General Fund	5,385	13,728	7,954	0	0
Cash Funds	144,440	334,720	196,010	0	0
Reappropriated Funds	0	0	0	0	0

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
Management and Administration of OIT	<u>278,679</u>	<u>136,785</u>	<u>144,258</u>	<u>0</u>	<u>0</u> P
General Fund	9,957	5,389	5,626	0	0
Cash Funds	268,722	131,396	138,632	0	0
Reappropriated Funds	0	0	0	0	0
COFRS Modernization	<u>0</u>	<u>144,426</u>	<u>142,463</u>	<u>142,463</u>	<u>142,463</u> P
General Fund	0	3,016	3,016	3,016	3,016
Cash Funds	0	131,452	131,452	131,452	131,452
Reappropriated Funds	0	7,995	7,995	7,995	7,995
Federal Funds	0	1,963	0	0	0
Information Technology Security	<u>0</u>	<u>0</u>	<u>20,702</u>	<u>0</u>	<u>0</u> P
General Fund	0	0	807	0	0
Cash Funds	0	0	19,895	0	0
Payment to Risk Management and Property Funds	<u>106,459</u>	<u>183,033</u>	<u>199,878</u>	<u>254,577</u>	<u>254,577</u> P
General Fund	3,537	5,829	6,366	8,607	8,607
Cash Funds	97,694	167,525	182,942	233,387	233,387
Reappropriated Funds	3,550	6,276	6,854	8,755	8,755
Federal Funds	1,678	3,403	3,716	3,828	3,828
Vehicle Lease Payments	<u>184,182</u>	<u>221,253</u>	<u>203,988</u>	<u>224,824</u>	<u>224,824</u> *P
Cash Funds	184,182	221,253	203,988	224,824	224,824
Information Technology Asset Maintenance	<u>669,379</u>	<u>616,274</u>	<u>671,403</u>	<u>671,403</u>	<u>671,403</u>
Cash Funds	478,622	437,171	480,646	480,646	480,646
Reappropriated Funds	190,757	179,103	190,757	190,757	190,757

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
Leased Space	<u>2,906,477</u>	<u>2,997,721</u>	<u>3,067,398</u>	<u>3,150,891</u>	<u>3,150,891</u>
General Fund	106,866	106,866	93,609	96,244	96,244
Cash Funds	2,446,956	2,530,690	2,548,585	2,617,827	2,617,827
Reappropriated Funds	313,215	320,725	357,135	367,091	367,091
Federal Funds	39,440	39,440	68,069	69,729	69,729
Capitol Complex Leased Space	<u>6,098</u>	<u>4,787</u>	<u>5,339</u>	<u>4,626</u>	<u>4,626</u> P
Cash Funds	6,098	4,787	5,339	4,626	4,626
Hardware/Software Maintenance	<u>714,220</u>	<u>634,633</u>	<u>790,042</u>	<u>729,218</u>	<u>729,218</u>
General Fund	800	800	800	800	800
Cash Funds	454,823	375,231	530,640	469,816	469,816
Reappropriated Funds	258,597	258,602	258,602	258,602	258,602
Consumer Outreach/Education Program	<u>198,581</u>	<u>193,494</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>
Cash Funds	198,581	193,494	205,000	205,000	205,000
Payments to OIT	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,973,075</u>	<u>2,973,075</u> P
General Fund	0	0	0	124,967	124,967
Cash Funds	0	0	0	2,848,108	2,848,108
TOTAL - (1) Executive Director's Office and Administrative Services	22,236,387	23,267,035	27,680,160	28,721,983	29,363,225
FTE	<u>28.0</u>	<u>27.5</u>	<u>29.5</u>	<u>29.5</u>	<u>29.5</u>
General Fund	512,175	563,019	659,565	663,981	684,041
Cash Funds	18,197,381	19,180,073	23,058,656	23,995,665	24,574,209
Reappropriated Funds	3,300,851	3,259,757	3,627,425	3,755,217	3,793,165
Federal Funds	225,980	264,186	334,514	307,120	311,810

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(2) DIVISION OF BANKING					
The Division of Banking regulates state-chartered commercial and industrial banks, trust companies, debt adjusters, and money order companies; and conducts examinations of institutions and ensures institutions comply with the Public Deposit Protection Act. The Division is entirely cash funded by the Division of Banking Cash Fund, pursuant to section 11-102-403, C.R.S.					
Personal Services	<u>2,828,701</u>	<u>3,239,966</u>	<u>3,578,405</u>	<u>3,675,282</u>	<u>3,675,282</u>
FTE	36.1	41.1	40.0	40.0	40.0
Cash Funds	2,828,701	3,239,966	3,578,405	3,675,282	3,675,282
Operating Expenses	<u>494,995</u>	<u>489,328</u>	<u>490,703</u>	<u>490,703</u>	<u>490,703</u>
Cash Funds	494,995	489,328	490,703	490,703	490,703
Board Meeting Costs	<u>22,703</u>	<u>15,771</u>	<u>23,500</u>	<u>23,500</u>	<u>23,500</u>
Cash Funds	22,703	15,771	23,500	23,500	23,500
Indirect Cost Assessment	<u>301,494</u>	<u>261,032</u>	<u>303,520</u>	<u>309,452</u>	<u>309,452</u> P
Cash Funds	301,494	261,032	303,520	309,452	309,452
TOTAL - (2) Division of Banking	3,647,893	4,006,097	4,396,128	4,498,937	4,498,937
FTE	<u>36.1</u>	<u>41.1</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
Cash Funds	3,647,893	4,006,097	4,396,128	4,498,937	4,498,937

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(3) CIVIL RIGHTS DIVISION					
The Civil Rights Division enforces state laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex (gender), national origin, ancestry, physical or mental disability, religion, color, marital status, or sexual orientation.					
Personal Services	<u>1,580,235</u>	<u>1,593,380</u>	<u>1,593,380</u>	<u>1,664,972</u>	<u>1,854,264</u> *
FTE	26.9	24.3	27.0	27.2	27.2
General Fund	999,428	1,068,671	962,437	1,025,486	1,092,377
Reappropriated Funds	232,343	174,930	281,164	281,164	357,238
Federal Funds	348,464	349,779	349,779	358,322	404,649
Operating Expenses	<u>100,438</u>	<u>100,427</u>	<u>100,438</u>	<u>100,438</u>	<u>105,460</u> *
General Fund	59,318	59,307	59,318	59,318	62,284
Federal Funds	41,120	41,120	41,120	41,120	43,176
Hearings Pursuant to Complaint	<u>17,000</u>	<u>17,000</u>	<u>18,000</u>	<u>18,000</u>	<u>18,000</u>
General Fund	17,000	17,000	17,000	17,000	17,000
Federal Funds	0	0	1,000	1,000	1,000
Commission Meeting Costs	<u>5,174</u>	<u>5,113</u>	<u>12,374</u>	<u>12,374</u>	<u>12,374</u>
General Fund	5,174	5,113	5,174	5,174	5,174
Federal Funds	0	0	7,200	7,200	7,200
Indirect Cost Assessment	<u>35,191</u>	<u>58,107</u>	<u>31,641</u>	<u>32,310</u>	<u>32,310</u> P
Federal Funds	35,191	58,107	31,641	32,310	32,310
TOTAL - (3) Civil Rights Division	<u>1,738,038</u>	<u>1,774,027</u>	<u>1,755,833</u>	<u>1,828,094</u>	<u>2,022,408</u>
FTE	<u>26.9</u>	<u>24.3</u>	<u>27.0</u>	<u>27.2</u>	<u>27.2</u>
General Fund	1,080,920	1,150,091	1,043,929	1,106,978	1,176,835
Reappropriated Funds	232,343	174,930	281,164	281,164	357,238
Federal Funds	424,775	449,006	430,740	439,952	488,335

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(4) OFFICE OF CONSUMER COUNSEL					
The Office of Consumer Counsel represents the interests of residential, agricultural and small business consumers at electric, gas, and telecommunications utility rate and service proceedings before the Public Utility Commission. The Division is cash funded by the Public Utilities Commission Fixed Utility Fund pursuant to section 40-2-114, C.R.S.					
Personal Services	<u>728,909</u>	<u>742,106</u>	<u>792,953</u>	<u>816,711</u>	<u>816,711</u>
FTE	7.0	6.7	7.0	7.0	7.0
Cash Funds	728,909	742,106	792,953	816,711	816,711
Operating Expenses	<u>40,471</u>	<u>39,964</u>	<u>55,787</u>	<u>55,787</u>	<u>55,787</u>
Cash Funds	40,471	39,964	55,787	55,787	55,787
Indirect Cost Assessment	<u>44,431</u>	<u>45,681</u>	<u>53,116</u>	<u>54,154</u>	<u>54,154</u> P
Cash Funds	44,431	45,681	53,116	54,154	54,154
TOTAL - (4) Office of Consumer Counsel	813,811	827,751	901,856	926,652	926,652
FTE	<u>7.0</u>	<u>6.7</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>
Cash Funds	813,811	827,751	901,856	926,652	926,652

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(5) DIVISION OF FINANCIAL SERVICES					
The Division of Financial Services regulates state-chartered credit unions, savings and loans, and life care institutions; and conducts examinations of institutions to ensure continued compliance with regulatory standards. The Division is entirely cash funded by the Division of Financial Services Cash Fund pursuant to section 11-40-106 (2), C.R.S.					
Personal Services	<u>979,976</u>	<u>970,272</u>	<u>1,134,247</u>	<u>1,169,941</u>	<u>1,169,941</u>
FTE	12.3	12.1	13.0	13.0	13.0
Cash Funds	979,976	970,272	1,134,247	1,169,941	1,169,941
Operating Expenses	<u>110,024</u>	<u>108,149</u>	<u>161,788</u>	<u>131,788</u>	<u>131,788</u> *
Cash Funds	110,024	108,149	161,788	131,788	131,788
Indirect Cost Assessment	<u>95,208</u>	<u>84,836</u>	<u>98,644</u>	<u>100,572</u>	<u>100,572</u> P
Cash Funds	95,208	84,836	98,644	100,572	100,572
TOTAL - (5) Division of Financial Services	1,185,208	1,163,257	1,394,679	1,402,301	1,402,301
FTE	<u>12.3</u>	<u>12.1</u>	<u>13.0</u>	<u>13.0</u>	<u>13.0</u>
Cash Funds	1,185,208	1,163,257	1,394,679	1,402,301	1,402,301

*This line item includes a decision item.

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(6) DIVISION OF INSURANCE					
The Division of Insurance is responsible for licensing insurance agents and adjusters; and regulating insurance companies, non-profit hospitals, prepaid dental plans, health maintenance organizations, self-insurance pools for workers' compensation, bail bondsmen, and pre-need funeral contracts. Unless otherwise indicated, the Division is funded by the Division of Insurance Cash Fund pursuant to section 10-1-103 (3), C.R.S.					
Personal Services	<u>5,560,322</u>	<u>5,602,942</u>	<u>5,732,076</u>	<u>5,927,628</u>	<u>5,927,628</u>
FTE	77.7	76.4	83.0	82.9	82.9
Cash Funds	5,560,322	5,602,942	5,732,076	5,927,628	5,927,628
Operating Expenses	<u>274,058</u>	<u>253,115</u>	<u>291,716</u>	<u>291,716</u>	<u>291,716</u>
Cash Funds	274,058	253,115	291,716	291,716	291,716
Out-of-State Travel Expenses	<u>2,313</u>	<u>2,390</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
Cash Funds	2,313	2,390	100,000	100,000	100,000
Senior Health Counseling Program	<u>507,838</u>	<u>509,000</u>	<u>509,000</u>	<u>517,794</u>	<u>517,794</u> I
FTE	2.9	4.0	2.0	2.0	2.0
Federal Funds	507,838	509,000	509,000	517,794	517,794
Transfer to CAPCO Administration	<u>80,281</u>	<u>80,281</u>	<u>80,281</u>	<u>80,281</u>	<u>80,281</u> P
Cash Funds	80,281	80,281	80,281	80,281	80,281
Indirect Cost Assessment	<u>537,612</u>	<u>541,641</u>	<u>661,214</u>	<u>674,187</u>	<u>674,187</u> P
Cash Funds	537,612	541,641	629,046	641,339	641,339
Federal Funds	0	0	32,168	32,848	32,848
TOTAL - (6) Division of Insurance	6,962,424	6,989,369	7,374,287	7,591,606	7,591,606
FTE	80.6	80.4	85.0	84.9	84.9
Cash Funds	6,454,586	6,480,369	6,833,119	7,040,964	7,040,964
Federal Funds	507,838	509,000	541,168	550,642	550,642

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P - This line item is pending. The figure shown reflects the request amount.

I - This line item is included for informational purposes only.

JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(7) PUBLIC UTILITIES COMMISSION					
The Public Utilities Commission regulates the rates and services of fixed and transportation utilities in Colorado; and administers the Colorado Telecommunications High Cost Program, the Low-Income Telephone Assistance Program, and the Disabled Telephone Users Program.					
Personal Services	<u>8,055,031</u>	<u>7,906,391</u>	<u>8,673,610</u>	<u>8,935,997</u>	<u>8,935,997</u>
FTE	89.6	88.1	95.0	95.0	95.0
Cash Funds	8,055,031	7,906,391	8,673,610	8,935,997	8,935,997
Operating Expenses	<u>420,792</u>	<u>432,529</u>	<u>445,689</u>	<u>438,672</u>	<u>438,672</u>
Cash Funds	420,792	432,529	445,689	438,672	438,672
Expert Testimony	<u>20,881</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Cash Funds	20,881	25,000	25,000	25,000	25,000
Disabled Telephone Users Fund Payments	<u>1,900,542</u>	<u>1,787,555</u>	<u>1,900,542</u>	<u>1,900,542</u>	<u>1,900,542</u> I
Cash Funds	1,900,542	1,787,555	1,900,542	1,900,542	1,900,542
Transfer to Reading Services for the Blind Cash Fund	<u>250,000</u>	<u>350,000</u>	<u>350,000</u>	<u>350,000</u>	<u>350,000</u> P
Cash Funds	250,000	350,000	350,000	350,000	350,000
Commission for the Deaf and Hard of Hearing Cash Fund	<u>900,534</u>	<u>986,251</u>	<u>995,134</u>	<u>995,134</u>	<u>995,134</u> P
Cash Funds	900,534	986,251	995,134	995,134	995,134
Colorado Commission for Individuals who are Blind or Visually Impaired	<u>92,476</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Funds	92,476	0	0	0	0

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
Low Income Telephone Assistance	<u>725,548</u>	<u>541,674</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Funds	725,548	541,674	0	0	0
Colorado Bureau of Investigation Background					
Checks Pass-through	<u>38,157</u>	<u>78,052</u>	<u>104,377</u>	<u>104,377</u>	<u>104,377</u> P
Cash Funds	38,157	78,052	104,377	104,377	104,377
Indirect Cost Assessment	<u>641,705</u>	<u>648,936</u>	<u>738,422</u>	<u>752,881</u>	<u>752,881</u> P
Cash Funds	641,705	648,936	720,861	734,949	734,949
Federal Funds	0	0	17,561	17,932	17,932
TOTAL - (7) Public Utilities Commission	13,045,666	12,756,388	13,232,774	13,502,603	13,502,603
FTE	<u>89.6</u>	<u>88.1</u>	<u>95.0</u>	<u>95.0</u>	<u>95.0</u>
Cash Funds	13,045,666	12,756,388	13,215,213	13,484,671	13,484,671
Federal Funds	0	0	17,561	17,932	17,932

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(8) DIVISION OF REAL ESTATE					
The Division of Real Estate licenses real estate brokers, real estate appraisers, and mortgage brokers; and administers enforcement programs to ensure compliance with state and federal regulatory laws.					
Personal Services	<u>2,670,742</u>	<u>2,893,806</u>	<u>3,495,164</u>	<u>3,844,328</u>	<u>3,844,328</u>
FTE	45.3	45.2	52.4	55.9	55.9
Cash Funds	2,670,742	2,893,806	3,495,164	3,844,328	3,844,328
Operating Expenses	<u>138,920</u>	<u>177,836</u>	<u>241,232</u>	<u>244,557</u>	<u>244,557</u>
Cash Funds	138,920	177,836	241,232	244,557	244,557
Commission Meeting Costs	<u>29,097</u>	<u>23,885</u>	<u>38,836</u>	<u>38,836</u>	<u>38,836</u>
Cash Funds	29,097	23,885	38,836	38,836	38,836
Hearings Pursuant to Complaint	<u>0</u>	<u>799</u>	<u>4,000</u>	<u>4,000</u>	<u>4,000</u>
Cash Funds	0	799	4,000	4,000	4,000
Mortgage Broker Consumer Protection	<u>303,770</u>	<u>304,216</u>	<u>343,692</u>	<u>343,692</u>	<u>343,692</u> P
Cash Funds	303,770	304,216	343,692	343,692	343,692
Indirect Cost Assessment	<u>331,962</u>	<u>306,060</u>	<u>371,054</u>	<u>378,306</u>	<u>378,306</u> P
Cash Funds	331,962	306,060	371,054	378,306	378,306
TOTAL - (8) Division of Real Estate	3,474,491	3,706,602	4,493,978	4,853,719	4,853,719
FTE	45.3	45.2	52.4	55.9	55.9
Cash Funds	3,474,491	3,706,602	4,493,978	4,853,719	4,853,719

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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(9) DIVISION OF PROFESSIONS AND OCCUPATIONS

The Division of Professions and Occupations oversees boards and commissions that promulgate rules to ensure continued competency of regulated professionals; enforces laws; and takes action against individuals failing to follow laws and regulations.

Personal Services	<u>12,289,971</u>	<u>11,665,788</u>	<u>13,047,178</u>	<u>13,716,086</u>	<u>13,716,086</u>
FTE	181.4	179.0	194.8	199.1	199.1
Cash Funds	11,670,557	11,070,006	12,451,396	13,120,304	13,120,304
Reappropriated Funds	619,414	595,782	595,782	595,782	595,782
Operating Expenses	<u>1,409,055</u>	<u>1,204,798</u>	<u>1,498,305</u>	<u>1,480,869</u>	<u>1,480,869</u>
Cash Funds	1,409,055	1,204,798	1,498,305	1,480,869	1,480,869
Reappropriated Funds	0	0	0	0	0
Office of Expedited Settlement Program Costs	<u>350,988</u>	<u>355,565</u>	<u>361,397</u>	<u>379,120</u>	<u>379,120</u>
FTE	5.0	5.0	5.0	5.0	5.0
Cash Funds	350,988	355,565	361,397	379,120	379,120
Hearings Pursuant to Complaint	<u>257,530</u>	<u>236,926</u>	<u>307,075</u>	<u>307,075</u>	<u>307,075</u>
Cash Funds	257,530	236,926	307,075	307,075	307,075
Payments to Department of Health Care Policy and Financing	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>
Cash Funds	14,652	14,652	14,652	14,652	14,652
Indirect Cost Assessment	<u>1,219,303</u>	<u>1,230,109</u>	<u>1,446,275</u>	<u>1,474,540</u>	<u>1,474,540</u> P
Cash Funds	1,219,303	1,230,109	1,446,275	1,474,540	1,474,540

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
TOTAL - (9) Division of Professions and Occupations	15,541,499	14,707,838	16,674,882	17,372,342	17,372,342
<i>FTE</i>	<u>186.4</u>	<u>184.0</u>	<u>199.8</u>	<u>204.1</u>	<u>204.1</u>
Cash Funds	14,922,085	14,112,056	16,079,100	16,776,560	16,776,560
Reappropriated Funds	619,414	595,782	595,782	595,782	595,782

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
(10) DIVISION OF SECURITIES					
The Division of Securities monitors the conduct of Colorado broker-dealers and sales representatives; and investigates citizen complaints and other indications of investment fraud. The Division is funded by the Division of Securities Cash Fund pursuant to section 11-51-707 (2), C.R.S.					
Personal Services	<u>2,084,691</u>	<u>2,021,493</u>	<u>2,136,379</u>	<u>2,202,907</u>	<u>2,202,907</u>
FTE	23.1	24.3	24.0	24.0	24.0
Cash Funds	2,084,691	2,021,493	2,136,379	2,202,907	2,202,907
Operating Expenses	<u>72,322</u>	<u>44,925</u>	<u>58,999</u>	<u>58,999</u>	<u>58,999</u>
Cash Funds	72,322	44,925	58,999	58,999	58,999
Hearings Pursuant to Complaint	<u>17,100</u>	<u>15,844</u>	<u>19,594</u>	<u>19,594</u>	<u>19,594</u>
Cash Funds	17,100	15,844	19,594	19,594	19,594
Board Meeting Costs	<u>2,071</u>	<u>1,828</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Cash Funds	2,071	1,828	4,500	4,500	4,500
Securities Fraud Prosecution	<u>720,637</u>	<u>718,835</u>	<u>878,551</u>	<u>878,551</u>	<u>878,551</u> P
Cash Funds	720,637	718,835	878,551	878,551	878,551
Indirect Cost Assessment	<u>165,028</u>	<u>156,619</u>	<u>182,112</u>	<u>185,670</u>	<u>185,670</u> P
Cash Funds	165,028	156,619	182,112	185,670	185,670
TOTAL - (10) Division of Securities	3,061,849	2,959,544	3,280,135	3,350,221	3,350,221
FTE	<u>23.1</u>	<u>24.3</u>	<u>24.0</u>	<u>24.0</u>	<u>24.0</u>
Cash Funds	3,061,849	2,959,544	3,280,135	3,350,221	3,350,221

*This line item includes a decision item.

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JBC Staff Staff Figure Setting - FY 2014-15
Staff Working Document - Does Not Represent Committee Decision

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
TOTAL - Department of Regulatory Agencies	71,707,266	72,157,908	81,184,712	84,048,458	84,884,014
<i>FTE</i>	<u>535.3</u>	<u>533.7</u>	<u>572.7</u>	<u>580.6</u>	<u>580.6</u>
General Fund	1,593,095	1,713,110	1,703,494	1,770,959	1,860,876
Cash Funds	64,802,970	65,192,137	73,652,864	76,329,690	76,908,234
Reappropriated Funds	4,152,608	4,030,469	4,504,371	4,632,163	4,746,185
Federal Funds	1,158,593	1,222,192	1,323,983	1,315,646	1,368,719

*This line item includes a decision item.

P - This line item is pending. The figure shown reflects the request amount.