

**COLORADO GENERAL ASSEMBLY
JOINT BUDGET COMMITTEE**



**FY 2016-17 STAFF FIGURE SETTING
DEPARTMENT OF REGULATORY AGENCIES**

**JBC Working Document - Subject to Change
Staff Recommendation Does Not Represent Committee Decision**

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How to Use this Document

The Department Overview contains a table summarizing the staff recommended incremental changes followed by brief explanations of each incremental change. A similar overview table is provided for each division, but the description of incremental changes is not repeated, since it is available under the Department Overview. More details about the incremental changes are provided in the sections following the Department Overview and the division summary tables.

Decision items, both department-requested items and staff-initiated items, are discussed either in the Decision Items Affecting Multiple Divisions or at the beginning of the most relevant division. Within a section, decision items are listed in the requested priority order, if applicable.

Department Overview

The Department's mission is broadly defined as consumer protection, that is carried out through regulatory programs that license, establish standards, approve rates, investigate complaints, and conduct enforcement through 38 boards, commissions, and advisory committees across more than 50 professions, occupations, programs, and institutions. The Department is organized in 10 predominantly cash-funded divisions as follows:

- The **Divisions of Banking, Financial Services and Securities** regulate state-chartered financial services institutions including banks, trust companies, credit unions and money transmitters, investment advisers, securities broker-dealers, and sales representatives.
- The **Civil Rights Division** administers and enforces Colorado's civil rights laws.
- The **Division of Insurance** regulates and licenses life, health, property and casualty, and other types of insurance companies and agents.
- The **Public Utilities Commission (PUC)** regulates the providers of public utilities in energy and telecommunications and specified industries that provide transportation for hire directly to consumers. The **Office of Consumer Counsel (OCC)** represents the interests of residential, small business and agricultural consumers on utility matters before the PUC.
- The **Division of Real Estate** licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.
- The **Division of Professions and Occupations**, formerly known as the Division of Registrations, oversees regulation of 347,000 licensees in 53 professions, occupations, and entities.
- The **Office of Policy and Research (OPR)** in the **Executive Director's Office (EDO)** provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly.

SUMMARY OF STAFF RECOMMENDATIONS

Department of Regulatory Agencies						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$88,429,145	\$1,923,405	\$80,144,441	\$4,875,289	\$1,486,010	583.6
H.B. 16-1249 (Supplemental)	332,395	0	332,395	0	0	1.9
Other legislation	<u>148,422</u>	<u>0</u>	<u>148,422</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$88,909,962	\$1,923,405	\$80,625,258	\$4,875,289	\$1,486,010	585.5
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$88,909,962	\$1,923,405	\$80,625,258	\$4,875,289	\$1,486,010	585.5
R1 Annualize terms of new lease agreement	(2,405,433)	(97,037)	(1,995,015)	(272,917)	(40,464)	0.0
NPI FY 2016-17 Secure Colorado	19,193	0	19,193	0	0	0.0
NPI Annual fleet vehicle request	17,980	0	17,980	0	0	0.0
NPI Resources for administrative courts	11,794	533	11,261	0	0	0.0
NBA Risk management adjustments	1,356	46	1,243	47	20	0.0
Indirect cost assessment	8,198	0	22,066	0	(13,868)	0.0
Centrally appropriated line items	(1,107,781)	(53,828)	(1,055,690)	17,674	(15,937)	0.0
Annualize prior year legislation	(110,225)	0	(110,225)	0	0	0.0
Annualize prior year funding	<u>(88,154)</u>	<u>4,446</u>	<u>(86,785)</u>	<u>(5,814)</u>	<u>(1)</u>	<u>0.0</u>
TOTAL	\$85,256,890	\$1,777,565	\$77,449,286	\$4,614,279	\$1,415,760	585.5
Increase/(Decrease)	(\$3,653,072)	(\$145,840)	(\$3,175,972)	(\$261,010)	(\$70,250)	0.0
Percentage Change	(4.1%)	(7.6%)	(3.9%)	(5.4%)	(4.7%)	0.0%
FY 2016-17 Executive Request	\$85,155,855	\$1,777,565	\$77,348,251	\$4,614,279	\$1,415,760	585.5
Request Above/(Below) Recommendation	(\$101,035)	\$0	(\$101,035)	\$0	\$0	(0.0)

Description of Incremental Changes

R1 Annualize terms of new lease agreement: The recommendation includes a reduction of \$2,405,433 total funds, including \$97,037 General Fund, \$1,995,015 cash funds, \$272,917 reappropriated funds, and \$40,464 federal funds. The overall reduction will result in an appropriation of \$788,208 cash funds for the Leased Space line item in the Department of Regulatory Agencies Executive Director's Office. The Department has signed a new 10-year lease from FY 2016-17 through FY 2026-27 at their current location of 1560 Broadway in Denver that includes significant cost savings in the first year of the lease.

NPI FY 2016-17 Secure Colorado: The recommendation is to apply the JBC's common policy, which was pending at the time of this presentation. The Department requests an increase of \$19,193 Cash Funds for FY 2016-17 to cover the Department's share of the Office of Information Technology's implementation of advanced security event analytics capabilities. The table reflects the requested amount.

NPI Annual fleet vehicle request: The recommendation is to apply the JBC's common policy, which was pending at the time of this presentation. The Department requests an increase of \$17,980 total funds for the annual fleet vehicle adjustments. This line item is set during the Department of Personnel figure setting. The table reflects the requested amount.

NPI Resources for administrative courts: The recommendation is to apply the JBC's common policy, which was pending at the time of this presentation. The request includes an increase of \$11,794 total funds for the Administrative Law Judge Services line item adjustment. This line item is set during the Department of Personnel figure setting. The table reflects the requested amount.

NBA Risk management adjustments: The recommendation is to apply the JBC's common policy, which was pending at the time of this presentation. The request includes an increase of \$1,356 total funds for the Workers' Compensation line item adjustment. This line item is set during the Department of Personnel figure setting. The table reflects the requested amount.

Indirect cost assessment adjustments: The recommendation includes an \$8,198 increase in total funds that reflects adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan.

Centrally appropriated line items: The recommendation is to apply the JBC's common policy, which was pending at the time of this presentation. The recommendation includes adjustments to centrally appropriated line items for the following: state contributions for health, life, and dental benefits; merit pay; salary survey; short-term disability; supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund; workers' compensation; administrative law judges; payment to risk management and property funds; and leased space and Capitol complex leased space.

Annualize prior year legislation: The recommendation includes a reduction of \$110,225 cash funds related to prior year legislation.

Annualize prior year funding: The recommendation includes adjustments related to prior year budget actions.

Major Differences from the Request

The most significant difference between the Department's requested appropriation and staff's recommended appropriation is in the leased space line item. An analysis of this request and an explanation of the difference are provided in the Decision Items portion of the Executive Director's Office section of this document.

Decision Items Affecting Multiple Divisions

None.

(1) Executive Director's Office

Provide a brief description of the function of the division and the major sources of funding.

Executive Director's Office and Administrative Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$31,103,220	\$763,358	\$26,116,306	\$3,864,916	\$358,640	29.5
H.B. 16-1249 (Supplemental)	204,402	0	204,402	0	0	0.0
Other legislation	<u>20,658</u>	<u>0</u>	<u>20,658</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$31,328,280	\$763,358	\$26,341,366	\$3,864,916	\$358,640	29.5
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$31,328,280	\$763,358	\$26,341,366	\$3,864,916	\$358,640	29.5
R1 Annualize terms of new lease agreement	(2,405,433)	(97,037)	(1,995,015)	(272,917)	(40,464)	0.0
NPI FY 2016-17 Secure Colorado	19,193	0	19,193	0	0	0.0
NPI Annual fleet vehicle request	17,980	0	17,980	0	0	0.0
NPI Resources for administrative courts	11,794	533	11,261	0	0	0.0
NBA Risk management adjustments	1,356	46	1,243	47	20	0.0
Centrally appropriated line items	(1,107,781)	(38,696)	(1,055,690)	2,542	(15,937)	0.0
Annualize prior year funding	(798,716)	(24,703)	(758,491)	(5,814)	(9,708)	0.0
Annualize prior year legislation	<u>(24,834)</u>	<u>0</u>	<u>(24,834)</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$27,041,839	\$603,501	\$22,557,013	\$3,588,774	\$292,551	29.5
Increase/(Decrease)	(\$4,286,441)	(\$159,857)	(\$3,784,353)	(\$276,142)	(\$66,089)	0.0
Percentage Change	(13.7%)	(20.9%)	(14.4%)	(7.1%)	(18.4%)	0.0%
FY 2016-17 Executive Request:	\$26,940,804	\$603,501	\$22,455,978	\$3,588,774	\$292,551	29.5
Request Above/(Below) Recommendation	(\$101,035)	\$0	(\$101,035)	\$0	\$0	0.0

DECISION ITEMS - EXECUTIVE DIRECTOR'S OFFICE

R1 Annualize terms of new lease agreement

Request: The Department requests a reduction of \$2,374,171 total funds, including \$97,037 General Fund, \$1,964,299 Cash Funds, \$272,917 Reappropriated Funds, and \$40,464 Federal Funds for its Leased Space line item. The Department has signed a new 10-year lease from FY 2016-17 through FY 2026-27 at their current location of 1560 Broadway in Denver, which includes significant cost savings in the first year of the lease.

Recommendation: Staff recommends a reduction of \$2,405,433 total funds, including \$97,037 General Fund, \$1,995,015 cash funds, \$272,917 reappropriated funds, and \$40,464 federal funds. The reduction will result in a total appropriation of \$788,208 cash funds.

Analysis: The Department occupies consolidated space at 1560 Broadway in Denver with a present total of 159,916 square feet. The Department no longer utilizes satellite locations

throughout the state, with the exception a small amount of federally funded satellite space that exists in Pueblo for the Division of Civil Rights. The Department is presently in the last year of a 10-year contract for this lease, which will expire June 30, 2016.

In concert with the Department of Personnel and Administration's State Buildings and Real Estate Programs and a State-designated commercial realtor, the Department conducted numerous site visits to various office spaces in the Denver Metro area, receiving quotes for these spaces ranging from the mid-\$20s to the low-\$30s per square foot per year. Other Denver locations considered were 101 W. Colfax and 1801 California Street, as well as office space in the Denver Technological Center. However, moving to another location would have cost more than the difference in lease rates offered, due to the need to build-out and reconfigure any new premises. The Department's realtor estimated these costs would have been at least \$3 million.

NEW LEASE TERMS AND COSTS

The estimated 10-year cost of the new lease is \$47.8 million. The new lease covers 165,764 square feet of office space, a 5,763 square foot increase over the current lease. The increased square footage does not represent an increase in usable office space; rather, it is a result of changes in the Building Owners and Managers Association's methodology for calculating square footage. The 10-year average lease rate is \$28.84 per square foot per year, which is on par with current annual rates in the same building. The Department negotiated a \$3.4 million tenant improvement allowance, with the ability to utilized part of that allowance as a rent credit in the first five years of the lease. The Department intends to maximize the utilization of this rent credit, resulting in a reduction of \$5.88 per square foot per year for five years. When the rent credit expires at the beginning of the sixth year of the lease (FY 2021-22), the annual square footage rate will increase significantly, as the table below shows.

10-Year Anticipated Leased Space Line Item Appropriation					
Fiscal Year	Gross Rate*	Gross Annual Cost	Rent Credit per Sq. Ft.	Effective Rate	Total Required Appropriation
2016-17**	\$24.90	\$1,031,881	(\$5.88)	\$19.02	\$788,208
2017-18	25.99	4,308,206	(5.88)	20.11	3,333,514
2018-19	27.12	4,495,520	(5.88)	21.24	3,520,827
2019-20	28.29	4,689,464	(5.88)	22.41	3,714,771
2020-21	29.51	4,891,696	(5.88)	23.63	3,917,003
2021-22	30.78	5,102,216	0	30.78	5,102,216
2022-23	32.11	5,322,682	0	32.11	5,322,682
2023-24	33.49	5,551,436	0	33.49	5,551,436
2024-25	34.93	5,790,137	0	34.93	5,790,137
2025-26	36.44	6,040,440	0	36.44	6,040,440
2026-27**	38.02	<u>4,726,760</u>	0	38.02	<u>4,726,760</u>
Total		\$51,950,438			\$47,807,995
*Rates include base rate of \$16.50/SF escalating at \$0.50 annually, plus estimated operating expenses in each year					
**Only 3 months' occupancy in FY 16-17 and 9 months' occupancy in FY 26-27 estimated here due to rent abatement in Year 1					

The first year of the new lease offers significant savings compared to FY 2015-16

appropriations, allowing the Department to completely cut General Fund appropriations for the Leased Space line item in FY 2016-17. The FY 2016-17 savings are approximately \$2.4 million, including reductions of \$97,037 General Fund, \$1,995,015 Cash Funds, \$272,917 Reappropriated Funds, and \$40,464 Federal Funds. These savings are a result of the negotiated lease terms, which include the rent credit mentioned above and nine months free rent for FY 2016-17. However, it should be noted that the cost of the lease will increase by approximately \$2.5 million in FY 2017-18 because the Department must make a full year's worth of payments.

Lease Rate and Operating Cost Calculations

The Department's base rate is \$16.50 per square foot per year. This rate increases by \$0.50 per year, an escalation viewed as reasonable and standard by the Department and its realtor. The Department will also be responsible for paying its pro-rata share of the buildings operating costs, which is conservatively estimated at \$7.85 per square foot in FY 2016-17. It is worth emphasizing that the ***operating costs rate is an estimate*** and the actual rate may be lower or higher; however, the Department has erred on the high end of the estimated costs. The lease stipulates that the Department's operating expenses may increase by no more than 7.0 percent above the prior year, regardless of actual operating costs of the building. In order to ensure that there are sufficient appropriations, the first year's lease rate includes a 7.0 percent increase to the base operating cost estimate.

The following table details the methodology used by staff to calculate the new lease's annual rate per square foot and shows the incremental increase in the net lease rate over the 10-year term of the lease.

Anticipated Lease Annual Rate Schedule						
Fiscal Year	Base Rate	Base Rate Increase	Operating Cost Base Rate	Op. Cost w/ 7.0 percent increase cap	Rent Credit	Net Lease Rate
	<i>A</i>	<i>B</i>	<i>C</i>	<i>E</i>	<i>F</i>	<i>A+B+E-F</i>
2016-17	\$16.50	\$0.00	\$7.85	\$8.40	\$5.88	\$19.02
2017-18	16.50	0.50	7.85	8.99	5.88	20.11
2018-19	16.50	1.00	7.85	9.62	5.88	21.24
2019-20	16.50	1.50	7.85	10.29	5.88	22.41
2020-21	16.50	2.00	7.85	11.01	5.88	23.63
2021-22	16.50	2.50	7.85	11.78	0	30.78
2022-23	16.50	3.00	7.85	12.61	0	32.11
2023-24	16.50	3.50	7.85	13.49	0	33.49
2024-25	16.50	4.00	7.85	14.43	0	34.93
2025-26	16.50	4.50	7.85	15.44	0	36.44
2026-27	\$16.50	\$5.00	\$7.85	\$16.52	\$ 0	\$38.02

The Department's request of \$818,925 Cash Funds includes \$91,087 for the 7.0 percent annual cap on the increase of operating costs. This operating cost amount is for a full 12 months. However, the Department negotiated nine months of free rent in FY 2016-17, so that figure should only be calculated for the three months the Department is paying rent. The FY 2016-17 net lease rate includes the Base Rate (A) plus the operating cost with the 7.0 percent cap (E)

minus the rent credit (F). This rate is then multiplied by the number of months the Department will pay rent in FY 2016-17 (3 months) and by the total square footage of the Department's leased space (165,764 sq. ft.), which results in staff's recommended appropriation of \$788,208 Cash Funds.

➔ **NPI FY 2016-17 Secure Colorado**

Request: The Department requests an increase of \$19,193 cash funds for FY 2016-17 to cover the Department's share of the Office of Information Technology's implementation of advanced security event analytics capabilities.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this request. This request item will be addressed in separate staff figure setting for the Governor's Office.

➔ **NPI Annual fleet vehicle request**

Request: The Department requests an increase of \$17,980 cash funds for annual vehicle lease payments.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this request. This request item will be addressed in separate staff figure setting for the Department of Personnel and Administration.

➔ **NPI Resources for administrative courts**

Request: The Department requests an increase of \$11,794 total funds, including \$533 General Fund and \$11,261 cash funds for the purchase of administrative law services.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this request. This request item will be addressed in separate staff figure setting for the Department of Personnel and Administration.

➔ **NBA Risk management adjustments**

Request: The Department requests an increase of \$1,356 total funds, including \$46 General Fund, for an adjustment to workers' compensation.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this request. This request item will be addressed in separate staff figure setting for the Department of Personnel and Administration.

LINE ITEM DETAIL – EXECUTIVE DIRECTOR'S OFFICE

Personal Services

This line funds the Executive Director, accounting, budget, purchasing, human resources, and Office of Policy and Research staff.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Statutory Authority: Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

Request: The Department requests an appropriation of \$2,430,854 total funds, including \$34,875 General Fund, \$30,500 cash funds, and \$2,365,479 reappropriated funds, and 29.5 FTE.

Recommendation: **Staff recommends approval of the request** and the fund splits included in the following table, but requests permission to make adjustments if necessary for indirect cost recoveries.

Executive Director's Office and Administrative Services, Personal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$2,385,638</u>	<u>\$8,800</u>	<u>\$67,000</u>	<u>\$2,309,838</u>	<u>29.5</u>
TOTAL	\$2,385,638	\$8,800	\$67,000	\$2,309,838	29.5
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$2,385,638	\$8,800	\$67,000	\$2,309,838	29.5
Annualize prior year funding	45,216	0	0	45,216	0.0
Centrally appropriated line items	<u>0</u>	<u>26,075</u>	<u>(36,500)</u>	<u>10,425</u>	<u>0.0</u>
TOTAL	\$2,430,854	\$34,875	\$30,500	\$2,365,479	29.5
Increase/(Decrease)	\$45,216	\$26,075	(\$36,500)	\$55,641	0.0
Percentage Change	1.9%	296.3%	(54.5%)	2.4%	0.0%
FY 2016-17 Executive Request:	\$2,430,854	\$34,875	\$30,500	\$2,365,479	29.5
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Health, Life, and Dental

This line funds the Department's share of the state's group health, life and dental insurance plans for state employees. Health, life, and dental is appropriated to the Executive Director's Office and distributed as needed to the divisions.

Statutory Authority: Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (9), C.R.S.

Request: The Department requests an appropriation of \$4,186,649 total funds, including \$119,053 General Fund, \$3,790,660 cash funds, \$236,639 reappropriated funds, and \$40,297 federal funds.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, Health, Life, and Dental					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$4,235,452</u>	<u>\$121,741</u>	<u>\$3,826,198</u>	<u>\$240,598</u>	<u>\$46,915</u>
TOTAL	\$4,235,452	\$121,741	\$3,826,198	\$240,598	\$46,915
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$4,235,452	\$121,741	\$3,826,198	\$240,598	\$46,915
Centrally appropriated line items	<u>(48,803)</u>	<u>(2,688)</u>	<u>(35,538)</u>	<u>(3,959)</u>	<u>(6,618)</u>
TOTAL	\$4,186,649	\$119,053	\$3,790,660	\$236,639	\$40,297
Increase/(Decrease)	(\$48,803)	(\$2,688)	(\$35,538)	(\$3,959)	(\$6,618)
Percentage Change	(1.2%)	(2.2%)	(0.9%)	(1.6%)	(14.1%)
FY 2016-17 Executive Request:	\$4,186,649	\$119,053	\$3,790,660	\$236,639	\$40,297
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Short-term Disability

This line item funds the Department's share of the state's short-term disability program which is administered by the Department of Personnel.

Statutory Authority: Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (9), C.R.S.

Request: The Department requests an appropriation of \$68,255 total funds, including \$2,000 General Fund, \$61,826 cash funds, \$3,925 reappropriated funds, and \$504 federal funds.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

Executive Director's Office and Administrative Services, Short-term Disability					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$81,112</u>	<u>\$2,622</u>	<u>\$72,507</u>	<u>\$5,031</u>	<u>\$952</u>
TOTAL	\$81,112	\$2,622	\$72,507	\$5,031	\$952
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$81,112	\$2,622	\$72,507	\$5,031	\$952
Centrally appropriated line items	<u>(12,857)</u>	<u>(622)</u>	<u>(10,681)</u>	<u>(1,106)</u>	<u>(448)</u>
TOTAL	\$68,255	\$2,000	\$61,826	\$3,925	\$504
Increase/(Decrease)	(\$12,857)	(\$622)	(\$10,681)	(\$1,106)	(\$448)
Percentage Change	(15.9%)	(23.7%)	(14.7%)	(22.0%)	(47.1%)
FY 2016-17 Executive Request:	\$68,255	\$2,000	\$61,826	\$3,925	\$504
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

S.B. 04-257 Amortization Equalization Disbursement

Pursuant to S.B. 04-257, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Statutory Authority: Section 24-51-411, C.R.S.

Request: The Department requests an appropriation of \$1,729,990 total funds, including \$50,621 General Fund, \$1,567,171 cash funds, \$99,342 reappropriated funds, and \$12,856 federal funds.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

Executive Director's Office and Administrative Services, S.B. 04-257 Amortization Equalization Disbursement					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$1,644,105</u>	<u>\$53,114</u>	<u>\$1,469,588</u>	<u>\$102,083</u>	<u>\$19,320</u>
TOTAL	\$1,644,105	\$53,114	\$1,469,588	\$102,083	\$19,320
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$1,644,105	\$53,114	\$1,469,588	\$102,083	\$19,320
Centrally appropriated line items	<u>85,885</u>	<u>(2,493)</u>	<u>97,583</u>	<u>(2,741)</u>	<u>(6,464)</u>
TOTAL	\$1,729,990	\$50,621	\$1,567,171	\$99,342	\$12,856
Increase/(Decrease)	\$85,885	(\$2,493)	\$97,583	(\$2,741)	(\$6,464)
Percentage Change	5.2%	(4.7%)	6.6%	(2.7%)	(33.5%)
FY 2016-17 Executive Request:	\$1,729,990	\$50,621	\$1,567,171	\$99,342	\$12,856
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

S.B. 06-235 Supplemental Amortization Equalization Disbursement

Pursuant to S.B. 06-235, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

Statutory Authority: Section 24-51-411, C.R.S.

Request: The Department requests an appropriation of \$1,711,969 total funds, including \$50,094 General Fund, \$1,550,846 cash funds, \$98,307 reappropriated funds, and \$12,722 federal funds.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, S.B. 06-235 Supplemental Amortization Equalization Disbursement					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$1,588,056</u>	<u>\$51,303</u>	<u>\$1,419,489</u>	<u>\$98,603</u>	<u>\$18,661</u>
TOTAL	\$1,588,056	\$51,303	\$1,419,489	\$98,603	\$18,661
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$1,588,056	\$51,303	\$1,419,489	\$98,603	\$18,661
Centrally appropriated line items	<u>123,913</u>	<u>(1,209)</u>	<u>131,357</u>	<u>(296)</u>	<u>(5,939)</u>
TOTAL	\$1,711,969	\$50,094	\$1,550,846	\$98,307	\$12,722
Increase/(Decrease)	\$123,913	(\$1,209)	\$131,357	(\$296)	(\$5,939)
Percentage Change	7.8%	(2.4%)	9.3%	(0.3%)	(31.8%)
FY 2016-17 Executive Request:	\$1,711,969	\$50,094	\$1,550,846	\$98,307	\$12,722
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Salary Survey

This line item provides funding to pay for annual increases for salary survey.

Statutory Authority: Section 24-50-104 (1) (a), C.R.S.

Request: The Department requests an appropriation of \$53,521 total funds, including \$51,472 cash funds and \$2,049 federal funds.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

Executive Director's Office and Administrative Services, Salary Survey					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$414,720</u>	<u>\$12,882</u>	<u>\$370,833</u>	<u>\$25,724</u>	<u>\$5,281</u>
TOTAL	\$414,720	\$12,882	\$370,833	\$25,724	\$5,281
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$414,720	\$12,882	\$370,833	\$25,724	\$5,281
Centrally appropriated line items	53,521	0	51,472	0	2,049
Annualize prior year funding	<u>(414,720)</u>	<u>(12,882)</u>	<u>(370,833)</u>	<u>(25,724)</u>	<u>(5,281)</u>
TOTAL	\$53,521	\$0	\$51,472	\$0	\$2,049
Increase/(Decrease)	(\$361,199)	(\$12,882)	(\$319,361)	(\$25,724)	(\$3,232)
Percentage Change	(87.1%)	(100.0%)	(86.1%)	(100.0%)	(61.2%)
FY 2016-17 Executive Request:	\$53,521	\$0	\$51,472	\$0	\$2,049
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Merit Pay

This line item provides funding to pay for performance-based pay increases related to employee performance and evaluations.

Statutory Authority: Section 24-50-104 (1) (c), C.R.S.

Request: The Department requests no appropriation for this line item.

Recommendation: **Staff recommends approval of the request**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

Executive Director's Office and Administrative Services, Merit Pay					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$363,212	\$11,821	\$321,658	\$25,306	\$4,427
TOTAL	\$363,212	\$11,821	\$321,658	\$25,306	\$4,427
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$363,212	\$11,821	\$321,658	\$25,306	\$4,427
Annualize prior year funding	(363,212)	(11,821)	(321,658)	(25,306)	(4,427)
TOTAL	\$0	\$0	\$0	\$0	\$0
Increase/(Decrease)	(\$363,212)	(\$11,821)	(\$321,658)	(\$25,306)	(\$4,427)
Percentage Change	(100.0%)	(100.0%)	(100.0%)	(100.0%)	(100.0%)
FY 2016-17 Executive Request:	\$0	\$0	\$0	\$0	\$0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Workers' Compensation

This line item is used to pay the Department's share of the state's workers' compensation program administered by the Department of Personnel.

Statutory Authority: Section 24-30-1510.7, C.R.S.

Request: The Department requests an appropriation of \$112,706 total funds, including \$3,811 General Fund, \$103,325 cash funds, \$3,876 reappropriated funds, and \$1,694 federal funds.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, Workers' Compensation					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$85,123</u>	<u>\$2,878</u>	<u>\$78,038</u>	<u>\$2,927</u>	<u>\$1,280</u>
TOTAL	\$85,123	\$2,878	\$78,038	\$2,927	\$1,280
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$85,123	\$2,878	\$78,038	\$2,927	\$1,280
Centrally appropriated line items	26,227	887	24,044	902	394
NBA Risk management adjustments	<u>1,356</u>	<u>46</u>	<u>1,243</u>	<u>47</u>	<u>20</u>
TOTAL	\$112,706	\$3,811	\$103,325	\$3,876	\$1,694
Increase/(Decrease)	\$27,583	\$933	\$25,287	\$949	\$414
Percentage Change	32.4%	32.4%	32.4%	32.4%	32.3%
FY 2016-17 Executive Request:	\$112,706	\$3,811	\$103,325	\$3,876	\$1,694
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

Request: The Department requests a continuation appropriation of \$210,344 total funds, including \$3,689 General Fund, \$95,427 cash funds, and \$111,228 reappropriated funds.

Recommendation: **Staff recommends approval of the request.**

Legal Services

This line item is used to pay the Department of Law for the provision of legal services to all divisions.

Statutory Authority: Sections 24-31-101 (1) (a), C.R.S., and defined in Section 24-75-112 (1) (i), C.R.S.

Request: The Department requests an appropriation of \$10,565,393 total funds for 109,883 hours, an increase of 28 hours over the FY 2015-16 Long Bill.

Recommendation: **Staff recommends approving funding sufficient to purchase 109,883 hours of legal services.** The requested number of hours is consistent with annual appropriations since FY 2011-12. The number of legal services purchased by the Department has ranged from 94,212 to 109,858 over the last five years, with an average of 99,225. The associated appropriation will be calculated after the Committee sets the common policy for the legal services rate.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, Legal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$10,437,650	\$206,764	\$9,946,384	\$103,547	\$180,955
Other legislation	20,658	0	20,658	0	0
H.B. 16-1249 (Supplemental)	<u>6,651</u>	<u>0</u>	<u>6,651</u>	<u>0</u>	<u>0</u>
TOTAL	\$10,464,959	\$206,764	\$9,973,693	\$103,547	\$180,955
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$10,464,959	\$206,764	\$9,973,693	\$103,547	\$180,955
Centrally appropriated line items	125,268	2,481	119,374	1,242	2,171
Annualize prior year legislation	<u>(24,834)</u>	<u>0</u>	<u>(24,834)</u>	<u>0</u>	<u>0</u>
TOTAL	\$10,565,393	\$209,245	\$10,068,233	\$104,789	\$183,126
Increase/(Decrease)	\$100,434	\$2,481	\$94,540	\$1,242	\$2,171
Percentage Change	1.0%	1.2%	0.9%	1.2%	1.2%
FY 2016-17 Executive Request:	\$10,565,393	\$209,245	\$10,068,233	\$104,789	\$183,126
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Administrative Law Judge Services

This line item is used to purchase administrative law services from the Department of Personnel.

Statutory Authority: Sections 24-30-1003 (1), C.R.S.

Request: The Department requests an appropriation of \$199,130 total funds, including \$9,005 General Fund and \$190,125 cash funds.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Executive Director's Office and Administrative Services, Administrative Law Judge Services			
	Total Funds	General Fund	Cash Funds
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$357,148</u>	<u>\$16,152</u>	<u>\$340,996</u>
TOTAL	\$357,148	\$16,152	\$340,996
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$357,148	\$16,152	\$340,996
NPI Resources for administrative courts	11,794	533	11,261
Centrally appropriated line items	<u>(169,812)</u>	<u>(7,680)</u>	<u>(162,132)</u>
TOTAL	\$199,130	\$9,005	\$190,125
Increase/(Decrease)	(\$158,018)	(\$7,147)	(\$150,871)
Percentage Change	(44.2%)	(44.2%)	(44.2%)

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Executive Director's Office and Administrative Services, Administrative Law Judge Services			
	Total Funds	General Fund	Cash Funds
FY 2016-17 Executive Request:	\$199,130	\$9,005	\$190,125
Request Above/(Below) Recommendation	\$0	\$0	\$0

Payment to Risk Management and Property Funds

This line item is used to reimburse the Department of Personnel for the Department's share of the state's liability and property insurance.

Statutory Authority: Section 24-30-1510, C.R.S. and Section 24-30-1510.5, C.R.S.

Request: The Department requests an appropriation of \$224,717 total funds, including \$7,598 General Fund, \$206,012 cash funds, \$7,728 reappropriated funds, and \$3,379 federal funds.

Recommendation: **Staff recommendation is pending Committee approval** of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Executive Director's Office and Administrative Services, Payment to Risk Management and Property Funds					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$246,423</u>	<u>\$8,332</u>	<u>\$225,911</u>	<u>\$8,475</u>	<u>\$3,705</u>
TOTAL	\$246,423	\$8,332	\$225,911	\$8,475	\$3,705
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$246,423	\$8,332	\$225,911	\$8,475	\$3,705
Centrally appropriated line items	<u>(21,706)</u>	<u>(734)</u>	<u>(19,899)</u>	<u>(747)</u>	<u>(326)</u>
TOTAL	\$224,717	\$7,598	\$206,012	\$7,728	\$3,379
Increase/(Decrease)	(\$21,706)	(\$734)	(\$19,899)	(\$747)	(\$326)
Percentage Change	(8.8%)	(8.8%)	(8.8%)	(8.8%)	(8.8%)
FY 2016-17 Executive Request:	\$224,717	\$7,598	\$206,012	\$7,728	\$3,379
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Vehicle Lease Payments

This line item provides funding for annual payments to the Department of Personnel for the cost of administration, loan repayment, and lease-purchase payments for new and replacement vehicles.

Statutory Authority: Sections 24-30-1104 (2), C.R.S.

Request: The Department requests an appropriation of \$237,198 cash funds.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Recommendation: Staff recommendation is pending Committee approval of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Executive Director's Office and Administrative Services, Vehicle Lease Payments			
	Total Funds	General Fund	Cash Funds
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$219,218	\$0	\$219,218
H.B. 16-1249 (FY 2015-16 Supplemental)	<u>(2,249)</u>	<u>0</u>	<u>(2,249)</u>
TOTAL	\$216,969	\$0	\$216,969
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$216,969	\$0	\$216,969
NPI Annual fleet vehicle request	<u>17,980</u>	<u>0</u>	<u>17,980</u>
TOTAL	\$234,949	\$0	\$234,949
Increase/(Decrease)	\$17,980	\$0	\$17,980
Percentage Change	8.3%	0.0%	8.3%
FY 2016-17 Executive Request:	\$237,198	\$0	\$237,198
Request Above/(Below) Recommendation	\$2,249	\$0	\$2,249

Information Technology Asset Maintenance

This line item pays for replacement of the Department's information technology assets on a schedule determined by OIT.

Statutory Authority: Section 24-37.5-104, C.R.S.

Request: The Department requests a continuation appropriation of \$671,403 total funds, including \$480,646 cash funds and \$190,757 reappropriated funds.

Recommendation: Staff recommends the approval of the request.

Hardware/Software Maintenance

This line item pays for the licensing and maintenance agreements for hardware and software, as well as the costs of required equipment upgrades.

Statutory Authority: Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

Request: The Department requests a continuation appropriation of \$729,218 total funds, including \$800 General Fund, \$469,816 cash funds, and \$258,602 reappropriated funds

Recommendation: Staff recommends the approval of the request.

Leased Space

The Department leases a total of 159,916 square feet located at 1560 Broadway, Denver Colorado. The Department is in the last year of a 10-year lease in its primary lease; the current rate is \$17.85 and will be escalating to \$18.10 in FY 2015-16. The Department has signed a new 10-year lease from FY 2016-17 through FY 2026-27 at their current location. The 10-year average lease rate is \$28.84 per square foot per year, which is on par with current annual rates in the same building.

Statutory Authority: Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

Request: The Department requests an appropriation of \$818,924 total funds, including \$689,583 cash funds, \$99,155 reappropriated funds, and \$30,186 federal funds.

Recommendation: **Staff recommends \$788,208 total funds** and the fund splits included in the following table. Please see staff's analysis of the Department's prioritized request relating to this line item at the beginning of this section for additional background and information regarding this appropriation.

Executive Director's Office and Administrative Services, Leased Space					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$3,193,641</u>	<u>\$97,037</u>	<u>\$2,653,882</u>	<u>\$372,072</u>	<u>\$70,650</u>
TOTAL	\$3,193,641	\$97,037	\$2,653,882	\$372,072	\$70,650
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$3,193,641	\$97,037	\$2,653,882	\$372,072	\$70,650
R1 Annualize terms of new lease agreement	<u>(2,405,433)</u>	<u>(97,037)</u>	<u>(1,995,015)</u>	<u>(272,917)</u>	<u>(40,464)</u>
TOTAL	\$788,208	\$0	\$658,867	\$99,155	\$30,186
Increase/(Decrease)	(\$2,405,433)	(\$97,037)	(\$1,995,015)	(\$272,917)	(\$40,464)
Percentage Change	(75.3%)	(100.0%)	(75.2%)	(73.4%)	(57.3%)
FY 2016-17 Executive Request:	\$818,924	\$0	\$689,583	\$99,155	\$30,186
Request Above/(Below) Recommendation	\$30,716	\$0	\$30,716	\$0	\$0

Capitol Complex Leased Space

Capitol complex space is maintained by the Department of Personnel, and the Department occupies 169 square feet in the Grand Junction facility for the Division of Professions and Occupations Electrical Board.

Statutory Authority: Section 24-30-1104 (4) and Part 1 of Article 82 of Title 24, C.R.S.

Request: The Department requests no appropriation for this line item.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Recommendation: Staff recommendation is pending Committee approval of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Payments to OIT

This line item exists to support payment for services provided by the Governor's Office of Information and Technology.

Statutory Authority: Sections 24-37.5-104, C.R.S.

Request: The Department requests an appropriation of \$2,620,363 total funds, including \$109,334 General Fund, and \$2,511,029 cash funds.

Recommendation: Staff recommendation is pending Committee approval of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Executive Director's Office and Administrative Services, Payments to OIT			
	Total Funds	General Fund	Cash Funds
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$3,844,690	\$161,603	\$3,683,087
TOTAL	\$3,844,690	\$161,603	\$3,683,087
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$3,844,690	\$161,603	\$3,683,087
NPI FY 2016-17 Secure Colorado	19,193	0	19,193
Centrally appropriated line items	(1,243,520)	(52,269)	(1,191,251)
TOTAL	\$2,620,363	\$109,334	\$2,511,029
Increase/(Decrease)	(\$1,224,327)	(\$52,269)	(\$1,172,058)
Percentage Change	(31.8%)	(32.3%)	(31.8%)
FY 2016-17 Executive Request:	\$2,620,363	\$109,334	\$2,511,029
Request Above/(Below) Recommendation	\$0	\$0	\$0

CORE Operations

This line item funds the Department's share of the first two phases of a five-phase project to replace the statewide accounting system (COFRS) used by the Office of the State Controller to record all state revenues and expenditures.

Statutory Authority: Section 24-30-209, C.R.S.

Request: The Department requests an appropriation of \$165,170 total funds, including \$3,376 General Fund, \$147,109 cash funds, \$8,947 reappropriated funds, and \$5,738 federal funds.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Recommendation: Staff recommendation is pending Committee approval of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

Executive Director's Office and Administrative Services, CORE Operations					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$186,920</u>	<u>\$3,820</u>	<u>\$166,481</u>	<u>\$10,125</u>	<u>\$6,494</u>
TOTAL	\$186,920	\$3,820	\$166,481	\$10,125	\$6,494
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$186,920	\$3,820	\$166,481	\$10,125	\$6,494
Centrally appropriated line items	<u>(21,750)</u>	<u>(444)</u>	<u>(19,372)</u>	<u>(1,178)</u>	<u>(756)</u>
TOTAL	\$165,170	\$3,376	\$147,109	\$8,947	\$5,738
Increase/(Decrease)	(\$21,750)	(\$444)	(\$19,372)	(\$1,178)	(\$756)
Percentage Change	(11.6%)	(11.6%)	(11.6%)	(11.6%)	(11.6%)
FY 2016-17 Executive Request:	\$165,170	\$3,376	\$147,109	\$8,947	\$5,738
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

Consumer Outreach/Education Program

This line item was added to the FY 2009-10 Long Bill as a result of H.B. 08-1216, which established the Consumer Outreach and Education Program (Program) within the Department. The Program works to inform consumers of their rights regarding regulated professions and occupations, decrease regulatory violations by licensees, and increase public awareness of consumer protection information available from the Department. The Program is funded by a surcharge on fines issued by various divisions.

Statutory Authority: Sections 24-34-108 C.R.S.

Request: The Department requests a continuation appropriation of \$205,000 cash funds.

Recommendation: **Staff recommends approval of the request.**

Broadband Deployment Board

This line item funds the Broadband Deployment Board is an independent board meant to provide direction and oversight to these funding efforts, while ensuring the achievement of state policy. House Bill 14-1328 (Connect Colorado Broadband Act), which created the Board, was signed into law and became effective on May 10, 2014. The Board consists of 16 members from both major political parties, with statutory requirements for the number of representatives from the Executive branch, local entities, the broadband industry, and the public. The money transferred to the Board from the Colorado High Cost Support Mechanism is statutorily appropriated and included in this line item for informational purposes. This line item was added to the FY 2015-16 Long Bill during the supplemental process.

Statutory Authority: Sections 40-15-509.5 (5) (a) C.R.S.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Request: The Department did not request an appropriation for this line item.

Recommendation: **Staff recommends \$134,000 cash funds** from the Broadband Fund for informational purposes.

Executive Director's Office and Administrative Services, Broadband Deployment Board			
	Total Funds	General Fund	Cash Funds
FY 2015-16 Appropriation			
H.B. 16-1249 (FY 2015-16 Supplemental)	\$200,000	\$0	\$200,000
S.B. 15-234 (Long Bill)	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	\$200,000	\$0	\$200,000
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$200,000	\$0	\$200,000
Annualize prior year funding	<u>(66,000)</u>	<u>0</u>	<u>(66,000)</u>
TOTAL	\$134,000	\$0	\$134,000
Increase/(Decrease)	(\$66,000)	\$0	(\$66,000)
Percentage Change	(33.0%)	0.0%	(33.0%)
FY 2016-17 Executive Request:	\$0	\$0	\$0
Request Above/(Below) Recommendation	(\$134,000)	\$0	(\$134,000)

(2) Division of Banking

The Division of Banking is responsible for the enforcement of banking laws on state-chartered commercial banks, trust companies, industrial banks, and money transmitters. The Division holds charter and license application hearings, issues rules and regulations, investigates consumer complaints, and conducts examinations of banking institutions. Pursuant to Section 11-102-403, C.R.S., this Division is funded entirely by the Division of Banking Cash Fund.

Division of Banking				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$4,605,159</u>	<u>\$0</u>	<u>\$4,605,159</u>	<u>40.0</u>
TOTAL	\$4,605,159	\$0	\$4,605,159	40.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$4,605,159	\$0	\$4,605,159	40.0
Annualize prior year funding	41,340	0	41,340	0.0
Indirect cost assessment	<u>1,682</u>	<u>0</u>	<u>1,682</u>	<u>0.0</u>
TOTAL	\$4,648,181	\$0	\$4,648,181	40.0
Increase/(Decrease)	\$43,022	\$0	\$43,022	0.0
Percentage Change	0.9%	0.0%	0.9%	0.0%
FY 2016-17 Executive Request:	\$4,648,181	\$0	\$4,648,181	40.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF BANKING

None.

LINE ITEM DETAIL – DIVISION OF BANKING

Personal Services

Division staff conducts examinations and enforce compliance with the Public Deposit Protection Act and the Uniform Consumer Credit Code. Staff also enforces regulatory guidelines for trust departments, electronic funds transfers, and electronic data processing.

Statutory Authority: Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

Request: The Department requests an appropriation of \$3,816,881 cash funds from the Division of Banking Cash Fund and 40.0 FTE, which represents a continuation amount plus salary survey and merit pay annualizations.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Banking, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$3,775,541</u>	<u>\$3,775,541</u>	<u>40.0</u>
TOTAL	\$3,775,541	\$3,775,541	40.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$3,775,541	\$3,775,541	40.0
Annualize prior year funding	<u>41,340</u>	<u>41,340</u>	<u>0.0</u>
TOTAL	\$3,816,881	\$3,816,881	40.0
Increase/(Decrease)	\$41,340	\$41,340	0.0
Percentage Change	1.1%	1.1%	0.0%
FY 2016-17 Executive Request:	\$3,816,881	\$3,816,881	40.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

A portion of this line item funds the reimbursement for travel of staff examiners. Travel reimbursements include meal and hotel per diem and mileage reimbursement. The remainder of this line is used for general operating expenses incurred by the Division.

Statutory Authority: Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

Request: The Department requests a continuation appropriation of \$490,703 cash funds from the Division of Banking Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Board Meeting Costs

The eight-member Governor-appointed Colorado State Banking Board (Board) is the policy and rulemaking authority for this Division. The Board conducts monthly hearings on applications for commercial and industrial banks, trust companies, and the licensure of money transmitters. Board members receive reimbursements for expenses incurred during the performance of official duties pursuant to Section 11-102-103 (6), C.R.S.

Statutory Authority: Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

Request: The Department requests a continuation appropriation of \$23,500 cash funds from the Division of Banking Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Indirect Cost Assessment

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs. Statewide indirect costs are overhead costs associated with the operation of general government functions and departmental administrative duties. Indirect cost recoveries are intended to offset these overhead costs in the Executive Director's Office and statewide, that would otherwise have been supported General Fund. This description applies to all Indirect Cost Assessment lines in each division.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$317,097 cash funds from the Division of Banking Cash Fund.

Recommendation: **Staff recommends approval of the request**, but requests permission to adjust the line as necessary.

Division of Banking, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$315,415</u>	<u>\$315,415</u>
TOTAL	\$315,415	\$315,415
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$315,415	\$315,415
Indirect cost assessment	<u>1,682</u>	<u>1,682</u>
TOTAL	\$317,097	\$317,097
Increase/(Decrease)	\$1,682	\$1,682
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$317,097	\$317,097
Request Above/(Below) Recommendation	\$0	\$0

(3) Civil Rights Division

This Division is the administrative arm of the Colorado Civil Rights Commission and enforces the laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex, national origin, ancestry, physical or mental disability, religion, color, or marital status.

Civil Rights Division					
	Total Funds	General Fund	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$2,068,445</u>	<u>\$1,160,047</u>	<u>\$416,922</u>	<u>\$491,476</u>	<u>27.2</u>
TOTAL	\$2,068,445	\$1,160,047	\$416,922	\$491,476	27.2
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$2,068,445	\$1,160,047	\$416,922	\$491,476	27.2
Annualize prior year funding	38,856	29,149	0	9,707	0.0
Centrally appropriated line items	0	(15,132)	15,132	0	0.0
Indirect cost assessment	<u>(8,134)</u>	<u>0</u>	<u>0</u>	<u>(8,134)</u>	<u>0.0</u>
TOTAL	\$2,099,167	\$1,174,064	\$432,054	\$493,049	27.2
Increase/(Decrease)	\$30,722	\$14,017	\$15,132	\$1,573	0.0
Percentage Change	1.5%	1.2%	3.6%	0.3%	0.0%
FY 2016-17 Executive Request:	\$2,099,167	\$1,174,064	\$432,054	\$493,049	27.2
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

DECISION ITEMS – CIVIL RIGHTS DIVISION

None.

LINE ITEM DETAIL – CIVIL RIGHTS DIVISION

Personal Services

Division staff investigates and adjudicates charges of discriminatory practices, supervise complaint hearings, provide technical assistance with fair housing laws, and provide general public information and community intervention and education.

Statutory Authority: Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

Request: The Department requests an appropriation of \$1,946,757 total funds, including \$1,089,606 General Fund, \$432,054 reappropriated funds, and \$425,097 federal funds, and 27.2 FTE.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Civil Rights Division, Personal Services					
	Total Funds	General Fund	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$1,907,901</u>	<u>\$1,075,589</u>	<u>\$416,922</u>	<u>\$415,390</u>	<u>27.2</u>
TOTAL	\$1,907,901	\$1,075,589	\$416,922	\$415,390	27.2
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$1,907,901	\$1,075,589	\$416,922	\$415,390	27.2
Annualize prior year funding	38,856	29,149	0	9,707	0.0
Centrally appropriated line items	<u>0</u>	<u>(15,132)</u>	<u>15,132</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$1,946,757	\$1,089,606	\$432,054	\$425,097	27.2
Increase/(Decrease)	\$38,856	\$14,017	\$15,132	\$9,707	0.0
Percentage Change	2.0%	1.3%	3.6%	2.3%	0.0%
FY 2016-17 Executive Request:	\$1,946,757	\$1,089,606	\$432,054	\$425,097	27.2
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

Request: The Department requests a continuation appropriation of \$105,460 total funds, including \$62,284 General Fund and \$43,176 federal funds.

Recommendation: **Staff recommends approval of the request.**

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Statutory Authority: Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

Request: The Department requests a continuation appropriation of \$18,000 total funds, including \$17,000 General Fund and \$1,000 federal funds.

Recommendation: **Staff recommends approval of the request.**

Commission Meeting Costs

The Colorado Civil Rights Commission holds meetings at various locations around the state, enabling members of the public to tell the Commission about their concerns regarding civil rights issues. This line receives General Funds and federal funds because meetings include federal and state civil rights issues. This line funds the per diem and travel expenses for Commissioners and various other meeting costs.

Statutory Authority: Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

Request: The Department requests a continuation appropriation of \$12,374 total funds, including \$5,174 General Fund and \$7,200 federal funds.

Recommendation: **Staff recommends approval of the request.**

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$16,576 federal funds.

Recommendation: **Staff recommends approval of the request**, but requests permission to adjust the line as necessary.

Civil Rights Division, Indirect Cost Assessment		
	Total Funds	Federal Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$24,710</u>	<u>\$24,710</u>
TOTAL	\$24,710	\$24,710
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$24,710	\$24,710
Indirect cost assessment	<u>(8,134)</u>	<u>(8,134)</u>
TOTAL	\$16,576	\$16,576
Increase/(Decrease)	(\$8,134)	(\$8,134)
Percentage Change	(32.9%)	(32.9%)
FY 2016-17 Executive Request:	\$16,576	\$16,576
Request Above/(Below) Recommendation	\$0	\$0

(4) Office of Consumer Council

The Office of Consumer Counsel represents the interests of residential, small business, and agricultural consumers in cases heard by the Public Utilities Commission (PUC) and federal agencies (such as the Federal Communications Commission and the Federal Energy Regulatory Commission). Cases involve proposed changes to electric, gas, and telecommunications utility rates, service, and general policies. This Office is funded entirely with cash funds from the Public Utilities Commission Fixed Utility Fund created in Section 40-2-114, C.R.S.

Office of Consumer Counsel				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$949,219</u>	<u>\$0</u>	<u>\$949,219</u>	<u>7.0</u>
TOTAL	\$949,219	\$0	\$949,219	7.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$949,219	\$0	\$949,219	7.0
Annualize prior year funding	13,024	0	13,024	0.0
Indirect cost assessment	<u>294</u>	<u>0</u>	<u>294</u>	<u>0.0</u>
TOTAL	\$962,537	\$0	\$962,537	7.0
Increase/(Decrease)	\$13,318	\$0	\$13,318	0.0
Percentage Change	1.4%	0.0%	1.4%	0.0%
FY 2016-17 Executive Request:	\$962,537	\$0	\$962,537	7.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – OFFICE OF CONSUMER COUNCIL

None.

LINE ITEM DETAIL – OFFICE OF CONSUMER COUNCIL

Personal Services

This line item provides funding for the payment of office staffing and contractual services in representing residential, small business, and agricultural consumers in proceedings before the PUC and in the courts on appeals rising from PUC decisions and in federal court and federal regulatory proceedings affecting Colorado utility consumers.

Statutory Authority: Sections 40-6.5-101 thru 109, C.R.S.

Request: The Department requests an appropriation of \$851,259 cash funds from the Public Utilities Commission Fixed Utility Fund and 7.0 FTE, which includes a continuation amount plus salary survey and merit pay annualizations.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Office of Consumer Counsel, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$838,235</u>	<u>\$838,235</u>	<u>7.0</u>
TOTAL	\$838,235	\$838,235	7.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$838,235	\$838,235	7.0
Annualize prior year funding	<u>13,024</u>	<u>13,024</u>	<u>0.0</u>
TOTAL	\$851,259	\$851,259	7.0
Increase/(Decrease)	\$13,024	\$13,024	0.0
Percentage Change	1.6%	1.6%	0.0%
FY 2016-17 Executive Request:	\$851,259	\$851,259	7.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 40-6.5-101 thru 109, C.R.S.

Request: The Department requests a continuation appropriation of \$55,787 cash funds from the Public Utilities Commission Fixed Utility Fund.

Recommendation: **Staff recommends approval of the request.**

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$55,491 cash funds from the Public Utilities Commission Fixed Utility Fund.

Recommendation: **Staff recommends approval of the request,** but requests permission to adjust the line as necessary.

Office of Consumer Counsel, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$55,197</u>	<u>\$55,197</u>
TOTAL	\$55,197	\$55,197

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Office of Consumer Counsel, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$55,197	\$55,197
Indirect cost assessment	<u>294</u>	<u>294</u>
TOTAL	\$55,491	\$55,491
Increase/(Decrease)	\$294	\$294
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$55,491	\$55,491
Request Above/(Below) Recommendation	\$0	\$0

(5) Division of Financial Services

This Division regulates state-chartered credit unions, savings and loan associations and life-care institutions, which provide care for the duration of a person's life in return for the payment of an initial fee. The Division is entirely cash funded by the Division of Financial Services Cash Fund.

Division of Financial Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$1,651,289</u>	<u>\$0</u>	<u>\$1,651,289</u>	<u>15.6</u>
TOTAL	\$1,651,289	\$0	\$1,651,289	15.6
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$1,651,289	\$0	\$1,651,289	15.6
Annualize prior year funding	20,279	0	20,279	0.0
Indirect cost assessment	<u>656</u>	<u>0</u>	<u>656</u>	<u>0.0</u>
TOTAL	\$1,672,224	\$0	\$1,672,224	15.6
Increase/(Decrease)	\$20,935	\$0	\$20,935	0.0
Percentage Change	1.3%	0.0%	1.3%	0.0%
FY 2016-17 Executive Request:	\$1,672,224	\$0	\$1,672,224	15.6
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF FINANCIAL SERVICES

None.

LINE ITEM DETAIL – DIVISION OF FINANCIAL SERVICES

Personal Services

Division staff enforce the Public Deposit Protection Act and conduct examinations to ensure financial stability and compliance with state and federal laws. When appropriate, division staff initiate enforcement actions in order to bring an institution into compliance with regulatory laws.

Statutory Authority: Sections 5-1-101, 11-25-101, 11-30-101 and 103, 11-40-101, 11-47-101, 11-48-101, 12-13-101, C.R.S.

Request: The Department requests an appropriation of \$1,402,636 cash funds from the Division of Financial Services Cash Fund and 15.6 FTE, which includes a continuation amount plus salary survey and merit pay annualizations.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Financial Services, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,382,357</u>	<u>\$1,382,357</u>	<u>15.6</u>
TOTAL	\$1,382,357	\$1,382,357	15.6
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,382,357	\$1,382,357	15.6
Annualize prior year funding	<u>20,279</u>	<u>20,279</u>	<u>0.0</u>
TOTAL	\$1,402,636	\$1,402,636	15.6
Increase/(Decrease)	\$20,279	\$20,279	0.0
Percentage Change	1.5%	1.5%	0.0%
FY 2016-17 Executive Request:	\$1,402,636	\$1,402,636	15.6
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 40-6.5-101 thru 109, C.R.S.

Request: The Department requests a continuation appropriation of \$145,921 cash funds from the Division of Financial Services Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$123,667 cash funds from the Division of Financial Services Cash Fund.

Recommendation: **Staff recommends approval of the request,** but requests permission to adjust the line as necessary.

Division of Financial Services, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$123,011</u>	<u>\$123,011</u>
TOTAL	\$123,011	\$123,011
FY 2016-17 Recommended Appropriation		

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Financial Services, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation	\$123,011	\$123,011
Indirect cost assessment	<u>656</u>	<u>656</u>
TOTAL	\$123,667	\$123,667
Increase/(Decrease)	\$656	\$656
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$123,667	\$123,667
Request Above/(Below) Recommendation	\$0	\$0

(6) Division of Insurance

This Division regulates insurance entities and insurance producers throughout Colorado. If the Division of Insurance Cash Fund (Fund) does not collect enough revenue to fully fund the Division's appropriated expenditures, the Division is authorized by Section 10-3-209 (4), C.R.S. to divert up to 5.0 percent of insurance premium tax revenues to the Fund from the General Fund.

Division of Insurance					
	Total Funds	General Fund	Cash Funds	Federal Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$7,896,832	\$0	\$7,260,938	\$635,894	85.2
Other legislation	<u>50,000</u>	<u>0</u>	<u>50,000</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$7,946,832	\$0	\$7,310,938	\$635,894	85.2
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$7,946,832	\$0	\$7,310,938	\$635,894	85.2
Annualize prior year funding	110,014	0	110,014	0	0.0
Indirect cost assessment	<u>(2,236)</u>	<u>0</u>	<u>3,498</u>	<u>(5,734)</u>	<u>0.0</u>
TOTAL	\$8,054,610	\$0	\$7,424,450	\$630,160	85.2
Increase/(Decrease)	\$107,778	\$0	\$113,512	(\$5,734)	0.0
Percentage Change	1.4%	0.0%	1.6%	(0.9%)	0.0%
FY 2016-17 Executive Request:	\$8,054,610	\$0	\$7,424,450	\$630,160	85.2
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF INSURANCE

None.

LINE ITEM DETAIL – DIVISION OF INSURANCE

Personal Services

There are two units that work within the division: the financial regulation unit and the consumer affairs unit. The financial regulation unit works primarily with insurance companies and agents, conducting financial exams and managing corporate and financial affairs. The consumer affairs unit works mainly with consumers, taking consumer complaints, and conducting investigations and insurance market analysis.

Statutory Authority: Title 10, C.R.S.

Request: The Department requests an appropriation of \$6,289,137 cash funds from the Division of Insurance Cash Fund and 83.2 FTE, which includes annualizations of salary survey and merit pay

Recommendation: Staff recommends approval of the request.

Division of Insurance, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$6,129,123	\$6,129,123	83.2
Other legislation	<u>50,000</u>	<u>50,000</u>	<u>0.0</u>
TOTAL	\$6,179,123	\$6,179,123	83.2
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$6,179,123	\$6,179,123	83.2
Annualize prior year funding	<u>110,014</u>	<u>110,014</u>	<u>0.0</u>
TOTAL	\$6,289,137	\$6,289,137	83.2
Increase/(Decrease)	\$110,014	\$110,014	0.0
Percentage Change	1.8%	1.8%	0.0%
FY 2016-17 Executive Request:	\$6,289,137	\$6,289,137	83.2
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Title 10, C.R.S.

Request: The Department requests a continuation appropriation of \$291,716 cash funds from the Division of Insurance Cash Fund.

Recommendation: Staff recommends approval of the request.

Out-of-State Travel Expenses

This line item funds out-of-state travel expenses incurred when Division staff is required to do market conduct examinations. Funds in this line item are reimbursements paid by insurance companies and are *continuously appropriated* pursuant to Section 10-1-108 (9), C.R.S.

Statutory Authority: Section 10-1-108, C.R.S.

Request: The Department requests a continuation appropriation of \$100,000 cash funds from the Division of Insurance Cash Fund for informational purposes.

Recommendation: Staff recommends approval of the request.

Senior Health Counseling Program

This federally funded program provides senior citizens with Medicare information, and the appropriation is contingent on the availability of federal money from the Centers for Medicare and Medicaid Services and the U.S. Administration on Aging. Annual spending fluctuations are the result of the availability of funds.

Statutory Authority: Title 10, C.R.S.

Request: The Department requests a continuation appropriation of \$517,794 federal funds and 2.0 FTE.

Recommendation: **Staff recommends approval of the request.**

Transfer to CAPCO Administration

This line provides the cash funds for the administration of the capital company (CAPCO) incentives program in the Governor's Office. The Office of Economic Development (OED) certifies CAPCOs that are qualified to offer assistance to business enterprises that create jobs in the state. Insurance companies that make an investment of certified capital in an OED-certified CAPCO can receive a tax credit against premium taxes owed. This line item is set in the CAPCO Administration line in the Governor's Office budget.

Statutory Authority: Title 10, C.R.S.

Request: The Department requests a continuation appropriation of \$84,036 cash funds from the Division of Insurance Cash Fund.

Recommendation: **Staff's recommendation is pending figure setting for the Office of the Governor.** Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$771,927 total funds, including \$659,561 cash funds and \$112,366 federal funds.

Recommendation: **Staff recommends approval of the request,** but requests permission to adjust the line as necessary.

Division of Insurance, Indirect Cost Assessment			
	Total Funds	Cash Funds	Federal Funds
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$774,163	\$656,063	\$118,100
TOTAL	\$774,163	\$656,063	\$118,100
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$774,163	\$656,063	\$118,100
Indirect cost assessment	(2,236)	3,498	(5,734)

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Insurance, Indirect Cost Assessment			
	Total Funds	Cash Funds	Federal Funds
TOTAL	\$771,927	\$659,561	\$112,366
Increase/(Decrease)	(\$2,236)	\$3,498	(\$5,734)
Percentage Change	(0.3%)	0.5%	(4.9%)
FY 2016-17 Executive Request:	\$771,927	\$659,561	\$112,366
Request Above/(Below) Recommendation	\$0	\$0	\$0

(7) Public Utilities Commission

The three-member Public Utilities Commission (PUC) regulates the rates and services of fixed and transportation utilities, and possesses quasi-legislative and quasi-judicial responsibilities. The PUC administers the Colorado Telecommunications High Cost Program and the Disabled Telephone Users Program.

Public Utilities Commission					
	Total Funds	General Fund	Cash Funds	Federal Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$13,959,952	\$0	\$13,959,952	\$0	97.3
H.B. 16-1249 (FY 2015-16 Supplemental)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$13,959,952	\$0	\$13,959,952	\$0	97.3
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$13,959,952	\$0	\$13,959,952	\$0	97.3
Annualize prior year funding	149,190	0	149,190	0	0.0
Indirect cost assessment	<u>4,090</u>	<u>0</u>	<u>4,090</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$14,113,232	\$0	\$14,113,232	\$0	97.3
Increase/(Decrease)	\$153,280	\$0	\$153,280	\$0	0.0
Percentage Change	1.1%	0.0%	1.1%	0.0%	0.0%
FY 2016-17 Executive Request:	\$14,113,232	\$0	\$14,113,232	\$0	97.3
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

DECISION ITEMS – PUBLIC UTILITIES COMMISSION

None.

LINE ITEM DETAIL – PUBLIC UTILITIES COMMISSION

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Statutory Authority: Title 40, C.R.S.

Request: The Department requests an appropriation of \$9,494,686 cash funds and 97.3 FTE, which includes a continuation amount plus annualizations for salary survey and merit pay.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Public Utilities Commission, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$9,345,496	\$9,345,496	97.3
H.B. 16-1249 (FY 2015-16 Supplemental)	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$9,345,496	\$9,345,496	97.3
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$9,345,496	\$9,345,496	97.3
Annualize prior year funding	<u>149,190</u>	<u>149,190</u>	<u>0.0</u>
TOTAL	\$9,494,686	\$9,494,686	97.3
Increase/(Decrease)	\$149,190	\$149,190	0.0
Percentage Change	1.6%	1.6%	0.0%
FY 2016-17 Executive Request:	\$9,494,686	\$9,494,686	97.3
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Title 40, C.R.S.

Request: The Department requests a continuation appropriation of \$444,473 cash funds.

Recommendation: **Staff recommends approval of the request.**

Expert Testimony

This line pays for expert testimony during PUC hearings and for the cost of outside contract services for expertise not available from PUC staff.

Statutory Authority: Title 40, C.R.S.

Request: The Department requests a continuation appropriation of \$25,000 cash funds.

Recommendation: **Staff recommends approval of the request.**

Disabled Telephone Users Fund Payments

Revenue for the Disabled Telephone Users Fund is from fees on land lines pursuant to Section 40-17-104 (1), C.R.S. Money in the fund is *continuously appropriated* to pay the cost of a contract that provides telecommunications relay services for disabled individuals.

Statutory Authority: Section 40-17-103 (3), C.R.S.

Request: The Department requests a continuation appropriation of \$1,900,542 cash funds from the Colorado Disabled Telephone Users Fund for informational purposes.

Recommendation: **Staff recommends approval of the request.**

Transfer to Reading Services for the Blind Cash Fund

Funds are appropriated to this line from the Disabled Telephone Users Fund, and reappropriated to the Department of Education for allocation to privately operated reading services for the blind.

Statutory Authority: Section 40-17-104, C.R.S.

Request: The Department requests a continuation appropriation of \$360,000 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: **Staff recommends approval of the request.**

Commission for the Deaf and Hard of Hearing Cash Fund

Funds are appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Department of Human Services for use by the Commission for the Deaf and Hard of Hearing.

Statutory Authority: Section 40-17-104, C.R.S.

Request: The Department requests a continuation appropriation of \$1,012,818 cash funds from the Colorado Disabled Telephone Users Fund.

Recommendation: **Staff recommends approval of the request.**

Colorado Bureau of Investigation Background Checks Pass-through

This line item was added to the FY 2008-09 Long Bill to account for reappropriated funds in the Department of Public Safety, Colorado Bureau of Investigation, for processing of fingerprint-based criminal history record checks of drivers of certain motor vehicle carriers.

Statutory Authority: Title 40, C.R.S.

Request: The Department requests a continuation appropriation of \$104,377 cash funds.

Recommendation: **Staff's recommendation is pending figure setting for the Department of Public Safety.** Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$771,336 cash funds.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Recommendation: Staff recommends approval of the request, but requests permission to adjust the line as necessary.

Public Utilities Commission, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	\$767,246	\$767,246
H.B. 16-1249 (FY 2015-16 Supplemental)	<u>0</u>	<u>0</u>
TOTAL	\$767,246	\$767,246
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$767,246	\$767,246
Indirect cost assessment	<u>4,090</u>	<u>4,090</u>
TOTAL	\$771,336	\$771,336
Increase/(Decrease)	\$4,090	\$4,090
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$771,336	\$771,336
Request Above/(Below) Recommendation	\$0	\$0

(8) Division of Real Estate

The Division of Real Estate licenses real estate agents, appraisers, and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.

Division of Real Estate				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$5,055,487	\$0	\$5,055,487	55.9
Other legislation	<u>47,250</u>	<u>0</u>	<u>47,250</u>	<u>0.0</u>
TOTAL	\$5,102,737	\$0	\$5,102,737	55.9
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$5,102,737	\$0	\$5,102,737	55.9
Annualize prior year funding	65,519	0	65,519	0.0
Indirect cost assessment	2,350	0	2,350	0.0
Annualize prior year legislation	<u>(39,250)</u>	<u>0</u>	<u>(39,250)</u>	<u>0.0</u>
TOTAL	\$5,131,356	\$0	\$5,131,356	55.9
Increase/(Decrease)	\$28,619	\$0	\$28,619	0.0
Percentage Change	0.6%	0.0%	0.6%	0.0%
FY 2016-17 Executive Request:	\$5,131,356	\$0	\$5,131,356	55.9
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF REAL ESTATE

None.

LINE ITEM DETAIL – DIVISION OF REAL ESTATE

Personal Services

This line item provides funding for the payment of office staffing and contractual services.

Statutory Authority: Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

Request: The Department requests an appropriation of \$4,011,556 cash funds from the Division of Real Estate Cash Fund and 55.9 FTE, which includes a continuation amount plus annualizations for salary survey and merit pay.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Real Estate, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$3,938,037	\$3,938,037	55.9
Other legislation	<u>47,250</u>	<u>47,250</u>	<u>0.0</u>
TOTAL	\$3,985,287	\$3,985,287	55.9
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$3,985,287	\$3,985,287	55.9
Annualize prior year funding	65,519	65,519	0.0
Annualize prior year legislation	<u>(39,250)</u>	<u>(39,250)</u>	<u>0.0</u>
TOTAL	\$4,011,556	\$4,011,556	55.9
Increase/(Decrease)	\$26,269	\$26,269	0.0
Percentage Change	0.7%	0.7%	0.0%
FY 2016-17 Executive Request:	\$4,011,556	\$4,011,556	55.9
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

Request: The Department requests a continuation appropriation of \$244,557 cash funds from the Division of Real Estate Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Commission Meeting Costs

This line item funds the per diem, travel, and miscellaneous expenses for Real Estate Commission members when they attend Commission meetings.

Statutory Authority: Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

Request: The Department requests a continuation appropriation of \$38,836 cash funds from the Division of Real Estate Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Statutory Authority: Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

Request: The Department requests a continuation appropriation of \$4,000 cash funds from the Division of Real Estate Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Mortgage Broker Consumer Protection

This line is used to pay the costs for the investigation and prosecution of mortgage brokers, and mortgage fraud by the Attorney General's Office. Funds are appropriated to the Division and reappropriated to the Department of Law, where the line item is set.

Statutory Authority: Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

Request: The Department requests a continuation appropriation of \$389,265 cash funds from the Division of Real Estate Cash Fund.

Recommendation: **Staff's recommendation is pending figure setting for the Department of Law.** Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$443,142 cash funds from the Division of Real Estate Cash Fund.

Recommendation: **Staff recommends approval of the request,** but requests permission to adjust the line as necessary.

Division of Real Estate, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$440,792</u>	<u>\$440,792</u>
TOTAL	\$440,792	\$440,792
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$440,792	\$440,792
Indirect cost assessment	<u>2,350</u>	<u>2,350</u>
TOTAL	\$443,142	\$443,142
Increase/(Decrease)	\$2,350	\$2,350
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$443,142	\$443,142
Request Above/(Below) Recommendation	\$0	\$0

(9) Division of Professions and Occupations

This Division, formerly the Division of Registrations, regulates over 300,000 licensees in more than fifty professions and occupations. The Division is funded by fees paid by regulated professionals and licensees.

Division of Professions and Occupations					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$17,668,441	\$0	\$17,074,990	\$593,451	201.9
H.B. 16-1249 (Supplemental)	127,993	0	127,993	0	1.9
Other legislation	<u>30,514</u>	<u>0</u>	<u>30,514</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$17,826,948	\$0	\$17,233,497	\$593,451	203.8
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$17,826,948	\$0	\$17,233,497	\$593,451	203.8
Annualize prior year funding	239,467	0	239,467	0	0.0
Indirect cost assessment	8,488	0	8,488	0	0.0
Annualize prior year legislation	<u>(46,141)</u>	<u>0</u>	<u>(46,141)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$18,028,762	\$0	\$17,435,311	\$593,451	203.8
Increase/(Decrease)	\$201,814	\$0	\$201,814	\$0	0.0
Percentage Change	1.1%	0.0%	1.2%	0.0%	0.0%
FY 2016-17 Executive Request:	\$18,028,762	\$0	\$17,435,311	\$593,451	203.8
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF PROFESSIONS AND OCCUPATIONS

None.

LINE ITEM DETAIL – DIVISION OF PROFESSIONS AND OCCUPATIONS

Personal Services

Division staff investigates complaints filed against licensees, staffs board meetings, and respond to questions from applicants and current licensees.

Statutory Authority: Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

Request: The Department requests an appropriation of \$14,228,815 total funds, including \$13,635,364 cash funds and \$593,451 reappropriated funds, and 198.8 FTE. The amount

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

includes a continuation amount plus annualizations for salary survey, merit pay, and prior year legislation. The prior year legislation annualization includes S.B. 15-202 (Exclude Water Conditioning Appliance from Plumbing) and H.B. 15-1309 (Protective Restorations by Dental Hygienists).

Recommendation: Staff recommends approval of the request.

Division of Professions and Occupations, Personal Services				
	Total Funds	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$13,886,461	\$13,293,010	\$593,451	196.9
H.B. 16-1249 (Supplemental)	116,782	116,782	0	1.9
Other legislation	<u>30,514</u>	<u>30,514</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$14,033,757	\$13,440,306	\$593,451	198.8
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$14,033,757	\$13,440,306	\$593,451	198.8
Annualize prior year funding	231,793	231,793	0	0.0
Annualize prior year legislation	<u>(36,735)</u>	<u>(36,735)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$14,228,815	\$13,635,364	\$593,451	198.8
Increase/(Decrease)	\$195,058	\$195,058	\$0	0.0
Percentage Change	1.4%	1.5%	0.0%	0.0%
FY 2016-17 Executive Request:	\$14,228,815	\$13,635,364	\$593,451	198.8
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

Request: The Department requests an appropriation of \$1,477,454 cash funds, which includes an annualization for S.B. 15-202 (Exclude Water Conditioning Appliance from Plumbing).

Recommendation: Staff recommends approval of the request.

Division of Professions and Occupations, Operating Expenses		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	\$1,475,649	\$1,475,649
H.B. 16-1249 (Supplemental)	<u>11,211</u>	<u>11,211</u>

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Professions and Occupations, Operating Expenses		
	Total Funds	Cash Funds
TOTAL	\$1,486,860	\$1,486,860
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$1,486,860	\$1,486,860
Annualize prior year legislation	<u>(9,406)</u>	<u>(9,406)</u>
TOTAL	\$1,477,454	\$1,477,454
Increase/(Decrease)	(\$9,406)	(\$9,406)
Percentage Change	(0.6%)	(0.6%)
FY 2016-17 Executive Request:	\$1,477,454	\$1,477,454
Request Above/(Below) Recommendation	\$0	\$0

Office of Expedited Settlement Program Costs

The Office of Expedited Settlement oversees the expedited settlement process in which all complaints received by boards and commissions are initially sent, for the purpose of resolving complaints prior to the use of the Attorney General's Office and incurring legal service costs.

Statutory Authority: Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

Request: The Department requests an appropriation of \$400,223 cash funds and 5.0 FTE, which includes a continuation amount plus salary survey and merit pay annualizations.

Recommendation: **Staff recommends approval of the request.**

Division of Professions and Occupations, Office of Expedited Settlement Program Costs			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$392,549</u>	<u>\$392,549</u>	<u>5.0</u>
TOTAL	\$392,549	\$392,549	5.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$392,549	\$392,549	5.0
Annualize prior year funding	<u>7,674</u>	<u>7,674</u>	<u>0.0</u>
TOTAL	\$400,223	\$400,223	5.0
Increase/(Decrease)	\$7,674	\$7,674	0.0
Percentage Change	2.0%	2.0%	0.0%
FY 2016-17 Executive Request:	\$400,223	\$400,223	5.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Statutory Authority: Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

Request: The Department requests a continuation appropriation of \$307,075 cash funds.

Recommendation: **Staff recommends approval of the request.**

Payments to Department of Health Care Policy and Financing

Federal regulations require the state to certify nurse aides who work in medical facilities with Medicaid or Medicare patients. Federal matching funds pay half the cost of the Medicaid portion of this program, but the Department cannot apply directly for the federal match because Medicaid's centralization rules require that the matching funds be funneled through the Department of Health Care Policy and Financing. The Division obtains the funds to cover the state's expenses from an assessment on nursing homes.

Statutory Authority: Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

Request: The Department requests a continuation appropriation of \$14,652 cash funds.

Recommendation: **Staff recommends approval of the request.**

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$1,600,543 cash funds.

Recommendation: **Staff recommends approval of the request**, but requests permission to adjust the line as necessary.

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Professions and Occupations, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$1,592,055</u>	<u>\$1,592,055</u>
TOTAL	\$1,592,055	\$1,592,055
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$1,592,055	\$1,592,055
Indirect cost assessment	<u>8,488</u>	<u>8,488</u>
TOTAL	\$1,600,543	\$1,600,543
Increase/(Decrease)	\$8,488	\$8,488
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$1,600,543	\$1,600,543
Request Above/(Below) Recommendation	\$0	\$0

(10) Division of Securities

This Division regulates the conduct of securities broker-dealers and sales representatives throughout Colorado, and enforces the following programs: the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division is entirely cash funded from the Division of Securities Cash Fund.

Division of Securities				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$3,471,101</u>	<u>\$0</u>	<u>\$3,471,101</u>	<u>24.0</u>
TOTAL	\$3,471,101	\$0	\$3,471,101	24.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$3,471,101	\$0	\$3,471,101	24.0
Annualize prior year funding	32,873	0	32,873	0.0
Indirect cost assessment	<u>1,008</u>	<u>0</u>	<u>1,008</u>	<u>0.0</u>
TOTAL	\$3,504,982	\$0	\$3,504,982	24.0
Increase/(Decrease)	\$33,881	\$0	\$33,881	0.0
Percentage Change	1.0%	0.0%	1.0%	0.0%
FY 2016-17 Executive Request:	\$3,504,982	\$0	\$3,504,982	24.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – DIVISION OF SECURITIES

None.

LINE ITEM DETAIL – DIVISION OF SECURITIES

Personal Services

Division staff investigates fraudulent and other abusive conduct in the securities market and when appropriate issues sanctions and take other enforcement action. Staff also investigates consumer complaints, monitor Colorado broker-dealer and sale representative conduct, screen license applications, and perform on-site examinations of broker-dealer offices.

Statutory Authority: Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

Request: The Department requests an appropriation of \$2,288,371 cash funds from the Division of Securities Cash Fund and 24.0 FTE, which includes a continuation amount plus salary survey and merit pay annualizations.

Recommendation: **Staff recommends approval of the request.**

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Securities, Personal Services			
	Total Funds	Cash Funds	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,255,498</u>	<u>\$2,255,498</u>	<u>24.0</u>
TOTAL	\$2,255,498	\$2,255,498	24.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,255,498	\$2,255,498	24.0
Annualize prior year funding	<u>32,873</u>	<u>32,873</u>	<u>0.0</u>
TOTAL	\$2,288,371	\$2,288,371	24.0
Increase/(Decrease)	\$32,873	\$32,873	0.0
Percentage Change	1.5%	1.5%	0.0%
FY 2016-17 Executive Request:	\$2,288,371	\$2,288,371	24.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

This line item provides funding for operating expenses of the division.

Statutory Authority: Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

Request: The Department requests a continuation appropriation of \$58,999 cash funds from the Division of Securities Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Hearings Pursuant to Complaint

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

Statutory Authority: Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

Request: The Department requests a continuation appropriation of \$19,594 cash funds from the Division of Securities Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Board Meeting Costs

This line item funds the per diem, travel, and miscellaneous costs incurred by the five Colorado Securities Board members when they attended board meetings.

Statutory Authority: Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

Request: The Department requests a continuation appropriation of \$4,500 cash funds from the Division of Securities Cash Fund.

Recommendation: **Staff recommends approval of the request.**

Securities Fraud Prosecution

The Division refers cases to the Securities Fraud Unit within the Department of Law's Criminal, Justice and Appellate Division for investigation and criminal prosecution. Funds appropriated in this line are set in and reappropriated to the Department of Law.

Statutory Authority: Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

Request: The Department requests reflects a continuation appropriation of \$943,261 cash funds from the Division of Securities Cash Fund

Recommendation: **Staff's recommendation is pending figure setting for the Department of Law.** Staff requests permission to adjust the line once the pending line item is set.

Indirect Cost Assessment

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

Statutory Authority: Section 24-75-1401, C.R.S.

Request: The Department requests an appropriation of \$190,257 cash funds from the Division of Securities Cash Fund.

Recommendation: **Staff recommends approval of the request,** but requests permission to adjust the line as necessary.

Division of Securities, Indirect Cost Assessment		
	Total Funds	Cash Funds
FY 2015-16 Appropriation		
S.B. 15-234 (Long Bill)	<u>\$189,249</u>	<u>\$189,249</u>
TOTAL	<u>\$189,249</u>	<u>\$189,249</u>
FY 2016-17 Recommended Appropriation		
FY 2015-16 Appropriation	\$189,249	\$189,249
Indirect cost assessment	<u>1,008</u>	<u>1,008</u>
TOTAL	<u>\$190,257</u>	<u>\$190,257</u>
Increase/(Decrease)	\$1,008	\$1,008

JBC Staff Figure Setting: FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Division of Securities, Indirect Cost Assessment		
	Total Funds	Cash Funds
Percentage Change	0.5%	0.5%
FY 2016-17 Executive Request:	\$190,257	\$190,257
Request Above/(Below) Recommendation	\$0	\$0

Long Bill Footnotes and Requests for Information

LONG BILL FOOTNOTES

None.

REQUESTS FOR INFORMATION

None.

JBC Staff Staff Figure Setting - FY 2016-17
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Appendix A: Number Pages

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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DEPARTMENT OF REGULATORY AGENCIES

Joe Neguse, Executive Director

(1) EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

The Executive Director's Office performs department-wide administrative functions including accounting, budgeting, and purchasing. The Office of Policy and Research conducts sunrise and sunset evaluations pursuant to section 24-34-104 (8), C.R.S.

Personal Services	<u>2,184,005</u>	<u>2,301,054</u>	<u>2,385,638</u>	<u>2,430,854</u>	<u>2,430,854</u>
FTE	28.0	27.9	29.5	29.5	29.5
General Fund	3,363	0	8,800	34,875	34,875
Cash Funds	44,020	62,845	67,000	30,500	30,500
Reappropriated Funds	2,136,622	2,238,209	2,309,838	2,365,479	2,365,479
Health, Life, and Dental	<u>3,716,294</u>	<u>3,536,524</u>	<u>4,235,452</u>	<u>4,186,649</u>	<u>4,186,649</u>
General Fund	133,384	96,445	121,741	119,053	119,053
Cash Funds	3,360,040	3,229,907	3,826,198	3,790,660	3,790,660
Reappropriated Funds	178,707	210,172	240,598	236,639	236,639
Federal Funds	44,163	0	46,915	40,297	40,297
Short-term Disability	<u>61,865</u>	<u>41,522</u>	<u>81,112</u>	<u>68,255</u>	<u>68,255</u>
General Fund	2,267	2,456	2,622	2,000	2,000
Cash Funds	55,204	34,141	72,507	61,826	61,826
Reappropriated Funds	3,796	4,925	5,031	3,925	3,925
Federal Funds	598	0	952	504	504

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
S.B. 04-257 Amortization Equalization					
Disbursement	<u>1,172,505</u>	<u>1,384,754</u>	<u>1,644,105</u>	<u>1,729,990</u>	<u>1,729,990</u>
General Fund	42,994	45,576	53,114	50,621	50,621
Cash Funds	1,045,741	1,247,725	1,469,588	1,567,171	1,567,171
Reappropriated Funds	72,112	91,453	102,083	99,342	99,342
Federal Funds	11,658	0	19,320	12,856	12,856
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>1,058,510</u>	<u>1,242,381</u>	<u>1,588,056</u>	<u>1,711,969</u>	<u>1,711,969</u>
General Fund	38,677	42,727	51,303	50,094	50,094
Cash Funds	944,208	1,113,917	1,419,489	1,550,846	1,550,846
Reappropriated Funds	65,101	85,737	98,603	98,307	98,307
Federal Funds	10,524	0	18,661	12,722	12,722
Salary Survey	<u>1,026,723</u>	<u>877,859</u>	<u>414,720</u>	<u>53,521</u>	<u>53,521</u>
General Fund	35,734	30,751	12,882	0	0
Cash Funds	929,071	785,443	370,833	51,472	51,472
Reappropriated Funds	45,397	61,665	25,724	0	0
Federal Funds	16,521	0	5,281	2,049	2,049
Merit Pay	<u>509,586</u>	<u>330,904</u>	<u>363,212</u>	<u>0</u>	<u>0</u>
General Fund	18,413	10,803	11,821	0	0
Cash Funds	450,310	296,843	321,658	0	0
Reappropriated Funds	35,392	23,258	25,306	0	0
Federal Funds	5,471	0	4,427	0	0

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Workers' Compensation	<u>60,949</u>	<u>75,729</u>	<u>85,123</u>	<u>112,706</u>	<u>112,706</u> *
General Fund	1,941	2,600	2,878	3,811	3,811
Cash Funds	55,784	70,485	78,038	103,325	103,325
Reappropriated Funds	2,090	2,644	2,927	3,876	3,876
Federal Funds	1,134	0	1,280	1,694	1,694
Operating Expenses	<u>98,602</u>	<u>100,309</u>	<u>210,344</u>	<u>210,344</u>	<u>210,344</u>
General Fund	0	0	3,689	3,689	3,689
Cash Funds	10,621	0	95,427	95,427	95,427
Reappropriated Funds	87,981	100,309	111,228	111,228	111,228
Legal Services	<u>9,610,693</u>	<u>10,049,506</u>	<u>10,464,959</u>	<u>10,565,393</u>	<u>10,565,393</u>
General Fund	186,557	216,752	206,764	209,245	209,245
Cash Funds	9,106,714	9,775,787	9,973,693	10,068,233	10,068,233
Reappropriated Funds	144,762	56,967	103,547	104,789	104,789
Federal Funds	172,660	0	180,955	183,126	183,126
Administrative Law Judge Services	<u>243,353</u>	<u>278,450</u>	<u>357,148</u>	<u>199,130</u>	<u>199,130</u> *
General Fund	11,005	12,593	16,152	9,005	9,005
Cash Funds	232,348	265,857	340,996	190,125	190,125
Payment to Risk Management and Property Funds	<u>199,878</u>	<u>254,628</u>	<u>246,423</u>	<u>224,717</u>	<u>224,717</u>
General Fund	6,366	8,740	8,332	7,598	7,598
Cash Funds	182,942	236,997	225,911	206,012	206,012
Reappropriated Funds	6,854	8,891	8,475	7,728	7,728
Federal Funds	3,716	0	3,705	3,379	3,379
Vehicle Lease Payments	<u>203,988</u>	<u>210,471</u>	<u>216,969</u>	<u>237,198</u>	<u>234,949</u> *
Cash Funds	203,988	210,471	216,969	237,198	234,949

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Information Technology Asset Maintenance	<u>657,161</u>	<u>623,243</u>	<u>671,403</u>	<u>671,403</u>	<u>671,403</u>
Cash Funds	466,404	449,164	480,646	480,646	480,646
Reappropriated Funds	190,757	174,079	190,757	190,757	190,757
Hardware/Software Maintenance	<u>578,590</u>	<u>723,020</u>	<u>729,218</u>	<u>729,218</u>	<u>729,218</u>
General Fund	800	0	800	800	800
Cash Funds	319,188	469,129	469,816	469,816	469,816
Reappropriated Funds	258,602	253,891	258,602	258,602	258,602
Leased Space	<u>3,004,990</u>	<u>2,853,092</u>	<u>3,193,641</u>	<u>818,924</u>	<u>788,208</u> *
General Fund	93,609	96,244	97,037	0	0
Cash Funds	2,548,585	2,415,056	2,653,882	689,583	658,867
Reappropriated Funds	294,727	341,792	372,072	99,155	99,155
Federal Funds	68,069	0	70,650	30,186	30,186
Capitol Complex Leased Space	<u>5,339</u>	<u>0</u>	<u>4,147</u>	<u>0</u>	<u>0</u>
General Fund	0	0	0	0	0
Cash Funds	5,339	0	4,147	0	0
Payments to OIT	<u>0</u>	<u>3,103,376</u>	<u>3,844,690</u>	<u>2,620,363</u>	<u>2,620,363</u> *
General Fund	0	130,444	161,603	109,334	109,334
Cash Funds	0	2,972,932	3,683,087	2,511,029	2,511,029
CORE Operations	<u>142,463</u>	<u>195,544</u>	<u>186,920</u>	<u>165,170</u>	<u>165,170</u>
General Fund	3,016	4,136	3,820	3,376	3,376
Cash Funds	131,452	180,433	166,481	147,109	147,109
Reappropriated Funds	7,995	10,975	10,125	8,947	8,947
Federal Funds	0	0	6,494	5,738	5,738

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Consumer Outreach/Education Program	<u>136,367</u>	<u>141,399</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>
Cash Funds	136,367	141,399	205,000	205,000	205,000
Broadband Deployment Board	<u>0</u>	<u>0</u>	<u>200,000</u>	<u>0</u>	<u>134,000</u>
Cash Funds	0	0	200,000	0	134,000
Purchase of Services from Computer Center	<u>1,642,373</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	63,346	0	0	0	0
Cash Funds	1,579,027	0	0	0	0
Management and Administration of OIT	<u>144,258</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	5,626	0	0	0	0
Cash Funds	138,632	0	0	0	0
Colorado State Network	<u>203,964</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	7,954	0	0	0	0
Cash Funds	196,010	0	0	0	0
Information Technology Security	<u>20,702</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	807	0	0	0	0
Cash Funds	19,895	0	0	0	0
TOTAL - (1) Executive Director's Office and Administrative Services	26,683,158	28,323,765	31,328,280	26,940,804	27,041,839
FTE	<u>28.0</u>	<u>27.9</u>	<u>29.5</u>	<u>29.5</u>	<u>29.5</u>
General Fund	655,859	700,267	763,358	603,501	603,501
Cash Funds	22,161,890	23,958,531	26,341,366	22,455,978	22,557,013
Reappropriated Funds	3,530,895	3,664,967	3,864,916	3,588,774	3,588,774
Federal Funds	334,514	0	358,640	292,551	292,551

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(2) DIVISION OF BANKING

The Division of Banking regulates state-chartered commercial and industrial banks, trust companies, debt adjusters, and money order companies; and conducts examinations of institutions and ensures institutions comply with the Public Deposit Protection Act. The Division is entirely cash funded by the Division of Banking Cash Fund, pursuant to section 11-102-403, C.R.S.

Personal Services	<u>2,829,779</u>	<u>2,726,592</u>	<u>3,775,541</u>	<u>3,816,881</u>	<u>3,816,881</u>
FTE	36.4	33.3	40.0	40.0	40.0
Cash Funds	2,829,779	2,726,592	3,775,541	3,816,881	3,816,881
Operating Expenses	<u>458,867</u>	<u>449,747</u>	<u>490,703</u>	<u>490,703</u>	<u>490,703</u>
Cash Funds	458,867	449,747	490,703	490,703	490,703
Board Meeting Costs	<u>22,181</u>	<u>12,103</u>	<u>23,500</u>	<u>23,500</u>	<u>23,500</u>
Cash Funds	22,181	12,103	23,500	23,500	23,500
Indirect Cost Assessment	<u>288,212</u>	<u>309,653</u>	<u>315,415</u>	<u>317,097</u>	<u>317,097</u>
Cash Funds	288,212	309,653	315,415	317,097	317,097
TOTAL - (2) Division of Banking	3,599,039	3,498,095	4,605,159	4,648,181	4,648,181
FTE	<u>36.4</u>	<u>33.3</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
General Fund	0	0	0	0	0
Cash Funds	3,599,039	3,498,095	4,605,159	4,648,181	4,648,181

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(3) CIVIL RIGHTS DIVISION					
The Civil Rights Division enforces state laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex (gender), national origin, ancestry, physical or mental disability, religion, color, marital status, or sexual orientation.					
Personal Services	<u>1,543,233</u>	<u>1,449,552</u>	<u>1,907,901</u>	<u>1,946,757</u>	<u>1,946,757</u>
FTE	23.5	24.1	27.2	27.2	27.2
General Fund	912,290	1,092,375	1,075,589	1,089,606	1,089,606
Reappropriated Funds	281,164	357,177	416,922	432,054	432,054
Federal Funds	349,779	0	415,390	425,097	425,097
Operating Expenses	<u>90,951</u>	<u>65,918</u>	<u>105,460</u>	<u>105,460</u>	<u>105,460</u>
General Fund	59,318	65,918	62,284	62,284	62,284
Federal Funds	31,633	0	43,176	43,176	43,176
Hearings Pursuant to Complaint	<u>16,955</u>	<u>17,000</u>	<u>18,000</u>	<u>18,000</u>	<u>18,000</u>
General Fund	16,955	17,000	17,000	17,000	17,000
Federal Funds	0	0	1,000	1,000	1,000
Commission Meeting Costs	<u>5,163</u>	<u>5,174</u>	<u>12,374</u>	<u>12,374</u>	<u>12,374</u>
General Fund	5,163	5,174	5,174	5,174	5,174
Federal Funds	0	0	7,200	7,200	7,200
Indirect Cost Assessment	<u>30,672</u>	<u>0</u>	<u>24,710</u>	<u>16,576</u>	<u>16,576</u>
Federal Funds	30,672	0	24,710	16,576	16,576
TOTAL - (3) Civil Rights Division	1,686,974	1,537,644	2,068,445	2,099,167	2,099,167
FTE	23.5	24.1	27.2	27.2	27.2
General Fund	993,726	1,180,467	1,160,047	1,174,064	1,174,064
Reappropriated Funds	281,164	357,177	416,922	432,054	432,054
Federal Funds	412,084	0	491,476	493,049	493,049

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(4) OFFICE OF CONSUMER COUNSEL

The Office of Consumer Counsel represents the interests of residential, agricultural and small business consumers at electric, gas, and telecommunications utility rate and service proceedings before the Public Utility Commission. The Division is cash funded by the Public Utilities Commission Fixed Utility Fund pursuant to section 40-2-114, C.R.S.

Personal Services	<u>692,177</u>	<u>726,437</u>	<u>838,235</u>	<u>851,259</u>	<u>851,259</u>
FTE	7.0	6.8	7.0	7.0	7.0
Cash Funds	692,177	726,437	838,235	851,259	851,259
Operating Expenses	<u>39,956</u>	<u>35,083</u>	<u>55,787</u>	<u>55,787</u>	<u>55,787</u>
Cash Funds	39,956	35,083	55,787	55,787	55,787
Indirect Cost Assessment	<u>50,437</u>	<u>54,189</u>	<u>55,197</u>	<u>55,491</u>	<u>55,491</u>
Cash Funds	50,437	54,189	55,197	55,491	55,491
TOTAL - (4) Office of Consumer Counsel	782,570	815,709	949,219	962,537	962,537
FTE	<u>7.0</u>	<u>6.8</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>
Cash Funds	782,570	815,709	949,219	962,537	962,537

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(5) DIVISION OF FINANCIAL SERVICES					
The Division of Financial Services regulates state-chartered credit unions, savings and loans, and life care institutions; and conducts examinations of institutions to ensure continued compliance with regulatory standards. The Division is entirely cash funded by the Division of Financial Services Cash Fund pursuant to section 11-40-106 (2), C.R.S.					
Personal Services	<u>1,066,115</u>	<u>1,114,137</u>	<u>1,382,357</u>	<u>1,402,636</u>	<u>1,402,636</u>
FTE	13.5	13.6	15.6	15.6	15.6
Cash Funds	1,066,115	1,114,137	1,382,357	1,402,636	1,402,636
Operating Expenses	<u>124,075</u>	<u>139,819</u>	<u>145,921</u>	<u>145,921</u>	<u>145,921</u>
Cash Funds	124,075	139,819	145,921	145,921	145,921
Indirect Cost Assessment	<u>93,669</u>	<u>100,637</u>	<u>123,011</u>	<u>123,667</u>	<u>123,667</u>
Cash Funds	93,669	100,637	123,011	123,667	123,667
TOTAL - (5) Division of Financial Services	1,283,859	1,354,593	1,651,289	1,672,224	1,672,224
FTE	<u>13.5</u>	<u>13.6</u>	<u>15.6</u>	<u>15.6</u>	<u>15.6</u>
General Fund	0	0	0	0	0
Cash Funds	1,283,859	1,354,593	1,651,289	1,672,224	1,672,224

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(6) DIVISION OF INSURANCE					
The Division of Insurance is responsible for licensing insurance agents and adjusters; and regulating insurance companies, non-profit hospitals, prepaid dental plans, health maintenance organizations, self-insurance pools for workers' compensation, bail bondsmen, and pre-need funeral contracts. Unless otherwise indicated, the Division is funded by the Division of Insurance Cash Fund pursuant to section 10-1-103 (3), C.R.S.					
Personal Services	<u>5,171,361</u>	<u>5,654,468</u>	<u>6,179,123</u>	<u>6,289,137</u>	<u>6,289,137</u>
FTE	76.3	74.7	83.2	83.2	83.2
Cash Funds	5,171,361	5,654,468	6,179,123	6,289,137	6,289,137
Operating Expenses	<u>254,747</u>	<u>250,471</u>	<u>291,716</u>	<u>291,716</u>	<u>291,716</u>
Cash Funds	254,747	250,471	291,716	291,716	291,716
Out-of-State Travel Expenses	<u>7,627</u>	<u>21,079</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
Cash Funds	7,627	21,079	100,000	100,000	100,000
Senior Health Counseling Program	<u>509,000</u>	<u>1,177,873</u>	<u>517,794</u>	<u>517,794</u>	<u>517,794</u>
FTE	0.0	4.3	2.0	2.0	2.0
Federal Funds	509,000	1,177,873	517,794	517,794	517,794
Transfer to CAPCO Administration	<u>79,468</u>	<u>81,852</u>	<u>84,036</u>	<u>84,036</u>	<u>84,036</u>
Cash Funds	79,468	81,852	84,036	84,036	84,036
Indirect Cost Assessment	<u>629,297</u>	<u>641,756</u>	<u>774,163</u>	<u>771,927</u>	<u>771,927</u>
Cash Funds	597,322	641,756	656,063	659,561	659,561
Federal Funds	31,975	0	118,100	112,366	112,366
TOTAL - (6) Division of Insurance	6,651,500	7,827,499	7,946,832	8,054,610	8,054,610
FTE	<u>76.3</u>	<u>79.0</u>	<u>85.2</u>	<u>85.2</u>	<u>85.2</u>
General Fund	0	0	0	0	0
Cash Funds	6,110,525	6,649,626	7,310,938	7,424,450	7,424,450
Federal Funds	540,975	1,177,873	635,894	630,160	630,160

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
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	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(7) PUBLIC UTILITIES COMMISSION

The Public Utilities Commission regulates the rates and services of fixed and transportation utilities in Colorado; and administers the Colorado Telecommunications High Cost Program, the Low-Income Telephone Assistance Program, and the Disabled Telephone Users Program.

Personal Services	<u>8,296,067</u>	<u>7,996,123</u>	<u>9,345,496</u>	<u>9,494,686</u>	<u>9,494,686</u>
FTE	92.1	88.7	97.3	97.3	97.3
General Fund	0	(313)	0	0	0
Cash Funds	8,296,067	7,996,436	9,345,496	9,494,686	9,494,686
Operating Expenses	<u>392,016</u>	<u>342,219</u>	<u>444,473</u>	<u>444,473</u>	<u>444,473</u>
Cash Funds	392,016	342,219	444,473	444,473	444,473
Expert Testimony	<u>0</u>	<u>24,999</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Cash Funds	0	24,999	25,000	25,000	25,000
Disabled Telephone Users Fund Payments	<u>1,492,934</u>	<u>1,264,387</u>	<u>1,900,542</u>	<u>1,900,542</u>	<u>1,900,542</u>
Cash Funds	1,492,934	1,264,387	1,900,542	1,900,542	1,900,542
Transfer to Reading Services for the Blind Cash Fund	<u>350,000</u>	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>
Cash Funds	350,000	360,000	360,000	360,000	360,000
Commission for the Deaf and Hard of Hearing Cash Fund	<u>966,150</u>	<u>1,102,305</u>	<u>1,012,818</u>	<u>1,012,818</u>	<u>1,012,818</u>
Cash Funds	966,150	1,102,305	1,012,818	1,012,818	1,012,818
Colorado Bureau of Investigation Background Checks Pass-through	<u>72,325</u>	<u>68,024</u>	<u>104,377</u>	<u>104,377</u>	<u>104,377</u>
Cash Funds	72,325	68,024	104,377	104,377	104,377

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
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	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Indirect Cost Assessment	<u>684,507</u>	<u>721,172</u>	<u>767,246</u>	<u>771,336</u>	<u>771,336</u>
Cash Funds	684,507	721,172	767,246	771,336	771,336
Federal Funds	0	0	0	0	0
TOTAL - (7) Public Utilities Commission	12,253,999	11,879,229	13,959,952	14,113,232	14,113,232
<i>FTE</i>	<u>92.1</u>	<u>88.7</u>	<u>97.3</u>	<u>97.3</u>	<u>97.3</u>
General Fund	0	(313)	0	0	0
Cash Funds	12,253,999	11,879,542	13,959,952	14,113,232	14,113,232
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
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	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(8) DIVISION OF REAL ESTATE					
The Division of Real Estate licenses real estate brokers, real estate appraisers, and mortgage brokers; and administers enforcement programs to ensure compliance with state and federal regulatory laws.					
Personal Services	<u>2,973,001</u>	<u>3,560,383</u>	<u>3,985,287</u>	<u>4,011,556</u>	<u>4,011,556</u>
FTE	47.7	48.3	55.9	55.9	55.9
Cash Funds	2,973,001	3,560,383	3,985,287	4,011,556	4,011,556
Operating Expenses	<u>154,049</u>	<u>158,630</u>	<u>244,557</u>	<u>244,557</u>	<u>244,557</u>
Cash Funds	154,049	158,630	244,557	244,557	244,557
Commission Meeting Costs	<u>20,576</u>	<u>26,504</u>	<u>38,836</u>	<u>38,836</u>	<u>38,836</u>
Cash Funds	20,576	26,504	38,836	38,836	38,836
Hearings Pursuant to Complaint	<u>0</u>	<u>0</u>	<u>4,000</u>	<u>4,000</u>	<u>4,000</u>
Cash Funds	0	0	4,000	4,000	4,000
Mortgage Broker Consumer Protection	<u>343,692</u>	<u>360,955</u>	<u>389,265</u>	<u>389,265</u>	<u>389,265</u>
Cash Funds	343,692	360,955	389,265	389,265	389,265
Indirect Cost Assessment	<u>352,341</u>	<u>432,738</u>	<u>440,792</u>	<u>443,142</u>	<u>443,142</u>
Cash Funds	352,341	432,738	440,792	443,142	443,142
TOTAL - (8) Division of Real Estate	3,843,659	4,539,210	5,102,737	5,131,356	5,131,356
FTE	<u>47.7</u>	<u>48.3</u>	<u>55.9</u>	<u>55.9</u>	<u>55.9</u>
General Fund	0	0	0	0	0
Cash Funds	3,843,659	4,539,210	5,102,737	5,131,356	5,131,356

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(9) DIVISION OF PROFESSIONS AND OCCUPATIONS					
The Division of Professions and Occupations oversees boards and commissions that promulgate rules to ensure continued competency of regulated professionals; enforces laws; and takes action against individuals failing to follow laws and regulations.					
Personal Services	<u>12,075,526</u>	<u>12,846,052</u>	<u>14,033,757</u>	<u>14,228,815</u>	<u>14,228,815</u>
FTE	188.1	183.9	198.8	198.8	198.8
Cash Funds	11,479,744	12,578,276	13,440,306	13,635,364	13,635,364
Reappropriated Funds	595,782	267,776	593,451	593,451	593,451
Operating Expenses	<u>1,169,205</u>	<u>1,145,961</u>	<u>1,486,860</u>	<u>1,477,454</u>	<u>1,477,454</u>
Cash Funds	1,169,205	1,145,961	1,486,860	1,477,454	1,477,454
Reappropriated Funds	0	0	0	0	0
Office of Expedited Settlement Program Costs	<u>336,256</u>	<u>404,186</u>	<u>392,549</u>	<u>400,223</u>	<u>400,223</u>
FTE	0.0	5.0	5.0	5.0	5.0
Cash Funds	336,256	404,186	392,549	400,223	400,223
Hearings Pursuant to Complaint	<u>237,476</u>	<u>179,514</u>	<u>307,075</u>	<u>307,075</u>	<u>307,075</u>
Cash Funds	237,476	179,514	307,075	307,075	307,075
Payments to Department of Health Care Policy and Financing	<u>14,652</u>	<u>0</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>
Cash Funds	14,652	0	14,652	14,652	14,652
Indirect Cost Assessment	<u>1,373,339</u>	<u>1,580,005</u>	<u>1,592,055</u>	<u>1,600,543</u>	<u>1,600,543</u>
Cash Funds	1,373,339	1,580,005	1,592,055	1,600,543	1,600,543
TOTAL - (9) Division of Professions and Occupations	15,206,454	16,155,718	17,826,948	18,028,762	18,028,762
FTE	<u>188.1</u>	<u>188.9</u>	<u>203.8</u>	<u>203.8</u>	<u>203.8</u>
General Fund	0	0	0	0	0
Cash Funds	14,610,672	15,887,942	17,233,497	17,435,311	17,435,311
Reappropriated Funds	595,782	267,776	593,451	593,451	593,451

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
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	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(10) DIVISION OF SECURITIES					
The Division of Securities monitors the conduct of Colorado broker-dealers and sales representatives; and investigates citizen complaints and other indications of investment fraud. The Division is funded by the Division of Securities Cash Fund pursuant to section 11-51-707 (2), C.R.S.					
Personal Services	<u>1,819,442</u>	<u>1,926,205</u>	<u>2,255,498</u>	<u>2,288,371</u>	<u>2,288,371</u>
FTE	22.9	23.0	24.0	24.0	24.0
Cash Funds	1,819,442	1,926,205	2,255,498	2,288,371	2,288,371
Operating Expenses	<u>56,253</u>	<u>101,665</u>	<u>58,999</u>	<u>58,999</u>	<u>58,999</u>
Cash Funds	56,253	101,665	58,999	58,999	58,999
Hearings Pursuant to Complaint	<u>14,512</u>	<u>15,560</u>	<u>19,594</u>	<u>19,594</u>	<u>19,594</u>
Cash Funds	14,512	15,560	19,594	19,594	19,594
Board Meeting Costs	<u>820</u>	<u>836</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Cash Funds	820	836	4,500	4,500	4,500
Securities Fraud Prosecution	<u>878,410</u>	<u>935,415</u>	<u>943,261</u>	<u>943,261</u>	<u>943,261</u>
Cash Funds	878,410	935,415	943,261	943,261	943,261
Indirect Cost Assessment	<u>172,223</u>	<u>185,792</u>	<u>189,249</u>	<u>190,257</u>	<u>190,257</u>
Cash Funds	172,223	185,792	189,249	190,257	190,257
TOTAL - (10) Division of Securities	2,941,660	3,165,473	3,471,101	3,504,982	3,504,982
FTE	<u>22.9</u>	<u>23.0</u>	<u>24.0</u>	<u>24.0</u>	<u>24.0</u>
General Fund	0	0	0	0	0
Cash Funds	2,941,660	3,165,473	3,471,101	3,504,982	3,504,982

* Indicates a decision item.

JBC Staff Staff Figure Setting - FY 2016-17
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	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
TOTAL - Department of Regulatory Agencies	74,932,872	79,096,935	88,909,962	85,155,855	85,256,890
<i>FTE</i>	<u>535.5</u>	<u>533.6</u>	<u>585.5</u>	<u>585.5</u>	<u>585.5</u>
General Fund	1,649,585	1,880,421	1,923,405	1,777,565	1,777,565
Cash Funds	67,587,873	71,748,721	80,625,258	77,348,251	77,449,286
Reappropriated Funds	4,407,841	4,289,920	4,875,289	4,614,279	4,614,279
Federal Funds	1,287,573	1,177,873	1,486,010	1,415,760	1,415,760

* Indicates a decision item.