

**COLORADO GENERAL ASSEMBLY  
JOINT BUDGET COMMITTEE**



**FY 2014-15 STAFF FIGURE SETTING  
DEPARTMENT OF TRANSPORTATION**

**JBC Working Document - Subject to Change  
Staff Recommendation Does Not Represent Committee Decision**

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**TABLE OF CONTENTS**

	<b>Narrative Page</b>	<b>Numbers Page</b>
Department Overview	1	N.A.
Department Request and Recommendation Summary	2	N.A.
(1) Administration	4	24
<b>➔ Request R2 transfer OIT budget from CM&amp;O to Administration</b>	5	24
<b>➔ Request R1 restoration of FTE &amp; associated funding</b>	6	24
<b>➔ Non-prioritized requested changes</b>	7	24
(2) Construction, Maintenance, and Operations	17	28
(3) High Performance Transportation Enterprise	18	29
(4) First Time Drunk Driving Offenders Account	19	30
<b>➔ R3 Increase FTDDA appropriation</b>	19	30
(5) Statewide Bridge Enterprise	22	31
Long Bill Footnotes and Request for Information	23	N.A.
Appendix A: Numbers Pages	24	N.A.
Appendix B: Indirect Cost Recovery Plan	33	N.A.

## DEPARTMENT OF TRANSPORTATION

### Department Overview

The Department is responsible for operating and maintaining Colorado's 9,146 mile state highway system, including 3,437 bridges, and maintaining the aviation system plan, under the policy direction of the eleven-member Transportation Commission. The Department's responsibilities include managing highway construction projects, implementing the State's Highway Safety Plan, repairing and maintaining roads, providing technical support to local airports regarding aviation safety, and administering the reimbursement of aviation fuel tax revenues and discretionary grants to local airports. The Department's section of the Long Bill corresponds with legislative authority over particular areas of the Department's budget and does not exactly match the Department's administrative organization.

The Department's primary workload activities are described in the following table.

<b>Colorado Department of Transportation State Highway System Workload Indicators</b>					
Measure	Units	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Estimate*	FY 2014-15 Estimate*
Roadway maintained	Lane-miles	23,106	23,106	23,106	23,106
Roadway usage	Billion vehicle miles travelled	27.4	28.1	28.8	29.5
Roadway striped	Miles of roadway striping	22,447	20,096	25,006	25,006
Snow & Ice removed	Million roadway miles plowed, sanded, or deiced	4.7	6.2	5.8	5.8
Snow fence repaired or installed	Linear feet of snow fence	348,130	203,887	265,843	265,843
Fence replaced, repaired or installed	Million linear feet of fence	19.2	16.2	18.6	18.6
Trash removal	Cubic yards of trash removed	92,828	102,835	99,890	99,890
Asphalt poured	Tons	232,041	233,720	213,789	213,789
Bridges owned & maintained	Bridges	3,447	3,437	NA	NA
Bridge condition	Bridges that need replacement	112	90	116	116
Avalanche management	Avalanches triggered with explosives	509	475	512	512
Avalanche management	Road closure hours	350	466	643	643
Avalanche management	Hours mitigating avalanches	5,031	7,245	6,821	6,821

\* FY 2012-13 and FY 2013-14 figures are estimates based the average of the last two years of actual data, other than Roadway condition.

Most policy and budget authority for the Department rests with the Transportation Commission, pursuant to Section 43-1-113 (1), C.R.S. Funds controlled by the Transportation Commission are reflected in the Construction, Maintenance, and Operations line item for informational purposes. The High Performance Transportation Enterprise and the Statewide Bridge Enterprise (both created by S.B. 09-108) are controlled by their own Boards. These line items represent the "non-appropriated" portion of the budget and are included (for informational purposes) in the Long Bill as estimates of the anticipated revenues available to the Commission or Boards.

The General Assembly approves funding for two Long Bill line items: Administration (primarily from the State Highway Fund) and the First Time Drunk Driving Offenders Account (created pursuant to H.B. 08-1194 and funded with driver's license reinstatement fees). The General Assembly has statutory oversight of revenue-raising measures and the approval of the Governor's appointments to the Transportation Commission.

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## DEPARTMENT REQUEST AND RECOMMENDATION SUMMARY

### Executive Request

The Department requests a total appropriation of \$1.28 billion and 3,326.8 FTE. The request is primarily from the State Highway Fund and federal funds. Approximately 2.5 percent of the Department's request is appropriated by the General Assembly; the remainder of the budget is set by the Transportation Commission, the High Performance Transportation Enterprise (HPTE) Board, or the Statewide Bridge Enterprise (SBE) Board. Beyond the common policy and non-prioritized state-wide changes, the Department has three prioritized requests.

### Staff Recommendation

The staff recommendation is summarized in the table below, followed by brief description of each item listed.

<b>Department of Transportation</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	<u>\$1,267,747,364</u>	<u>\$0</u>	<u>\$759,829,076</u>	<u>\$19,775,304</u>	<u>\$488,142,984</u>	<u>3,317.5</u>
<b>TOTAL</b>	<b>\$1,267,747,364</b>	<b>\$0</b>	<b>\$759,829,076</b>	<b>\$19,775,304</b>	<b>\$488,142,984</b>	<b>3,317.5</b>
<b>FY 2014-15 Requested Appropriation</b>						
FY 2013-14 Appropriation	\$1,267,747,364	\$0	\$759,829,076	\$19,775,304	\$488,142,984	3,317.5
Updated revenue projections	15,566,898	0	(10,617,738)	2,290	26,182,346	0.0
Annualization of Merit Pay and Salary Survey	490,864	0	475,023	15,841	0	0.0
Non-prioritized requested changes	473,022	0	473,022	0	0	0.0
Centrally appropriated line items	24,671	0	17,302	7,369	0	0.0

*JBC Staff Figure Setting – FY 2014-15*  
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<b>Department of Transportation</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
R1 Restoration of FTE and associated funding	0	0	0	0	0	9.3
R2 Transfer OIT budget from CMO to Administrative	0	0	0	0	0	0.0
R3 Increase FTDDA Appropriation	0	0	0	0	0	0.0
Offset JBC Staff Recommendations	(46,753)	0	(35,367)	(11,386)	0	0.0
Offset of centrally appropriated line items	(1,810,667)	0	(1,794,725)	(15,942)	0	0.0
<b>TOTAL</b>	<b>\$1,282,445,399</b>	<b>\$0</b>	<b>\$748,346,593</b>	<b>\$19,773,476</b>	<b>\$514,325,330</b>	<b>3,326.8</b>
<b>Increase/(Decrease)</b>	\$14,698,035		(\$11,482,483)	(\$1,828)	\$26,182,346	9.3
Percentage Change	1.2%	0.0%	(1.5%)	(0.0%)	5.4%	0.3%
<b>FY 2014-15 Executive Request</b>	<b>\$1,282,945,399</b>	<b>\$0</b>	<b>\$748,846,593</b>	<b>\$19,773,476</b>	<b>\$514,325,330</b>	<b>3,326.8</b>
Request Above/(Below) Recommendation	\$500,000	\$0	\$500,000	\$0	\$0	0.0

**Appropriations to Administrative Line have an inverse effect on the Construction, Maintenance, and Operations (CMO) Line.** Since the overall revenue estimate for the State Highway Fund does not change, when appropriations to the Administration Line are increased, the appropriation to CMO line is decreased, and conversely, if appropriations to the Administration Line are decreased, then the CMO line appropriation is increased. Additional common policy decisions by the JBC will result in this line being adjusted to reflect those changes.

**Elements of Recommended Appropriation:**

**FY 2013-14 Appropriation:** Current FY 2013-14 appropriation.

**Updated revenue projections:** The recommendation reflects updated revenue forecasts of both state funds and federal funds.

**Annualization of Merit Pay and Salary Survey:** The recommendation reflects annualization of previous year merit pay and salary survey decisions.

**Non-prioritized requested changes:** The recommendation includes six non-prioritized requested changes from the Governor’s Office of IT which are pending Committee decision.

**Centrally appropriated line items:** The recommendation includes an increase of \$24,671 total funds. The recommendation includes a mixture of non-pending (previously acted upon by the Committee) and pending total compensation and common policy line items.

**R1 Restoration of FTE & Associated Funding:** The recommendation includes an increase of \$1,590,193 to the Administration line and 14.5 FTE with an equal reduction in the CM&O line.

**R2 Transfer OIT budget from CM&O to Administration:** The recommendation includes an increase of \$2,365,290 to the Administration line with an equal decrease to the Construction, Maintenance, and Operations line.

**R3 Increase FTDDA appropriation R3 Increase FTDDA appropriation:** The recommendation includes denying the Department's request to increase the appropriation from the First Time Drunk Driving Offenders Account to \$500,000.

**Offset JBC staff recommendations:** The recommendation reflects a decrease of \$46,753 to the CM&O line to offset staff's recommendations to the Administration line.

**Offset of centrally appropriated line items:** The recommendation reflects a total decrease of \$1,810,667 to the CM&O line to offset the increase in centrally appropriated line item bases in the Administration line as requested by the Department.

## **(1) Administration**

*Description:* This line item was created by Section 43-1-113 (2) (III), C.R.S., and includes the salaries and expenses for a variety of offices and programs. The line supports the administrative expenditures for the Department, including the Executive Director, the Regional Directors, and budgeting, accounting, purchasing, and personnel. It is a "program" line, which gives the Department the discretion to move funds from personal services to operating (and vice versa) and also from one program or unit in the line to another without seeking approval from the General Assembly. Statute (Section 43-1-113 (6), C.R.S.) limits the Administration line item expenditures to no more than 5.0 percent of the total Department of Transportation budget.

This line item provides administrative support for the more than 3,000 FTE that work for the Department statewide. For FY 2014-15, the Department is requesting an appropriation of \$31,845,829 and 183.5 FTE, comprised of \$30,005,135 cash funds from the State Highway fund and \$1,840,694 reappropriated funds from internal cash funds. This represents an increase of \$7,212,150 (29.3 percent) above the FY 2013-14 appropriation, and is 2.5 percent of the Department's total request, well within the statutory requirement that the Administration line not exceed 5.0 percent of the Department's total budget.

Staff does not make individual recommendations for each organization within the Administration Long Bill line item. Rather, staff presents consolidated recommendations for the following components that make up the line: (1) total personal services; (2) health, life, and dental insurance; (3) short-term disability insurance; (4) amortization equalization disbursement; (5) supplemental amortization equalization disbursement; (6) salary survey; (7) merit pay; (8) shift differential; (9) workers' compensation; (10) operating expenses; (11) legal services hours; (12) legal services appropriation; (13) risk management; (14) COFRS modernization; (15) statewide indirect costs; and (16) Payments to OIT.

*JBC Staff Figure Setting – FY 2014-15*  
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	<b>Administration</b>					<b>FTE</b>
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	24,633,679	0	22,808,927	1,824,752	0	169.0
<b>TOTAL</b>	<b>\$24,633,679</b>	<b>\$0</b>	<b>\$22,808,927</b>	<b>\$1,824,752</b>	<b>\$0</b>	<b>169.0</b>
<b>FY 2014-15 Recommended Appropriation</b>						
FY 2013-14 Appropriation	\$24,633,679	\$0	\$22,808,927	\$1,824,752	\$0	169.0
R2 Transfer OIT budget from CMO to Administration	2,365,290	0	2,365,290	0	0	0.0
R1 Restoration of FTE and associated funding	1,590,193	0	1,590,193	0	0	14.5
Non-prioritized requested changes	1,446,000	0	1,446,000	0	0	0.0
Updated revenue projections	1,295,132	0	1,292,842	2,290	0	0.0
Annualization of Merit Pay and Salary Survey	490,864	0	475,023	15,841	0	0.0
Centrally appropriated line items	<u>24,671</u>	<u>0</u>	<u>17,302</u>	<u>7,369</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$31,845,829</b>	<b>\$0</b>	<b>\$29,995,577</b>	<b>\$1,850,252</b>	<b>\$0</b>	<b>183.5</b>
<b>Increase/(Decrease)</b>	\$7,212,150	\$0	\$7,186,650	\$25,500	\$0	14.5
Percentage Change	29.3%	0.0%	31.5%	1.4%	0.0%	8.6%
<b>FY 2014-15 Executive Request:</b>	<b>\$31,799,076</b>	<b>\$0</b>	<b>\$29,960,210</b>	<b>\$1,838,866</b>	<b>\$0</b>	<b>183.5</b>
Request Above/(Below) Recommendation	(\$46,753)	\$0	(\$35,367)	(\$11,386)	\$0	0.0

**Issue Descriptions:**

**R2 Transfer OIT budget from CM&O to Administration:** The recommendation includes an increase of \$2,365,290 to the Administration line with an equal decrease to the Construction, Maintenance, and Operations line.

**➔ Request R2 Transfer OIT budget from CM&O to Administration**

**Request:** The Department requests to transfer OIT functions currently allocated to the CM&O line to the Administration line to comply with statute requiring central data processing to occur in the Administration line.

**Staff Recommendation:** Staff recommends approving the Department’s request to move \$2,365,290 of OIT expenses from the CM&O line to the Administration line.

**Analysis:** Statute, Section 43-1-113 defines the Department of Transportation’s Administration line to include central data processing. As the Governor’s Office of Information Technology (OIT) has expanded and taken control of the Department’s infrastructure, two budget items that were not previously considered central data processing are now more appropriately described as central data processing. To comply with statute, these items should be moved to the Administrative Budget line.

The Department proposes solving this issue by moving two lines, Colorado State Network and Purchase of Services from Computer Center, from the CM&O line to the Administration line.

**R1 Restoration of FTE & Associated Funding:** The recommendation includes an increase of \$1,590,193 to the Administration line and 14.5 FTE with an equal reduction in the CM&O line.

**➔ Request R1 restoration of FTE & associated funding**

**Request:** The Department requests an increase of \$1,590,193 and 14.5 FTE to restore the Administration line to the operating level it had before the economic downturn.

**Staff Recommendation:** Staff recommends approving the request of \$1,590,193 and 14.5 FTE.

**Analysis:** The Administration line was created by Section 43-1-113(2) (III), C.R.S., and includes the salaries and expenses for a variety of offices and programs. It is a "program" line, which gives CDOT discretion to move funds from personal services to operating (and vice versa) and also from one program to another without seeking approval from the General Assembly.

The decision to decrease the appropriation was based primarily on the Department reverting significant FTE between FY2008-09 through FY2011-12. The table below lists actual cash fund and FTE reversions for the five most recent years with actual data available.

<b>Actual Cash Fund and FTE Reversions</b>					
	FY2008-09	FY2009-10	FY2010-11	FY2011-12	FY2012-13
<b>Cash Funds</b>	\$1,912,796	\$3,167,819	\$1,590,193	\$280,733	\$304,952
<b>FTE</b>	24.3	26.5	14.2	23.8	21.8

The Department’s cash funds revert to the State Highway Fund and are available for construction projects the following year. Fearing the “Great Recession” could reduce federal funding available to the Department, it implemented a hiring freeze to take advantage of vacancy savings. These vacancy savings would then revert to the State Highway Fund and increase the availability of project funding in the following fiscal year. Additional cost saving measures implemented in this period included limiting in-state travel to unsustainable levels.

Reversions decreased in FY 2010-11 as CDOT filled some staff vacancies after the hiring freeze expired. For FY 2011-12, the Committee approved a \$430,000 reduction for operating expenses as well as a 1.5 percent common policy personal services reduction of \$251,317. These cuts would limit cash fund reversions to \$280,733 in FY 2011-12. The JBC did not have FY 2011-12 actuals during figure setting for FY 2012-13. As a result, the Committee decided to reduce personal services based upon the actuals for FY 2010-11. The Department's FY 2012-13 Administration request was for \$14,063,690. The Committee approved only \$12,332,539, taking into account a reduction of \$1,590,194 and 14.2 FTE to align with prior years' expenditures and a 1.0 percent common policy reduction of \$140,958. The positions CDOT eliminated from its Administration Program were already vacant.



Losing the FTE and associated funding caused the Department a loss of flexibility to adjust staffing to best utilize positions. With the decrease in available positions, CDOT must choose which to fill and which to leave vacant in a reactive manner when a current employee resigns or retires.

**Non-prioritized requested changes:** The recommendation includes a pending recommendation for \$1,446,000 for six requests from OIT.

**➔ Non-prioritized requested changes**

**Request:** The request includes an increase of \$1,446,000 for the following non-prioritized items: (1) OIT security, (2) eliminate redundant applications, (3) network resiliency, (4) ITSM ecosystem, (5) DTRS operations, and (6) Technical Development.

**Recommendation:** **Staff's recommendation for the appropriation is pending Committee action.** Staff will ultimately reflect the Committee's common policy decision in the appropriation for this line.

**Updated revenue projections:** The recommendation reflects updated revenue forecasts of both state funds and federal funds.

**Annualization of Merit Pay and Salary Survey:** The recommendation reflects annualization of previous year merit pay and salary survey decisions.

**Centrally appropriated line items:** The recommendation includes an increase of \$24,671 total funds. The recommendation includes a mixture of non-pending (previously acted upon by the Committee) and pending total compensation and common policy line items. It also includes technical adjustments to accurately report totals in centrally appropriated line items. The tables on the next page highlight the changes by pending and non-pending status.

*JBC Staff Figure Setting – FY 2014-15*  
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<b>Previously Acted Upon Centrally Appropriated Line Items Totals Change from FY 2013-14 Appropriation</b>		
<b>Item</b>	<b>Total Funds</b>	<b>General Fund</b>
Health, life, and dental	(\$104,979)	\$0
Short-term disability	2,497	0
AED	30,872	0
SAED	42,372	0
Salary Survey	11,729	0
Merit Pay	(15,441)	0
Shift Differential	(2,687)	0

<b>Pending Centrally Appropriated Line Items Change from FY2013-14 Appropriation</b>		
<b>Item</b>	<b>Total Funds</b>	<b>General Fund</b>
Statewide Indirects	\$76,724	\$0
Legal Services	0	0
Risk Management (General Insurance)	(134,869)	0
Workers' Compensation	112,871	0
COFRS Modernization	0	0

**Total Administration Line**

**Request:** The Department requests \$31,845,829 in cash and reappropriated funds and 183.5 FTE for this line item in FY 2014-15. The budget request reflects an increase of \$7,212,150 total funds and 14.5 FTE from FY 2013-14.

**Staff Recommendation:** Staff's recommendation is pending the approval of common policies for (1) risk management and general insurance; (2) workers' compensation; (3) legal services; (4) Payments to OIT; and (5) and COFRS Modernization by the Committee. While the numbers pages show a total for Administration, that funding level will increase or decrease with the approval of common policies for the pending items. **Staff requests permission to adjust the line item after the Committee has determined the common policy for these items.**

**Personal Services**

**Request:** The Department requests an appropriation of \$16,446,892 total funds and 183.5 FTE, including an increase of \$1,590,193 and 14.5 FTE.

**Staff Recommendation:** **Staff recommends an appropriation of \$14,519,414 total funds and 183.5 FTE.** This includes \$13,849,611 cash funds from the State Highway Fund and \$669,803 reappropriated funds transferred from the Construction, Maintenance, and Operations line.

*JBC Staff Figure Setting – FY 2014-15*  
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<b>Base Personal Services</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	<u>\$12,332,539</u>	<u>\$0</u>	<u>\$11,678,350</u>	<u>\$654,189</u>	<u>\$0</u>	<u>169.0</u>
<b>TOTAL</b>	<b>\$12,332,539</b>	<b>\$0</b>	<b>\$11,678,350</b>	<b>\$654,189</b>	<b>\$0</b>	<b>169.0</b>
<b>FY 2014-15 Recommended Appropriation</b>						
FY 2013-14 Appropriation	\$12,332,539	\$0	\$11,678,350	\$654,189	\$0	169.0
R1 Restoration of FTE and associated funding	1,590,193	0	1,590,193	0	0	14.5
Annualization of Merit Pay and Salary Survey	490,864	0	475,023	15,841	0	0.0
Technical Adjustment	<u>105,818</u>	<u>0</u>	<u>106,045</u>	<u>(227)</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$14,519,414</b>	<b>\$0</b>	<b>\$13,849,611</b>	<b>\$669,803</b>	<b>\$0</b>	<b>183.5</b>
<b>Increase/(Decrease)</b>	\$2,186,875	\$0	\$2,171,261	\$15,614	\$0	14.5
Percentage Change	17.7%	0.0%	18.6%	2.4%	0.0%	8.6%
<b>FY 2014-15 Executive Request:</b>	<b>\$16,446,892</b>	<b>\$0</b>	<b>\$15,777,089</b>	<b>\$669,803</b>	<b>\$0</b>	<b>183.5</b>
Request Above/(Below) Recommendation	\$1,927,478	\$0	\$1,927,478	\$0	\$0	0.0

**Operating Expenses**

**Request:** The Department requests an appropriation of \$2,406,505, comprised of \$1,338,646 cash funds from the State Highway Fund and \$1,067,859 reappropriated funds transferred from the Construction, Maintenance, and Operations line. This line does not include *all* operating expenses for the Administration line and the Department is requesting \$14,929,047 total funds allocated to operations in the Administration line.

**Staff Recommendation:** Staff recommends an appropriation of \$4,198,126 comprised of \$3,130,267 cash fund and \$1,067,859 reappropriated funds. The additional funds are already included in other lines of the Department’s request. This allows staff to accurately reflect total operating costs allocated to the Administration line.

**Health, Life, and Dental**

**Request:** The Department requests an appropriation of \$1,080,861 total funds, comprised of \$1,029,166 cash funds and \$51,695 reappropriated funds.

**Recommendation:** Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$1,034,108 total funds, comprised of \$984,241 cash funds and \$49,867 reappropriated funds. Due to a budget amendment, the request and recommendation in the following table are equal. The details of this appropriation are summarized in the following table:

*JBC Staff Figure Setting – FY 2014-15*  
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<b>Health, Life, and Dental</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	<u>\$1,139,087</u>	<u>\$0</u>	<u>\$1,083,198</u>	<u>\$55,889</u>	<u>\$0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,139,087</b>	<b>\$0</b>	<b>\$1,083,198</b>	<b>\$55,889</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>						
FY 2013-14 Appropriation	\$1,139,087	\$0	\$1,083,198	\$55,889	\$0	0.0
Health, Life, Dental	<u>(104,979)</u>	<u>0</u>	<u>(98,957)</u>	<u>(6,022)</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,034,108</b>	<b>\$0</b>	<b>\$984,241</b>	<b>\$49,867</b>	<b>\$0</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	(\$104,979)	\$0	(\$98,957)	(\$6,022)	\$0	0.0
Percentage Change	(9.2%)	0.0%	(9.1%)	(10.8%)	0.0%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$1,034,108</b>	<b>\$0</b>	<b>\$984,241</b>	<b>\$49,867</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**Short-term Disability**

**Request:** The Department requests an appropriation of \$22,805 total funds, comprised of \$21,872 cash funds and \$933 reappropriated funds.

**Recommendation:** Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$22,910 total funds, comprised of \$21,973 cash funds and \$937 reappropriated funds.

<b>Short-term Disability</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$20,413</u>	<u>\$0</u>	<u>\$19,598</u>	<u>\$815</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$20,413</b>	<b>\$0</b>	<b>\$19,598</b>	<b>\$815</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	\$20,413	\$0	\$19,598	\$815	0.0
Short-term disability	<u>2,497</u>	<u>0</u>	<u>2,375</u>	<u>122</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$22,910</b>	<b>\$0</b>	<b>\$21,973</b>	<b>\$937</b>	<b>0.0</b>

*JBC Staff Figure Setting – FY 2014-15*  
*Staff Working Document – Does Not Represent Committee Decision*

	<b>Short-term Disability</b>				FTE
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	
Increase/(Decrease)	\$2,497	\$0	\$2,375	\$122	0.0
Percentage Change	12.2%	0.0%	12.1%	15.0%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$22,805</b>	<b>\$0</b>	<b>\$21,872</b>	<b>\$933</b>	<b>0.0</b>
Request Above/(Below) Recommendation	(\$105)		(\$101)	(\$4)	0.0

**S.B. 04-257 Amortization Equalization Disbursement**

**Request:** The Department requests an appropriation of \$415,717 total funds, comprised of \$398,610 cash funds and \$17,107 reappropriated funds.

**Recommendation:** Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$417,638 total funds, comprised of \$400,447 cash funds and \$17,191 reappropriated funds. The details of this appropriation are summarized in the following table:

<b>S.B. 04-257 Amortization Equalization Disbursement</b>					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$386,766</u>	<u>\$0</u>	<u>\$371,441</u>	<u>\$15,325</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$386,766</b>	<b>\$0</b>	<b>\$371,441</b>	<b>\$15,325</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	386,766	\$0	371,441	15,325	0.0
Amortization Equalization Disbursement	<u>30,872</u>	<u>0</u>	<u>29,006</u>	<u>1,866</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$417,638</b>		<b>\$400,447</b>	<b>\$17,191</b>	<b>0.0</b>
Increase/(Decrease)	\$30,872	\$0	\$29,006	\$1,866	0.0
Percentage Change	8.0%	0.0%	7.8%	12.2%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$415,717</b>	<b>\$0</b>	<b>\$398,610</b>	<b>\$17,107</b>	<b>0.0</b>
Request Above/(Below) Recommendation	(\$1,921)		(\$1,837)	(\$84)	0.0

**S.B. 06-235 Supplemental Amortization Equalization Disbursement**

**Request:** The Department requests an appropriation of \$389,735 total funds, comprised of \$373,697 cash funds and \$16,038 reappropriated funds.

**Recommendation:** Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$391,536 total funds, comprised of \$375,419 cash funds and

*JBC Staff Figure Setting – FY 2014-15*  
*Staff Working Document – Does Not Represent Committee Decision*

\$16,117 reappropriated funds. The details of this appropriation are summarized in the following table:

<b>S.B. 06-235 Supplemental Amortization Equalization Disbursement</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$349,164</u>	<u>\$0</u>	<u>\$335,329</u>	<u>\$13,835</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$349,164</b>	<b>\$0</b>	<b>\$335,329</b>	<b>\$13,835</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	\$349,164	\$0	\$335,329	\$13,835	0.0
S-Amortization Equalization Disbursement	<u>42,372</u>	<u>0</u>	<u>40,090</u>	<u>2,282</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$391,536</b>		<b>\$375,419</b>	<b>\$16,117</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	\$42,372	\$0	\$40,090	\$2,282	0.0
Percentage Change	12.1%	0.0%	12.0%	16.5%	0.0%
<b>FY 2014-15 Executive Request:</b>					
Request Above/(Below) Recommendation	(\$1,801)		(\$1,722)	(\$79)	0.0

**Salary Survey**

The Department requests an appropriation of \$159,987 total funds, comprised of \$153,086 cash funds and \$6,901 reappropriated funds.

**Recommendation:** Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$337,357 total funds, comprised of \$323,556 cash funds and \$13,801 reappropriated funds. The details of this appropriation are summarized in the following table:

<b>Salary Survey</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$325,628</u>	<u>\$0</u>	<u>\$316,466</u>	<u>\$9,162</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$325,628</b>	<b>\$0</b>	<b>\$316,466</b>	<b>\$9,162</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	\$325,628	\$0	\$316,466	\$9,162	0.0
Salary Survey	<u>11,729</u>	<u>0</u>	<u>7,090</u>	<u>4,639</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$337,357</b>		<b>\$323,556</b>	<b>\$13,801</b>	<b>0.0</b>

*JBC Staff Figure Setting – FY 2014-15*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Salary Survey</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>Increase/(Decrease)</b>	\$11,729	\$0	\$7,090	\$4,639	0.0
Percentage Change	3.6%	0.0%	2.2%	50.6%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$159,987</b>	<b>\$0</b>	<b>\$153,086</b>	<b>\$6,901</b>	<b>0.0</b>
Request Above/(Below) Recommendation	(\$177,370)		(\$170,470)	(\$6,900)	0.0

**Merit Pay**

The Department requests an appropriation of \$162,691 total funds, comprised of \$154,305 cash funds and \$8,386 reappropriated funds.

**Recommendation: Staff recommends reflecting the approved JBC common policy, which provides an appropriation of \$163,237 total funds,** comprised of \$154,811 cash funds and \$8,426 reappropriated funds. The details of this appropriation are summarized in the following table:

<b>Merit Pay</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$178,678</u>	<u>\$0</u>	<u>\$171,247</u>	<u>\$7,431</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$178,678</b>	<b>\$0</b>	<b>\$171,247</b>	<b>\$7,431</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	\$178,678	\$0	\$171,247	\$7,431	0.0
Merit Pay	<u>(15,441)</u>	<u>0</u>	<u>(16,436)</u>	<u>995</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$163,237</b>		<b>\$154,811</b>	<b>\$8,426</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	(\$15,441)	\$0	(\$16,436)	\$995	0.0
Percentage Change	(8.6%)	0.0%	(9.6%)	13.4%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$162,691</b>	<b>\$0</b>	<b>\$154,305</b>	<b>\$8,386</b>	<b>0.0</b>
Request Above/(Below) Recommendation	(\$546)		(\$506)	(\$40)	0.0

**Shift Differential**

**Request:** The Department requested an appropriation of \$30,582 total funds, comprised of \$28,610 cash funds and \$1,972 reappropriated funds.

*JBC Staff Figure Setting – FY 2014-15*  
*Staff Working Document – Does Not Represent Committee Decision*

**Staff Recommendation:** Staff recommends reflecting the approved JBC common policy for an appropriation of \$30,582 total funds, including \$28,610 cash funds and \$1,972 reappropriated funds. The details of this appropriation are summarized in the following table:

	<b>Shift Differential</b>				<b>FTE</b>
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	
<b>FY 2013-14 Appropriation</b>					
SB 13-230 (Long Bill)	<u>\$33,269</u>	<u>\$0</u>	<u>\$33,022</u>	<u>\$247</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$33,269</b>	<b>\$0</b>	<b>\$33,022</b>	<b>\$247</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>					
FY 2013-14 Appropriation	\$33,269	\$0	\$33,022	\$247	0.0
Shift Differential	<u>(2,687)</u>	<u>0</u>	<u>(4,412)</u>	<u>1,725</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$30,582</b>		<b>\$28,610</b>	<b>\$1,972</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	(\$2,687)	\$0	(\$4,412)	\$1,725	0.0
Percentage Change	(8.1%)	0.0%	(13.4%)	698.4%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$30,582</b>	<b>\$0</b>	<b>\$28,610</b>	<b>\$1,972</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0		\$0	\$0	0.0

**Statewide Indirect Cost Assessments**

**Request:** The Department requests an appropriation of \$129,070 cash funds, which includes an increase of \$75,857 to statewide indirect costs.

**Staff Recommendation:** Staff's recommendation for the appropriation is pending Committee action. Staff requests permission to reflect the Committee's common policy decision in the appropriation for this line.

**Legal Services**

**Request:** The Department requests a continuation appropriation for the purchase of 6,580 hours of legal services hours from the Department of Law, with an appropriation of \$599,306 cash funds.

**Staff Recommendation:** Staff recommends approving the purchase of 6,508 hours of legal services hours from the Department of Law. Staff's recommendation for the appropriation is pending Committee action. Staff requests permission to reflect the Committee's common policy decision in the appropriation for this line.



**Risk Management (General Insurance)**

**Request:** The Department requests an appropriation of \$2,652,342 cash funds, which includes a common policy adjustment decreasing the request by \$134,869.

**Staff Recommendation:** **Staff's recommendation for the appropriation is pending Committee action.** Staff requests permission reflect the Committee's common policy decision in the appropriation for this line.

**Workers' Compensation**

**Request:** The Department requested an appropriation of \$553,451 cash funds, which includes a common policy adjustment increasing the request \$112,871.

**Staff Recommendation:** **Staff's recommendation for the appropriation is pending Committee action.** Staff requests permission reflect the Committee's common policy decision in the appropriation for this line.

**COFRS (Colorado Financial Reporting System) Modernization**

**Request:** The Department requests an appropriation of \$68,172 cash funds which represents continuation funding for this line.

**Staff Recommendation:** **Staff's recommendation for the appropriation is pending Committee action.** Staff requests permission reflect the Committee's common policy decision in the appropriation for this line.

**Payments to OIT**

**Request:** The Department requests an appropriation of \$6,727,713 cash funds, which represents a consolidation of certain IT-related services from several lines in the Administrative line and Construction, Maintenance, and Operations line. It includes an increase to the Administrative line of \$2,365,290 and a decrease to the Construction, Maintenance, and Operations in an equal amount. Also included in this figure are six non-prioritized requests from the Governor's Office of Information Technology which total \$1,446,000.

**Staff Recommendation:** **Staff recommends approving the Department's request to move the OIT services identified to the Administration line. Staff's recommendation for the appropriation is pending Committee action.** Staff asks permission to include the corresponding appropriations when the Committee makes a decision on OIT decision items. If staff believes there is reason to deviate from the common policy, staff will appear before the Committee later to present the relevant analysis. The details of this appropriation are summarized in the following table:

*JBC Staff Figure Setting – FY 2014-15*  
*Staff Working Document – Does Not Represent Committee Decision*

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<b>Payments to OIT</b>				
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>				
SB 13-230 (Long Bill)	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2014-15 Executive Request:</b>				
R2 Transfer OIT budget from CMO to Administrative	\$5,281,713	\$0	\$5,281,713	0.0
Non-prioritized requested changes	<u>1,446,000</u>	<u>0</u>	<u>1,446,000</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$6,727,713</b>	<b>\$0</b>	<b>\$6,727,713</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	\$6,727,713	\$0	\$6,727,713	0.0
Percentage Change	0.0%	0.0%	0.0%	0.0%
<b>Request Above/(Below) Recommendation</b>	<b>\$0</b>		<b>\$0</b>	<b>0.0</b>

## (2) Construction, Maintenance, and Operations

*Description:* These funds are appropriated by the Transportation Commission. The moneys pay for work performed by CDOT staff and its contracting partners to (1) repair and reconstruct the state highway system and to keep it in safe working order; (2) provide safety education; (3) provide flexible funding for cost-saving initiatives such as Intelligent Transportation Systems; (4) increase highway capacity; (5) pass funding through to other governmental units such as airports, local governments, and transit providers as formula or discretionary grants; and (6) pay for annual debt service for highway and bridge projects.

**Request:** The Department's FY 2014-15 requests an informational appropriation of \$1,102,689,423 total funds and 3,137.3 FTE. The request is comprised of \$586,429,483 cash funds, \$1,934,610 reappropriated funds, and \$514,325,330 federal funds.

The Department's FY 2013-14 request, and the JBC approved common policies are described in the following table.

<b>Construction, Maintenance, and Operations</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	<u>\$1,092,631,785</u>	<u>\$0</u>	<u>\$602,538,249</u>	<u>\$1,950,552</u>	<u>\$488,142,984</u>	<u>3,142.5</u>
<b>TOTAL</b>	<b>\$1,092,631,785</b>	<b>\$0</b>	<b>\$602,538,249</b>	<b>\$1,950,552</b>	<b>\$488,142,984</b>	<b>3,142.5</b>
<b>FY 2014-15 Recommended Appropriation</b>						
FY 2013-14 Appropriation	\$1,092,631,785	\$0	\$602,538,249	\$1,950,552	\$488,142,984	3,142.5
Updated revenue projections	16,796,766	0	(9,385,580)	0	26,182,346	0.0
Offset JBC Staff Recommendations	(46,753)	0	(35,367)	(11,386)	0	0.0
Non-prioritized requested changes	(972,978)	0	(972,978)	0	0	0.0
R1 Restoration of FTE and associated funding	(1,590,193)	0	(1,590,193)	0	0	(5.2)
Net offset of centrally appropriated line items	(1,810,667)	0	(1,794,725)	(15,942)	0	0.0
R2 Transfer OIT budget from CMO to Administrative	<u>(2,365,290)</u>	<u>0</u>	<u>(2,365,290)</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,102,642,670</b>	<b>\$0</b>	<b>\$586,394,116</b>	<b>\$1,923,224</b>	<b>\$514,325,330</b>	<b>3,137.3</b>
<b>Increase/(Decrease)</b>	\$10,010,885	\$0	(\$16,144,133)	(\$27,328)	\$26,182,346	(5.2)
Percentage Change	0.9%	0.0%	(2.7%)	(1.4%)	5.4%	(0.2%)
<b>FY 2014-15 Executive Request:</b>	<b>\$1,102,689,423</b>	<b>\$0</b>	<b>\$586,429,483</b>	<b>\$1,934,610</b>	<b>\$514,325,330</b>	<b>3,137.3</b>
Request Above/(Below) Recommendation	\$46,753	\$0	\$35,367	\$11,386	\$0	0.0

**Staff Recommendation:** Staff recommends reflecting an appropriation of **\$1,102,689,423 total funds and 3,137.3 FTE**, comprised of \$586,394,116 cash funds, \$1,923,224 reappropriated funds, and \$514,325,330 federal funds. The recommendation reflects the Department's request as adjusted by JBC Staff recommendation to date. Staff requests permission to adjust this line as necessary to reflect additional JBC common policy actions.

### **(3) High Performance Transportation Enterprise**

*Description:* The High Performance Transportation Enterprise (HPTE), created in S.B. 09-108, replaces the Statewide Tolling Enterprise created pursuant to S.B. 02-179 and H.B. 02-1310. In addition to taking over the responsibilities of the former Statewide Tolling Enterprise, the HPTE was established to pursue public-private partnerships and other means of completing surface transportation projects, including collecting tolls on existing roadways if such projects are approved by local transportation entities. The section is granted enterprise status as long as it retains the authority to issue revenue bonds and receives less than 10.0 percent of its total revenues from grants from state and local governments. The amounts shown are revenue estimates from the existing toll lanes on Interstate 25.

Because the HPTE Board has sole statutory responsibility for allocating revenues, the appropriations shown in the Long Bill are for informational purposes only.

**Request:** For FY 2014-15, the Department requests \$31,575,000 total funds, including \$30,575,000 cash funds and \$1,000,000 reappropriated funds, and 4.0 FTE for the operation of the High Performance Transportation Enterprise. This dollar amount represents the Department's estimate of revenue from the HOV Express Lanes for FY 2014-15.

**Staff Recommendation:** Staff recommends the Committee reflect the Department's request to include **\$31,575,000 total funds and 4.0 FTE, including \$30,575,000 cash funds and \$1,000,000 reappropriated funds for informational purposes in FY 2014-15.**

## (4) First Time Drunk Driving Offenders Account

*Description:* The First Time Drunk Driving Offenders Account is a subaccount within the Highway Users Tax Fund, created in Section 42-2-132 (4) (b) (II) (A) and is subject to annual appropriation.

The line item uses increased fees to reinstate drivers' licenses following drunk driving convictions (from \$60 to \$95) to fund additional high visibility drunk driving enforcement events. Prior to the passage of H.B. 08-1194, the Department had conducted an average of seven high visibility events per year. These events were funded out of DUI fines paid into the Law Enforcement Assistance Fund (LEAF) for the Prevention of Drunken Driving created in Section 43-4-401, C.R.S. House Bill 08-1194 directs the Department to 12 high visibility events per year in addition to those required by LEAF, and allows a legislative appropriation of up to \$2 million.

The Department partners with the Colorado State Patrol and with local law enforcement agencies for the high visibility events. No First Time Drunk Driving Offenders Account funds are provided to the State Patrol. The program funds overtime expenses at local law enforcement agencies to increase enforcement efforts for defined periods of time, for example over holiday weekends. Local agencies apply to CDOT to receive funding and participate, and the Department targets areas with elevated enforcement needs. Program expenses also include advertising to inform the public of upcoming and ongoing enforcement events in an effort to prevent individuals from driving drunk in the first place, as well as costs to reach out to law enforcement agencies. The following table illustrates the request and recommendation:

<b>First Time Drunk Driving Offenders Account</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2013-14 Appropriation</b>						
SB 13-230 (Long Bill)	<u>\$1,500,000</u>	<u>\$0</u>	<u>\$1,500,000</u>	<u>\$0</u>	<u>\$0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,500,000</b>	<b>\$0</b>	<b>\$1,500,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2014-15 Recommended Appropriation</b>						
FY 2013-14 Appropriation	\$1,500,000	\$0	\$1,500,000	\$0	\$0	0.0
R3 Increase FTDDA Appropriation	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,500,000</b>	<b>\$0</b>	<b>\$1,500,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>Increase/(Decrease)</b>	\$0	\$0	\$0	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2014-15 Executive Request:</b>	<b>\$2,000,000</b>	<b>\$0</b>	<b>\$2,000,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$500,000	\$0	\$500,000	\$0	\$0	0.0

**R3 Increase FTDDA appropriation: Staff recommends denying the Department’s request.** Staff recommends an appropriation of \$1,500,000 cash funds including to the appropriation from the first time drunk driving offenders account.

**➔ R3 Increase FTDDA appropriation**

**Request:** The request includes \$2,000,000 cash funds from the First Time Drunk Driving Offenders Account, an increase of \$500,000 from the FY 2013-14 appropriation.

**Recommendation: Staff recommends denying the Department's request and making an appropriation of \$1,500,000 cash funds from the First Time Drunk Driving Offender Account in FY 2014-15.**

**Analysis:** The First Time Drunk Driving Offenders Account (Account) currently has a balance that nears \$5.0 million. Based on projections by the Department of Revenue, the requested \$500,000 appropriation will reduce net cash flow into the account and spend down the balance. The Department of Revenue does not anticipate that an increase in \$500,000 will prevent it from accomplishing its other directives paid from that fund. The table below demonstrates the fund balance continuing to decrease when there is a transfer of \$1.5 million to the Department of Transportation. At current spending levels, the balance in the Account is projected to be depleted in FY 2018-19.

<b>First Time Drunk Driving Offenders Account Forecasted End of Year Balanced</b>	
FY 2014-15	\$ 3,990,341
FY 2015-16	\$ 2,968,512
FY 2016-17	\$ 1,933,154
FY 2017-18	\$ 884,195
FY 2018-19	\$ (178,329)

Balances assume a \$1.5 million appropriation to the Department of Transportation

When compared to the rest of the nation, Colorado fares moderately in the standard metric of fatalities from alcohol-impaired collisions. Data available on all fifty states from 2011 shows the national average of fatalities in alcohol impaired vehicle collisions is approximately 3.62 per every 100,000 in population. Throughout the country, fatalities per 100,000 people ranged from the high of 9.4 in North Dakota to the low of 1.6 in New York. During this same reporting period, Colorado reported 3.1 alcohol impaired driving fatalities for every 100,000 residents. This figure is smaller for Colorado than 28 other states. The obvious desired outcome is zero deaths, but, comparatively, Colorado’s fatality rate is below the national average.

With the additional \$500,000 appropriation, CDOT proposes to strengthen impaired driving enforcement by increasing the length and coverage area of the twelve annual enforcement

episodes. It is expected that these longer, more robust enforcement episodes will result in more DUI arrests and safer roads throughout Colorado. Based on last year's data, the Department estimates a \$500,000 increase in FTDD Account funding will generate an additional 2,000 arrests per year. As law enforcement agencies remove more impaired drivers from the roads, fewer alcohol-related traffic fatalities should occur.

Because an increase in the appropriation would drive the account balance down even more quickly, Staff recommends denying the Department's request.

## **(5) Statewide Bridge Enterprise**

*Description:* This line item was created by S.B. 09-108. It is funded through the bridge safety surcharge on vehicle registrations set forth in Section 43-4-805 (5) (g) (I), C.R.S. Use of these funds requires the approval of the Statewide Bridge Enterprise Board. The Enterprise's purpose is to facilitate the repair or replacement of bridges rated to be in poor condition and to be either structurally deficient or functionally obsolete. The Enterprise has the authority to issue revenue bonds and borrow funds from the Transportation Commission to be repaid from bridge safety surcharge revenues. The section can maintain enterprise status as long as it retains the authority to issue revenue bonds and receives less than 10.0 percent of its total revenues from grants from state and local governments. Because the revenues are continuously appropriated to the Department, the amounts are shown in the Long Bill for informational purposes only.

**Request:** For FY 2014-15, the Department requests \$114,881,900 total funds and 2.0 FTE comprised of \$99,881,900 cash funds and \$15,000,000 reappropriated funds reflecting the Department's estimate of revenues to the Statewide Bridge Enterprise.

**Recommendation:** Staff recommends the Committee reflect the Department's request and include \$114,881,900 cash funds and 2.0 FTE, comprised of \$99,881,900 cash funds and \$15,000,000 reappropriated funds for informational purposes in FY 2014-15, reflecting the Department's anticipated revenues for the Bridge Enterprise.



## **Long Bill Footnotes and Requests for Information**

### **LONG BILL FOOTNOTES**

The Department did not have any Long Bill footnotes in FY 2013-14, and staff does not recommend any new footnotes in FY 2014-15.

### **REQUESTS FOR INFORMATION**

The Department of Transportation did not have any Requests for Information included in the FY 2013-14 Letter to the Governor for Executive Branch Information Requests, and staff does not recommend any new information requests in FY 2014-15.

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

**Appendix A: Number Pages**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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**DEPARTMENT OF TRANSPORTATION**  
**Donald Hunt, Executive Director**

**(1) ADMINISTRATION**

This line item was created to include the personal services and operating expenses for offices and programs that are the administrative piece of the Transportation Commission's non-appropriated functions. The lines below are included for figure setting purposes. Because the Administration line is a program line, the Department has discretionary flexibility over all amounts within Administration. The Transportation Commission has appropriations authority over both the Administration line and the Construction, Maintenance, and Operations line, and the combined annual request for these lines reflects anticipated revenues to the State Highway Fund, Federal Highways Administration funds and funds from local governments. The General Assembly sets an appropriated level for the Administration line as a total, and the balance of anticipated highway funds become the appropriation to the Construction, Maintenance, and Operation line.

**(A) Administration**

Personal Services	<u>12,821,269</u>	<u>8,618,620</u>	<u>12,332,539</u>	<u>16,446,892</u>	<u>14,519,414 *</u>
FTE	168.7	165.0	169.0	183.5	183.5
General Fund	0	0	0	0	0
Cash Funds	12,381,006	8,219,949	11,678,350	15,777,089	13,849,611
Reappropriated Funds	440,263	398,671	654,189	669,803	669,803
Federal Funds	0	0	0	0	0
Operating Expenses	<u>3,413,175</u>	<u>9,441,122</u>	<u>2,851,805</u>	<u>2,406,505</u>	<u>4,198,126</u>
Cash Funds	2,689,286	8,546,305	1,783,946	1,338,646	3,130,267
Reappropriated Funds	723,889	894,817	1,067,859	1,067,859	1,067,859
<b>SUBTOTAL - (A) Administration</b>	16,234,444	18,059,742	15,184,344	18,853,397	18,717,540
FTE	<u>168.7</u>	<u>165.0</u>	<u>169.0</u>	<u>183.5</u>	<u>183.5</u>
General Fund	0	0	0	0	0
Cash Funds	15,070,292	16,766,254	13,462,296	17,115,735	16,979,878
Reappropriated Funds	1,164,152	1,293,488	1,722,048	1,737,662	1,737,662
Federal Funds	0	0	0	0	0

\* denotes this line item includes a decision item.

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
<b>(B) Centrally Appropriated Personal Services</b>					
Health, Life, and Dental	<u>952,974</u>	<u>1,053,775</u>	<u>1,139,087</u>	<u>1,034,108</u>	<u>1,034,108</u>
General Fund	0	0	0	0	0
Cash Funds	919,186	1,002,020	1,083,198	984,241	984,241
Reappropriated Funds	33,788	51,755	55,889	49,867	49,867
Federal Funds	0	0	0	0	0
Short-term Disability	<u>18,412</u>	<u>18,931</u>	<u>20,413</u>	<u>22,805</u>	<u>22,910</u>
Cash Funds	17,798	17,997	19,598	21,872	21,973
Reappropriated Funds	614	934	815	933	937
S.B. 04-257 Amortization Equalization					
Disbursement	<u>306,550</u>	<u>332,395</u>	<u>386,766</u>	<u>415,717</u>	<u>417,638</u>
Cash Funds	294,587	319,119	371,441	398,610	400,447
Reappropriated Funds	11,963	13,276	15,325	17,107	17,191
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>246,335</u>	<u>285,652</u>	<u>349,164</u>	<u>389,735</u>	<u>391,536</u>
Cash Funds	236,722	274,243	335,329	373,697	375,419
Reappropriated Funds	9,613	11,409	13,835	16,038	16,117
Salary Survey	<u>0</u>	<u>0</u>	<u>325,628</u>	<u>159,987</u>	<u>337,357</u>
Cash Funds	0	0	316,466	153,086	323,556
Reappropriated Funds	0	0	9,162	6,901	13,801
Merit Pay	<u>0</u>	<u>0</u>	<u>178,678</u>	<u>162,691</u>	<u>163,237</u>
Cash Funds	0	0	171,247	154,305	154,811
Reappropriated Funds	0	0	7,431	8,386	8,426

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
Shift Differential	<u>27,528</u>	<u>24,452</u>	<u>33,269</u>	<u>30,582</u>	<u>30,582</u>
Cash Funds	27,281	24,452	33,022	28,610	28,610
Reappropriated Funds	247	0	247	1,972	1,972
<b>SUBTOTAL - (B) Centrally Appropriated</b>					
<b>Personal Services</b>	1,551,799	1,715,205	2,433,005	2,215,625	2,397,368
<i>FTE</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	0	0	0	0	0
Cash Funds	1,495,574	1,637,831	2,330,301	2,114,421	2,289,057
Reappropriated Funds	56,225	77,374	102,704	101,204	108,311
Federal Funds	0	0	0	0	0

**(C) Miscellaneous Administration Accounts**

Statewide Indirect Costs State Highway Funds	<u>385,553</u>	<u>125,319</u>	<u>123,760</u>	<u>129,070</u>	<u>129,937</u> P
General Fund	0	0	0	0	0
Cash Funds	385,553	125,319	123,760	129,070	125,658
Reappropriated Funds	0	0	0	0	4,279
Federal Funds	0	0	0	0	0
Legal Services	<u>498,858</u>	<u>0</u>	<u>599,306</u>	<u>599,306</u>	<u>599,306</u> P
Cash Funds	498,858	0	599,306	599,306	599,306
General Insurance - Property & Liability	<u>2,533,779</u>	<u>2,900,725</u>	<u>2,787,177</u>	<u>2,652,342</u>	<u>2,652,342</u> P
Cash Funds	2,533,779	2,900,725	2,787,177	2,652,342	2,652,342
Workers' Compensation	<u>412,636</u>	<u>493,769</u>	<u>493,770</u>	<u>553,451</u>	<u>553,451</u> P
Cash Funds	412,636	493,769	493,770	553,451	553,451

P denotes this line item is pending future Committee action.

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	<b>FY 2011-12 Actual</b>	<b>FY 2012-13 Actual</b>	<b>FY 2013-14 Appropriation</b>	<b>FY 2014-15 Request</b>	<b>FY 2014-15 Recommendation</b>
COFRS Modernization	<u>0</u>	<u>0</u>	<u>68,172</u>	<u>68,172</u>	<u>68,172</u> P
Cash Funds	0	0	68,172	68,172	68,172
Reappropriated Funds	0	0	0	0	0
Information Technology Security	<u>0</u>	<u>0</u>	<u>103,372</u>	<u>0</u>	<u>0</u> P
Cash Funds	0	0	103,372	0	0
OIT Services	<u>2,212,331</u>	<u>0</u>	<u>2,840,773</u>	<u>0</u>	<u>0</u> P
Cash Funds	2,212,331	0	2,840,773	0	0
Payments to OIT	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,727,713</u>	<u>6,727,713</u> *P
Cash Funds	0	0	0	6,727,713	6,727,713
<b>SUBTOTAL - (C) Miscellaneous Administration</b>					
<b>Accounts</b>	6,043,157	3,519,813	7,016,330	10,730,054	10,730,921
FTE	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	0	0	0	0	0
Cash Funds	6,043,157	3,519,813	7,016,330	10,730,054	10,726,642
Reappropriated Funds	0	0	0	0	4,279
Federal Funds	0	0	0	0	0
<b>TOTAL - (1) Administration</b>					
FTE	<u>168.7</u>	<u>165.0</u>	<u>169.0</u>	<u>183.5</u>	<u>183.5</u>
General Fund	0	0	0	0	0
Cash Funds	22,609,023	21,923,898	22,808,927	29,960,210	29,995,577
Reappropriated Funds	1,220,377	1,370,862	1,824,752	1,838,866	1,850,252
Federal Funds	0	0	0	0	0

\* denotes this line item includes a decision item.

P denotes this line item is pending future Committee action.

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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**(2) CONSTRUCTION, MAINTENANCE, AND OPERATIONS**

This line item includes non-appropriated revenues to the Transportation Commission as well as the Division of Aeronautics. Totals in this line item represent non-appropriated funds.

Construction Maintenance, And Operations	<u>1,457,708,884</u>	<u>1,537,527,876</u>	<u>1,092,631,785</u>	<u>1,102,689,423</u>	<u>1,102,642,670</u> *P
FTE	2,959.3	2,952.5	3,142.5	3,137.3	3,137.3
General Fund	0	0	0	0	0
Cash Funds	868,776,369	819,583,404	602,538,249	586,429,483	586,394,116
Reappropriated Funds	1,775,387	854,151	1,950,552	1,934,610	1,923,224
Federal Funds	587,157,128	717,090,321	488,142,984	514,325,330	514,325,330

<b>TOTAL - (2) Construction, Maintenance, and Operations</b>	1,457,708,884	1,537,527,876	1,092,631,785	1,102,689,423	1,102,642,670
FTE	<u>2,959.3</u>	<u>2,952.5</u>	<u>3,142.5</u>	<u>3,137.3</u>	<u>3,137.3</u>
General Fund	0	0	0	0	0
Cash Funds	868,776,369	819,583,404	602,538,249	586,429,483	586,394,116
Reappropriated Funds	1,775,387	854,151	1,950,552	1,934,610	1,923,224
Federal Funds	587,157,128	717,090,321	488,142,984	514,325,330	514,325,330

\* denotes this line item includes a decision item.

P denotes this line item is pending future Committee action.

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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**(3) HIGH PERFORMANCE TRANSPORTATION ENTERPRISE**

This section, created in S.B. 09-108, replaced the Statewide Tolling Enterprise created pursuant to S.B. 02-179 and H.B. 02-1310 and pursues public-private partnerships and other means of completing surface transportation projects, including collecting tolls on existing roadways if such projects are approved by local transportation entities. The amounts shown are included for informational purposes only.

High Performance Transportation Enterprise	<u>3,231,376</u>	<u>4,858,644</u>	<u>33,500,000</u>	<u>31,575,000</u>	<u>31,575,000</u>
FTE	1.5	1.5	4.0	4.0	4.0
General Fund	0	0	0	0	0
Cash Funds	3,231,376	4,858,644	32,500,000	30,575,000	30,575,000
Reappropriated Funds	0	0	1,000,000	1,000,000	1,000,000
Federal Funds	0	0	0	0	0

<b>TOTAL - (3) High Performance Transportation Enterprise</b>	3,231,376	4,858,644	33,500,000	31,575,000	31,575,000
<i>FTE</i>	<u>1.5</u>	<u>1.5</u>	<u>4.0</u>	<u>4.0</u>	<u>4.0</u>
General Fund	0	0	0	0	0
Cash Funds	3,231,376	4,858,644	32,500,000	30,575,000	30,575,000
Reappropriated Funds	0	0	1,000,000	1,000,000	1,000,000
Federal Funds	0	0	0	0	0

**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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**(4) FIRST TIME DRUNK DRIVING OFFENDERS ACCOUNT**

The line item is supported with fees paid to reinstate drivers' licenses following drunk driving convictions and provides funding for increased high visibility drunk driving law enforcement actions undertaken pursuant to H.B. 08-1194.

First Time Drunk Driving Offenders Account	<u>934,952</u>	<u>1,431,824</u>	<u>1,500,000</u>	<u>2,000,000</u>	<u>1,500,000</u> *
General Fund	0	0	0	0	0
Cash Funds	934,952	1,431,824	1,500,000	2,000,000	1,500,000
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

<b>TOTAL - (4) First Time Drunk Driving Offenders Account</b>	934,952	1,431,824	1,500,000	2,000,000	1,500,000
<i>FTE</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	0	0	0	0	0
Cash Funds	934,952	1,431,824	1,500,000	2,000,000	1,500,000
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

\* denotes this line item includes a decision item.



**JBC Staff Staff Figure Setting - FY 2014-15**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2011-12 Actual	FY 2012-13 Actual	FY 2013-14 Appropriation	FY 2014-15 Request	FY 2014-15 Recommendation
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**(5) STATEWIDE BRIDGE ENTERPRISE**

This section was created in S.B. 09-108 and is funded through the bridge safety surcharge created in that bill. The enterprise's purpose is to facilitate the repair or replacement of bridges rated as in poor condition and either structurally deficient or functionally obsolete.

Statewide Bridge Enterprise	<u>57,912,871</u>	<u>8,690,299</u>	<u>115,481,900</u>	<u>114,881,900</u>	<u>114,881,900</u>
FTE	0.0	0.0	2.0	2.0	2.0
General Fund	0	0	0	0	0
Cash Funds	57,912,871	8,690,299	100,481,900	99,881,900	99,881,900
Reappropriated Funds	0	0	15,000,000	15,000,000	15,000,000
Federal Funds	0	0	0	0	0

<b>TOTAL - (5) Statewide Bridge Enterprise</b>	57,912,871	8,690,299	115,481,900	114,881,900	114,881,900
FTE	<u>0.0</u>	<u>0.0</u>	<u>2.0</u>	<u>2.0</u>	<u>2.0</u>
General Fund	0	0	0	0	0
Cash Funds	57,912,871	8,690,299	100,481,900	99,881,900	99,881,900
Reappropriated Funds	0	0	15,000,000	15,000,000	15,000,000
Federal Funds	0	0	0	0	0

<b>TOTAL - Department of Transportation</b>	1,543,617,483	1,575,803,403	1,267,747,364	1,282,945,399	1,282,445,399
FTE	<u>3,129.5</u>	<u>3,119.0</u>	<u>3,317.5</u>	<u>3,326.8</u>	<u>3,326.8</u>
General Fund	0	0	0	0	0
Cash Funds	953,464,591	856,488,069	759,829,076	748,846,593	748,346,593
Reappropriated Funds	2,995,764	2,225,013	19,775,304	19,773,476	19,773,476
Federal Funds	587,157,128	717,090,321	488,142,984	514,325,330	514,325,330

## Appendix B: Indirect Cost Recovery Plan

### Description of Indirect Cost Assessment Methodology

The Department's indirect cost assessment methodology is calculated based on three components: an "*Indirect Cost Pool*", an "*Indirect Cost Base*", and an "*Indirect Cost Rate*". The *Indirect Cost Pool* is comprised of accumulated costs for activities chargeable to highway projects but not attributable to a single project that fall under the purview of the Construction, Maintenance, and Operations line. The Department incurs these indirect costs in Indirect Cost Centers that are established for each CDOT Region Program Engineering Unit. Total indirect costs accumulated in these centers for the 12 month period beginning on July 1 of the previous year and ending on June 30 of the current year make up the *Indirect Cost Pool*. For FY 2014-15 the Department's *Indirect Cost Pool* as requested is **\$80,450,072**. Table 1 outlines what is included in the department's *Indirect Cost Pool* (i.e. the total indirect cost center costs).

<b>Table 1</b>	
<b>CDOT Indirect Costs Pool</b>	
<b>Item</b>	<b>FY 2014-15</b>
Project Support Activities	\$ 21,985,420
Staff Branches	16,765,936
Engineering Region Offices	37,814,476
DTD - Environmental	3,773,852
Travel	110,388
<b>Total Indirect Costs</b>	<b>\$ 80,450,072</b>

The *Indirect Cost Base* is comprised of all Indirect Eligible Expenditures for participating CDOT projects for the 12 month period beginning on July 1 of the previous year and ending on June 30 of the current year. For FY 2014-15 the Department's *Indirect Cost Base* as requested is **\$391,713,142**. Table 2 on the next page outlines what is included in the department's *Indirect Cost Base* (i.e. the total indirect eligible expenditures within the highway construction program).

<b>Table 2: CDOT Indirect Cost Base</b>	
<b>Item</b>	<b>FY 2014-15</b>
Surface Treatment	\$ 124,000,000
Structures On-System Program	25,500,000
Rockfall Mitigation	4,200,000
Highway Safety Investment Program	24,712,448
Railway-Highway Crossings Program	2,694,739
Hot Spots	1,767,154
Traffic Signals	1,172,823
FASTER - Safety Projects	39,700,000
Safety Education	8,129,982

<b>Table 2: CDOT Indirect Cost Base</b>	
<b>Item</b>	<b>FY 2014-15</b>
TSM&O: ITS Maintenance	12,300,000
TSM&O: Congestion Relief	3,300,000
Regional Priority Program	41,500,000
Bridge Enterprise Projects	77,735,996
High Performance Transportation Enterprise--Projects	<u>25,000,000</u>
<b>Total Indirect Cost Base</b>	<b>\$ 391,713,142</b>

The *Indirect Cost Rate* is calculated by dividing the total indirect costs accumulated in all of CDOT's Indirect Cost Centers for the 12 month period beginning on July 1 of the previous year and ending on June 30 of the current year by the total Indirect Eligible Expenditures from the same 12 month period. Cost recoveries come from charging a fixed percentage (*Indirect Cost Rate*) of the Construction Engineering rate to a project, with the offset charged to the appropriate Indirect Cost Center. Projects which are not administered by CDOT are exempt from the allocation, and the *Indirect Cost Rate* in effect on the date of project award does not change for the life of that project. Table 3 illustrates how the *Indirect Cost Rate* is calculated.

<b>Table 3</b>	
<b>CDOT Cost Rate</b>	
Total Indirect Cost Pool	\$ 80,450,072
Total Indirect Cost Base	\$ 391,713,142
<b>Indirect Cost Rate (Base/Pool)</b>	<b>20.5%</b>

All departmental indirect costs are recovered from and allocated back to programs housed within the "non-appropriated" portion of the CDOT budget. Most policy and budget authority for CDOT rests with the Transportation Commission, pursuant to Section 43-1-113 (1), C.R.S. Funds controlled by the Transportation Commission are reflected for informational purposes in three Long Bill line items: Construction, Maintenance, and Operations; the High Performance Transportation Enterprise (created by S.B. 09-108); and the Statewide Bridge Enterprise (created by S.B. 09-108). These line items are included in the Long Bill as estimates of the anticipated revenues available to the Commission.