

**COLORADO GENERAL ASSEMBLY  
JOINT BUDGET COMMITTEE**



**FY 2015-16 STAFF FIGURE SETTING  
DEPARTMENT OF REGULATORY AGENCIES**

**JBC Working Document - Subject to Change  
Staff Recommendation Does Not Represent Committee Decision**

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## **DEPARTMENT OF REGULATORY AGENCIES**

### **Department Overview**

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The Department's mission is broadly defined as consumer protection, that is carried out through regulatory programs that license, establish standards, approve rates, investigate complaints, and conduct enforcement through 38 boards, commissions, and advisory committees across more than 50 professions, occupations, programs, and institutions. The Department is organized in 10 predominantly cash-funded divisions as follows:

- The **Divisions of Banking, Financial Services and Securities** regulate state-chartered financial services institutions including banks, trust companies, credit unions and money transmitters, investment advisers, securities broker-dealers, and sales representatives.
- The **Civil Rights Division** administers and enforces Colorado's civil rights laws.
- The **Division of Insurance** regulates and licenses life, health, property and casualty, and other types of insurance companies and agents.
- The **Public Utilities Commission (PUC)** regulates the providers of public utilities in energy and telecommunications and specified industries that provide transportation for hire directly to consumers. The **Office of Consumer Counsel (OCC)** represents the interests of residential, small business and agricultural consumers on utility matters before the PUC.
- The **Division of Real Estate** licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.
- The **Division of Professions and Occupations**, formerly known as the Division of Registrations, oversees regulation of 347,000 licensees in 53 professions, occupations, and entities.
- The **Office of Policy and Research (OPR)** in the **Executive Director's Office (EDO)** provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly.

## DEPARTMENT REQUEST AND RECOMMENDATION SUMMARY

### Executive Request

The Department requests an appropriation of \$87.6 million total funds (including almost \$2.0 million General Fund) and 583.6 FTE for FY 2015-16. The request represents an increase of \$1.0 million total funds (including \$71,000 General Fund) and a decrease of 4.0 FTE from FY 2014-15 appropriations. The Department's request includes two request items: (1) a decrease in appropriations for the Division of Professions and Occupations' personal services line item; and (2) a decrease in appropriations for annual fleet vehicle payments. The request also includes adjustments for non-prioritized common policy request items, common policy base adjustments, indirect cost assessment adjustments, and annualization adjustments for prior year legislation and budget actions.

### Staff Recommendation

The staff recommendation is summarized in the table below. The most significant difference between the recommendation and the Executive Request is an additional \$448,000 for compensation-related common policies.

<b>Department of Regulatory Agencies</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>						
H.B. 14-1336 (Long Bill)	\$85,864,050	\$1,882,646	\$77,871,913	\$4,722,507	\$1,386,984	580.6
Other legislation	802,770	0	802,770	0	0	7.0
S.B. 15-160 (Supplemental)	<u>76,322</u>	<u>1,945</u>	<u>67,119</u>	<u>2,980</u>	<u>4,278</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$86,743,142</b>	<b>\$1,884,591</b>	<b>\$78,741,802</b>	<b>\$4,725,487</b>	<b>\$1,391,262</b>	<b>587.6</b>
<b>FY 2015-16 Recommended Appropriation</b>						
FY 2014-15 Appropriation	\$86,743,142	\$1,884,591	\$78,741,802	\$4,725,487	\$1,391,262	587.6
R1 DPO personal services reduction	(250,000)	0	(250,000)	0	0	(3.5)
NP1 Annual fleet vehicle request	(5,387)	0	(5,387)	0	0	0.0
Centrally appropriated line items	1,593,159	69,647	1,373,500	108,549	41,463	0.0
Indirect cost assessment	72,461	0	12,309	3,868	56,284	0.0
Technical changes	0	5,800	(3,660)	(2,140)	0	0.0
Annualize prior year legislation	(259,018)	0	(259,018)	0	0	(0.5)
Annualize prior year funding	<u>(148,807)</u>	<u>(4,471)</u>	<u>(125,727)</u>	<u>(14,555)</u>	<u>(4,054)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$87,745,550</b>	<b>\$1,955,567</b>	<b>\$79,483,819</b>	<b>\$4,821,209</b>	<b>\$1,484,955</b>	<b>583.6</b>
<b>Increase/(Decrease)</b>	\$1,002,408	\$70,976	\$742,017	\$95,722	\$93,693	(4.0)
Percentage Change	1.2%	3.8%	0.9%	2.0%	6.7%	(0.7%)
<b>FY 2015-16 Executive Request</b>	<b>\$87,612,984</b>	<b>\$1,995,074</b>	<b>\$79,425,504</b>	<b>\$4,763,258</b>	<b>\$1,429,148</b>	<b>583.6</b>
Request Above/(Below) Recommendation	(\$132,566)	\$39,507	(\$58,315)	(\$57,951)	(\$55,807)	(0.0)

### Description of Incremental Changes

**R1 DPO personal services reduction:** The Department requests a reduction of \$250,000 cash funds and 3.5 FTE in the Division of Professions and Occupations' (DPO) personal services line item in FY 2015-16 and ongoing.

**NP1 Annual fleet vehicle request:** The request includes the annual fleet vehicle change from the Department of Personnel.

**Centrally appropriated line items:** The request includes adjustments to centrally appropriated line items for the following: state contributions for health, life, and dental benefits; merit pay; salary survey; short-term disability; supplemental state contributions to the Public Employees' Retirement Association (PERA) pension fund; workers' compensation; administrative law judges; payment to risk management and property funds; and leased space and Capitol complex leased space.

**Indirect cost assessment adjustments:** The request includes adjustments to indirect cost assessment lines as a result of the Statewide Indirect Cost Plan and departmental indirect costs.

**Technical changes:** The request includes a budget neutral adjustment to the Sunset Fund split.

**Annualize prior year legislation:** The request includes adjustments related to prior year legislation.

**Annualize prior year funding:** The request includes adjustments related to prior year budget actions.

## (1) Executive Director’s Office and Administrative Services

The Executive Director's Office performs operations that are common to all divisions including accounting, budgeting, data processing, human resources, and purchasing. The Office of Policy and Research conducts sunrise reviews if they are requested and statutorily-required sunset evaluations of divisions, commissions, and boards located throughout state government.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Executive Director's Office and Administrative Services</b>						
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>						
H.B. 14-1336 (Long Bill)	\$30,040,536	\$705,811	\$25,238,599	\$3,769,487	\$326,639	29.5
Other legislation	271,308	0	271,308	0	0	0.0
S.B. 15-160 (Supplemental)	<u>76,322</u>	<u>1,945</u>	<u>67,119</u>	<u>2,980</u>	<u>4,278</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$30,388,166</b>	<b>\$707,756</b>	<b>\$25,577,026</b>	<b>\$3,772,467</b>	<b>\$330,917</b>	<b>29.5</b>
<b>FY 2015-16 Recommended Appropriation</b>						
FY 2014-15 Appropriation	\$30,388,166	\$707,756	\$25,577,026	\$3,772,467	\$330,917	29.5
NP1 Annual fleet vehicle request	(5,387)	0	(5,387)	0	0	0.0
Centrally appropriated line items	1,592,659	69,647	1,373,000	108,549	41,463	0.0
Annualize prior year funding	(1,330,948)	(43,499)	(1,258,099)	(14,555)	(14,795)	0.0
Annualize prior year legislation	(135,195)	0	(135,195)	0	0	0.0
Technical changes	<u>0</u>	<u>5,800</u>	<u>(3,660)</u>	<u>(2,140)</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$30,509,295</b>	<b>\$739,704</b>	<b>\$25,547,685</b>	<b>\$3,864,321</b>	<b>\$357,585</b>	<b>29.5</b>
<b>Increase/(Decrease)</b>	\$121,129	\$31,948	(\$29,341)	\$91,854	\$26,668	0.0
Percentage Change	0.4%	4.5%	(0.1%)	2.4%	8.1%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$30,390,006</b>	<b>\$779,211</b>	<b>\$25,449,234</b>	<b>\$3,806,370</b>	<b>\$355,191</b>	<b>29.5</b>
Request Above/(Below) Recommendation	(\$119,289)	\$39,507	(\$98,451)	(\$57,951)	(\$2,394)	0.0

### LINE ITEM DETAIL – EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES

#### Personal Services

This line funds the Executive Director, accounting, budget, purchasing, human resources, and Office of Policy and Research staff.

*Request and Recommendation:* The Department requests an appropriation of 29.5 FTE and \$2,385,638 total funds, which includes a salary survey and merit pay annualization and fund source adjustment. **Staff recommends approval of the request** and the fund splits included in the following table, but requests permission to make adjustments if necessary for indirect cost recoveries.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, Personal Services</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$2,312,290</u>	<u>\$3,000</u>	<u>\$70,660</u>	<u>\$2,238,630</u>	<u>29.5</u>
<b>TOTAL</b>	<b>\$2,312,290</b>	<b>\$3,000</b>	<b>\$70,660</b>	<b>\$2,238,630</b>	<b>29.5</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	2,312,290	3,000	70,660	2,238,630	29.5
Annualize prior year funding	73,348	0	0	73,348	0.0
Technical changes	<u>0</u>	<u>5,800</u>	<u>(3,660)</u>	<u>(2,140)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$2,385,638</b>	<b>\$8,800</b>	<b>\$67,000</b>	<b>\$2,309,838</b>	<b>29.5</b>
<b>Increase/(Decrease)</b>	\$73,348	\$5,800	(\$3,660)	\$71,208	0.0
Percentage Change	3.2%	193.3%	(5.2%)	3.2%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$2,385,638</b>	<b>\$8,800</b>	<b>\$67,000</b>	<b>\$2,309,838</b>	<b>29.5</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

**Health, Life, and Dental**

This line funds the Department's share of the state's group health, life and dental insurance plans for state employees. Health, life, and dental is appropriated to the Executive Director's Office and distributed as needed to the divisions.

*Request and Recommendation:* The Department requests an appropriation of \$4,183,671 total funds. **Staff recommends \$4,235,452 total funds**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, Health, Life, and Dental</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$3,699,832</u>	<u>\$96,445</u>	<u>\$3,364,783</u>	<u>\$210,172</u>	<u>\$28,432</u>
<b>TOTAL</b>	<b>\$3,699,832</b>	<b>\$96,445</b>	<b>\$3,364,783</b>	<b>\$210,172</b>	<b>\$28,432</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	3,699,832	96,445	3,364,783	210,172	28,432
Centrally appropriated line items	<u>535,620</u>	<u>25,296</u>	<u>461,415</u>	<u>30,426</u>	<u>18,483</u>
<b>TOTAL</b>	<b>\$4,235,452</b>	<b>\$121,741</b>	<b>\$3,826,198</b>	<b>\$240,598</b>	<b>\$46,915</b>
<b>Increase/(Decrease)</b>	\$535,620	\$25,296	\$461,415	\$30,426	\$18,483
Percentage Change	14.5%	26.2%	13.7%	14.5%	65.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$4,183,671</b>	<b>\$141,998</b>	<b>\$3,788,855</b>	<b>\$207,128</b>	<b>\$45,690</b>
Request Above/(Below) Recommendation	(\$51,781)	\$20,257	(\$37,343)	(\$33,470)	(\$1,225)

**Short-term Disability**

This line item funds the Department's share of the state's short-term disability program which is administered by the Department of Personnel.

*Request and Recommendation:* The Department requests an appropriation of \$81,112 total funds. **Staff recommends approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, Short-term Disability</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$79,402</u>	<u>\$2,456</u>	<u>\$71,414</u>	<u>\$4,925</u>	<u>\$607</u>
<b>TOTAL</b>	<b>\$79,402</b>	<b>\$2,456</b>	<b>\$71,414</b>	<b>\$4,925</b>	<b>\$607</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$79,402	\$2,456	\$71,414	\$4,925	\$607
Centrally appropriated line items	<u>1,710</u>	<u>166</u>	<u>1,093</u>	<u>106</u>	<u>345</u>
<b>TOTAL</b>	<b>\$81,112</b>	<b>\$2,622</b>	<b>\$72,507</b>	<b>\$5,031</b>	<b>\$952</b>
<b>Increase/(Decrease)</b>	\$1,710	\$166	\$1,093	\$106	\$345
Percentage Change	2.2%	6.8%	1.5%	2.2%	56.8%
<b>FY 2015-16 Executive Request:</b>	<b>\$81,112</b>	<b>\$2,987</b>	<b>\$72,507</b>	<b>\$4,666</b>	<b>\$952</b>
Request Above/(Below) Recommendation	\$0	\$365	\$0	(\$365)	\$0

**S.B. 04-257 Amortization Equalization Disbursement**

Pursuant to S.B. 04-257, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

*Request and Recommendation:* The Department requests an appropriation of \$1,644,105 total funds. **Staff recommends approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, S.B. 04-257 Amortization Equalization Disbursement</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$1,472,905</u>	<u>\$45,576</u>	<u>\$1,324,603</u>	<u>\$91,453</u>	<u>\$11,273</u>
<b>TOTAL</b>	<b>\$1,472,905</b>	<b>\$45,576</b>	<b>\$1,324,603</b>	<b>\$91,453</b>	<b>\$11,273</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$1,472,905	\$45,576	\$1,324,603	\$91,453	\$11,273
Centrally appropriated line items	<u>171,200</u>	<u>7,538</u>	<u>144,985</u>	<u>10,630</u>	<u>8,047</u>
<b>TOTAL</b>	<b>\$1,644,105</b>	<b>\$53,114</b>	<b>\$1,469,588</b>	<b>\$102,083</b>	<b>\$19,320</b>
<b>Increase/(Decrease)</b>	\$171,200	\$7,538	\$144,985	\$10,630	\$8,047
Percentage Change	11.6%	16.5%	10.9%	11.6%	71.4%
<b>FY 2015-16 Executive Request:</b>	<b>\$1,644,105</b>	<b>\$60,568</b>	<b>\$1,469,588</b>	<b>\$94,629</b>	<b>\$19,320</b>
Request Above/(Below) Recommendation	\$0	\$7,454	\$0	(\$7,454)	\$0

**S.B. 06-235 Supplemental Amortization Equalization Disbursement**

Pursuant to S.B. 06-235, this line item provides additional funding to increase the state contribution for the Public Employees' Retirement Association (PERA).

*Request and Recommendation:* The Department requests an appropriation of \$1,588,056 total funds. **Staff recommends approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.



*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, S.B. 06-235 Supplemental Amortization Equalization Disbursement</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$1,380,848</u>	<u>\$42,727</u>	<u>\$1,241,815</u>	<u>\$85,737</u>	<u>\$10,569</u>
<b>TOTAL</b>	<b>\$1,380,848</b>	<b>\$42,727</b>	<b>\$1,241,815</b>	<b>\$85,737</b>	<b>\$10,569</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$1,380,848	\$42,727	\$1,241,815	\$85,737	\$10,569
Centrally appropriated line items	<u>207,208</u>	<u>8,576</u>	<u>177,674</u>	<u>12,866</u>	<u>8,092</u>
<b>TOTAL</b>	<b>\$1,588,056</b>	<b>\$51,303</b>	<b>\$1,419,489</b>	<b>\$98,603</b>	<b>\$18,661</b>
<b>Increase/(Decrease)</b>	\$207,208	\$8,576	\$177,674	\$12,866	\$8,092
Percentage Change	15.0%	20.1%	14.3%	15.0%	76.6%
<b>FY 2015-16 Executive Request:</b>	<b>\$1,588,056</b>	<b>\$58,503</b>	<b>\$1,419,489</b>	<b>\$91,403</b>	<b>\$18,661</b>
Request Above/(Below) Recommendation	\$0	\$7,200	\$0	(\$7,200)	\$0

**Salary Survey**

This line item provides funding to pay for annual increases for salary survey.

*Request and Recommendation:* The Department requests an appropriation of \$414,720 total funds. **Staff recommends approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, Salary Survey</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$994,154</u>	<u>\$30,751</u>	<u>\$894,137</u>	<u>\$61,665</u>	<u>\$7,601</u>
<b>TOTAL</b>	<b>\$994,154</b>	<b>\$30,751</b>	<b>\$894,137</b>	<b>\$61,665</b>	<b>\$7,601</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$994,154	\$30,751	\$894,137	\$61,665	\$7,601
Centrally appropriated line items	414,720	12,882	370,833	25,724	5,281
Annualize prior year funding	<u>(994,154)</u>	<u>(30,751)</u>	<u>(894,137)</u>	<u>(61,665)</u>	<u>(7,601)</u>
<b>TOTAL</b>	<b>\$414,720</b>	<b>\$12,882</b>	<b>\$370,833</b>	<b>\$25,724</b>	<b>\$5,281</b>
<b>Increase/(Decrease)</b>	(\$579,434)	(\$17,869)	(\$523,304)	(\$35,941)	(\$2,320)
Percentage Change	(58.3%)	(58.1%)	(58.5%)	(58.3%)	(30.5%)
<b>FY 2015-16 Executive Request:</b>	<b>\$414,720</b>	<b>\$15,067</b>	<b>\$370,833</b>	<b>\$23,539</b>	<b>\$5,281</b>
Request Above/(Below) Recommendation	\$0	\$2,185	\$0	(\$2,185)	\$0

**Merit Pay**

This line item provides funding to pay for performance-based pay increases related to employee performance and evaluations.

*Request and Recommendation:* The Department requests an appropriation of \$363,212 total funds. **Staff recommends approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, Merit Pay</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	\$333,820	\$10,803	\$296,843	\$23,258	\$2,916
<b>TOTAL</b>	<b>\$333,820</b>	<b>\$10,803</b>	<b>\$296,843</b>	<b>\$23,258</b>	<b>\$2,916</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	333,820	10,803	296,843	23,258	2,916
Centrally appropriated line items	363,212	11,821	321,658	25,306	4,427
Annualize prior year funding	(333,820)	(10,803)	(296,843)	(23,258)	(2,916)
<b>TOTAL</b>	<b>\$363,212</b>	<b>\$11,821</b>	<b>\$321,658</b>	<b>\$25,306</b>	<b>\$4,427</b>
<b>Increase/(Decrease)</b>	\$29,392	\$1,018	\$24,815	\$2,048	\$1,511
Percentage Change	8.8%	9.4%	8.4%	8.8%	51.8%
<b>FY 2015-16 Executive Request:</b>	<b>\$363,212</b>	<b>\$14,390</b>	<b>\$321,658</b>	<b>\$22,737</b>	<b>\$4,427</b>
Request Above/(Below) Recommendation	\$0	\$2,569	\$0	(\$2,569)	\$0

**Workers' Compensation**

This line item is used to pay the Department's share of the state's workers' compensation program administered by the Department of Personnel.

*Request and Recommendation:* The Department requests an appropriation of \$84,140 total funds. **Staff recommends \$85,123**, in accordance with Committee policy, and the fund splits in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, Workers' Compensation</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	\$76,885	\$2,600	\$70,485	\$2,644	\$1,156
<b>TOTAL</b>	<b>\$76,885</b>	<b>\$2,600</b>	<b>\$70,485</b>	<b>\$2,644</b>	<b>\$1,156</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	76,885	2,600	70,485	2,644	1,156
Centrally appropriated line items	8,238	278	7,553	283	124
<b>TOTAL</b>	<b>\$85,123</b>	<b>\$2,878</b>	<b>\$78,038</b>	<b>\$2,927</b>	<b>\$1,280</b>
<b>Increase/(Decrease)</b>	\$8,238	\$278	\$7,553	\$283	\$124
Percentage Change	10.7%	10.7%	10.7%	10.7%	10.7%
<b>FY 2015-16 Executive Request:</b>	<b>\$84,140</b>	<b>\$2,845</b>	<b>\$77,137</b>	<b>\$2,893</b>	<b>\$1,265</b>
Request Above/(Below) Recommendation	(\$983)	(\$33)	(\$901)	(\$34)	(\$15)

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$210,344 total funds, including \$3,689 General Fund, \$95,427 cash funds, and \$111,228 reappropriated funds. **Staff recommends approval of the request.**

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

**Legal Services**

This line item is used to pay the Department of Law for the provision of legal services to all divisions.

*Request and Recommendation:* The Department requests an appropriation of \$10,377,594 total funds for 109,998 hours, an increase of 1,634 over the FY 2014-15 Long Bill. **Staff recommends the requested hours, but the dollar amount for this appropriation is pending Committee approval of the FY 2015-16 legal services rate.** Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

<b>Executive Director's Office and Administrative Services, Legal Services</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	\$10,729,120	\$216,752	\$10,217,463	\$106,439	\$188,466
Other legislation	<u>263,808</u>	<u>0</u>	<u>263,808</u>	<u>0</u>	<u>0</u>
<b>TOTAL</b>	<b>\$10,992,928</b>	<b>\$216,752</b>	<b>\$10,481,271</b>	<b>\$106,439</b>	<b>\$188,466</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$10,992,928	\$216,752	\$10,481,271	\$106,439	\$188,466
Centrally appropriated line items	(487,639)	(11,202)	(464,384)	(3,487)	(8,566)
Annualize prior year legislation	<u>(127,695)</u>	<u>0</u>	<u>(127,695)</u>	<u>0</u>	<u>0</u>
<b>TOTAL</b>	<b>\$10,377,594</b>	<b>\$205,550</b>	<b>\$9,889,192</b>	<b>\$102,952</b>	<b>\$179,900</b>
<b>Increase/(Decrease)</b>	(\$615,334)	(\$11,202)	(\$592,079)	(\$3,487)	(\$8,566)
Percentage Change	(5.6%)	(5.2%)	(5.6%)	(3.3%)	(4.5%)
<b>FY 2015-16 Executive Request:</b>	<b>\$10,377,594</b>	<b>\$206,901</b>	<b>\$9,889,192</b>	<b>\$101,601</b>	<b>\$179,900</b>
Request Above/(Below) Recommendation	\$0	\$1,351	\$0	(\$1,351)	\$0

*Annualize prior year funding:* The recommendation includes a net decrease of 1,262 Legal Services hours to reflect the FY 2015-16 impact of legislation that was passed in 2014, including S.B. 14-099, S.B.-125, H.B.-1227, H.B.-1328, H.B.-1329, H.B.-1331, and H.B.-1398.

**Administrative Law Judge Services**

This line item is used to purchase administrative law services from the Department of Personnel.

*Request and Recommendation:* The Department requests an appropriation of \$358,689 total funds. **Staff recommends the approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, Administrative Law Judge Services</b>			
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$269,692	\$12,197	\$257,495
S.B. 15-160 (Supplemental)	<u>8,758</u>	<u>396</u>	<u>8,362</u>
<b>TOTAL</b>	<b>\$278,450</b>	<b>\$12,593</b>	<b>\$265,857</b>
<b>FY 2015-16 Recommended Appropriation</b>			

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, Administrative Law Judge Services</b>			
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>
FY 2014-15 Appropriation	\$278,450	\$12,593	\$265,857
Centrally appropriated line items	87,456	3,955	83,501
Annualize prior year funding	<u>(8,758)</u>	<u>(396)</u>	<u>(8,362)</u>
<b>TOTAL</b>	<b>\$357,148</b>	<b>\$16,152</b>	<b>\$340,996</b>
<b>Increase/(Decrease)</b>	\$78,698	\$3,559	\$75,139
Percentage Change	28.3%	28.3%	28.3%
<b>FY 2015-16 Executive Request:</b>	<b>\$358,689</b>	<b>\$16,222</b>	<b>\$342,467</b>
Request Above/(Below) Recommendation	\$1,541	\$70	\$1,471

**Payment to Risk Management and Property Funds**

This line item is used to reimburse the Department of Personnel for the Department's share of the state's liability and property insurance.

*Request and Recommendation:* The Department requests an appropriation of \$169,677 total funds. **Staff recommends \$246,423**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, Payment to Risk Management and Property Funds</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$258,515</u>	<u>\$8,740</u>	<u>\$236,997</u>	<u>\$8,891</u>	<u>\$3,887</u>
<b>TOTAL</b>	<b>\$258,515</b>	<b>\$8,740</b>	<b>\$236,997</b>	<b>\$8,891</b>	<b>\$3,887</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$258,515	\$8,740	\$236,997	\$8,891	\$3,887
Centrally appropriated line items	<u>(12,092)</u>	<u>(408)</u>	<u>(11,086)</u>	<u>(416)</u>	<u>(182)</u>
<b>TOTAL</b>	<b>\$246,423</b>	<b>\$8,332</b>	<b>\$225,911</b>	<b>\$8,475</b>	<b>\$3,705</b>
<b>Increase/(Decrease)</b>	(\$12,092)	(\$408)	(\$11,086)	(\$416)	(\$182)
Percentage Change	(4.7%)	(4.7%)	(4.7%)	(4.7%)	(4.7%)
<b>FY 2015-16 Executive Request:</b>	<b>\$169,677</b>	<b>\$5,737</b>	<b>\$155,553</b>	<b>\$5,836</b>	<b>\$2,551</b>
Request Above/(Below) Recommendation	(\$76,746)	(\$2,595)	(\$70,358)	(\$2,639)	(\$1,154)

**Vehicle Lease Payments**

This line item provides funding for annual payments to the Department of Personnel for the cost of administration, loan repayment, and lease-purchase payments for new and replacement vehicles.

**➔ NP1 Annual Fleet Vehicle Request**

**Request:** The request includes an increase of \$1,445 cash funds for annual vehicle lease payments

**Recommendation:** Staff recommends a decrease of \$5,387 cash funds, consistent with Committee policy.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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*Request and Recommendation:* The Department requests an appropriation of \$226,050 total funds. **Staff recommends \$219,218 total funds**, consistent with Committee policy, and the fund splits included in the following table.

<b>Executive Director's Office and Administrative Services, Vehicle Lease Payments</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	\$224,605	\$224,605
S.B. 15-160 (Supplemental)	<u>0</u>	<u>0</u>
<b>TOTAL</b>	<b>\$224,605</b>	<b>\$224,605</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$224,605	\$224,605
NP1 Annual fleet vehicle request	<u>(5,387)</u>	<u>(5,387)</u>
<b>TOTAL</b>	<b>\$219,218</b>	<b>\$219,218</b>
<b>Increase/(Decrease)</b>	(\$5,387)	(\$5,387)
Percentage Change	(2.4%)	(2.4%)
<b>FY 2015-16 Executive Request:</b>	<b>\$226,050</b>	<b>\$226,050</b>
Request Above/(Below) Recommendation	\$6,832	\$6,832

**Information Technology Asset Maintenance**

This line item pays for replacement of the Department's information technology assets on a schedule determined by OIT.

*Request and Recommendation:* The Department requests a continuation appropriation of \$671,403 total funds. **Staff recommends the approval of the request** and the fund split in the following table.

<b>Executive Director's Office and Administrative Services, Information Technology Asset Maintenance</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$671,403	\$480,646	\$190,757
Other legislation	<u>7,500</u>	<u>7,500</u>	<u>0</u>
<b>TOTAL</b>	<b>\$678,903</b>	<b>\$488,146</b>	<b>\$190,757</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$678,903	\$488,146	\$190,757
Annualize prior year legislation	<u>(7,500)</u>	<u>(7,500)</u>	<u>0</u>
<b>TOTAL</b>	<b>\$671,403</b>	<b>\$480,646</b>	<b>\$190,757</b>
<b>Increase/(Decrease)</b>	(\$7,500)	(\$7,500)	\$0
Percentage Change	(1.1%)	(1.5%)	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$671,403</b>	<b>\$480,646</b>	<b>\$190,757</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0

**Hardware/Software Maintenance**

This line item pays for the licensing and maintenance agreements for hardware and software, as well as the costs of required equipment upgrades.

*Request and Recommendation:* The Department requests a continuation appropriation of \$729,218 total funds, including \$800 General Fund, \$469,816 cash funds, and \$258,602 reappropriated funds. **Staff recommends approval of the request.**

**Leased Space**

The Department leases a total of 159,916 square feet located at 1560 Broadway, Denver Colorado. The Department is in the ninth year of a 10-year lease in its primary lease (149,000 square feet); the current rate is \$17.85 and will be escalating to \$18.10 in FY 2015-16. At the time the lease was signed in FY 2005-06 the Department was paying \$17.75 per square foot; the new lease reduced that figure to \$14.87 per square foot. Please see Appendix B for a Departmental memo regarding current lease negotiations. Current market rates are \$25-30 per square foot.

**Request and Recommendation:** The Department requests an appropriation of \$3,193,641 total funds. **Staff recommends approval of the request** and the fund splits included in the following table.

<b>Executive Director's Office and Administrative Services, Leased Space</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$3,150,891</u>	<u>\$96,244</u>	<u>\$2,617,827</u>	<u>\$367,091</u>	<u>\$69,729</u>
<b>TOTAL</b>	<b>\$3,150,891</b>	<b>\$96,244</b>	<b>\$2,617,827</b>	<b>\$367,091</b>	<b>\$69,729</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$3,150,891	\$96,244	\$2,617,827	\$367,091	\$69,729
Centrally appropriated line items	<u>42,750</u>	<u>793</u>	<u>36,055</u>	<u>4,981</u>	<u>921</u>
<b>TOTAL</b>	<b>\$3,193,641</b>	<b>\$97,037</b>	<b>\$2,653,882</b>	<b>\$372,072</b>	<b>\$70,650</b>
<b>Increase/(Decrease)</b>	\$42,750	\$793	\$36,055	\$4,981	\$921
Percentage Change	1.4%	0.8%	1.4%	1.4%	1.3%
<b>FY 2015-16 Executive Request:</b>	<b>\$3,193,641</b>	<b>\$97,721</b>	<b>\$2,653,882</b>	<b>\$371,388</b>	<b>\$70,650</b>
Request Above/(Below)					
Recommendation	\$0	\$684	\$0	(\$684)	\$0

**Capitol Complex Leased Space**

Capitol complex space is maintained by the Department of Personnel, and the Department occupies 169 square feet in the Grand Junction facility for the Division of Professions and Occupations Electrical Board.

*Request and Recommendation:* The Department requests an appropriation of \$5,995 cash funds. **Staff recommends \$4,147 cash funds**, consistent with Committee policy, and the fund splits included in the following table.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Executive Director's Office and Administrative Services, Capitol Complex Leased Space</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$3,975</u>	<u>\$3,975</u>
<b>TOTAL</b>	<b>\$3,975</b>	<b>\$3,975</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$3,975	\$3,975
Centrally appropriated line items	<u>172</u>	<u>172</u>
<b>TOTAL</b>	<b>\$4,147</b>	<b>\$4,147</b>
<b>Increase/(Decrease)</b>	\$172	\$172
Percentage Change	4.3%	4.3%
<b>FY 2015-16 Executive Request:</b>	<b>\$5,995</b>	<b>\$5,995</b>
Request Above/(Below) Recommendation	\$1,848	\$1,848

**Payments to OIT**

This line item exists to support payment for services provided by the Governor's Office of Information and Technology.

*Request and Recommendation:* The Department's request reflects an appropriation of \$3,310,821 total funds. **Staff recommendation is pending Committee approval** of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

<b>Executive Director's Office and Administrative Services, Payments to OIT</b>			
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$3,093,171	\$130,015	\$2,963,156
S.B. 15-160 (Supplemental)	<u>10,205</u>	<u>429</u>	<u>9,776</u>
<b>TOTAL</b>	<b>\$3,103,376</b>	<b>\$130,444</b>	<b>\$2,972,932</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$3,103,376	\$130,444	\$2,972,932
Centrally appropriated line items	217,650	9,148	208,502
Annualize prior year funding	<u>(10,205)</u>	<u>(429)</u>	<u>(9,776)</u>
<b>TOTAL</b>	<b>\$3,310,821</b>	<b>\$139,163</b>	<b>\$3,171,658</b>
<b>Increase/(Decrease)</b>	\$207,445	\$8,719	\$198,726
Percentage Change	6.7%	6.7%	6.7%
<b>FY 2015-16 Executive Request:</b>	<b>\$3,310,821</b>	<b>\$139,163</b>	<b>\$3,171,658</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0

**CORE Operations**

This line item funds the Department's share of the first two phases of a five-phase project to replace the statewide accounting system (COFRS) used by the Office of the State Controller to record all state revenues and expenditures.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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*Request and Recommendation:* The Department requests an appropriation of \$186,920. Staff's recommendation is pending the approval of common policy by the Committee. **Staff recommends the approval of the request**, in accordance with Committee policy, and the fund split in the following table, but requests permission to adjust fund splits if necessary.

<b>Executive Director's Office and Administrative Services, CORE Operations</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	\$144,466	\$3,016	\$131,452	\$7,995	\$2,003
S.B. 15-160 (Supplemental)	<u>57,359</u>	<u>1,120</u>	<u>48,981</u>	<u>2,980</u>	<u>4,278</u>
<b>TOTAL</b>	<b>\$201,825</b>	<b>\$4,136</b>	<b>\$180,433</b>	<b>\$10,975</b>	<b>\$6,281</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$201,825	\$4,136	\$180,433	\$10,975	\$6,281
Centrally appropriated line items	42,454	804	35,029	2,130	4,491
Annualize prior year funding	<u>(57,359)</u>	<u>(1,120)</u>	<u>(48,981)</u>	<u>(2,980)</u>	<u>(4,278)</u>
<b>TOTAL</b>	<b>\$186,920</b>	<b>\$3,820</b>	<b>\$166,481</b>	<b>\$10,125</b>	<b>\$6,494</b>
<b>Increase/(Decrease)</b>	(\$14,905)	(\$316)	(\$13,952)	(\$850)	\$213
Percentage Change	(7.4%)	(7.6%)	(7.7%)	(7.7%)	3.4%
<b>FY 2015-16 Executive Request:</b>	<b>\$186,920</b>	<b>\$3,820</b>	<b>\$166,481</b>	<b>\$10,125</b>	<b>\$6,494</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0

**Consumer Outreach/Education Program**

This line item was added to the FY 2009-10 Long Bill as a result of H.B. 08-1216, which established the Consumer Outreach and Education Program (Program) within the Department. The Program works to inform consumers of their rights regarding regulated professions and occupations, decrease regulatory violations by licensees, and increase public awareness of consumer protection information available from the Department. The Program is funded by a surcharge on fines issued by various divisions.

**Request and Recommendation:** The Department requests a continuation appropriation of \$205,000 cash funds. **Staff recommends approval of the request.**



## (2) Division of Banking

The Division of Banking is responsible for the enforcement of banking laws on state-chartered commercial banks, trust companies, industrial banks and money transmitters. The Division holds charter and license application hearings, issues rules and regulations, investigates consumer complaints, and conducts examinations of banking institutions. Pursuant to Section 11-102-403, C.R.S., this Division is funded entirely by the Division of Banking Cash Fund.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Division of Banking</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$4,499,138	\$4,499,138	40.0
<b>TOTAL</b>	<b>\$4,499,138</b>	<b>\$4,499,138</b>	<b>40.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$4,499,138	\$4,499,138	40.0
Annualize prior year funding	100,259	100,259	0.0
Indirect cost assessment	(832)	(832)	0.0
<b>TOTAL</b>	<b>\$4,598,565</b>	<b>\$4,598,565</b>	<b>40.0</b>
<b>Increase/(Decrease)</b>	\$99,427	\$99,427	0.0
Percentage Change	2.2%	2.2%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$4,604,707</b>	<b>\$4,604,707</b>	<b>40.0</b>
Request Above/(Below) Recommendation	\$6,142	\$6,142	0.0

### LINE ITEM DETAIL – DIVISION OF BANKING

#### Personal Services

Division staff conducts examinations and enforce compliance with the Public Deposit Protection Act and the Uniform Consumer Credit Code. Staff also enforces regulatory guidelines for trust departments, electronic funds transfers, and electronic data processing.

*Request and Recommendation:* The Department requests an appropriation of 40.0 FTE and \$3,775,541 cash funds from the Division of Banking Cash Fund, which represents a continuation amount plus salary survey and merit pay annualizations. **Staff recommends approval of the request.**

<b>Division of Banking, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$3,675,282	\$3,675,282	40.0
<b>TOTAL</b>	<b>\$3,675,282</b>	<b>\$3,675,282</b>	<b>40.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$3,675,282	\$3,675,282	40.0
Annualize prior year funding	100,259	100,259	0.0

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>TOTAL</b>	<b>\$3,775,541</b>	<b>\$3,775,541</b>	<b>40.0</b>
Increase/(Decrease)	\$100,259	\$100,259	0.0
Percentage Change	2.7%	2.7%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$3,775,541</b>	<b>\$3,775,541</b>	<b>40.0</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

A portion of this line item funds the reimbursement for travel of staff examiners. Travel reimbursements include meal and hotel per diem and mileage reimbursement. The remainder of this line is used for general operating expenses incurred by the Division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$490,703 cash funds from the Division of Banking Cash Fund. **Staff recommends approval of the request.**

**Board Meeting Costs**

The eight-member Governor-appointed Colorado State Banking Board (Board) is the policy and rulemaking authority for this Division. The Board conducts monthly hearings on applications for commercial and industrial banks, trust companies, and the licensure of money transmitters. Board members receive reimbursements for expenses incurred during the performance of official duties pursuant to Section 11-102-103 (6), C.R.S.

*Request and Recommendation:* The Department requests a continuation appropriation of \$23,500 cash funds from the Division of Banking Cash Fund. **Staff recommends approval of the request.**

**Indirect Cost Assessment**

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs. Statewide indirect costs are overhead costs associated with the operation of general government functions and departmental administrative duties. Indirect cost recoveries are intended to offset these overhead costs in the Executive Director's Office and statewide, that would otherwise have been supported General Fund. This description applies to all Indirect Cost Assessment lines in each division.

*Request and Recommendation:* The Department's request reflects an appropriation of \$314,963 cash funds from the Division of Banking Cash Fund. **Staff recommends \$308,821**, but requests permission to adjust the line if necessary.

<b>Division of Banking, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$309,653</u>	<u>\$309,653</u>
<b>TOTAL</b>	<b>\$309,653</b>	<b>\$309,653</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$309,653	\$309,653
Indirect cost assessment	<u>(832)</u>	<u>(832)</u>

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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<b>Division of Banking, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>TOTAL</b>	<b>\$308,821</b>	<b>\$308,821</b>
<b>Increase/(Decrease)</b>	(\$832)	(\$832)
Percentage Change	(0.3%)	(0.3%)
<b>FY 2015-16 Executive Request:</b>	<b>\$314,963</b>	<b>\$314,963</b>
Request Above/(Below) Recommendation	\$6,142	\$6,142

### **(3) Civil Rights Division**

This Division is the administrative arm of the Colorado Civil Rights Commission and enforces the laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex, national origin, ancestry, physical or mental disability, religion, color, or marital status.

#### **DIVISION REQUEST AND RECOMMENDATION SUMMARY**

<b>Civil Rights Division</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$2,026,112</u>	<u>\$1,176,835</u>	<u>\$357,238</u>	<u>\$492,039</u>	<u>27.2</u>
<b>TOTAL</b>	<b>\$2,026,112</b>	<b>\$1,176,835</b>	<b>\$357,238</b>	<b>\$492,039</b>	<b>27.2</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$2,026,112	\$1,176,835	\$357,238	\$492,039	27.2
Annualize prior year funding	49,769	39,028	0	10,741	0.0
Indirect cost assessment	<u>(7,436)</u>	<u>0</u>	<u>3,868</u>	<u>(11,304)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$2,068,445</b>	<b>\$1,215,863</b>	<b>\$361,106</b>	<b>\$491,476</b>	<b>27.2</b>
<b>Increase/(Decrease)</b>	\$42,333	\$39,028	\$3,868	(\$563)	0.0
Percentage Change	2.1%	3.3%	1.1%	(0.1%)	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$2,080,929</b>	<b>\$1,215,863</b>	<b>\$361,106</b>	<b>\$503,960</b>	<b>27.2</b>
Request Above/(Below) Recommendation	\$12,484	\$0	\$0	\$12,484	(0.0)

#### **LINE ITEM DETAIL – CIVIL RIGHTS DIVISION**

##### **Personal Services**

Division staff investigates and adjudicates charges of discriminatory practices, supervise complaint hearings, provide technical assistance with fair housing laws, and provide general public information and community intervention and education.

*Request and Recommendation:* The Department requests \$1,907,901 total funds and 27.2 FTE. **Staff recommends approval of the request** and the fund splits in the following table.

<b>Civil Rights Division, Personal Services</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>					
H.B. 14-1336 (Long Bill)	<u>\$1,854,264</u>	<u>\$1,092,377</u>	<u>\$357,238</u>	<u>\$404,649</u>	<u>27.2</u>
<b>TOTAL</b>	<b>\$1,854,264</b>	<b>\$1,092,377</b>	<b>\$357,238</b>	<b>\$404,649</b>	<b>27.2</b>
<b>FY 2015-16 Recommended Appropriation</b>					
FY 2014-15 Appropriation	\$1,854,264	\$1,092,377	\$357,238	\$404,649	27.2
Annualize prior year funding	49,769	39,028	0	10,741	0.0

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Civil Rights Division, Personal Services</b>					
	<b>Total Funds</b>	<b>General Fund</b>	<b>Reappropriated Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
Indirect cost assessment	3,868	0	3,868	0	0.0
<b>TOTAL</b>	<b>\$1,907,901</b>	<b>\$1,131,405</b>	<b>\$361,106</b>	<b>\$415,390</b>	<b>27.2</b>
<b>Increase/(Decrease)</b>	\$53,637	\$39,028	\$3,868	\$10,741	0.0
Percentage Change	2.9%	3.6%	1.1%	2.7%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$1,907,901</b>	<b>\$1,131,405</b>	<b>\$361,106</b>	<b>\$415,390</b>	<b>27.2</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	(0.0)

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$105,460 total funds, including \$62,284 General Fund and \$43,176 federal funds. **Staff recommends approval of the request.**

**Hearings Pursuant to Complaint**

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*Request and Recommendation:* The Department requests a continuation appropriation of \$18,000 total funds, including \$17,000 General Fund and \$1,000 federal funds. **Staff recommends approval of the request.**

**Commission Meeting Costs**

The Colorado Civil Rights Commission holds meetings at various locations around the state, enabling members of the public to tell the Commission about their concerns regarding civil rights issues. This line receives General Funds and federal funds because meetings include federal and state civil rights issues. This line funds the per diem and travel expenses for Commissioners and various other meeting costs.

*Request and Recommendation:* The Department requests a continuation appropriation of \$12,374 total funds, including \$5,174 General Fund and \$7,200 federal funds. **Staff recommends approval of the request.**

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$37,194 federal funds. **Staff recommends \$24,710**, but requests permission to adjust the line if necessary.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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<b>Civil Rights Division, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$36,014</u>	<u>\$36,014</u>
<b>TOTAL</b>	<b>\$36,014</b>	<b>\$36,014</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$36,014	\$36,014
Indirect cost assessment	<u>(11,304)</u>	<u>(11,304)</u>
<b>TOTAL</b>	<b>\$24,710</b>	<b>\$24,710</b>
<b>Increase/(Decrease)</b>	(\$11,304)	(\$11,304)
Percentage Change	(31.4%)	(31.4%)
<b>FY 2015-16 Executive Request:</b>	<b>\$37,194</b>	<b>\$37,194</b>
Request Above/(Below) Recommendation	\$12,484	\$12,484

## (4) Office of Consumer Counsel

The Office of Consumer Counsel represents the interests of residential, small business, and agricultural consumers in cases heard by the Public Utilities Commission (PUC) and federal agencies (such as the Federal Communications Commission and the Federal Energy Regulatory Commission). Cases involve proposed changes to electric, gas, and telecommunications utility rates, service, and general policies. This Office is funded entirely with cash funds from the Public Utilities Commission Fixed Utility Fund created in Section 40-2-114, C.R.S.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

Office of Consumer Counsel			
	Total Funds	Cash Funds	FTE
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$926,687</u>	<u>\$926,687</u>	<u>7.0</u>
<b>TOTAL</b>	<b>\$926,687</b>	<b>\$926,687</b>	<b>7.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$926,687	\$926,687	7.0
Annualize prior year funding	21,524	21,524	0.0
Indirect cost assessment	<u>(145)</u>	<u>(145)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$948,066</b>	<b>\$948,066</b>	<b>7.0</b>
<b>Increase/(Decrease)</b>	\$21,379	\$21,379	0.0
Percentage Change	2.3%	2.3%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$949,129</b>	<b>\$949,129</b>	<b>7.0</b>
Request Above/(Below) Recommendation	\$1,063	\$1,063	0.0

### LINE ITEM DETAIL – OFFICE OF CONSUMER COUNSEL

#### Personal Services

This line item provides funding for the payment of office staffing and contractual services in representing residential, small business, and agricultural consumers in proceedings before the PUC and in the courts on appeals rising from PUC decisions and in federal court and federal regulatory proceedings affecting Colorado utility consumers.

**Request and Recommendation:** The Department requests an appropriation of 7.0 FTE and \$838,235 cash funds from the Public Utilities Commission Fixed Utility Fund, which includes a continuation amount plus salary survey and merit pay annualizations. **Staff recommends approval of the request.**

Office of Consumer Counsel, Personal Services			
	Total Funds	Cash Funds	FTE
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$816,711</u>	<u>\$816,711</u>	<u>7.0</u>
<b>TOTAL</b>	<b>\$816,711</b>	<b>\$816,711</b>	<b>7.0</b>

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Office of Consumer Counsel, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$816,711	\$816,711	7.0
Annualize prior year funding	<u>21,524</u>	<u>21,524</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$838,235</b>	<b>\$838,235</b>	<b>7.0</b>
<b>Increase/(Decrease)</b>	\$21,524	\$21,524	0.0
Percentage Change	2.6%	2.6%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$838,235</b>	<b>\$838,235</b>	<b>7.0</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$55,787 cash funds from the Public Utilities Commission Fixed Utility Fund. **Staff recommends approval of the request.**

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$55,107 cash funds from the Public Utilities Commission Fixed Utility Fund. **Staff recommends \$54,044**, but requests permission to adjust the line if necessary.

<b>Office of Consumer Counsel, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$54,189</u>	<u>\$54,189</u>
<b>TOTAL</b>	<b>\$54,189</b>	<b>\$54,189</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$54,189	\$54,189
Indirect cost assessment	<u>(145)</u>	<u>(145)</u>
<b>TOTAL</b>	<b>\$54,044</b>	<b>\$54,044</b>
<b>Increase/(Decrease)</b>	(\$145)	(\$145)
Percentage Change	(0.3%)	(0.3%)
<b>FY 2015-16 Executive Request:</b>	<b>\$55,107</b>	<b>\$55,107</b>
Request Above/(Below) Recommendation	\$1,063	\$1,063



## (5) Division of Financial Services

This Division regulates state-chartered credit unions, savings and loan associations and life-care institutions, which provide care for the duration of a person's life in return for the payment of an initial fee. The Division is entirely cash funded by the Division of Financial Services Cash Fund.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Division of Financial Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$1,402,366	\$1,402,366	13.0
Other legislation	<u>35,427</u>	<u>35,427</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,437,793</b>	<b>\$1,437,793</b>	<b>13.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$1,437,793	\$1,437,793	13.0
Annualize prior year legislation	159,217	159,217	2.6
Annualize prior year funding	31,905	31,905	0.0
Indirect cost assessment	19,803	19,803	0.0
Technical changes	<u>0</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,648,718</b>	<b>\$1,648,718</b>	<b>15.6</b>
<b>Increase/(Decrease)</b>	\$210,925	\$210,925	2.6
Percentage Change	14.7%	14.7%	20.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$1,630,620</b>	<b>\$1,630,620</b>	<b>15.6</b>
Request Above/(Below) Recommendation	(\$18,098)	(\$18,098)	0.0

### LINE ITEM DETAIL – DIVISION OF FINANCIAL SERVICES

#### Personal Services

Division staff enforce the Public Deposit Protection Act and conduct examinations to ensure financial stability and compliance with state and federal laws. When appropriate, division staff initiate enforcement actions in order to bring an institution into compliance with regulatory laws.

*Request and Recommendation:* The Department requests an appropriation of 15.6 FTE and \$1,382,357 cash funds from the Division of Financial Services Cash Fund, which includes a continuation amount plus salary survey and merit pay annualizations. **Staff recommends approval of the request.**

<b>Division of Financial Services, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$1,169,941</u>	<u>\$1,169,941</u>	<u>13.0</u>
<b>TOTAL</b>	<b>\$1,169,941</b>	<b>\$1,169,941</b>	<b>13.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Division of Financial Services, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
FY 2014-15 Appropriation	\$1,169,941	\$1,169,941	13.0
Annualize prior year legislation	145,084	145,084	2.6
Technical changes	35,427	35,427	0.0
Annualize prior year funding	<u>31,905</u>	<u>31,905</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$1,382,357</b>	<b>\$1,382,357</b>	<b>15.6</b>
<b>Increase/(Decrease)</b>	\$212,416	\$212,416	2.6
Percentage Change	18.2%	18.2%	20.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$1,382,357</b>	<b>\$1,382,357</b>	<b>15.6</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests an appropriation of \$145,921 cash funds from the Division of Financial Services Cash Fund. **Staff recommends approval of the request.**

<b>Division of Financial Services, Operating Expenses</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	\$131,788	\$131,788
Other legislation	<u>35,427</u>	<u>35,427</u>
<b>TOTAL</b>	<b>\$167,215</b>	<b>\$167,215</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$167,215	\$167,215
Technical changes	(35,427)	(35,427)
Annualize prior year legislation	<u>14,133</u>	<u>14,133</u>
<b>TOTAL</b>	<b>\$145,921</b>	<b>\$145,921</b>
<b>Increase/(Decrease)</b>	(\$21,294)	(\$21,294)
Percentage Change	(12.7%)	(12.7%)
<b>FY 2015-16 Executive Request:</b>	<b>\$145,921</b>	<b>\$145,921</b>
Request Above/(Below) Recommendation	\$0	\$0

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$102,342 cash funds from the Division of Financial Services Cash Fund. **Staff recommends \$120,440,** but requests permission to adjust the line if necessary.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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<b>Division of Financial Services, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$100,637</u>	<u>\$100,637</u>
<b>TOTAL</b>	<b>\$100,637</b>	<b>\$100,637</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$100,637	\$100,637
Indirect cost assessment	<u>19,803</u>	<u>19,803</u>
<b>TOTAL</b>	<b>\$120,440</b>	<b>\$120,440</b>
<b>Increase/(Decrease)</b>	\$19,803	\$19,803
Percentage Change	19.7%	19.7%
<b>FY 2015-16 Executive Request:</b>	<b>\$102,342</b>	<b>\$102,342</b>
Request Above/(Below) Recommendation	(\$18,098)	(\$18,098)

## **(6) Division of Insurance**

This Division regulates insurance entities and insurance producers throughout Colorado. If the Division of Insurance Cash Fund (Fund) does not collect enough revenue to fully fund the Division's appropriated expenditures, the Division is authorized by Section 10-3-209 (4), C.R.S. to divert up to 5.0 percent of insurance premium tax revenues to the Fund from the General Fund.

### **DIVISION REQUEST AND RECOMMENDATION SUMMARY**

<b>Division of Insurance</b>				
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>				
H.B. 14-1336 (Long Bill)	\$7,593,697	\$7,042,952	\$550,745	84.9
Other legislation	<u>9,917</u>	<u>9,917</u>	<u>0</u>	<u>0.2</u>
<b>TOTAL</b>	<b>\$7,603,614</b>	<b>\$7,052,869</b>	<b>\$550,745</b>	<b>85.1</b>
<b>FY 2015-16 Recommended Appropriation</b>				
FY 2014-15 Appropriation	\$7,603,614	\$7,052,869	\$550,745	85.1
Annualize prior year funding	181,662	181,662	0	0.0
Indirect cost assessment	85,741	592	85,149	0.0
Annualize prior year legislation	<u>9,916</u>	<u>9,916</u>	<u>0</u>	<u>0.1</u>
<b>TOTAL</b>	<b>\$7,880,933</b>	<b>\$7,245,039</b>	<b>\$635,894</b>	<b>85.2</b>
<b>Increase/(Decrease)</b>	\$277,319	\$192,170	\$85,149	0.1
<b>Percentage Change</b>	3.6%	2.7%	15.5%	0.1%
<b>FY 2015-16 Executive Request:</b>	<b>\$7,807,753</b>	<b>\$7,255,317</b>	<b>\$552,436</b>	<b>85.2</b>
Request Above/(Below) Recommendation	(\$73,180)	\$10,278	(\$83,458)	0.0

### **LINE ITEM DETAIL – DIVISION OF INSURANCE**

#### **Personal Services**

There are two units that work within the division: the financial regulation unit and the consumer affairs unit. The financial regulation unit works primarily with insurance companies and agents, conducting financial exams and managing corporate and financial affairs. The consumer affairs unit works mainly with consumers, taking consumer complaints and conducting investigations and insurance market analysis.

*Request and Recommendation:* The Department requests an appropriation of 83.2 FTE and \$6,129,123 cash funds from the Division of Insurance Cash Fund, which includes annualizations of salary survey and merit pay and prior year legislation. **Staff recommends approval of the request.**

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>Division of Insurance, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$5,927,628	\$5,927,628	82.9
Other legislation	<u>9,917</u>	<u>9,917</u>	<u>0.2</u>
<b>TOTAL</b>	<b>\$5,937,545</b>	<b>\$5,937,545</b>	<b>83.1</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$5,937,545	\$5,937,545	83.1
Annualize prior year funding	181,662	181,662	0.0
Annualize prior year legislation	<u>9,916</u>	<u>9,916</u>	<u>0.1</u>
<b>TOTAL</b>	<b>\$6,129,123</b>	<b>\$6,129,123</b>	<b>83.2</b>
<b>Increase/(Decrease)</b>	\$191,578	\$191,578	0.1
Percentage Change	3.2%	3.2%	0.1%
<b>FY 2015-16 Executive Request:</b>	<b>\$6,129,123</b>	<b>\$6,129,123</b>	<b>83.2</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$291,716 cash funds. **Staff recommends approval of the request.**

**Out-of-State Travel Expenses**

This line item funds out-of state travel expenses incurred when Division staff are required to do market conduct examinations. Funds in this line item are reimbursements paid by insurance companies and are *continuously appropriated* pursuant to Section 10-1-108 (9), C.R.S.

*Request and Recommendation:* The Department requests a continuation appropriation of \$100,000 cash funds for informational purposes. **Staff recommends approval of the request.**

**Senior Health Counseling Program**

This federally funded program provides senior citizens with Medicare information, and the appropriation is contingent on the availability of federal money from the Centers for Medicare and Medicaid Services and the U.S. Administration on Aging. Annual spending fluctuations are the result of the availability of funds.

*Request and Recommendation:* The Department requests a continuation appropriation of 2.0 FTE and \$517,794 federal funds. **Staff recommends approval of the request.**

**Transfer to CAPCO Administration**

This line provides the cash funds for the administration of the capital company (CAPCO) incentives program in the Governor's Office. The Office of Economic Development (OED) certifies CAPCOs that are qualified to offer assistance to business enterprises that create jobs in the state. Insurance companies that make an investment of certified capital in an OED-certified CAPCO can receive a tax credit against premium taxes owed. This line item is set in the CAPCO Administration line in the Governor's Office budget.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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*Request and Recommendation:* The Department requests a continuation appropriation of \$81,852 cash funds. **Staff's recommendation is pending figure setting for the Office of the Governor. Staff requests permission to adjust the line once the pending line item is set.**

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department requests an appropriation of \$687,268 total funds. **Staff recommends \$760,448 total funds** and the fund splits in the following table, but requests permission to adjust the line and apply a funding split if necessary.

<b>Division of Insurance, Indirect Cost Assessment</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$674,707</u>	<u>\$641,756</u>	<u>\$32,951</u>
<b>TOTAL</b>	<b>\$674,707</b>	<b>\$641,756</b>	<b>\$32,951</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$674,707	\$641,756	\$32,951
Indirect cost assessment	<u>85,741</u>	<u>592</u>	<u>85,149</u>
<b>TOTAL</b>	<b>\$760,448</b>	<b>\$642,348</b>	<b>\$118,100</b>
<b>Increase/(Decrease)</b>	\$85,741	\$592	\$85,149
Percentage Change	12.7%	0.1%	258.4%
<b>FY 2015-16 Executive Request:</b>	<b>\$687,268</b>	<b>\$652,626</b>	<b>\$34,642</b>
Request Above/(Below) Recommendation	(\$73,180)	\$10,278	(\$83,458)

## (7) Public Utilities Commission

The three-member Public Utilities Commission (PUC) regulates the rates and services of fixed and transportation utilities, and possesses quasi-legislative and quasi-judicial responsibilities. The PUC administers the Colorado Telecommunications High Cost Program and the Disabled Telephone Users Program.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Public Utilities Commission</b>				
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Federal Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>				
H.B. 14-1336 (Long Bill)	\$13,530,393	\$13,512,832	\$17,561	95.0
Other legislation	<u>414,672</u>	<u>414,672</u>	<u>0</u>	<u>5.5</u>
<b>TOTAL</b>	<b>\$13,945,065</b>	<b>\$13,927,504</b>	<b>\$17,561</b>	<b>100.5</b>
<b>FY 2015-16 Recommended Appropriation</b>				
FY 2014-15 Appropriation	\$13,945,065	\$13,927,504	\$17,561	100.5
Annualize prior year funding	269,116	269,116	0	0.0
Annualize prior year legislation	(268,488)	(268,488)	0	(3.2)
Indirect cost assessment	<u>(1,779)</u>	<u>15,782</u>	<u>(17,561)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$13,943,914</b>	<b>\$13,943,914</b>	<b>\$0</b>	<b>97.3</b>
<b>Increase/(Decrease)</b>	(\$1,151)	\$16,410	(\$17,561)	(3.2)
Percentage Change	(0.0%)	0.1%	(100.0%)	(3.2%)
<b>FY 2015-16 Executive Request:</b>	<b>\$13,958,149</b>	<b>\$13,940,588</b>	<b>\$17,561</b>	<b>97.3</b>
Request Above/(Below) Recommendation	\$14,235	(\$3,326)	\$17,561	0.0

### LINE ITEM DETAIL – PUBLIC UTILITIES COMMISSION

#### Personal Services

This line item provides funding for the payment of office staffing and contractual services.

*Request and Recommendation:* The Department requests an appropriation of 97.3 FTE and \$9,345,496 cash funds. **Staff recommends approval of the request.**

<b>Public Utilities Commission, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$8,935,997	\$8,935,997	95.0
Other legislation	<u>380,285</u>	<u>380,285</u>	<u>5.5</u>
<b>TOTAL</b>	<b>\$9,316,282</b>	<b>\$9,316,282</b>	<b>100.5</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$9,316,282	\$9,316,282	100.5
Annualize prior year funding	269,116	269,116	0.0
Annualize prior year legislation	<u>(239,902)</u>	<u>(239,902)</u>	<u>(3.2)</u>
<b>TOTAL</b>	<b>\$9,345,496</b>	<b>\$9,345,496</b>	<b>97.3</b>

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<b>Public Utilities Commission, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>Increase/(Decrease)</b>	\$29,214	\$29,214	(3.2)
Percentage Change	0.3%	0.3%	(3.2%)
<b>FY 2015-16 Executive Request:</b>	<b>\$9,345,496</b>	<b>\$9,345,496</b>	<b>97.3</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$444,473 cash funds. **Staff recommends approval of the request.**

<b>Public Utilities Commission, Operating Expenses</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	\$438,672	\$438,672
Other legislation	<u>34,387</u>	<u>34,387</u>
<b>TOTAL</b>	<b>\$473,059</b>	<b>\$473,059</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$473,059	\$473,059
Annualize prior year legislation	<u>(28,586)</u>	<u>(28,586)</u>
<b>TOTAL</b>	<b>\$444,473</b>	<b>\$444,473</b>
<b>Increase/(Decrease)</b>	(\$28,586)	(\$28,586)
Percentage Change	(6.0%)	(6.0%)
<b>FY 2015-16 Executive Request:</b>	<b>\$444,473</b>	<b>\$444,473</b>
Request Above/(Below) Recommendation	\$0	\$0

**Expert Testimony**

This line pays for expert testimony during PUC hearings and for the cost of outside contract services for expertise not available from PUC staff.

*Request and Recommendation:* The Department requests a continuation appropriation of \$25,000 cash funds. **Staff recommends approval of the request.**

**Disabled Telephone Users Fund Payments**

Revenue for the Disabled Telephone Users Fund is from fees on land lines pursuant to Section 40-17-104 (1), C.R.S. Money in the fund is *continuously appropriated* to pay the cost of a contract that provides telecommunications relay services for disabled individuals.

*Request and Recommendation:* The Department requests a continuation appropriation of \$1,900,542 cash funds from the Colorado Disabled Telephone Users Fund for informational purposes. **Staff recommends approval of the request.**



**Transfer to Reading Services for the Blind Cash Fund**

Funds are appropriated to this line from the Disabled Telephone Users Fund, and reappropriated to the Department of Education for allocation to privately operated reading services for the blind.

*Request and Recommendation:* The Department requests a continuation appropriation of \$360,000 cash funds from the Colorado Disabled Telephone Users Fund. **Staff recommends approval of the request.**

**Commission for the Deaf and Hard of Hearing Cash Fund**

Funds are appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Department of Human Services for use by the Commission for the Deaf and Hard of Hearing.

*Request and Recommendation:* The Department requests a continuation appropriation of \$1,012,818 cash funds from the Colorado Disabled Telephone Users Fund. **Staff recommends approval of the request.**

**Colorado Bureau of Investigation Background Checks Pass-through**

This line item was added to the FY 2008-09 Long Bill to account for reappropriated funds in the Department of Public Safety, Colorado Bureau of Investigation, for processing of fingerprint-based criminal history record checks of drivers of certain motor vehicle carriers.

*Request and Recommendation:* The Department requests a continuation appropriation of \$104,377 cash funds. **Staff's recommendation is pending figure setting for the Department of Public Safety. Staff requests permission to adjust the line once the pending line item is set.**

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department requests an appropriation of \$765,443 total funds. **Staff recommends \$751,208 cash funds**, but requests permission to adjust the line and apply a funding split if necessary.

<b>Public Utilities Commission, Indirect Cost Assessment</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Federal Funds</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$752,987</u>	<u>\$735,426</u>	<u>\$17,561</u>
<b>TOTAL</b>	<b>\$752,987</b>	<b>\$735,426</b>	<b>\$17,561</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$752,987	\$735,426	\$17,561
Indirect cost assessment	<u>(1,779)</u>	<u>15,782</u>	<u>(17,561)</u>
<b>TOTAL</b>	<b>\$751,208</b>	<b>\$751,208</b>	<b>\$0</b>

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<b>Public Utilities Commission, Indirect Cost Assessment</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Federal Funds</b>
<b>Increase/(Decrease)</b>	(\$1,779)	\$15,782	(\$17,561)
Percentage Change	(0.2%)	2.1%	(100.0%)
<b>FY 2015-16 Executive Request:</b>	<b>\$765,443</b>	<b>\$747,882</b>	<b>\$17,561</b>
Request Above/(Below) Recommendation	\$14,235	(\$3,326)	\$17,561

## (8) Division of Real Estate

The Division of Real Estate licenses real estate agents, appraisers and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Division of Real Estate</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$4,927,610	\$4,927,610	55.9
S.B. 15-160 (Supplemental)	<u>0</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$4,927,610</b>	<b>\$4,927,610</b>	<b>55.9</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$4,927,610	\$4,927,610	55.9
Annualize prior year funding	93,709	93,709	0.0
Centrally appropriated line items	25,801	25,801	0.0
Indirect cost assessment	<u>(1,163)</u>	<u>(1,163)</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$5,045,957</b>	<b>\$5,045,957</b>	<b>55.9</b>
<b>Increase/(Decrease)</b>	\$118,347	\$118,347	0.0
Percentage Change	2.4%	2.4%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$5,027,730</b>	<b>\$5,027,730</b>	<b>55.9</b>
Request Above/(Below) Recommendation	(\$18,227)	(\$18,227)	0.0

### LINE ITEM DETAIL – DIVISION OF REAL ESTATE

#### Personal Services

This line item provides funding for the payment of office staffing and contractual services.

*Request and Recommendation:* The Department requests an appropriation of 55.9 FTE and \$3,938,037 cash funds, which includes a continuation amount plus annualizations for salary survey, merit pay, and prior year legislation. **Staff recommends approval of the request.**

<b>Division of Real Estate, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$3,844,328	\$3,844,328	55.9
S.B. 15-160 (Supplemental)	<u>0</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$3,844,328</b>	<b>\$3,844,328</b>	<b>55.9</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$3,844,328	\$3,844,328	55.9
Annualize prior year funding	<u>93,709</u>	<u>93,709</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$3,938,037</b>	<b>\$3,938,037</b>	<b>55.9</b>

*JBC Staff Figure Setting – FY 2015-16*  
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<b>Division of Real Estate, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>Increase/(Decrease)</b>	\$93,709	\$93,709	0.0
Percentage Change	2.4%	2.4%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$3,938,037</b>	<b>\$3,938,037</b>	<b>55.9</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$244,557 cash funds. **Staff recommends approval of the request.**

**Commission Meeting Costs**

This line item funds the per diem, travel, and miscellaneous expenses for Real Estate Commission members when they attend Commission meetings.

*Request and Recommendation:* The Department requests a continuation appropriation of \$38,836 cash funds. **Staff recommends approval of the request.**

**Hearings Pursuant to Complaint**

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*Request and Recommendation:* The Department requests a continuation appropriation of \$4,000 cash funds. **Staff recommends approval of the request.**

**Mortgage Broker Consumer Protection**

This line is used to pay the costs for the investigation and prosecution of mortgage brokers, and mortgage fraud by the Attorney General's Office. Funds are appropriated to the Division and reappropriated to the Department of Law, where the line item is set.

*Request and Recommendation:* The Department's request reflects a continuation appropriation of \$343,692 cash funds. **Staff recommends \$388,950 cash funds**, consistent with Committee policy, but requests permission to adjust the line as necessary.

<b>Division of Real Estate, Mortgage Broker Consumer Protection</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	\$363,149	\$363,149
<b>TOTAL</b>	<b>\$363,149</b>	<b>\$363,149</b>
<b>FY 2015-16 Recommended Appropriation</b>		

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<b>Division of Real Estate, Mortgage Broker Consumer Protection</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
FY 2014-15 Appropriation	\$363,149	\$363,149
Centrally appropriated line items	<u>25,801</u>	<u>25,801</u>
<b>TOTAL</b>	<b>\$388,950</b>	<b>\$388,950</b>
<b>Increase/(Decrease)</b>	\$25,801	\$25,801
Percentage Change	7.1%	7.1%
<b>FY 2015-16 Executive Request:</b>	<b>\$363,149</b>	<b>\$363,149</b>
Request Above/(Below) Recommendation	(\$25,801)	(\$25,801)

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$439,151 cash funds. **Staff recommends \$431,578 cash funds**, but requests permission to adjust the line if necessary.

<b>Division of Real Estate, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$432,740</u>	<u>\$432,740</u>
<b>TOTAL</b>	<b>\$432,740</b>	<b>\$432,740</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$432,740	\$432,740
Indirect cost assessment	<u>(1,162)</u>	<u>(1,162)</u>
<b>TOTAL</b>	<b>\$431,578</b>	<b>\$431,578</b>
<b>Increase/(Decrease)</b>	(\$1,162)	(\$1,162)
Percentage Change	(0.3%)	(0.3%)
<b>FY 2015-16 Executive Request:</b>	<b>\$439,151</b>	<b>\$439,151</b>
Request Above/(Below) Recommendation	\$7,573	\$7,573

## (9) Division of Professions and Occupations

This Division, formerly the Division of Registrations, regulates over 300,000 licensees in more than fifty professions and occupations. The Division is funded by fees paid by regulated professionals and licensees.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Division of Professions and Occupations</b>				
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>				
H.B. 14-1336 (Long Bill)	\$17,477,807	\$16,882,025	\$595,782	204.1
Other legislation	<u>71,446</u>	<u>71,446</u>	<u>0</u>	<u>1.3</u>
<b>TOTAL</b>	<b>\$17,549,253</b>	<b>\$16,953,471</b>	<b>\$595,782</b>	<b>205.4</b>
<b>FY 2015-16 Recommended Appropriation</b>				
FY 2014-15 Appropriation	\$17,549,253	\$16,953,471	\$595,782	205.4
R1 DPO personal services reduction	(250,000)	(250,000)	0	(3.5)
Annualize prior year funding	381,606	381,606	0	0.0
Annualize prior year legislation	(24,468)	(24,468)	0	0.0
Indirect cost assessment	<u>(21,230)</u>	<u>(21,230)</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$17,635,161</b>	<b>\$17,039,379</b>	<b>\$595,782</b>	<b>201.9</b>
<b>Increase/(Decrease)</b>	\$85,908	\$85,908	\$0	(3.5)
Percentage Change	0.5%	0.5%	0.0%	(1.7%)
<b>FY 2015-16 Executive Request:</b>	<b>\$17,668,519</b>	<b>\$17,072,737</b>	<b>\$595,782</b>	<b>201.9</b>
Request Above/(Below) Recommendation	\$33,358	\$33,358	\$0	0.0

### LINE ITEM DETAIL – DIVISION OF PROFESSIONS AND OCCUPATIONS

#### Personal Services

Division staff investigate complaints filed against licensees, staff board meetings, and respond to questions from applicants and current licensees.

#### ➔ R1 DPO personal services reduction

**Request:** The Department requests a reduction in FY 2015-16 and ongoing to its personal services appropriation by \$250,000 cash funds and 3.5 FTE.

**Analysis:** The Division of Professions and Occupations (DPO) has reverted an increased percentage of spending authority from its personal services budget over the past three fiscal years, reaching over six percent in FY 2013-14. In an effort to identify efficiencies, the Division has conducted more than six Lean projects over the past two years, which have yielded savings. The DPO has reduced the preparation time for license renewals by approximately 42.0 percent, increased investigative staff productivity, and improved call center operations by reducing call

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volume by an estimated 30.0 percent. The Division does not anticipate a negative impact on its ability to deliver customer services from the approval of this request.

The Division has nearly doubled in size in the past decade because of new legislative requirements to license additional professions. In order to better utilize resources and gain efficiencies, a re-organization of the Division has been conducted over the past 18 months. This re-organization divides the Division into three branches: Healthcare, Business and Inspections, and Management. Eliminating duplication and establishing clear accountability for performance outcomes are primary goals of the re-organization. The DPO acknowledges that future legislation may add programs to the Division’s professional licensure portfolio, but anticipates that programmatic funding and staffing requirements will be addressed through the fiscal note process.

The requested reduction will return the line item to a more normal level of reversion, which reflects natural operational fluctuations. The request preserves the Division’s ability to manage vacancies and does not negatively impact other divisions in the Department. The reduction further enables the Department to meet its SMART Act Performance Plan goals of reducing red-tape, responsible stewardship of resources, and the improvement of investigations, inspections, and audit functions.

**Recommendation: Staff recommends approval of the request.**

*Request and Recommendation:* The Department requests an appropriation of 196.9 FTE and \$13,886,461 total funds. The amount includes a continuation amount plus annualizations for salary survey, merit pay, and prior year legislation, as well as the R1 requested reduction. **Staff recommends approval of the request** and the fund splits in the following table.

<b>Division of Professions and Occupations, Personal Services</b>				
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>Reappropriated Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>				
H.B. 14-1336 (Long Bill)	\$13,716,086	\$13,120,304	\$595,782	199.1
Other legislation	<u>61,773</u>	<u>61,773</u>	<u>0</u>	<u>1.3</u>
<b>TOTAL</b>	<b>\$13,777,859</b>	<b>\$13,182,077</b>	<b>\$595,782</b>	<b>200.4</b>
<b>FY 2015-16 Recommended Appropriation</b>				
FY 2014-15 Appropriation	\$13,777,859	\$13,182,077	\$595,782	200.4
Annualize prior year funding	368,177	368,177	0	0.0
R1 DPO personal services reduction	(250,000)	(250,000)	0	(3.5)
Annualize prior year legislation	<u>(9,575)</u>	<u>(9,575)</u>	<u>0</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$13,886,461</b>	<b>\$13,290,679</b>	<b>\$595,782</b>	<b>196.9</b>
<b>Increase/(Decrease)</b>	\$108,602	\$108,602	\$0	(3.5)
Percentage Change	0.8%	0.8%	0.0%	(1.7%)
<b>FY 2015-16 Executive Request:</b>	<b>\$13,886,461</b>	<b>\$13,290,679</b>	<b>\$595,782</b>	<b>196.9</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

*JBC Staff Figure Setting – FY 2015-16*  
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**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests an appropriation of \$1,475,649 cash funds, which includes an annualization for prior year legislation. **Staff recommends approval of the request.**

<b>Division of Professions and Occupations, Operating Expenses</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	\$1,480,869	\$1,480,869
Other legislation	9,673	9,673
<b>TOTAL</b>	<b>\$1,490,542</b>	<b>\$1,490,542</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$1,490,542	\$1,490,542
Annualize prior year legislation	(14,893)	(14,893)
<b>TOTAL</b>	<b>\$1,475,649</b>	<b>\$1,475,649</b>
<b>Increase/(Decrease)</b>	(\$14,893)	(\$14,893)
Percentage Change	(1.0%)	(1.0%)
<b>FY 2015-16 Executive Request:</b>	<b>\$1,475,649</b>	<b>\$1,475,649</b>
Request Above/(Below) Recommendation	\$0	\$0

**Office of Expedited Settlement Program Costs**

The Office of Expedited Settlement oversees the expedited settlement process in which all complaints received by boards and commissions are initially sent, for the purpose of resolving complaints prior to the use of the Attorney General's Office and incurring legal service costs.

*Request and Recommendation:* The Department requests an appropriation of 5.0 FTE and \$392,549 cash funds, which includes a continuation amount plus salary survey and merit pay annualizations. **Staff recommends approval of the request.**

<b>Division of Professions and Occupations, Office of Expedited Settlement Program Costs</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	\$379,120	\$379,120	5.0
<b>TOTAL</b>	<b>\$379,120</b>	<b>\$379,120</b>	<b>5.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$379,120	\$379,120	5.0
Annualize prior year funding	13,429	13,429	0.0
<b>TOTAL</b>	<b>\$392,549</b>	<b>\$392,549</b>	<b>5.0</b>
<b>Increase/(Decrease)</b>	\$13,429	\$13,429	0.0
Percentage Change	3.5%	3.5%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$392,549</b>	<b>\$392,549</b>	<b>5.0</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0



**Hearings Pursuant to Complaint**

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*Request and Recommendation:* The Department requests a continuation appropriation of \$307,075 cash funds. **Staff recommends approval of the request.**

**Payments to Department of Health Care Policy and Financing**

Federal regulations require the state to certify nurse aides who work in medical facilities with Medicaid or Medicare patients. Federal matching funds pay half the cost of the Medicaid portion of this program, but the Department cannot apply directly for the federal match because Medicaid's centralization rules require that the matching funds be funneled through the Department of Health Care Policy and Financing. The Division obtains the funds to cover the state's expenses from an assessment on nursing homes.

*Request and Recommendation:* The Department requests a continuation appropriation of \$14,652 cash funds. **Staff recommends approval of the request.**

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$1,592,133 cash funds. **Staff recommends \$1,558,775**, but requests permission to adjust the line if necessary.

<b>Division of Professions and Occupations, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$1,580,005</u>	<u>\$1,580,005</u>
<b>TOTAL</b>	<b>\$1,580,005</b>	<b>\$1,580,005</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$1,580,005	\$1,580,005
Indirect cost assessment	<u>(21,230)</u>	<u>(21,230)</u>
<b>TOTAL</b>	<b>\$1,558,775</b>	<b>\$1,558,775</b>
<b>Increase/(Decrease)</b>	(\$21,230)	(\$21,230)
Percentage Change	(1.3%)	(1.3%)
<b>FY 2015-16 Executive Request:</b>	<b>\$1,592,133</b>	<b>\$1,592,133</b>
Request Above/(Below) Recommendation	\$33,358	\$33,358

## (10) Division of Securities

This Division regulates the conduct of securities broker-dealers and sales representatives throughout Colorado, and enforces the following programs: the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division is entirely cash funded from the Division of Securities Cash Fund.

### DIVISION REQUEST AND RECOMMENDATION SUMMARY

<b>Division of Securities</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$3,439,704</u>	<u>\$3,439,704</u>	<u>24.0</u>
<b>TOTAL</b>	<b>\$3,439,704</b>	<b>\$3,439,704</b>	<b>24.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$3,439,704	\$3,439,704	24.0
Annualize prior year funding	52,591	52,591	0.0
Centrally appropriated line items	(25,301)	(25,301)	0.0
Indirect cost assessment	(499)	(499)	0.0
<b>TOTAL</b>	<b>\$3,466,495</b>	<b>\$3,466,495</b>	<b>24.0</b>
<b>Increase/(Decrease)</b>	\$26,791	\$26,791	0.0
Percentage Change	0.8%	0.8%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$3,495,442</b>	<b>\$3,495,442</b>	<b>24.0</b>
Request Above/(Below) Recommendation	\$28,947	\$28,947	0.0

### LINE ITEM DETAIL – DIVISION OF SECURITIES

#### Personal Services

Division staff investigate fraudulent and other abusive conduct in the securities market and when appropriate issue sanctions and take other enforcement action. Staff also investigate consumer complaints, monitor Colorado broker-dealer and sale representative conduct, screen license applications, and perform on-site examinations of broker-dealer offices.

*Request and Recommendation:* The Department requests an appropriation of 24.0 FTE and \$2,255,498 cash funds from the Division of Securities Cash Fund, which includes a continuation amount plus salary survey and merit pay annualizations. **Staff recommends approval of the request.**

<b>Division of Securities, Personal Services</b>			
	<b>Total Funds</b>	<b>Cash Funds</b>	<b>FTE</b>
<b>FY 2014-15 Appropriation</b>			
H.B. 14-1336 (Long Bill)	<u>\$2,202,907</u>	<u>\$2,202,907</u>	<u>24.0</u>

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

<b>TOTAL</b>	<b>\$2,202,907</b>	<b>\$2,202,907</b>	<b>24.0</b>
<b>FY 2015-16 Recommended Appropriation</b>			
FY 2014-15 Appropriation	\$2,202,907	\$2,202,907	24.0
Annualize prior year funding	<u>52,591</u>	<u>52,591</u>	<u>0.0</u>
<b>TOTAL</b>	<b>\$2,255,498</b>	<b>\$2,255,498</b>	<b>24.0</b>
<b>Increase/(Decrease)</b>	\$52,591	\$52,591	0.0
Percentage Change	2.4%	2.4%	0.0%
<b>FY 2015-16 Executive Request:</b>	<b>\$2,255,498</b>	<b>\$2,255,498</b>	<b>24.0</b>
Request Above/(Below) Recommendation	\$0	\$0	0.0

**Operating Expenses**

This line item provides funding for operating expenses of the division.

*Request and Recommendation:* The Department requests a continuation appropriation of \$58,999 cash funds from the Division of Securities Cash Fund. **Staff recommends approval of the request.**

**Hearings Pursuant to Complaint**

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*Request and Recommendation:* The Department requests a continuation appropriation of \$19,594 cash funds from the Division of Securities Cash Fund. **Staff recommends approval of the request.**

**Board Meeting Costs**

This line item funds the per diem, travel, and miscellaneous costs incurred by the five Colorado Securities Board members when they attended board meetings.

*Request and Recommendation:* The Department requests a continuation appropriation of \$4,500 cash funds from the Division of Securities Cash Fund. **Staff recommends approval of the request.**

**Securities Fraud Prosecution**

The Division refers cases to the Securities Fraud Unit within the Department of Law's Criminal, Justice and Appellate Division for investigation and criminal prosecution. Funds appropriated in this line are set in and reappropriated to the Department of Law.

*Request and Recommendation:* The Department's request reflects a continuation appropriation of \$967,912 cash funds from the Division of Securities Cash Fund. **Staff recommends \$942,611**, consistent with Committee policy, but requests permission to adjust the line as necessary.

*JBC Staff Figure Setting – FY 2015-16*  
*Staff Working Document – Does Not Represent Committee Decision*

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<b>Division of Securities, Securities Fraud Prosecution</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$967,912</u>	<u>\$967,912</u>
<b>TOTAL</b>	<b>\$967,912</b>	<b>\$967,912</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$967,912	\$967,912
Centrally appropriated line items	<u>(25,301)</u>	<u>(25,301)</u>
<b>TOTAL</b>	<b>\$942,611</b>	<b>\$942,611</b>
<b>Increase/(Decrease)</b>	(\$25,301)	(\$25,301)
Percentage Change	(2.6%)	(2.6%)
<b>FY 2015-16 Executive Request:</b>	<b>\$967,912</b>	<b>\$967,912</b>
Request Above/(Below) Recommendation	\$25,301	\$25,301

**Indirect Cost Assessment**

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*Request and Recommendation:* The Department's request reflects an appropriation of \$188,939 cash funds from the Division of Securities Cash Fund. **Staff recommends \$185,293**, but requests permission to adjust the line if necessary.

<b>Division of Securities, Indirect Cost Assessment</b>		
	<b>Total Funds</b>	<b>Cash Funds</b>
<b>FY 2014-15 Appropriation</b>		
H.B. 14-1336 (Long Bill)	<u>\$185,792</u>	<u>\$185,792</u>
<b>TOTAL</b>	<b>\$185,792</b>	<b>\$185,792</b>
<b>FY 2015-16 Recommended Appropriation</b>		
FY 2014-15 Appropriation	\$185,792	\$185,792
Indirect cost assessment	<u>(499)</u>	<u>(499)</u>
<b>TOTAL</b>	<b>\$185,293</b>	<b>\$185,293</b>
<b>Increase/(Decrease)</b>	(\$499)	(\$499)
Percentage Change	(0.3%)	(0.3%)
<b>FY 2015-16 Executive Request:</b>	<b>\$188,939</b>	<b>\$188,939</b>
Request Above/(Below) Recommendation	\$3,646	\$3,646

## **Long Bill Footnotes and Requests for Information**

The Long Bill for FY 2013-14 did not contain any footnotes specific to the Department and did not contain any requests for information. Staff recommends not including any footnotes or requests for information for the Department of Regulatory Agencies.

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

**Appendix A: Number Pages**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**DEPARTMENT OF REGULATORY AGENCIES**  
**Barbara Kelley, Executive Director**

**(1) EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES**

The Executive Director's Office performs department-wide administrative functions including accounting, budgeting, and purchasing. The Office of Policy and Research conducts sunrise and sunset evaluations pursuant to section 24-34-104 (8), C.R.S.

Personal Services	<u>2,151,832</u>	<u>2,184,005</u>	<u>2,312,290</u>	<u>2,385,638</u>	<u>2,385,638</u>
FTE	27.5	28.0	29.5	29.5	29.5
General Fund	0	3,363	3,000	8,800	8,800
Cash Funds	37,360	44,020	70,660	67,000	67,000
Reappropriated Funds	2,114,472	2,136,622	2,238,630	2,309,838	2,309,838
Health, Life, and Dental	<u>3,231,165</u>	<u>3,716,294</u>	<u>3,699,832</u>	<u>4,183,671</u>	<u>4,235,452</u>
General Fund	115,010	133,384	96,445	141,998	121,741
Cash Funds	2,904,637	3,360,040	3,364,783	3,788,855	3,826,198
Reappropriated Funds	161,581	178,707	210,172	207,128	240,598
Federal Funds	49,937	44,163	28,432	45,690	46,915
Short-term Disability	<u>54,323</u>	<u>61,865</u>	<u>79,402</u>	<u>81,112</u>	<u>81,112</u>
General Fund	1,917	2,267	2,456	2,987	2,622
Cash Funds	48,874	55,204	71,414	72,507	72,507
Reappropriated Funds	3,136	3,796	4,925	4,666	5,031
Federal Funds	396	598	607	952	952

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	<b>FY 2012-13 Actual</b>	<b>FY 2013-14 Actual</b>	<b>FY 2014-15 Appropriation</b>	<b>FY 2015-16 Request</b>	<b>FY 2015-16 Recommendation</b>
S.B. 04-257 Amortization Equalization					
Disbursement	<u>1,038,756</u>	<u>1,172,505</u>	<u>1,472,905</u>	<u>1,644,105</u>	<u>1,644,105</u>
General Fund	34,627	42,994	45,576	60,568	53,114
Cash Funds	936,163	1,045,741	1,324,603	1,469,588	1,469,588
Reappropriated Funds	55,693	72,112	91,453	94,629	102,083
Federal Funds	12,273	11,658	11,273	19,320	19,320
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>892,635</u>	<u>1,058,510</u>	<u>1,380,848</u>	<u>1,588,056</u>	<u>1,588,056</u>
General Fund	29,615	38,677	42,727	58,503	51,303
Cash Funds	804,564	944,208	1,241,815	1,419,489	1,419,489
Reappropriated Funds	47,909	65,101	85,737	91,403	98,603
Federal Funds	10,547	10,524	10,569	18,661	18,661
Salary Survey					
General Fund	<u>0</u>	<u>1,026,723</u>	<u>994,154</u>	<u>414,720</u>	<u>414,720</u>
Cash Funds	0	35,734	30,751	15,067	12,882
Reappropriated Funds	0	929,071	894,137	370,833	370,833
Federal Funds	0	45,397	61,665	23,539	25,724
Federal Funds	0	16,521	7,601	5,281	5,281
Merit Pay					
General Fund	<u>0</u>	<u>509,586</u>	<u>333,820</u>	<u>363,212</u>	<u>363,212</u>
Cash Funds	0	18,413	10,803	14,390	11,821
Reappropriated Funds	0	450,310	296,843	321,658	321,658
Federal Funds	0	35,392	23,258	22,737	25,306
Federal Funds	0	5,471	2,916	4,427	4,427

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	<b>FY 2012-13 Actual</b>	<b>FY 2013-14 Actual</b>	<b>FY 2014-15 Appropriation</b>	<b>FY 2015-16 Request</b>	<b>FY 2015-16 Recommendation</b>
Workers' Compensation	<u>70,200</u>	<u>60,949</u>	<u>76,885</u>	<u>84,140</u>	<u>85,123</u>
General Fund	2,236	1,941	2,600	2,845	2,878
Cash Funds	64,251	55,784	70,485	77,137	78,038
Reappropriated Funds	2,407	2,090	2,644	2,893	2,927
Federal Funds	1,306	1,134	1,156	1,265	1,280
Operating Expenses	<u>152,561</u>	<u>98,602</u>	<u>210,344</u>	<u>210,344</u>	<u>210,344</u>
General Fund	3,689	0	3,689	3,689	3,689
Cash Funds	66,100	10,621	95,427	95,427	95,427
Reappropriated Funds	82,772	87,981	111,228	111,228	111,228
Legal Services	<u>8,013,885</u>	<u>9,610,693</u>	<u>10,992,928</u>	<u>10,377,594</u>	<u>10,377,594</u>
General Fund	156,586	186,557	216,752	206,901	205,550
Cash Funds	7,693,292	9,106,714	10,481,271	9,889,192	9,889,192
Reappropriated Funds	19,086	144,762	106,439	101,601	102,952
Federal Funds	144,921	172,660	188,466	179,900	179,900
Administrative Law Judge Services	<u>297,213</u>	<u>243,353</u>	<u>278,450</u>	<u>358,689</u>	<u>357,148</u>
General Fund	13,441	11,005	12,593	16,222	16,152
Cash Funds	283,772	232,348	265,857	342,467	340,996
Payment to Risk Management and Property Funds	<u>183,033</u>	<u>199,878</u>	<u>258,515</u>	<u>169,677</u>	<u>246,423</u>
General Fund	5,829	6,366	8,740	5,737	8,332
Cash Funds	167,525	182,942	236,997	155,553	225,911
Reappropriated Funds	6,276	6,854	8,891	5,836	8,475
Federal Funds	3,403	3,716	3,887	2,551	3,705
Vehicle Lease Payments	<u>221,253</u>	<u>203,988</u>	<u>224,605</u>	<u>226,050</u>	<u>219,218</u> *
Cash Funds	221,253	203,988	224,605	226,050	219,218



**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	<b>FY 2012-13 Actual</b>	<b>FY 2013-14 Actual</b>	<b>FY 2014-15 Appropriation</b>	<b>FY 2015-16 Request</b>	<b>FY 2015-16 Recommendation</b>
Information Technology Asset Maintenance	<u>616,274</u>	<u>657,161</u>	<u>678,903</u>	<u>671,403</u>	<u>671,403</u>
Cash Funds	437,171	466,404	488,146	480,646	480,646
Reappropriated Funds	179,103	190,757	190,757	190,757	190,757
Hardware/Software Maintenance	<u>634,633</u>	<u>578,590</u>	<u>729,218</u>	<u>729,218</u>	<u>729,218</u>
General Fund	800	800	800	800	800
Cash Funds	375,231	319,188	469,816	469,816	469,816
Reappropriated Funds	258,602	258,602	258,602	258,602	258,602
Leased Space	<u>2,997,721</u>	<u>3,004,990</u>	<u>3,150,891</u>	<u>3,193,641</u>	<u>3,193,641</u>
General Fund	106,866	93,609	96,244	97,721	97,037
Cash Funds	2,530,690	2,548,585	2,617,827	2,653,882	2,653,882
Reappropriated Funds	320,725	294,727	367,091	371,388	372,072
Federal Funds	39,440	68,069	69,729	70,650	70,650
Capitol Complex Leased Space	<u>4,787</u>	<u>5,339</u>	<u>3,975</u>	<u>5,995</u>	<u>4,147</u>
Cash Funds	4,787	5,339	3,975	5,995	4,147
Payments to OIT	<u>0</u>	<u>0</u>	<u>3,103,376</u>	<u>3,310,821</u>	<u>3,310,821</u> P
General Fund	0	0	130,444	139,163	139,163
Cash Funds	0	0	2,972,932	3,171,658	3,171,658
CORE Operations	<u>144,426</u>	<u>142,463</u>	<u>201,825</u>	<u>186,920</u>	<u>186,920</u>
General Fund	3,016	3,016	4,136	3,820	3,820
Cash Funds	131,452	131,452	180,433	166,481	166,481
Reappropriated Funds	7,995	7,995	10,975	10,125	10,125
Federal Funds	1,963	0	6,281	6,494	6,494

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
Consumer Outreach/Education Program	<u>193,494</u>	<u>136,367</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>
Cash Funds	193,494	136,367	205,000	205,000	205,000
Purchase of Services from Computer Center	<u>1,883,611</u>	<u>1,642,373</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	70,270	63,346	0	0	0
Cash Funds	1,813,341	1,579,027	0	0	0
Management and Administration of OIT	<u>136,785</u>	<u>144,258</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	5,389	5,626	0	0	0
Cash Funds	131,396	138,632	0	0	0
Reappropriated Funds	0	0	0	0	0
Colorado State Network	<u>348,448</u>	<u>203,964</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	13,728	7,954	0	0	0
Cash Funds	334,720	196,010	0	0	0
Reappropriated Funds	0	0	0	0	0
Information Technology Security	<u>0</u>	<u>20,702</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	807	0	0	0
Cash Funds	0	19,895	0	0	0
<b>TOTAL - (1) Executive Director's Office and Administrative Services</b>	23,267,035	26,683,158	30,388,166	30,390,006	30,509,295
<i>FTE</i>	27.5	28.0	29.5	29.5	29.5
General Fund	563,019	655,859	707,756	779,211	739,704
Cash Funds	19,180,073	22,161,890	25,577,026	25,449,234	25,547,685
Reappropriated Funds	3,259,757	3,530,895	3,772,467	3,806,370	3,864,321
Federal Funds	264,186	334,514	330,917	355,191	357,585

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**(2) DIVISION OF BANKING**

The Division of Banking regulates state-chartered commercial and industrial banks, trust companies, debt adjusters, and money order companies; and conducts examinations of institutions and ensures institutions comply with the Public Deposit Protection Act. The Division is entirely cash funded by the Division of Banking Cash Fund, pursuant to section 11-102-403, C.R.S.

Personal Services	<u>3,239,966</u>	<u>2,829,779</u>	<u>3,675,282</u>	<u>3,775,541</u>	<u>3,775,541</u>
FTE	41.1	36.4	40.0	40.0	40.0
Cash Funds	3,239,966	2,829,779	3,675,282	3,775,541	3,775,541
Operating Expenses	<u>489,328</u>	<u>458,867</u>	<u>490,703</u>	<u>490,703</u>	<u>490,703</u>
Cash Funds	489,328	458,867	490,703	490,703	490,703
Board Meeting Costs	<u>15,771</u>	<u>22,181</u>	<u>23,500</u>	<u>23,500</u>	<u>23,500</u>
Cash Funds	15,771	22,181	23,500	23,500	23,500
Indirect Cost Assessment	<u>261,032</u>	<u>288,212</u>	<u>309,653</u>	<u>314,963</u>	<u>308,821</u>
Cash Funds	261,032	288,212	309,653	314,963	308,821
<b>TOTAL - (2) Division of Banking</b>	4,006,097	3,599,039	4,499,138	4,604,707	4,598,565
FTE	<u>41.1</u>	<u>36.4</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
General Fund	0	0	0	0	0
Cash Funds	4,006,097	3,599,039	4,499,138	4,604,707	4,598,565
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
<b>(3) CIVIL RIGHTS DIVISION</b>					
The Civil Rights Division enforces state laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex (gender), national origin, ancestry, physical or mental disability, religion, color, marital status, or sexual orientation.					
Personal Services	<u>1,593,380</u>	<u>1,543,233</u>	<u>1,854,264</u>	<u>1,907,901</u>	<u>1,907,901</u>
FTE	24.3	23.5	27.2	27.2	27.2
General Fund	1,068,671	912,290	1,092,377	1,131,405	1,131,405
Reappropriated Funds	174,930	281,164	357,238	361,106	361,106
Federal Funds	349,779	349,779	404,649	415,390	415,390
Operating Expenses	<u>100,427</u>	<u>90,951</u>	<u>105,460</u>	<u>105,460</u>	<u>105,460</u>
General Fund	59,307	59,318	62,284	62,284	62,284
Federal Funds	41,120	31,633	43,176	43,176	43,176
Hearings Pursuant to Complaint	<u>17,000</u>	<u>16,955</u>	<u>18,000</u>	<u>18,000</u>	<u>18,000</u>
General Fund	17,000	16,955	17,000	17,000	17,000
Federal Funds	0	0	1,000	1,000	1,000
Commission Meeting Costs	<u>5,113</u>	<u>5,163</u>	<u>12,374</u>	<u>12,374</u>	<u>12,374</u>
General Fund	5,113	5,163	5,174	5,174	5,174
Federal Funds	0	0	7,200	7,200	7,200
Indirect Cost Assessment	<u>58,107</u>	<u>30,672</u>	<u>36,014</u>	<u>37,194</u>	<u>24,710</u>
Federal Funds	58,107	30,672	36,014	37,194	24,710
<b>TOTAL - (3) Civil Rights Division</b>	<u>1,774,027</u>	<u>1,686,974</u>	<u>2,026,112</u>	<u>2,080,929</u>	<u>2,068,445</u>
<b>FTE</b>	<u>24.3</u>	<u>23.5</u>	<u>27.2</u>	<u>27.2</u>	<u>27.2</u>
General Fund	1,150,091	993,726	1,176,835	1,215,863	1,215,863
Cash Funds	0	0	0	0	0
Reappropriated Funds	174,930	281,164	357,238	361,106	361,106
Federal Funds	449,006	412,084	492,039	503,960	491,476

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**(4) OFFICE OF CONSUMER COUNSEL**

The Office of Consumer Counsel represents the interests of residential, agricultural and small business consumers at electric, gas, and telecommunications utility rate and service proceedings before the Public Utility Commission. The Division is cash funded by the Public Utilities Commission Fixed Utility Fund pursuant to section 40-2-114, C.R.S.

Personal Services	<u>742,106</u>	<u>692,177</u>	<u>816,711</u>	<u>838,235</u>	<u>838,235</u>
FTE	6.7	7.0	7.0	7.0	7.0
Cash Funds	742,106	692,177	816,711	838,235	838,235
Operating Expenses	<u>39,964</u>	<u>39,956</u>	<u>55,787</u>	<u>55,787</u>	<u>55,787</u>
Cash Funds	39,964	39,956	55,787	55,787	55,787
Indirect Cost Assessment	<u>45,681</u>	<u>50,437</u>	<u>54,189</u>	<u>55,107</u>	<u>54,044</u>
Cash Funds	45,681	50,437	54,189	55,107	54,044

<b>TOTAL - (4) Office of Consumer Counsel</b>	827,751	782,570	926,687	949,129	948,066
FTE	<u>6.7</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>
General Fund	0	0	0	0	0
Cash Funds	827,751	782,570	926,687	949,129	948,066
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
<b>(5) DIVISION OF FINANCIAL SERVICES</b>					
The Division of Financial Services regulates state-chartered credit unions, savings and loans, and life care institutions; and conducts examinations of institutions to ensure continued compliance with regulatory standards. The Division is entirely cash funded by the Division of Financial Services Cash Fund pursuant to section 11-40-106 (2), C.R.S.					
Personal Services	<u>970,272</u>	<u>1,066,115</u>	<u>1,169,941</u>	<u>1,382,357</u>	<u>1,382,357</u>
FTE	12.1	13.5	13.0	15.6	15.6
Cash Funds	970,272	1,066,115	1,169,941	1,382,357	1,382,357
Operating Expenses	<u>108,149</u>	<u>124,075</u>	<u>167,215</u>	<u>145,921</u>	<u>145,921</u>
Cash Funds	108,149	124,075	167,215	145,921	145,921
Indirect Cost Assessment	<u>84,836</u>	<u>93,669</u>	<u>100,637</u>	<u>102,342</u>	<u>120,440</u>
Cash Funds	84,836	93,669	100,637	102,342	120,440
<b>TOTAL - (5) Division of Financial Services</b>	1,163,257	1,283,859	1,437,793	1,630,620	1,648,718
<b>FTE</b>	<u>12.1</u>	<u>13.5</u>	<u>13.0</u>	<u>15.6</u>	<u>15.6</u>
General Fund	0	0	0	0	0
Cash Funds	1,163,257	1,283,859	1,437,793	1,630,620	1,648,718
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
<b>(6) DIVISION OF INSURANCE</b>					
The Division of Insurance is responsible for licensing insurance agents and adjusters; and regulating insurance companies, non-profit hospitals, prepaid dental plans, health maintenance organizations, self-insurance pools for workers' compensation, bail bondsmen, and pre-need funeral contracts. Unless otherwise indicated, the Division is funded by the Division of Insurance Cash Fund pursuant to section 10-1-103 (3), C.R.S.					
Personal Services	<u>5,602,942</u>	<u>5,171,361</u>	<u>5,937,545</u>	<u>6,129,123</u>	<u>6,129,123</u>
FTE	76.4	76.3	83.1	83.2	83.2
Cash Funds	5,602,942	5,171,361	5,937,545	6,129,123	6,129,123
Operating Expenses	<u>253,115</u>	<u>254,747</u>	<u>291,716</u>	<u>291,716</u>	<u>291,716</u>
Cash Funds	253,115	254,747	291,716	291,716	291,716
Out-of-State Travel Expenses	<u>2,390</u>	<u>7,627</u>	<u>100,000</u>	<u>100,000</u>	<u>100,000</u>
Cash Funds	2,390	7,627	100,000	100,000	100,000
Senior Health Counseling Program	<u>509,000</u>	<u>509,000</u>	<u>517,794</u>	<u>517,794</u>	<u>517,794</u>
FTE	4.0	0.0	2.0	2.0	2.0
Federal Funds	509,000	509,000	517,794	517,794	517,794
Transfer to CAPCO Administration	<u>80,281</u>	<u>79,468</u>	<u>81,852</u>	<u>81,852</u>	<u>81,852</u>
Cash Funds	80,281	79,468	81,852	81,852	81,852
Indirect Cost Assessment	<u>541,641</u>	<u>629,297</u>	<u>674,707</u>	<u>687,268</u>	<u>760,448</u>
Cash Funds	541,641	597,322	641,756	652,626	642,348
Federal Funds	0	31,975	32,951	34,642	118,100
<b>TOTAL - (6) Division of Insurance</b>	<b>6,989,369</b>	<b>6,651,500</b>	<b>7,603,614</b>	<b>7,807,753</b>	<b>7,880,933</b>
<b>FTE</b>	<b><u>80.4</u></b>	<b><u>76.3</u></b>	<b><u>85.1</u></b>	<b><u>85.2</u></b>	<b><u>85.2</u></b>
General Fund	0	0	0	0	0
Cash Funds	6,480,369	6,110,525	7,052,869	7,255,317	7,245,039
Reappropriated Funds	0	0	0	0	0
Federal Funds	509,000	540,975	550,745	552,436	635,894

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**(7) PUBLIC UTILITIES COMMISSION**

The Public Utilities Commission regulates the rates and services of fixed and transportation utilities in Colorado; and administers the Colorado Telecommunications High Cost Program, the Low-Income Telephone Assistance Program, and the Disabled Telephone Users Program.

Personal Services	<u>7,906,391</u>	<u>8,296,067</u>	<u>9,316,282</u>	<u>9,345,496</u>	<u>9,345,496</u>
FTE	88.1	92.1	100.5	97.3	97.3
Cash Funds	7,906,391	8,296,067	9,316,282	9,345,496	9,345,496
Operating Expenses	<u>432,529</u>	<u>392,016</u>	<u>473,059</u>	<u>444,473</u>	<u>444,473</u>
Cash Funds	432,529	392,016	473,059	444,473	444,473
Expert Testimony	<u>25,000</u>	<u>0</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Cash Funds	25,000	0	25,000	25,000	25,000
Disabled Telephone Users Fund Payments	<u>1,787,555</u>	<u>1,492,934</u>	<u>1,900,542</u>	<u>1,900,542</u>	<u>1,900,542</u>
Cash Funds	1,787,555	1,492,934	1,900,542	1,900,542	1,900,542
Transfer to Reading Services for the Blind Cash Fund	<u>350,000</u>	<u>350,000</u>	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>
Cash Funds	350,000	350,000	360,000	360,000	360,000
Commission for the Deaf and Hard of Hearing Cash Fund	<u>986,251</u>	<u>966,150</u>	<u>1,012,818</u>	<u>1,012,818</u>	<u>1,012,818</u>
Cash Funds	986,251	966,150	1,012,818	1,012,818	1,012,818



**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
Low Income Telephone Assistance	<u>541,674</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Cash Funds	541,674	0	0	0	0
Colorado Bureau of Investigation Background					
Checks Pass-through	<u>78,052</u>	<u>72,325</u>	<u>104,377</u>	<u>104,377</u>	<u>104,377</u>
Cash Funds	78,052	72,325	104,377	104,377	104,377
Indirect Cost Assessment	<u>648,936</u>	<u>684,507</u>	<u>752,987</u>	<u>765,443</u>	<u>751,208</u>
Cash Funds	648,936	684,507	735,426	747,882	751,208
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	17,561	17,561	0
<b>TOTAL - (7) Public Utilities Commission</b>	12,756,388	12,253,999	13,945,065	13,958,149	13,943,914
<i>FTE</i>	<u>88.1</u>	<u>92.1</u>	<u>100.5</u>	<u>97.3</u>	<u>97.3</u>
General Fund	0	0	0	0	0
Cash Funds	12,756,388	12,253,999	13,927,504	13,940,588	13,943,914
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	17,561	17,561	0

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
<b>(8) DIVISION OF REAL ESTATE</b>					
The Division of Real Estate licenses real estate brokers, real estate appraisers, and mortgage brokers; and administers enforcement programs to ensure compliance with state and federal regulatory laws.					
Personal Services	<u>2,893,806</u>	<u>2,973,001</u>	<u>3,844,328</u>	<u>3,938,037</u>	<u>3,938,037</u>
FTE	45.2	47.7	55.9	55.9	55.9
Cash Funds	2,893,806	2,973,001	3,844,328	3,938,037	3,938,037
Operating Expenses	<u>177,836</u>	<u>154,049</u>	<u>244,557</u>	<u>244,557</u>	<u>244,557</u>
Cash Funds	177,836	154,049	244,557	244,557	244,557
Commission Meeting Costs	<u>23,885</u>	<u>20,576</u>	<u>38,836</u>	<u>38,836</u>	<u>38,836</u>
Cash Funds	23,885	20,576	38,836	38,836	38,836
Hearings Pursuant to Complaint	<u>799</u>	<u>0</u>	<u>4,000</u>	<u>4,000</u>	<u>4,000</u>
Cash Funds	799	0	4,000	4,000	4,000
Mortgage Broker Consumer Protection	<u>304,216</u>	<u>343,692</u>	<u>363,149</u>	<u>363,149</u>	<u>388,950</u>
Cash Funds	304,216	343,692	363,149	363,149	388,950
Indirect Cost Assessment	<u>306,060</u>	<u>352,341</u>	<u>432,740</u>	<u>439,151</u>	<u>431,578</u>
Cash Funds	306,060	352,341	432,740	439,151	431,578
<b>TOTAL - (8) Division of Real Estate</b>	3,706,602	3,843,659	4,927,610	5,027,730	5,045,958
FTE	<u>45.2</u>	<u>47.7</u>	<u>55.9</u>	<u>55.9</u>	<u>55.9</u>
General Fund	0	0	0	0	0
Cash Funds	3,706,602	3,843,659	4,927,610	5,027,730	5,045,958
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

**JBC Staff Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**(9) DIVISION OF PROFESSIONS AND OCCUPATIONS**

The Division of Professions and Occupations oversees boards and commissions that promulgate rules to ensure continued competency of regulated professionals; enforces laws; and takes action against individuals failing to follow laws and regulations.

Personal Services	<u>11,665,788</u>	<u>12,075,526</u>	<u>13,777,859</u>	<u>13,886,461</u>	<u>13,886,461</u> *
FTE	179.0	188.1	200.4	196.9	196.9
Cash Funds	11,070,006	11,479,744	13,182,077	13,290,679	13,290,679
Reappropriated Funds	595,782	595,782	595,782	595,782	595,782
Operating Expenses	<u>1,204,798</u>	<u>1,169,205</u>	<u>1,490,542</u>	<u>1,475,649</u>	<u>1,475,649</u>
Cash Funds	1,204,798	1,169,205	1,490,542	1,475,649	1,475,649
Office of Expedited Settlement Program Costs	<u>355,565</u>	<u>336,256</u>	<u>379,120</u>	<u>392,549</u>	<u>392,549</u>
FTE	5.0	0.0	5.0	5.0	5.0
Cash Funds	355,565	336,256	379,120	392,549	392,549
Hearings Pursuant to Complaint	<u>236,926</u>	<u>237,476</u>	<u>307,075</u>	<u>307,075</u>	<u>307,075</u>
Cash Funds	236,926	237,476	307,075	307,075	307,075
Payments to Department of Health Care Policy and Financing	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>
Cash Funds	14,652	14,652	14,652	14,652	14,652
Indirect Cost Assessment	<u>1,230,109</u>	<u>1,373,339</u>	<u>1,580,005</u>	<u>1,592,133</u>	<u>1,558,775</u>
Cash Funds	1,230,109	1,373,339	1,580,005	1,592,133	1,558,775

<b>TOTAL - (9) Division of Professions and Occupations</b>	14,707,838	15,206,454	17,549,253	17,668,519	17,635,161
FTE	<u>184.0</u>	<u>188.1</u>	<u>205.4</u>	<u>201.9</u>	<u>201.9</u>
General Fund	0	0	0	0	0
Cash Funds	14,112,056	14,610,672	16,953,471	17,072,737	17,039,379
Reappropriated Funds	595,782	595,782	595,782	595,782	595,782
Federal Funds	0	0	0	0	0

**JBC Staff Figure Setting - FY 2015-16**  
**Staff Working Document - Does Not Represent Committee Decision**

	FY 2012-13 Actual	FY 2013-14 Actual	FY 2014-15 Appropriation	FY 2015-16 Request	FY 2015-16 Recommendation
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**(10) DIVISION OF SECURITIES**

The Division of Securities monitors the conduct of Colorado broker-dealers and sales representatives; and investigates citizen complaints and other indications of investment fraud. The Division is funded by the Division of Securities Cash Fund pursuant to section 11-51-707 (2), C.R.S.

Personal Services	<u>2,021,493</u>	<u>1,819,442</u>	<u>2,202,907</u>	<u>2,255,498</u>	<u>2,255,498</u>
FTE	24.3	22.9	24.0	24.0	24.0
Cash Funds	2,021,493	1,819,442	2,202,907	2,255,498	2,255,498
Operating Expenses	<u>44,925</u>	<u>56,253</u>	<u>58,999</u>	<u>58,999</u>	<u>58,999</u>
Cash Funds	44,925	56,253	58,999	58,999	58,999
Hearings Pursuant to Complaint	<u>15,844</u>	<u>14,512</u>	<u>19,594</u>	<u>19,594</u>	<u>19,594</u>
Cash Funds	15,844	14,512	19,594	19,594	19,594
Board Meeting Costs	<u>1,828</u>	<u>820</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Cash Funds	1,828	820	4,500	4,500	4,500
Securities Fraud Prosecution	<u>718,835</u>	<u>878,410</u>	<u>967,912</u>	<u>967,912</u>	<u>942,611</u>
Cash Funds	718,835	878,410	967,912	967,912	942,611
Indirect Cost Assessment	<u>156,619</u>	<u>172,223</u>	<u>185,792</u>	<u>188,939</u>	<u>185,293</u>
Cash Funds	156,619	172,223	185,792	188,939	185,293
<b>TOTAL - (10) Division of Securities</b>	<b>2,959,544</b>	<b>2,941,660</b>	<b>3,439,704</b>	<b>3,495,442</b>	<b>3,466,495</b>
FTE	<u>24.3</u>	<u>22.9</u>	<u>24.0</u>	<u>24.0</u>	<u>24.0</u>
General Fund	0	0	0	0	0
Cash Funds	2,959,544	2,941,660	3,439,704	3,495,442	3,466,495
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0
<b>TOTAL - Department of Regulatory Agencies</b>	<b>72,157,908</b>	<b>74,932,872</b>	<b>86,743,142</b>	<b>87,612,984</b>	<b>87,745,550</b>
FTE	<u>533.7</u>	<u>535.5</u>	<u>587.6</u>	<u>583.6</u>	<u>583.6</u>
General Fund	1,713,110	1,649,585	1,884,591	1,995,074	1,955,567
Cash Funds	65,192,137	67,587,873	78,741,802	79,425,504	79,483,819
Reappropriated Funds	4,030,469	4,407,841	4,725,487	4,763,258	4,821,209
Federal Funds	1,222,192	1,287,573	1,391,262	1,429,148	1,484,955

## Appendix B: Department Memo on Lease Negotiations

### MEMORANDUM

To: Tom Dermody, JBC Staff  
 From: Department of Regulatory Agencies  
 Re: Update on Leased Space Negotiations  
 Date: March 4, 2015

As discussed at the hearing before JBC in January, the Department of Regulatory Agencies (DORA) has been examining options and engaging with prospective landlords in order to explore space options for DORA after our current lease expires June 30, 2016, 17 months from now. Any proposed final lease agreement is subject to review and approval by DPA, the Department, and OSPB, with the final step being a formal request for JBC to consider any funding in connection with a proposed new lease. The first year of any proposed lease will not occur until subsequent budget request cycles (beginning in FY 2016-17), and so that request will be prepared in step with the FY 2016-17 budget request. *However, the Department recently received the last and best terms from its current landlord and wishes to apprise JBC of the known details and budget implications in advance, prior to the Department proceeding and a signature being finalized in the next six to eight weeks.*

**Likely Budget impact (for FY 2016-17) less than expected.** Due to incentives offered by the landlord, the immediate budget impact of this new lease at the offered terms will be significantly less than present market rates (permitting a budget *reduction* in the first several years), while the out-years represent reasonable market rates and escalations. The following table shows estimated rent schedule by fiscal year based on the current negotiations.

Proposed Rate Schedule						
Year	Square Feet	Rate*	Total Cost	Max Rent Credit/SF	Effective Rate	Projected Cost
FY 16-17**	165,679	\$24.35	\$1,008,570.91	(\$5.88)	\$18.47	\$765,022.78
FY 17-18	165,679	\$24.85	\$4,117,123.15	(\$5.88)	\$18.97	\$3,142,930.63
FY 18-19	165,679	\$25.35	\$4,199,962.65	(\$5.88)	\$19.47	\$3,225,770.13
FY 19-20	165,679	\$25.85	\$4,282,802.15	(\$5.88)	\$19.97	\$3,308,609.63
FY 20-21	165,679	\$26.35	\$4,365,641.65	(\$5.88)	\$20.47	\$3,391,449.13
FY 21-22	165,679	\$26.85	\$4,448,481.15	(\$5.88)	\$20.97	\$3,474,288.63
FY 22-23	165,679	\$27.35	\$4,531,320.65		\$27.35	\$4,531,320.65
FY 23-24	165,679	\$27.85	\$4,614,160.15		\$27.85	\$4,614,160.15
FY 24-25	165,679	\$28.35	\$4,696,999.65		\$28.35	\$4,696,999.65
FY 25-26	165,679	\$28.85	\$4,779,839.15		\$28.85	\$4,779,839.15

FY 26-27**	165,679	\$29.35	\$3,647,008.99	\$29.35	\$3,647,008.99
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\*Rates include base rate of \$16.50/SF escalating at \$0.50 annually, plus estimated operating at \$7.85/SF in each year

\*\*Only 9 months' occupancy in FY 16-17 and 3 months' occupancy in FY 26-27 estimated here due to rent abatement in Year 1

The offered terms are very favorable. With the application of nine months of free rent as well as rent credits offered throughout the first five years of the lease term, costs are likely to be below \$1 million in the first year, with second year costs at a new baseline of just over \$3 million assuming full utilization of these rent credits. These rates are favorable enough that costs would not be expected to exceed the current FY 2014-15 appropriation until FY 2018-19 (four years), whereas market rates would have required this appropriation to increase immediately.

The Department would also make the following observations:

- **A ten year lease term does not conflict with any part of the Capitol Complex Master Plan.** 10-year rates are far more favorable (approximately \$7 million less than 4-year rates), and any State lease contract includes flexibility to terminate the lease in the event a new State building is constructed.
- **Renewal at the current premises represents the most efficient and cost-effective option for a new leased space agreement.** Not only would the Department avoid an estimated \$3 million in moving/build-out costs for a different property, but the current landlord has offered nine months of free rent (\$3 million) and significant rent credits (\$4.8 million) over the first five years of the lease term.
- **The current building meets DORA's needs.** With approximately 600 employees and several hundred volunteer board members, the current premises meets needs for office and meeting space adequately.
- **Competing properties are more expensive and less functional.** Proposed rates and configurations for other properties toured would require more funding and "test fit" planning showed less useable space than the current premises.

The Department will submit a budget action during the FY 2016-17 that formalizes this new lease and reduces the appropriation. The Department must accept the landlord's terms and execute the lease prior to FY 2016-17, however, and so the Department seeks to keep the JBC informed regarding the proposed lease terms prior to signature.