

# JOINT BUDGET COMMITTEE



## STAFF FIGURE SETTING FY 2017-18

## DEPARTMENT OF REGULATORY AGENCIES

JBC WORKING DOCUMENT - SUBJECT TO CHANGE  
STAFF RECOMMENDATION DOES NOT REPRESENT COMMITTEE DECISION

PREPARED BY:  
VANCE ROPER, JBC STAFF  
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JOINT BUDGET COMMITTEE STAFF  
200 E. 14TH AVENUE, 3RD FLOOR • DENVER • COLORADO • 80203  
TELEPHONE: (303) 866-2061 • TDD: (303) 866-3472  
<https://leg.colorado.gov/agencies/joint-budget-committee>

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## HOW TO USE THIS DOCUMENT

The Department Overview contains a table summarizing the staff recommended incremental changes followed by brief explanations of each incremental change. A similar overview table is provided for each division, but the description of incremental changes is not repeated, since it is

available under the Department Overview. More details about the incremental changes are provided in the sections following the Department Overview and the division summary tables.

Decision items, both department-requested items and staff-initiated items, are discussed either in the Decision Items Affecting Multiple Divisions or at the beginning of the most relevant division. Within a section, decision items are listed in the requested priority order, if applicable.

## DEPARTMENT OVERVIEW

The Department of Regulatory Agencies' (DORA) mission is broadly defined as consumer protection, which is carried out through regulatory programs that license, establish standards, approve rates, investigate complaints, and conduct enforcement through 40 boards, commissions, and advisory committees across more than 50 professions, occupations, programs, and institutions. The Department is organized in 10 predominantly cash-funded divisions as follows:

- Executive Director's Office
  - Provides administrative and technical support for department divisions and programs including accounting, budgeting, and human resources functions. Additionally, the Colorado Office of Policy, Research, & Regulatory Reform (COPRRR) in the Executive Director's Office (EDO) provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly and analyzes the submission of proposed rules and regulations from state agencies.
- Division of Banking
  - Regulates state-chartered banks and debt management companies. The Division conducts examinations and enforces compliance in areas including: Public Deposit Protection Act; electronic funds transfers; electronic data processing; and the Uniform Consumer Credit Code. The Division also contains the eight-member Colorado State Banking Board.
- Division of Civil Rights
  - Enforces Colorado's civil rights laws that prohibit discrimination in employment, housing, and public accommodations. The Division of Civil Rights is the only non-cash-funded division in the Department, relying on General Fund and federal funds.
- Office of Consumer Counsel
  - Represents the interests of residential, small business, and agricultural consumers on cases before the Public Utility Commission (PUC). These cases involve proposed changes to rates, services, and policies in the areas of electric, gas, and telecommunications. The Office of Consumer Council (OCC) is structured as a separate division but funded by PUC cash funds.
- Division Financial Services
  - Regulates state chartered credit unions; savings and loans associations; and life care institutions. The Division also administers the Public Deposit Protection Act; conducts onsite examinations for financial stability and compliance; and initiates enforcement action when appropriate. The Division also contains the five-member Financial Services Board.
- Division of Insurance
  - Regulates and licenses life, health, property and casualty, and other types of insurance companies and agents. The Division also conducts actuarial and financial solvency examinations, collects premium taxes, responds to consumer complaints, and regulates bail bond agents.
- Public Utilities Commission
  - The three-member Public Utility Commission (PUC) regulates the rates and services of fixed utilities and transportation utilities. Additionally, the PUC administers several

programs including: the Colorado Telecommunications High Cost Program, Low Income Telephone Assistance Program, and the Disabled Telephone Users Program.

- Division of Real Estate
  - Licenses real estate agents, appraisers, and mortgage loan originators; registers mortgage companies and homeowners associations; and administers the conservation easement tax credit certification programs. The Division also contains the five-member Real Estate Commission and the seven-member Appraisal Board.
- Division of Professions and Occupations
  - Regulates licensees in over 30 professions and occupations to ensure a basic level of competence among licensees and to protect the public welfare. The Division also licenses or approves qualified facilities, programs, and equipment.
- Division of Securities
  - Monitors the conduct of broker-dealers and sales representatives; investigates citizen complaints; and investigates indicators of investment fraud. The Division also enforces programs including: Colorado Securities Act; Colorado Commodity Code, Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act.

## SUMMARY OF STAFF RECOMMENDATIONS

DEPARTMENT OF REGULATORY AGENCIES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$84,787,420	\$1,769,297	\$77,022,032	\$4,612,173	\$1,383,918	585.5
Other legislation	1,355,311	0	1,115,311	240,000	0	2.7
<b>TOTAL</b>	<b>\$86,142,731</b>	<b>\$1,769,297</b>	<b>\$78,137,343</b>	<b>\$4,852,173</b>	<b>\$1,383,918</b>	<b>588.2</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$86,142,731	\$1,769,297	\$78,137,343	\$4,852,173	\$1,383,918	588.2
BA 1 Property Fund	1,167	(65)	1,509	(378)	101	0.0
BA 2 HLD	(21,331)	(1,814)	(18,103)	(1,407)	(7)	0.0
BA 3 HRIS	95,909	3,971	91,938	0	0	0.0
R1 Reorganization savings request	(80,000)	0	(80,000)	0	0	0.0
R2 Reduce lease space appropriation	(459,714)	(98,313)	(361,401)	0	0	0.0
R3 Improve enforcement of medical marijuana grey market	304,225	0	304,225	0	0	1.0
Non-prioritized changes	23,016	3,501	19,515	0	0	0.0
Sunset Split Technical Change	0	12,015	21,680	(33,695)	0	0.0
JBC staff initiated change for Div of Insurance	(50,000)	0	(50,000)	0	0	0.0
JBC staff initiated change for PUC FTE	0	0	0	0	0	(6.0)
JBC staff initiated change to move Highway-rail Crossing Signalization	0	0	0	0	0	0.0
JBC staff initiated changes PUC TUDF	(600,000)	0	(600,000)	0	0	0.0
Annualize SB 16-087 (Highway-rail Crossing Signalization Fund)	(230,400)	0	(235,200)	4,800	0	0.0
JBC staff initiated change to remove annualization of SB 16-087	(4,800)	0	240,000	(244,800)	0	0.0
JBC staff initiated change Real Estate FTE	0	0	0	0	0	(3.0)
JBC staff initiated change Real Estate Operating	(40,000)	0	(40,000)	0	0	0.0

DEPARTMENT OF REGULATORY AGENCIES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
JBC staff initiated change P&O FTE	0	0	0	0	0	(10.0)
JBC staff initiated technical changes for FTE	0	0	0	0	0	1.0
Centrally appropriated line items	5,277,093	298,878	4,632,216	374,471	(28,472)	0.0
Annualize prior year legislation	329,935	0	329,935	0	0	1.3
Indirect cost assessment adjustment	119,525	(119,525)	116,655	119,525	2,870	0.0
Annualize prior year salary survey	0	0	0	0	0	0.0
<b>TOTAL</b>	<b>\$90,807,356</b>	<b>\$1,867,945</b>	<b>\$82,510,312</b>	<b>\$5,070,689</b>	<b>\$1,358,410</b>	<b>572.5</b>
<b>INCREASE/(DECREASE)</b>	<b>\$4,664,625</b>	<b>\$98,648</b>	<b>\$4,372,969</b>	<b>\$218,516</b>	<b>(\$25,508)</b>	<b>(15.7)</b>
Percentage Change	5.4%	5.6%	5.6%	4.5%	(1.8%)	(2.7%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$91,511,087</b>	<b>\$1,867,945</b>	<b>\$82,969,243</b>	<b>\$5,315,489</b>	<b>\$1,358,410</b>	<b>590.5</b>
Request Above/(Below) Recommendation	\$703,731	\$0	\$458,931	\$244,800	\$0	18.0

## DESCRIPTION OF INCREMENTAL CHANGES

**R1 REORGANIZATION SAVINGS REQUEST:** The request includes a decrease of \$80,000 cash funds due to the streamlining of functions in the Division of Banking and the Division of Insurance.

**R2 REDUCE LEASED SPACE APPROPRIATION:** The request includes a decrease of \$459,714 total funds, of which \$98,313 is General Fund. This decrease is the result of rent credit allocation in FY 2017-18.

**R3 IMPROVE ENFORCEMENT OF MEDICAL MARIJUANA GREY MARKET:** The request includes an increase of \$317,956 cash funds from the Marijuana Tax Cash Fund to improve enforcement of the medical marijuana grey market.

**BA 1 PROPERTY FUND:** The budget amendment includes an increase of \$1,167 total funds for payments to risk management and property funds

**BA 2 HLD:** The budget amendment includes a decrease of \$21,331 total funds for adjustments on health, life, and dental.

**BA 3 HRIS:** The budget amendment includes an increase of \$95,909 total funds, which includes \$3,971 General Fund and \$91,938 cash funds, for the Department’s statewide share of the HRIS system.

**NP1 ANNUAL FLEET VEHICLE REQUEST:** The request includes a decrease of \$63,792 cash funds in annual payments to the Department of Personnel for fleet vehicles use.

**NP2 RESOURCES FOR ADMINISTRATIVE COURTS:** The request includes an increase of \$2,301 total funds, which includes \$104 General Fund and \$2,197 cash funds for administrative courts in the Department of Personnel.

**NP3 OIT SECURE COLORADO:** The request includes an increase of \$60,366 total funds, which includes \$2,427 General Fund and \$57,939 cash funds to obtain advanced information security event analytics capabilities.

**NP4 OIT DESKSIDE:** The request includes an increase of \$24,141 total funds, which includes \$970 General Fund and \$23,171 cash funds for OIT deskside service.

**JBC STAFF INITIATED CHANGE DIVISION OF INSURANCE:** The request includes a decrease of \$50,000 cash funds for out of state travel.

**JBC STAFF INITIATED CHANGE PUC:** The request includes a decrease of \$600,000 cash funds, a decrease of 6.0 FTE, and the addition of a new line item.

**JBC STAFF INITIATED CHANGE DIVISION OF REAL ESTATE:** The request includes a decrease of \$40,000 cash funds and a decrease of 3.0 FTE.

**JBC STAFF INITIATED CHANGE DIVISION OF PROFESSIONS AND OCCUPATIONS:** The request includes a decrease of 9.0 FTE and a Staff technical correction for FTE allocation.

## MAJOR DIFFERENCES FROM THE REQUEST

The differences between the Department's request and Staff recommendation is approximately \$700,000 total funds and 18.0 FTE. These differences include a reduction in spending authority and FTE for several line items that have continually reverted spending authority and FTE.

## DECISION ITEMS AFFECTING MULTIPLE DIVISIONS

### → R1 REORGANIZATION RELATED SAVINGS REQUEST

*REQUEST:* The request includes a decrease of \$80,000 cash funds, which includes a decrease of \$50,000 cash funds in the Division of Banking and a decrease of \$30,000 cash funds in the Division of Insurance.

*RECOMMENDATION:* **Staff recommends approval of the request.** The reduction is from reorganization and streamlining from the personal services line item in each division.

### → R3 IMPROVE ENFORCEMENT OF MEDICAL MARIJUANA (MMJ) GREY MARKET

*REQUEST:* The request includes \$317,956 cash funds from the Marijuana Tax Cash Fund and 1.0 FTE for FY 2017-18. The Department also requests \$278,253 from the Marijuana Tax Cash Fund and 1.0 FTE in ongoing funding to improve enforcement of the medial marijuana grey market. The Department states that the funding will enable it to expand its collaboration with local, state and federal regulators, as well as law enforcement to eliminate the medical marijuana grey market. The proposal includes:



- Educational campaign in FY 2017-18 – Similar to efforts with the Prescription Drug Monitoring Program, the Department seeks retention of a professional marketing/communication firm to develop a campaign to raise awareness among third-party victims.
- Law enforcement education – The requested awareness campaign will also include facilitation of a DORA forum with law enforcement to identify regulatory gaps and to develop and distribute a roadmap for law enforcement regarding complaints and factors for successful case completion. These efforts may lead to enhanced collaboration and evidence sharing to identify wrongdoing and to expedite MMJ cases and discipline.
- Increased investigation and access to filing complaints – The investigator FTE would dedicate time to investigation of MMJ cases while also staffing a MMJ malpractice hotline to receive the increased complaints and remove barriers for those suspecting wrongdoing.

**RECOMMENDATION:** Staff recommends an appropriation of \$304,225 cash funds from the Marijuana Tax Cash Fund (MTCF) for FY 2017-18 and 1.0 FTE with no ongoing appropriation from the MTCF. The difference between the request and recommendation is from the removal of POTS as 1.0 FTE does not meet the requirement for increased POTS. Staff does not recommend ongoing appropriations from the MTCF as the legal expenses for MMJ will diminish once precedents on the current cases are set. This means that the cost for MMJ enforcement will drop to a level that should be manageable through the current enforcement through licensure fee process that the Department uses.

#### Background

The Colorado Medical Board (CMB) is tasked with protecting consumers through regulating the practice of medicine in Colorado. This is accomplished by licensing qualified physicians, enforcing practice standards by investigating complaints, and carrying out disciplinary actions when standards are not met. The CMB has a staff of 8.3 FTE that includes a program director, compliance staff, technical staff, and administrative support staff.

The CMB was established through the Medical Practice Act and is responsible for regulating Medical Doctors (M.D.s), Doctors of Osteopathy (D.O.s), Physician Assistants (P.A.s), and Anesthesiology Assistants (A.A.s). The CMB is a Type I Board, which means that it is policy autonomous and comprised of professional and public members (eight M.D.s, three D.O.s, one P.A., and four public members). The CMB is a complaint driven board, and thus, referrals from law enforcement are a key component of the Board's regulatory portfolio. The workload measures used by the Department are the number of licenses issued, complaints processed, investigations opened, and disciplinary actions taken.

In Colorado, only physicians are authorized to make medical marijuana recommendations. The guidelines for these recommendations are set in CMB Board Policy 40-28 *Regarding Recommendations for Marijuana as a Therapeutic Option*. Multiple state agencies are responsible for the regulation of medical marijuana in Colorado. For example, the Department of Revenue regulates MMJ businesses; the Department of Public Health and Environment maintains the MMJ patient registry; and the Department of Regulatory Agencies regulates physicians who are authorized by law to make recommendations for patient use of MMJ.

#### Issue

Physicians are permitted by law to make MMJ recommendations for medical conditions as set forth in CDPHE regulation. The presumptive amount of marijuana for medical use is six plants/two

ounces per user, although physicians can increase this limit if medically necessary. The maximum limit was set at 99 plants in 2014.

Increased plant limits can affect the MMJ grey market. If an individual is allotted an increased number of plants but cannot consume them all, the potential exists for left over plants to make their way into the grey market. Furthermore, there is a possibility that physicians can over-prescribe plant levels to legitimate patients or prescribe MMJ to patients that are not eligible for such a recommendation with each scenario carrying the potential for plants to enter the grey market.

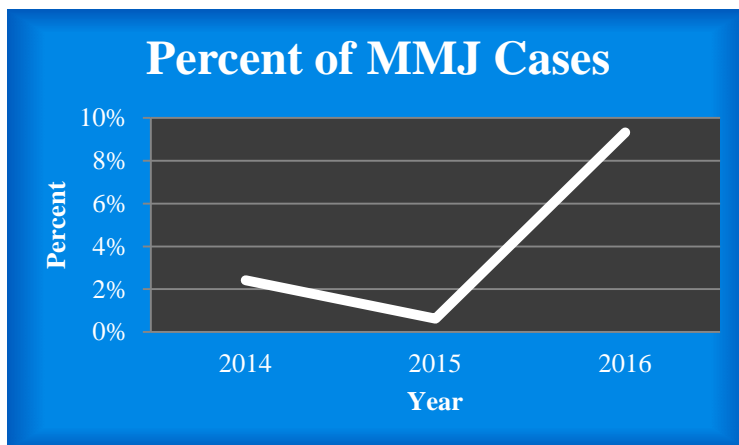
The CMB has sanctioned five physicians for violation of MMJ recommendations. The Board found the conduct fell below generally accepted standards of medical practice and violated Section 12-36-117 (l) (p) and (mm), C.R.S. and Colorado Constitution Article XVIII, Section 14 (4). The licenses of these individuals were suspended for recommending high-plant-count MMJ without medical necessity. Combined, the five physicians accounted for over 1,600 individuals, authorizing those individuals to collectively possess at least 120,000 plants.

Like most professions, medical board licensees bear the cost of their own regulation. Legal services represents a significant annual expenditure from these licensing fees. Legal fees accounted for more than 30 percent of expenditures during FY 2015-16. The \$923,780 spent on legal services during FY 2015-16 includes a relatively small number of disciplinary actions (114 compared to 27,120 active licensees).

CMB staff estimate that marijuana-related disciplinary costs will carry an annual legal services impact of approximately \$200,000, which under the status quo is supported by medical board license fees.

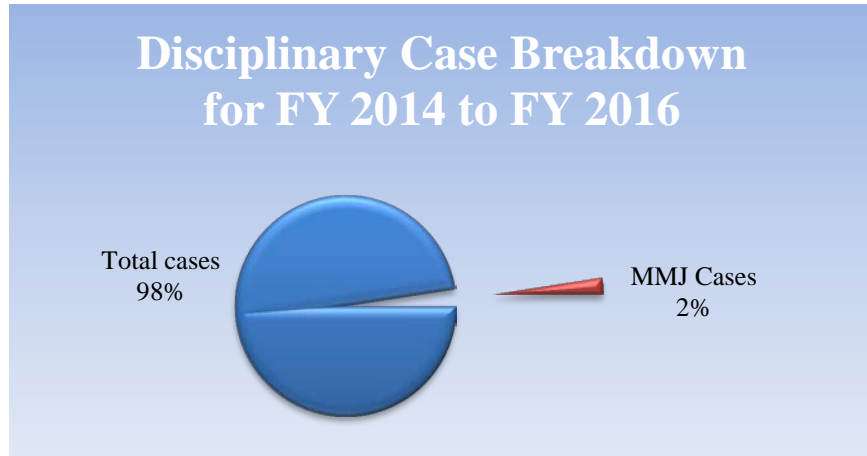
**Case Rate**

The case rate for MMJ cases has risen sharply between 2015 and 2016. The Department has some indications that this trend will continue as more cases are turned over to the CMB by law enforcement. The percent of MMJ cases, as compared to all other disciplinary cases, has increased from just over two percent in 2014 to over nine percent in 2016. In raw numbers, MMJ cases averaged 1.7 cases per month in 2014, 0.5 case per month in 2015, and 5.2 cases per month through the five months of FY 2016. The Department has indicated that it currently has 40 MMJ cases under review.



Potential Cases

The Department states that MMJ enforcement depends on complaints and referrals from law enforcement. The Department indicates there are many additional cases of MMJ overprescribing. These cases are currently being investigated by law enforcement personnel and are sealed. The cases will be referred to the CMB for investigation once the cases have been unsealed. The disciplinary case breakdown from FY 2014 to FY 2016 was 2,071 total disciplinary cases of which 52 cases were MMJ related. This equates to 2 percent of total cases.



**(1) EXECUTIVE DIRECTOR'S OFFICE**

The Executive Director's Office provides common services to all divisions including employee Health, life, and dental insurance, workers' compensation insurance, and employee shift differential. Support services are also located in the Executive Director's Office.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$26,795,318	\$595,233	\$22,323,405	\$3,586,668	\$290,012	29.5
Other legislation	207,930	0	207,930	0	0	0.0
<b>TOTAL</b>	<b>\$27,003,248</b>	<b>\$595,233</b>	<b>\$22,531,335</b>	<b>\$3,586,668</b>	<b>\$290,012</b>	<b>29.5</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$27,003,248	\$595,233	\$22,531,335	\$3,586,668	\$290,012	29.5
BA 1 Property Fund	1,167	(65)	1,509	(378)	101	0.0
BA 2 HLD	(21,331)	(1,814)	(18,103)	(1,407)	(7)	0.0
BA 3 HRIS	95,909	3,971	91,938	0	0	0.0
R2 Reduce lease space appropriation	(459,714)	(98,313)	(361,401)	0	0	0.0
R3 Improve enforcement of medical marijuana grey market	200,000	0	200,000	0	0	0.0
Non-prioritized changes	23,016	3,501	19,515	0	0	0.0
Centrally appropriated line items	5,277,093	298,878	4,632,216	374,471	(28,472)	0.0
Annualize prior year legislation	169,935	0	169,935	0	0	0.0
Sunset Split Technical Change	0	12,015	21,680	(33,695)	0	0.0
Annualize prior year salary survey	(53,521)	0	(51,472)	0	(2,049)	0.0
<b>TOTAL</b>	<b>\$32,235,802</b>	<b>\$813,406</b>	<b>\$27,237,152</b>	<b>\$3,925,659</b>	<b>\$259,585</b>	<b>29.5</b>
<b>INCREASE/(DECREASE)</b>	<b>\$5,232,554</b>	<b>\$218,173</b>	<b>\$4,705,817</b>	<b>\$338,991</b>	<b>(\$30,427)</b>	<b>0.0</b>
Percentage Change	19.4%	36.7%	20.9%	9.5%	(10.5%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$32,249,533</b>	<b>\$813,406</b>	<b>\$27,250,883</b>	<b>\$3,925,659</b>	<b>\$259,585</b>	<b>29.5</b>
Request Above/(Below) Recommendation	\$13,731	\$0	\$13,731	\$0	\$0	0.0

**DECISION ITEMS - EXECUTIVE DIRECTOR'S OFFICE****→ R2 REDUCED LEASED SPACE APPROPRIATION**

**REQUEST:** The request includes a decrease of \$459,714 total funds, including \$98,313 General Fund and \$361,401 cash funds.

**RECOMMENDATION:** **Staff recommends approval of the request.** The Department of Regulatory Agencies (DORA) signed a new lease for the 1560 Broadway office space, which started July 1, 2016. The new lease offers multi-years savings, with much of the savings applied to the first five years of the lease terms. This reduction comes from the Department transferring credits for buildouts to rent credits in FY 2017-18.

**LINE ITEM DETAIL — EXECUTIVE DIRECTOR'S OFFICE**

PERSONAL SERVICES

This line item provides staff and services for needs that are common to all divisions within the Department. The Executive Director's Office administers facilities, equipment, and common program elements such as Human Resources, Financial Services, and Information Technology support staff, for example, needed by all divisions within the Department and, therefore, are budgeted within the "Personal Services" line item in the Executive Director's Office.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

*REQUEST:* The Department requests an appropriation of \$2,430,854 total funds (\$46,890 General Fund, \$52,180 cash funds, and \$2,331,784 reappropriated funds) and 29.5 FTE, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of the request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$2,430,854	\$34,875	\$30,500	\$2,365,479	\$0	29.5
<b>TOTAL</b>	<b>\$2,430,854</b>	<b>\$34,875</b>	<b>\$30,500</b>	<b>\$2,365,479</b>	<b>\$0</b>	<b>29.5</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$2,430,854	\$34,875	\$30,500	\$2,365,479	\$0	29.5
Sunset Split Technical Change	0	12,015	21,680	(33,695)	0	0.0
<b>TOTAL</b>	<b>\$2,430,854</b>	<b>\$46,890</b>	<b>\$52,180</b>	<b>\$2,331,784</b>	<b>\$0</b>	<b>29.5</b>
<b>INCREASE/(DECREASE)</b>	<b>\$0</b>	<b>\$12,015</b>	<b>\$21,680</b>	<b>(\$33,695)</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	34.5%	71.1%	(1.4%)	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$2,430,854</b>	<b>\$46,890</b>	<b>\$52,180</b>	<b>\$2,331,784</b>	<b>\$0</b>	<b>29.5</b>
Request Above/(Below)						
Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

HEALTH, LIFE, AND DENTAL

This line item is a centrally appropriated and is used to pay for the state's share of health insurance, life insurance, and dental insurance for employees who enroll in the state's health plan, in accordance with the JBC-approved common policy. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (9), C.R.S.

*REQUEST:* The Department requests an appropriation of \$4,599,537 total funds (\$205,432 General Fund, \$4,143,169 cash funds, \$238,099 reappropriated funds, and \$12,837 federal funds).

**RECOMMENDATION:** Staff recommends an appropriation of \$4,591,610 total funds, which includes \$205,432 General Fund, \$4,135,242 cash funds, \$238,099 reappropriated funds, and \$12,837 federal funds in accordance with Committee policy, and the fund splits in the following table, but requests permission to make adjustments if necessary. The differences in the request and recommendation are an additional FTE requested by the Department as part of decision item R3. The additional employee falls under the 20 employee limit for additional POTS meaning the Department can absorb this cost within existing resources. As such, this part of the request was removed.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, HEALTH, LIFE, AND DENTAL						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$4,186,649	\$119,053	\$3,790,660	\$236,639	\$40,297	0.0
<b>TOTAL</b>	<b>\$4,186,649</b>	<b>\$119,053</b>	<b>\$3,790,660</b>	<b>\$236,639</b>	<b>\$40,297</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$4,186,649	\$119,053	\$3,790,660	\$236,639	\$40,297	0.0
BA 2 HLD	(21,331)	(1,814)	(18,103)	(1,407)	(7)	0.0
R3 Improve enforcement of medical marijuana grey market	0	0	0	0	0	0.0
Centrally appropriated line items	426,292	88,193	362,685	2,867	(27,453)	0.0
<b>TOTAL</b>	<b>\$4,591,610</b>	<b>\$205,432</b>	<b>\$4,135,242</b>	<b>\$238,099</b>	<b>\$12,837</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$404,961</b>	<b>\$86,379</b>	<b>\$344,582</b>	<b>\$1,460</b>	<b>(\$27,460)</b>	<b>0.0</b>
Percentage Change	9.7%	72.6%	9.1%	0.6%	(68.1%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$7,927	\$0	\$7,927	\$0	\$0	0.0

## SHORT-TERM DISABILITY

This line item is used to pay the Department's share of costs associated with the state's short-term disability program which is administered by the Department of Personnel and Administration. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (9), C.R.S.

*REQUEST:* The Department requests an appropriation of \$70,521 total funds (\$2,576 General Fund, \$63,465 cash funds, \$4,168 reappropriated funds, and \$312 federal funds).

**RECOMMENDATION:** Staff recommends an appropriation of \$70,413 total funds, which includes \$2,576 General Fund, \$63,357 cash funds, \$4,168 reappropriated funds, and \$312 federal funds in accordance with Committee policy, and the fund splits in the following table, but requests permission to make adjustments if necessary. The differences in the request and recommendation are an additional FTE requested by the Department as part of decision item R3. The additional employee falls under the 20 employee limit for additional POTS meaning the

Department can absorb this cost within existing resources. As such, this part of the request was removed.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, SHORT-TERM DISABILITY						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$68,255	\$2,000	\$61,826	\$3,925	\$504	0.0
<b>TOTAL</b>	<b>\$68,255</b>	<b>\$2,000</b>	<b>\$61,826</b>	<b>\$3,925</b>	<b>\$504</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$68,255	\$2,000	\$61,826	\$3,925	\$504	0.0
R3 Improve enforcement of medical marijuana grey market	0	0	0	0	0	0.0
Centrally appropriated line items	2,158	576	1,531	243	(192)	0.0
<b>TOTAL</b>	<b>\$70,413</b>	<b>\$2,576</b>	<b>\$63,357</b>	<b>\$4,168</b>	<b>\$312</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$2,158</b>	<b>\$576</b>	<b>\$1,531</b>	<b>\$243</b>	<b>(\$192)</b>	<b>0.0</b>
Percentage Change	3.2%	28.8%	2.5%	6.2%	(38.1%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$70,521</b>	<b>\$2,576</b>	<b>\$63,465</b>	<b>\$4,168</b>	<b>\$312</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$108	\$0	\$108	\$0	\$0	0.0

**S.B. 04-257 AMORTIZATION EQUALIZATION DISBURSEMENT**

This line item is used to pay the costs associated with S.B. 04-257, which requires an additional state contribution for employees in the Public Employees’ Retirement Association (PERA). The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-51-411, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,862,731 total funds (\$68,053 General Fund, \$1,676,334 cash funds, \$110,105 reappropriated funds, and \$8,239 federal funds).

*RECOMMENDATION:* **Staff recommends an appropriation of \$1,859,883 total funds, which includes \$68,053 General Fund, \$1,673,486 cash funds, \$110,105 reappropriated funds, and \$8,239 federal funds** in accordance with Committee policy, and the fund splits in the following table, but requests permission to make adjustments if necessary. The differences in the request and recommendation are an additional FTE requested by the Department as part of decision item R3. The additional employee falls under the 20 employee limit for additional POTS meaning the Department can absorb this cost within existing resources. As such, this part of the request was removed.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, S.B. 04-257 AMORTIZATION EQUALIZATION DISBURSEMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$1,729,990	\$50,621	\$1,567,171	\$99,342	\$12,856	0.0

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, S.B. 04-257 AMORTIZATION EQUALIZATION DISBURSEMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>TOTAL</b>	<b>\$1,729,990</b>	<b>\$50,621</b>	<b>\$1,567,171</b>	<b>\$99,342</b>	<b>\$12,856</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$1,729,990	\$50,621	\$1,567,171	\$99,342	\$12,856	0.0
R3 Improve enforcement of medical marijuana grey market	0	0	0	0	0	0.0
Centrally appropriated line items	129,893	17,432	106,315	10,763	(4,617)	0.0
<b>TOTAL</b>	<b>\$1,859,883</b>	<b>\$68,053</b>	<b>\$1,673,486</b>	<b>\$110,105</b>	<b>\$8,239</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$129,893</b>	<b>\$17,432</b>	<b>\$106,315</b>	<b>\$10,763</b>	<b>(\$4,617)</b>	<b>0.0</b>
Percentage Change	7.5%	34.4%	6.8%	10.8%	(35.9%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,862,731</b>	<b>\$68,053</b>	<b>\$1,676,334</b>	<b>\$110,105</b>	<b>\$8,239</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$2,848	\$0	\$2,848	\$0	\$0	0.0

S.B. 06-235 SUPPLEMENTAL AMORTIZATION EQUALIZATION DISBURSEMENT

This line item is used to pay costs associated with S.B. 06-235, which provided for a mechanism to increase the effective PERA rate beginning on January 1, 2008. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-51-411, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,862,731 total funds (\$68,053 General Fund, \$1,676,334 cash funds, \$110,105 reappropriated funds, and \$8,239 federal funds).

*RECOMMENDATION:* Staff recommends an appropriation of \$1,859,883 total funds, which includes \$68,053 General Fund, \$1,673,486 cash funds, \$110,105 reappropriated funds, and \$8,239 federal funds in accordance with Committee policy, and the fund splits in the following table, but requests permission to make adjustments if necessary. The differences in the request and recommendation are an additional FTE requested by the Department as part of decision item R3. The additional employee falls under the 20 employee limit for additional POTS meaning the Department can absorb this cost within existing resources. As such, this part of the request was removed.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, S.B. 06-235 SUPPLEMENTAL AMORTIZATION EQUALIZATION DISBURSEMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$1,711,969	\$50,094	\$1,550,846	\$98,307	\$12,722	0.0
<b>TOTAL</b>	<b>\$1,711,969</b>	<b>\$50,094</b>	<b>\$1,550,846</b>	<b>\$98,307</b>	<b>\$12,722</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$1,711,969	\$50,094	\$1,550,846	\$98,307	\$12,722	0.0



EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, S.B. 06-235 SUPPLEMENTAL AMORTIZATION EQUALIZATION DISBURSEMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
R3 Improve enforcement of medical marijuana grey market	0	0	0	0	0	0.0
Centrally appropriated line items	147,914	17,959	122,640	11,798	(4,483)	0.0
<b>TOTAL</b>	<b>\$1,859,883</b>	<b>\$68,053</b>	<b>\$1,673,486</b>	<b>\$110,105</b>	<b>\$8,239</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$147,914</b>	<b>\$17,959</b>	<b>\$122,640</b>	<b>\$11,798</b>	<b>(\$4,483)</b>	<b>0.0</b>
Percentage Change	8.6%	35.9%	7.9%	12.0%	(35.2%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,862,731</b>	<b>\$68,053</b>	<b>\$1,676,334</b>	<b>\$110,105</b>	<b>\$8,239</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$2,848	\$0	\$2,848	\$0	\$0	0.0

**SALARY SURVEY**

This line is intended to pay for salary adjustments in accordance with the total compensation report prepared by the Department of Personnel.

*STATUTORY AUTHORITY:* Pursuant to Section 24-50-104 (1) (a), C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,012,503 total funds (\$37,044 General Fund, \$911,027 cash funds, \$59,943 reappropriated funds, and \$4,489 federal funds).

*RECOMMENDATION:* **The dollar amount is pending the Committee’s decision for FY 2017-18 common policies.** Staff requests permission to reflect the Committee’s decision in the appropriation for this line item and permission to work with the Department to ascertain the right fund type mix.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, SALARY SURVEY						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$53,521	\$0	\$51,472	\$0	\$2,049	0.0
<b>TOTAL</b>	<b>\$53,521</b>	<b>\$0</b>	<b>\$51,472</b>	<b>\$0</b>	<b>\$2,049</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED APPROPRIATION*</b>						
FY 2016-17 Appropriation	\$53,521	\$0	\$51,472	\$0	\$2,049	0.0
Centrally appropriated line items	1,012,503	37,044	911,027	59,943	4,489	0.0
Annualize prior year salary survey	(53,521)	0	(51,472)	0	(2,049)	0.0
<b>TOTAL</b>	<b>\$1,012,503</b>	<b>\$37,044</b>	<b>\$911,027</b>	<b>\$59,943</b>	<b>\$4,489</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$958,982</b>	<b>\$37,044</b>	<b>\$859,555</b>	<b>\$59,943</b>	<b>\$2,440</b>	<b>0.0</b>
Percentage Change	1,791.8%	0.0%	1,669.9%	0.0%	119.1%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,012,503</b>	<b>\$37,044</b>	<b>\$911,027</b>	<b>\$59,943</b>	<b>\$4,489</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

**MERIT PAY**

This line item is a centrally appropriated line item used to pay for performance-based pay awards for state employees.

*STATUTORY AUTHORITY:* Pursuant to Section 24-50-104 (1) (c), C.R.S.

*REQUEST:* The Department requests an appropriation of \$0 total funds.

*RECOMMENDATION:* **The dollar amount is pending the Committee’s decision for FY 2017-18 common policies.** Staff requests permission to reflect the Committee’s decision in the appropriation for this line item and permission to work with the Department to ascertain the right fund type mix.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, MERIT PAY						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$0	\$0	\$0	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED APPROPRIATION*</b>						
FY 2016-17 Appropriation	\$0	\$0	\$0	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

**WORKERS' COMPENSATION**

This line item is used by the Department to reimburse the Department of Personnel for its share of costs associated with providing workers' compensation insurance to state employees and is set by the Department of Personnel common policy adjustments.

*STATUTORY AUTHORITY:* Pursuant to Section 24-30-1510.7, C.R.S.

*REQUEST:* The Department requests an appropriation of \$135,182 total funds (\$5,102 General Fund, \$121,691 cash funds, \$6,780 reappropriated funds, and \$1,609 federal funds).

*RECOMMENDATION:* **Staff recommendation is pending Committee approval** of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, WORKERS' COMPENSATION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$105,014	\$3,551	\$96,273	\$3,611	\$1,579	0.0
<b>TOTAL</b>	<b>\$105,014</b>	<b>\$3,551</b>	<b>\$96,273</b>	<b>\$3,611</b>	<b>\$1,579</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED APPROPRIATION*</b>						
FY 2016-17 Appropriation	\$105,014	\$3,551	\$96,273	\$3,611	\$1,579	0.0
Centrally appropriated line items	30,168	1,551	25,418	3,169	30	0.0
<b>TOTAL</b>	<b>\$135,182</b>	<b>\$5,102</b>	<b>\$121,691</b>	<b>\$6,780</b>	<b>\$1,609</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$30,168</b>	<b>\$1,551</b>	<b>\$25,418</b>	<b>\$3,169</b>	<b>\$30</b>	<b>0.0</b>
Percentage Change	28.7%	43.7%	26.4%	87.8%	1.9%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$135,182</b>	<b>\$5,102</b>	<b>\$121,691</b>	<b>\$6,780</b>	<b>\$1,609</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

## OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

*REQUEST:* The Department requests an appropriation of \$210,344 total funds (\$3,689 General Fund, \$95,427 cash funds, and \$111,228 reappropriated funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of the request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$210,344	\$3,689	\$95,427	\$111,228	\$0	0.0
<b>TOTAL</b>	<b>\$210,344</b>	<b>\$3,689</b>	<b>\$95,427</b>	<b>\$111,228</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$210,344	\$3,689	\$95,427	\$111,228	\$0	0.0
<b>TOTAL</b>	<b>\$210,344</b>	<b>\$3,689</b>	<b>\$95,427</b>	<b>\$111,228</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$210,344</b>	<b>\$3,689</b>	<b>\$95,427</b>	<b>\$111,228</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

LEGAL SERVICES

This line item is used to pay the Department of Law for the provision of legal services to the Department.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-31-101 (1) (a), C.R.S., and defined in Section 24-75-112 (1) (i), C.R.S.

*REQUEST:* The Department requests an appropriation of \$11,303,047 total funds (\$215,990 General Fund, \$10,789,873 cash funds, \$108,193 reappropriated funds, and \$188,991 federal funds).

*RECOMMENDATION:* **Staff recommendation is pending Committee approval of legal services rates.** Staff requests permission to adjust the line item and apply a fund split, once Committee policy is established. The recommendation includes an increase of \$200,000 cash funds from the Marijuana Tax Cash Fund. See Staff’s write-up for the “R3 Improve Enforcement of Medical Marijuana Grey Market” decision item at the beginning of the Department for more information.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, LEGAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$10,444,379	\$206,848	\$9,952,888	\$103,614	\$181,029	0.0
Other legislation	\$94,630	\$0	\$94,630	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$10,539,009</b>	<b>\$206,848</b>	<b>\$10,047,518</b>	<b>\$103,614</b>	<b>\$181,029</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$10,539,009	\$206,848	\$10,047,518	\$103,614	\$181,029	0.0
R3 Improve enforcement of medical marijuana grey market	200,000	0	200,000	0	0	0.0
Centrally appropriated line items	462,607	9,142	440,924	4,579	7,962	0.0
Annualize prior year legislation	101,431	0	101,431	0	0	0.0
<b>TOTAL</b>	<b>\$11,303,047</b>	<b>\$215,990</b>	<b>\$10,789,873</b>	<b>\$108,193</b>	<b>\$188,991</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$764,038</b>	<b>\$9,142</b>	<b>\$742,355</b>	<b>\$4,579</b>	<b>\$7,962</b>	<b>0.0</b>
Percentage Change	7.2%	4.4%	7.4%	4.4%	4.4%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$11,303,047</b>	<b>\$215,990</b>	<b>\$10,789,873</b>	<b>\$108,193</b>	<b>\$188,991</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

ADMINISTRATIVE LAW JUDGE SERVICES

This line item is used to purchase administrative law services from the Department of Personnel.

*STATUTORY AUTHORITY:* Pursuant to Section 24-30-1003 (1), C.R.S.

*REQUEST:* The Department requests an appropriation of \$246,338 total funds (\$11,141 General Fund and \$235,197 cash funds).

**RECOMMENDATION:** The dollar amount is pending the Committee’s decision for FY 2017-18 common policies. Staff requests permission to reflect the Committee’s decision in the appropriation for this line item and permission to work with the Department to ascertain the right fund type mix.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, ADMINISTRATIVE LAW JUDGE SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$201,827	\$9,119	\$192,708	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$201,827</b>	<b>\$9,119</b>	<b>\$192,708</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED APPROPRIATION*</b>						
FY 2016-17 Appropriation	\$201,827	\$9,119	\$192,708	\$0	\$0	0.0
Non-prioritized changes	2,301	104	2,197	0	0	0.0
Centrally appropriated line items	42,210	1,918	40,292	0	0	0.0
<b>TOTAL</b>	<b>\$246,338</b>	<b>\$11,141</b>	<b>\$235,197</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$44,511</b>	<b>\$2,022</b>	<b>\$42,489</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	22.1%	22.2%	22.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$246,338</b>	<b>\$11,141</b>	<b>\$235,197</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee’s action into the Long Bill.

**PAYMENT TO RISK MANAGEMENT AND PROPERTY FUNDS**

This line item is used to reimburse the Department of Personnel for the Department's share of expenses associated with the state's liability insurance and property insurance. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-30-1510, C.R.S. and Section 24-30-1510.5, C.R.S.

*REQUEST:* The Department requests an appropriation of \$202,843 total funds (\$7,548 General Fund, \$183,057 cash funds, \$9,737 reappropriated funds, and \$2,501 federal funds).

**RECOMMENDATION:** Staff recommendation is pending Committee approval of a common policy for this line item. Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, PAYMENT TO RISK MANAGEMENT AND PROPERTY FUNDS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$210,548	\$7,119	\$193,023	\$7,240	\$3,166	0.0
<b>TOTAL</b>	<b>\$210,548</b>	<b>\$7,119</b>	<b>\$193,023</b>	<b>\$7,240</b>	<b>\$3,166</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED</b>						

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, PAYMENT TO RISK MANAGEMENT AND PROPERTY FUNDS						
APPROPRIATION*	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
FY 2016-17 Appropriation	\$210,548	\$7,119	\$193,023	\$7,240	\$3,166	0.0
BA 1 Property Fund	1,167	(65)	1,509	(378)	101	0.0
Centrally appropriated line items	(8,872)	494	(11,475)	2,875	(766)	0.0
<b>TOTAL</b>	<b>\$202,843</b>	<b>\$7,548</b>	<b>\$183,057</b>	<b>\$9,737</b>	<b>\$2,501</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$7,705)</b>	<b>\$429</b>	<b>(\$9,966)</b>	<b>\$2,497</b>	<b>(\$665)</b>	<b>0.0</b>
Percentage Change	(3.7%)	6.0%	(5.2%)	34.5%	(21.0%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$202,843</b>	<b>\$7,548</b>	<b>\$183,057</b>	<b>\$9,737</b>	<b>\$2,501</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

### VEHICLE LEASE PAYMENTS

This line item is used by the Department to reimburse the Department of Personnel for the costs associated with vehicle lease payments for vehicles used by the Department. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-30-1104 (2), C.R.S.

*REQUEST:* The Department requests an appropriation of \$173,020 cash funds.

*RECOMMENDATION:* **Staff recommendation is pending Committee approval of a common policy for this line item.** Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, VEHICLE LEASE PAYMENTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$236,812	\$0	\$236,812	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$236,812</b>	<b>\$0</b>	<b>\$236,812</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 REQUESTED APPROPRIATION*</b>						
FY 2016-17 Appropriation	\$236,812	\$0	\$236,812	\$0	\$0	0.0
Non-prioritized changes	(63,792)	0	(63,792)	0	0	0.0
<b>TOTAL</b>	<b>\$173,020</b>	<b>\$0</b>	<b>\$173,020</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$63,792)</b>	<b>\$0</b>	<b>(\$63,792)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(26.9%)	0.0%	(26.9%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$173,020</b>	<b>\$0</b>	<b>\$173,020</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

**INFORMATION TECHNOLOGY ASSET MAINTENANCE**

This line item provides funding for the Department to implement an asset management plan to achieve and maintain a standard information technology environment. Moneys are used to pay for critical hardware and software maintenance contracts, as well as the replacement of equipment (e.g., servers, switches, printers, or personal computers).

*STATUTORY AUTHORITY:* Pursuant to Section 24-37.5-104, C.R.S.

*REQUEST:* The Department requests an appropriation of \$671,403 total funds (\$480,646 cash funds and \$190,757 reappropriated funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, INFORMATION TECHNOLOGY ASSET MAINTENANCE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$671,403	\$0	\$480,646	\$190,757	\$0	0.0
<b>TOTAL</b>	<b>\$671,403</b>	<b>\$0</b>	<b>\$480,646</b>	<b>\$190,757</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$671,403	\$0	\$480,646	\$190,757	\$0	0.0
<b>TOTAL</b>	<b>\$671,403</b>	<b>\$0</b>	<b>\$480,646</b>	<b>\$190,757</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**HARDWARE/SOFTWARE MAINTENANCE**

This line item pays for the licensing and maintenance agreements for hardware and software, as well as the costs of required equipment upgrades.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

*REQUEST:* The Department requests an appropriation of \$729,218 total funds (\$800 General Fund, \$469,816 cash funds, and \$258,602 reappropriated funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, HARDWARE/SOFTWARE MAINTENANCE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$729,218	\$800	\$469,816	\$258,602	\$0	0.0
<b>TOTAL</b>	<b>\$729,218</b>	<b>\$800</b>	<b>\$469,816</b>	<b>\$258,602</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$729,218	\$800	\$469,816	\$258,602	\$0	0.0
<b>TOTAL</b>	<b>\$729,218</b>	<b>\$800</b>	<b>\$469,816</b>	<b>\$258,602</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$729,218</b>	<b>\$800</b>	<b>\$469,816</b>	<b>\$258,602</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**LEASED SPACE**

This line item is used by the Department to pay for leased space.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-1-105, 24-1-107, 24-1-122, 24-1-136, 24-4-103, 24-6-303.5, 24-34-101, and 24-34-101, C.R.S.

*REQUEST:* The Department requests an appropriation of \$2,775,917 total funds (\$2,368,767 cash funds, \$376,964 reappropriated funds, and \$30,186 federal funds).

*RECOMMENDATION:* **Staff recommends approval of this request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, LEASED SPACE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$788,208	\$0	\$658,867	\$99,155	\$30,186	0.0
<b>TOTAL</b>	<b>\$788,208</b>	<b>\$0</b>	<b>\$658,867</b>	<b>\$99,155</b>	<b>\$30,186</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$788,208	\$0	\$658,867	\$99,155	\$30,186	0.0
R2 Reduce lease space appropriation	(459,714)	(98,313)	(361,401)	0	0	0.0
Centrally appropriated line items	2,447,423	98,313	2,071,301	277,809	0	0.0
<b>TOTAL</b>	<b>\$2,775,917</b>	<b>\$0</b>	<b>\$2,368,767</b>	<b>\$376,964</b>	<b>\$30,186</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$1,987,709</b>	<b>\$0</b>	<b>\$1,709,900</b>	<b>\$277,809</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	252.2%	0.0%	259.5%	280.2%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$2,775,917</b>	<b>\$0</b>	<b>\$2,368,767</b>	<b>\$376,964</b>	<b>\$30,186</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0



CAPITOL COMPLEX LEASED SPACE

This line item is used by the Department to reimburse the Department of Personnel for expenses related to maintaining capitol complex facilities managed by Department of Personnel.

*STATUTORY AUTHORITY:* Pursuant to Section 24-30-1104 (4) and Part 1 of Article 82 of Title 24, C.R.S.

*REQUEST:* The Department requests an appropriation of \$0 total funds.

*RECOMMENDATION:* **Staff recommends that this line item be eliminated for FY 2017-18.** The following table summarizes the calculations for staff's recommendation.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, CAPITOL COMPLEX LEASED SPACE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$0	\$0	\$0	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$0	\$0	\$0	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

PAYMENTS TO OIT

This line item is used to reimburse the Governor's Office of Information Technology for the Department's share of costs for the management and administration of OIT. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-37.5-104, C.R.S.

*REQUEST:* The Department requests an appropriation of \$3,372,519 total funds (\$134,170 General Fund and \$3,238,349 cash funds).

*RECOMMENDATION:* **Staff recommendation is pending Committee action on Common policies that will be presented during the OIT figure setting presentation.** Staff requests permission to reflect the Committee's decision in the appropriation for this line item and permission to work with the Department to ascertain the right fund type mix.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, PAYMENTS TO OIT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$2,515,436	\$104,155	\$2,411,281	\$0	\$0	0.0

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, PAYMENTS TO OIT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
Other legislation	\$113,300	\$0	\$113,300	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$2,628,736</b>	<b>\$104,155</b>	<b>\$2,524,581</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 REQUESTED APPROPRIATION*						
FY 2016-17 Appropriation	\$2,628,736	\$104,155	\$2,524,581	\$0	\$0	0.0
Centrally appropriated line items	563,367	22,647	540,720	0	0	0.0
BA 3 HRIS	95,909	3,971	91,938	0	0	0.0
Non-prioritized changes	84,507	3,397	81,110	0	0	0.0
<b>TOTAL</b>	<b>\$3,372,519</b>	<b>\$134,170</b>	<b>\$3,238,349</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$743,783</b>	<b>\$30,015</b>	<b>\$713,768</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	28.3%	28.8%	28.3%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$3,372,519</b>	<b>\$134,170</b>	<b>\$3,238,349</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

## CORE OPERATIONS

This line item is used by the Department to reimburse the Department of Personnel for the costs associated with maintaining the state's accounting system. The request reflects OSPB requested common policy adjustments for FY 2017-18.

*STATUTORY AUTHORITY:* Pursuant to Section 24-30-209, C.R.S.

*REQUEST:* The Department requests an appropriation of \$183,321 total funds (\$6,918 General Fund, \$165,027 cash funds, \$9,194 reappropriated funds, and \$2,182 federal funds).

*RECOMMENDATION:* **Staff recommendation is pending Committee approval of a common policy for this line item.** Staff requests permission to adjust all pending line items and apply a fund split, once Committee policy is established.

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, CORE OPERATIONS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$161,891	\$3,309	\$144,189	\$8,769	\$5,624	0.0
<b>TOTAL</b>	<b>\$161,891</b>	<b>\$3,309</b>	<b>\$144,189</b>	<b>\$8,769</b>	<b>\$5,624</b>	<b>0.0</b>
FY 2017-18 REQUESTED APPROPRIATION*						
FY 2016-17 Appropriation	\$161,891	\$3,309	\$144,189	\$8,769	\$5,624	0.0
Centrally appropriated line items	21,430	3,609	20,838	425	(3,442)	0.0
<b>TOTAL</b>	<b>\$183,321</b>	<b>\$6,918</b>	<b>\$165,027</b>	<b>\$9,194</b>	<b>\$2,182</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$21,430</b>	<b>\$3,609</b>	<b>\$20,838</b>	<b>\$425</b>	<b>(\$3,442)</b>	<b>0.0</b>
Percentage Change	13.2%	109.1%	14.5%	4.8%	(61.2%)	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$183,321</b>	<b>\$6,918</b>	<b>\$165,027</b>	<b>\$9,194</b>	<b>\$2,182</b>	<b>0.0</b>

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, CORE OPERATIONS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

\*Represents the funding level requested by the Department. The appropriation will be determined through common policy decisions at a later date. Staff will incorporate the Committee's action into the Long Bill.

### CONSUMER OUTREACH/EDUCATION PROGRAM

This line item was added to the FY 2009-10 Long Bill as a result of H.B. 08-1216, which established the Consumer Outreach and Education Program (Program) within the Department. The Program works to inform consumers of their rights regarding regulated professions and occupations, decrease regulatory violations by licensees, and increase public awareness of consumer protection information available from the Department. The Program is funded by a surcharge on fines issued by various divisions.

*STATUTORY AUTHORITY:* Pursuant to Section 24-34-108, C.R.S.

*REQUEST:* The Department requests an appropriation of \$205,000 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, CONSUMER OUTREACH/EDUCATION PROGRAM						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$205,000	\$0	\$205,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$205,000	\$0	\$205,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$205,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### BROADBAND DEPLOYMENT BOARD

This line item funds the Broadband Deployment Board is an independent board meant to provide direction and oversight to these funding efforts, while ensuring the achievement of state policy. House Bill 14-1328 (Connect Colorado Broadband Act), which created the Board, was signed into law and became effective on May 10, 2014. The Board consists of 16 members from both major political parties, with statutory requirements for the number of representatives from the

Executive branch, local entities, the broadband industry, and the public. The money transferred to the Board from the Colorado High Cost Support Mechanism is statutorily appropriated and included in this line item for informational purposes. This line item was added to the FY 2015-16 Long Bill during the supplemental process.

*STATUTORY AUTHORITY:* Pursuant to Section 40-15-509.5 (5) (a) C.R.S.

*REQUEST:* The Department requests an appropriation of \$202,504 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES, BROADBAND DEPLOYMENT BOARD						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$134,000	\$0	\$134,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$134,000</b>	<b>\$0</b>	<b>\$134,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$134,000	\$0	\$134,000	\$0	\$0	0.0
Annualize prior year legislation	68,504	0	68,504	0	0	0.0
<b>TOTAL</b>	<b>\$202,504</b>	<b>\$0</b>	<b>\$202,504</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$68,504</b>	<b>\$0</b>	<b>\$68,504</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	51.1%	0.0%	51.1%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$202,504</b>	<b>\$0</b>	<b>\$202,504</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

## (2) DIVISION OF BANKING

The Division of Banking is responsible for the enforcement of banking laws on state-chartered commercial banks, trust companies, industrial banks, and money transmitters. The Division holds charter and license application hearings, issues rules and regulations, investigates consumer complaints, and conducts examinations of banking institutions.

DIVISION OF BANKING						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$4,628,661	\$0	\$4,628,661	\$0	\$0	40.0
<b>TOTAL</b>	<b>\$4,628,661</b>	<b>\$0</b>	<b>\$4,628,661</b>	<b>\$0</b>	<b>\$0</b>	<b>40.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$4,628,661	\$0	\$4,628,661	\$0	\$0	40.0
R1 Reorganization savings request	(50,000)	0	(50,000)	0	0	0.0
Indirect cost assessment adjustment	8,795	0	8,795	0	0	0.0
<b>TOTAL</b>	<b>\$4,587,456</b>	<b>\$0</b>	<b>\$4,587,456</b>	<b>\$0</b>	<b>\$0</b>	<b>40.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$41,205)</b>	<b>\$0</b>	<b>(\$41,205)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(0.9%)	0.0%	(0.9%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### DECISION ITEMS - DIVISION OF BANKING

The decision item for this Division is contained in the “Decision Items Affecting Multiple Divisions” section above. The recommendation includes a decrease of \$50,000 cash funds. See Staff’s write-up for the “R1 Reorganization savings request” decision item at the beginning of the Department for more information.

### LINE ITEM DETAIL - DIVISION OF BANKING

#### PERSONAL SERVICES

Division staff conducts examinations and enforce compliance with the Public Deposit Protection Act and the Uniform Consumer Credit Code. Staff also enforces regulatory guidelines for trust departments, electronic funds transfers, and electronic data processing.

*STATUTORY AUTHORITY:* Pursuant to Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$3,766,881 cash funds and 40 FTE.

**RECOMMENDATION: Staff recommends approval of this request.**

DIVISION OF BANKING, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$3,816,881	\$0	\$3,816,881	\$0	\$0	40.0
<b>TOTAL</b>	<b>\$3,816,881</b>	<b>\$0</b>	<b>\$3,816,881</b>	<b>\$0</b>	<b>\$0</b>	<b>40.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$3,816,881	\$0	\$3,816,881	\$0	\$0	40.0
R1 Reorganization savings request	(50,000)	0	(50,000)	0	0	0.0
<b>TOTAL</b>	<b>\$3,766,881</b>	<b>\$0</b>	<b>\$3,766,881</b>	<b>\$0</b>	<b>\$0</b>	<b>40.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$50,000)</b>	<b>\$0</b>	<b>(\$50,000)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(1.3%)	0.0%	(1.3%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OPERATING EXPENSES

A portion of this line item funds the reimbursement for travel of staff examiners. Travel reimbursements include meal per diem, hotel per diem, and mileage reimbursement. The remainder of this line is used for general operating expenses incurred by the Division.

*STATUTORY AUTHORITY:* Pursuant to Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$490,703 cash funds, which represents a continuation of level funding.

**RECOMMENDATION: Staff recommends approval of this request.**

DIVISION OF BANKING, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$490,703	\$0	\$490,703	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$490,703</b>	<b>\$0</b>	<b>\$490,703</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$490,703	\$0	\$490,703	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$490,703</b>	<b>\$0</b>	<b>\$490,703</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**BOARD MEETING COSTS**

The eight-member Governor-appointed Colorado State Banking Board (Board) is the policy and rulemaking authority for this Division. The Board conducts monthly hearings on applications for commercial and industrial banks, trust companies, and the licensure of money transmitters. Board members receive reimbursements for expenses incurred during the performance of official duties pursuant to Section 11-102-103 (6), C.R.S.

*STATUTORY AUTHORITY:* Pursuant to Sections 5-1-101 et seq.; 11-1-101 thru 11-20-117; 11-6.5-101 et seq.; 11-10.5-101 et seq.; 11-23-101 et seq.; 11-25-101 et seq.; 11-37-101 et seq.; 11-37.5-101 et seq.; 12-52-101 et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$23,500 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF BANKING, BOARD MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$23,500	\$0	\$23,500	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$23,500	\$0	\$23,500	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$23,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**INDIRECT COST ASSESSMENT**

This line item funds a portion of the Executive Director's Office costs and for the Department's share of statewide indirect costs. Statewide indirect costs are overhead costs associated with the operation of general government functions and departmental administrative duties. Indirect cost recoveries are intended to offset these overhead costs in the Executive Director's Office and statewide, that would otherwise have been supported by the General Fund. This description applies to all Indirect Cost Assessment lines in each division.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$306,372 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF BANKING, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$297,577	\$0	\$297,577	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$297,577</b>	<b>\$0</b>	<b>\$297,577</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$297,577	\$0	\$297,577	\$0	\$0	0.0
Indirect cost assessment adjustment	8,795	0	8,795	0	0	0.0
<b>TOTAL</b>	<b>\$306,372</b>	<b>\$0</b>	<b>\$306,372</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$8,795</b>	<b>\$0</b>	<b>\$8,795</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0



**(3) CIVIL RIGHTS DIVISION**

This Division is the administrative arm of the Colorado Civil Rights Commission and enforces the laws that prohibit discrimination in employment, housing, and public accommodations on the basis of race, sex, national origin, ancestry, physical or mental disability, religion, color, or marital status.

CIVIL RIGHTS DIVISION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$2,102,529	\$1,174,064	\$0	\$432,054	\$496,411	27.2
<b>TOTAL</b>	<b>\$2,102,529</b>	<b>\$1,174,064</b>	<b>\$0</b>	<b>\$432,054</b>	<b>\$496,411</b>	<b>27.2</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$2,102,529	\$1,174,064	\$0	\$432,054	\$496,411	27.2
Annualize prior year salary survey	2,049	0	0	0	2,049	0.0
Indirect cost assessment adjustment	718	(119,525)	718	119,525	0	0.0
<b>TOTAL</b>	<b>\$2,105,296</b>	<b>\$1,054,539</b>	<b>\$718</b>	<b>\$551,579</b>	<b>\$498,460</b>	<b>27.2</b>
<b>INCREASE/(DECREASE)</b>	<b>\$2,767</b>	<b>(\$119,525)</b>	<b>\$718</b>	<b>\$119,525</b>	<b>\$2,049</b>	<b>0.0</b>
Percentage Change	0.1%	(10.2%)	0.0%	27.7%	0.4%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$2,105,296</b>	<b>\$1,054,539</b>	<b>\$718</b>	<b>\$551,579</b>	<b>\$498,460</b>	<b>27.2</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	(0.0)

**DECISION ITEMS - CIVIL RIGHTS DIVISION**

The Executive Branch did not submit any decision items for this division.

**LINE ITEM DETAIL - CIVIL RIGHTS DIVISION****PERSONAL SERVICES**

Division staff investigates and adjudicates charges of discriminatory practices, supervise complaint hearings, provide technical assistance with fair housing laws, provide general public information, and community intervention and education.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,948,806 total funds (\$970,081 General Fund, \$551,579 reappropriated funds, and \$427,146 federal funds), and 27.2 FTE.

*RECOMMENDATION:* **Staff recommends approval of this request.**

CIVIL RIGHTS DIVISION, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						

CIVIL RIGHTS DIVISION, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	REAPPROPRIATED FUNDS	FEDERAL FUNDS	FTE
HB 16-1405 (Long Bill)	\$1,946,757	\$1,089,606	\$0	\$432,054	\$425,097	27.2
<b>TOTAL</b>	<b>\$1,946,757</b>	<b>\$1,089,606</b>	<b>\$0</b>	<b>\$432,054</b>	<b>\$425,097</b>	<b>27.2</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$1,946,757	\$1,089,606	\$0	\$432,054	\$425,097	27.2
Annualize prior year salary survey	2,049	0	0	0	2,049	0.0
Indirect cost assessment adjustment	0	(119,525)	0	119,525	0	0.0
<b>TOTAL</b>	<b>\$1,948,806</b>	<b>\$970,081</b>	<b>\$0</b>	<b>\$551,579</b>	<b>\$427,146</b>	<b>27.2</b>
<b>INCREASE/(DECREASE)</b>	<b>\$2,049</b>	<b>(\$119,525)</b>	<b>\$0</b>	<b>\$119,525</b>	<b>\$2,049</b>	<b>0.0</b>
Percentage Change	0.1%	(11.0%)	0.0%	27.7%	0.5%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,948,806</b>	<b>\$970,081</b>	<b>\$0</b>	<b>\$551,579</b>	<b>\$427,146</b>	<b>27.2</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	(0.0)

OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

*REQUEST:* The Department requests an appropriation of \$105,460 total funds (\$62,284 General Fund and \$43,176 federal funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

CIVIL RIGHTS DIVISION, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	Reappropriated Funds	FEDERAL FUNDS	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$105,460	\$62,284	\$0	\$0	\$43,176	0.0
<b>TOTAL</b>	<b>\$105,460</b>	<b>\$62,284</b>	<b>\$0</b>	<b>\$0</b>	<b>\$43,176</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$105,460	\$62,284	\$0	\$0	\$43,176	0.0
<b>TOTAL</b>	<b>\$105,460</b>	<b>\$62,284</b>	<b>\$0</b>	<b>\$0</b>	<b>\$43,176</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$105,460</b>	<b>\$62,284</b>	<b>\$0</b>	<b>\$0</b>	<b>\$43,176</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

HEARINGS PURSUANT TO COMPLAINT

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert

witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

*REQUEST:* The Department requests an appropriation of \$18,000 total funds (\$17,000 General Fund and \$1,000 federal funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

CIVIL RIGHTS DIVISION, HEARINGS PURSUANT TO COMPLAINT						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	Reappropriated Funds	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$18,000	\$17,000	\$0	\$0	\$1,000	0.0
<b>TOTAL</b>	<b>\$18,000</b>	<b>\$17,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,000</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$18,000	\$17,000	\$0	\$0	\$1,000	0.0
<b>TOTAL</b>	<b>\$18,000</b>	<b>\$17,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,000</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$18,000</b>	<b>\$17,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,000</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### COMMISSION MEETING COSTS

The Colorado Civil Rights Commission holds meetings at various locations around the state, enabling members of the public to tell the Commission about their concerns regarding civil rights issues. This line receives General Funds and federal funds because meetings include federal and state civil rights issues. This line funds the per diem and travel expenses for Commissioners and various other meeting costs.

*STATUTORY AUTHORITY:* Pursuant to Sections 24-34-301 thru 801, 24-50-125.6, C.R.S.

*REQUEST:* The Department requests an appropriation of \$12,374 total funds (\$5,174 General Fund and \$7,200 federal funds), which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

CIVIL RIGHTS DIVISION, COMMISSION MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	Reappropriated Funds	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$12,374	\$5,174	\$0	\$0	\$7,200	0.0
<b>TOTAL</b>	<b>\$12,374</b>	<b>\$5,174</b>	<b>\$0</b>	<b>\$0</b>	<b>\$7,200</b>	<b>0.0</b>

CIVIL RIGHTS DIVISION, COMMISSION MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	Reappropriated Funds	FEDERAL FUNDS	FTE
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$12,374	\$5,174	\$0	\$0	\$7,200	0.0
<b>TOTAL</b>	<b>\$12,374</b>	<b>\$5,174</b>	<b>\$0</b>	<b>\$0</b>	<b>\$7,200</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$20,656 total funds (\$718 cash funds and \$19,938 federal funds).

*RECOMMENDATION:* **Staff recommends approval of this request.**

CIVIL RIGHTS DIVISION, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	FEDERAL FUNDS	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$19,938	\$0	\$0	\$0	\$19,938	0.0
<b>TOTAL</b>	<b>\$19,938</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$19,938</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$19,938	\$0	\$0	\$0	\$19,938	0.0
Indirect cost assessment adjustment	718	0	718	0	0	0.0
<b>TOTAL</b>	<b>\$20,656</b>	<b>\$0</b>	<b>\$718</b>	<b>\$0</b>	<b>\$19,938</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>						
Percentage Change	3.6%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(4) OFFICE OF CONSUMER COUNCIL**

The Office of Consumer Counsel represents the interests of residential, small business, and agricultural consumers in cases heard by the Public Utilities Commission (PUC) and federal agencies (such as the Federal Communications Commission and the Federal Energy Regulatory Commission). Cases involve proposed changes to electric, gas, and telecommunications utility rates, service, and general policies.

OFFICE OF CONSUMER COUNSEL						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$959,122	\$0	\$959,122	\$0	\$0	7.0
<b>TOTAL</b>	<b>\$959,122</b>	<b>\$0</b>	<b>\$959,122</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$959,122	\$0	\$959,122	\$0	\$0	7.0
Annualize prior year salary survey	1,781	0	1,781	0	0	0.0
Indirect cost assessment adjustment	1,649	0	1,649	0	0	0.0
<b>TOTAL</b>	<b>\$962,552</b>	<b>\$0</b>	<b>\$962,552</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.4%	0.0%	0.4%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$962,552</b>	<b>\$0</b>	<b>\$962,552</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**DECISION ITEMS - OFFICE OF CONSUMER COUNCIL**

The Executive Branch did not submit any decision items for this division.

**LINE ITEM DETAIL - OFFICE OF CONSUMER COUNCIL****PERSONAL SERVICES**

This line item provides funding for the payment of office staffing and contractual services in representing residential, small business, and agricultural consumers in proceedings before the PUC and in the courts on appeals arising from PUC decisions and in federal court and federal regulatory proceedings affecting Colorado utility consumers.

*STATUTORY AUTHORITY:* Pursuant to Sections 40-6.5-101 thru 109, C.R.S.

*REQUEST:* The Department requests an appropriation of \$853,040 cash funds and 7.0 FTE.

*RECOMMENDATION:* **Staff recommends approval of this request.**

OFFICE OF CONSUMER COUNSEL, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$851,259	\$0	\$851,259	\$0	\$0	7.0
<b>TOTAL</b>	<b>\$851,259</b>	<b>\$0</b>	<b>\$851,259</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$851,259	\$0	\$851,259	\$0	\$0	7.0
Annualize prior year salary survey	1,781	0	1,781	0	0	0.0
<b>TOTAL</b>	<b>\$853,040</b>	<b>\$0</b>	<b>\$853,040</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$1,781</b>	<b>\$0</b>	<b>\$1,781</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.2%	0.0%	0.2%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$853,040</b>	<b>\$0</b>	<b>\$853,040</b>	<b>\$0</b>	<b>\$0</b>	<b>7.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 40-6.5-101 thru 109, C.R.S.

*REQUEST:* The Department requests an appropriation of \$55,787 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

OFFICE OF CONSUMER COUNSEL, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$55,787	\$0	\$55,787	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$55,787	\$0	\$55,787	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$55,787</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$53,725 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

OFFICE OF CONSUMER COUNSEL, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$52,076	\$0	\$52,076	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$52,076</b>	<b>\$0</b>	<b>\$52,076</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$52,076	\$0	\$52,076	\$0	\$0	0.0
Indirect cost assessment adjustment	1,649	0	1,649	0	0	0.0
<b>TOTAL</b>	<b>\$53,725</b>	<b>\$0</b>	<b>\$53,725</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$1,649</b>	<b>\$0</b>	<b>\$1,649</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.2%	0.0%	3.2%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$53,725</b>	<b>\$0</b>	<b>\$53,725</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(5) DIVISION OF FINANCIAL SERVICES**

This Division regulates state-chartered credit unions, savings and loan associations and life-care institutions, which provide care for the duration of a person's life in return for the payment of an initial fee. The Division is entirely cash funded by the Division of Financial Services Cash Fund.

DIVISION OF FINANCIAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$1,664,612	\$0	\$1,664,612	\$0	\$0	15.6
<b>TOTAL</b>	<b>\$1,664,612</b>	<b>\$0</b>	<b>\$1,664,612</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$1,664,612	\$0	\$1,664,612	\$0	\$0	15.6
Indirect cost assessment adjustment	3,430	0	3,430	0	0	0.0
<b>TOTAL</b>	<b>\$1,668,042</b>	<b>\$0</b>	<b>\$1,668,042</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
<b>INCREASE/(DECREASE)</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.2%	0.0%	0.2%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,668,042</b>	<b>\$0</b>	<b>\$1,668,042</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**DECISION ITEMS - DIVISION OF FINANCIAL SERVICES**

The Executive Branch did not submit any decision items for this division.

**LINE ITEM DETAIL - DIVISION OF FINANCIAL SERVICES****PERSONAL SERVICES**

Division staff enforce the Public Deposit Protection Act and conduct examinations to ensure financial stability and compliance with state and federal laws. When appropriate, division staff initiate enforcement actions in order to bring an institution into compliance with regulatory laws.

*STATUTORY AUTHORITY:* Pursuant to Sections 5-1-101, 11-25-101, 11-30-101 and 103, 11-40-101, 11-47-101, 11-48-101, 12-13-101, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,402,636 cash funds and 15.6 FTE, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**



DIVISION OF FINANCIAL SERVICES, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$1,402,636	\$0	\$1,402,636	\$0	\$0	15.6
<b>TOTAL</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$1,402,636	\$0	\$1,402,636	\$0	\$0	15.6
<b>TOTAL</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$1,402,636</b>	<b>\$0</b>	<b>\$0</b>	<b>15.6</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 40-6.5-101 thru 109, C.R.S.

*REQUEST:* The Department requests an appropriation of \$145,921 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF FINANCIAL SERVICES, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$145,921	\$0	\$145,921	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$145,921	\$0	\$145,921	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$145,921</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$119,485 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF FINANCIAL SERVICES, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$116,055	\$0	\$116,055	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$116,055</b>	<b>\$0</b>	<b>\$116,055</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$116,055	\$0	\$116,055	\$0	\$0	0.0
Indirect cost assessment adjustment	3,430	0	3,430	0	0	0.0
<b>TOTAL</b>	<b>\$119,485</b>	<b>\$0</b>	<b>\$119,485</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$3,430</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$119,485</b>	<b>\$0</b>	<b>\$119,485</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

## (6) DIVISION OF INSURANCE

This Division regulates insurance entities and insurance producers throughout Colorado. If the Division of Insurance Cash Fund (Fund) does not collect enough revenue to fully fund the Division's appropriated expenditures, the Division is authorized by Section 10-3-209 (4), C.R.S. to divert up to 5.0 percent of insurance premium tax revenues to the Fund from the General Fund.

DIVISION OF INSURANCE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	FEDERAL FUNDS	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$7,982,598	\$0	\$7,385,103	\$0	\$597,495	85.2
<b>TOTAL</b>	<b>\$7,982,598</b>	<b>\$0</b>	<b>\$7,385,103</b>	<b>\$0</b>	<b>\$597,495</b>	<b>85.2</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$7,982,598	\$0	\$7,385,103	\$0	\$597,495	85.2
R1 Reorganization savings request	(30,000)	0	(30,000)	0	0	0.0
JBC staff initiated change for Div of Insurance	(50,000)	0	(50,000)	0	0	0.0
Indirect cost assessment adjustment	21,163	0	18,293	0	2,870	0.0
Annualize prior year salary survey	13,017	0	13,017	0	0	0.0
<b>TOTAL</b>	<b>\$7,936,778</b>	<b>\$0</b>	<b>\$7,336,413</b>	<b>\$0</b>	<b>\$600,365</b>	<b>85.2</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$45,820)</b>	<b>\$0</b>	<b>(\$48,690)</b>	<b>\$0</b>	<b>\$2,870</b>	<b>0.0</b>
Percentage Change	(0.6%)	0.0%	(0.7%)	0.0%	0.5%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$50,000	\$0	\$50,000	\$0	\$0	0.0

### DECISION ITEMS - DIVISION OF INSURANCE

The decision item for this Division is contained in the “Decision Items Affecting Multiple Divisions” section above. The recommendation includes a decrease of \$30,000 cash funds. See Staff's write-up for the “R1 Reorganization savings request” decision item at the beginning of the Department for more information.

#### → STAFF-INITIATED: CHANGE FOR DIVISION OF INSURANCE

**Staff recommends a decrease of \$50,000 cash funds for the Out-of-State Travel Expenses line item.** This line item has continuously reverted spending authority. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.

### LINE ITEM DETAIL - DIVISION OF INSURANCE

#### PERSONAL SERVICES

There are two units that work within the division: the financial regulation unit and the consumer affairs unit. The financial regulation unit works primarily with insurance companies and agents,

conducting financial exams and managing corporate and financial affairs. The consumer affairs unit works mainly with consumers, taking consumer complaints, and conducting investigations and insurance market analysis.

*STATUTORY AUTHORITY:* Pursuant to Title 10, C.R.S.

*REQUEST:* The Department requests an appropriation of \$6,272,154 cash funds and 83.2 FTE.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF INSURANCE, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$6,289,137	\$0	\$6,289,137	\$0	\$0	83.2
<b>TOTAL</b>	<b>\$6,289,137</b>	<b>\$0</b>	<b>\$6,289,137</b>	<b>\$0</b>	<b>\$0</b>	<b>83.2</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$6,289,137	\$0	\$6,289,137	\$0	\$0	83.2
Annualize prior year salary survey	13,017	0	13,017	0	0	0.0
R1 Reorganization savings request	(30,000)	0	(30,000)	0	0	0.0
<b>TOTAL</b>	<b>\$6,272,154</b>	<b>\$0</b>	<b>\$6,272,154</b>	<b>\$0</b>	<b>\$0</b>	<b>83.2</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$16,983)</b>	<b>\$0</b>	<b>(\$16,983)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(0.3%)	0.0%	(0.3%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$6,272,154</b>	<b>\$0</b>	<b>\$6,272,154</b>	<b>\$0</b>	<b>\$0</b>	<b>83.2</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Title 10, C.R.S.

*REQUEST:* The Department requests an appropriation of \$291,716 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF INSURANCE, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$291,716	\$0	\$291,716	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$291,716	\$0	\$291,716	\$0	\$0	0.0

DIVISION OF INSURANCE, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>TOTAL</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$291,716</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OUT-OF-STATE TRAVEL EXPENSES

This line item funds out-of-state travel expenses incurred when Division staff is required to do market conduct examinations. Funds in this line item are reimbursements paid by insurance companies and are *continuously appropriated* pursuant to Section 10-1-108 (9), C.R.S.

*STATUTORY AUTHORITY:* Pursuant to Section 10-1-108, C.R.S.

*REQUEST:* The Department requests an appropriation of \$100,000 cash funds, which represents a continuation of level funding.

***RECOMMENDATION:* Staff recommends an appropriation of \$50,000 cash funds. See Staff's write-up for the "JBC staff initiated change for Division of Insurance" at the beginning of this Division.**

DIVISION OF INSURANCE, OUT-OF-STATE TRAVEL EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$100,000	\$0	\$100,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$100,000</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$100,000	\$0	\$100,000	\$0	\$0	0.0
JBC staff initiated change for Div of Insurance	(50,000)	0	(50,000)	0	0	0.0
<b>TOTAL</b>	<b>\$50,000</b>	<b>\$0</b>	<b>\$50,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$50,000)</b>	<b>\$0</b>	<b>(\$50,000)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(50.0%)	0.0%	(50.0%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$100,000</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$50,000	\$0	\$50,000	\$0	\$0	0.0

### SENIOR HEALTH COUNSELING PROGRAM

This federally funded program provides senior citizens with Medicare information, and the appropriation is contingent on the availability of federal money from the Centers for Medicare and

Medicaid Services and the U.S. Administration on Aging. Annual spending fluctuations are the result of the availability of funds.

*STATUTORY AUTHORITY:* Title 10, C.R.S.

*REQUEST:* The Department requests an appropriation of \$517,794 federal funds and 2.0 FTE, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF INSURANCE, SENIOR HEALTH COUNSELING PROGRAM						
	TOTAL FUNDS	GENERAL FUND	Cash Funds	Reappropriated Funds	FEDERAL FUNDS	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$517,794	\$0	\$0	\$0	\$517,794	2.0
<b>TOTAL</b>	<b>\$517,794</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$517,794</b>	<b>2.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$517,794	\$0	\$0	\$0	\$517,794	2.0
<b>TOTAL</b>	<b>\$517,794</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$517,794</b>	<b>2.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$517,794</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$517,794</b>	<b>2.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**TRANSFER TO CAPCO ADMINISTRATION**

This line provides the cash funds for the administration of the capital company (CAPCO) incentives program in the Governor's Office. The Office of Economic Development (OED) certifies CAPCOs that are qualified to offer assistance to business enterprises that create jobs in the state. Insurance companies that make an investment of certified capital in an OED-certified CAPCO can receive a tax credit against premium taxes owed. This line item is set in the CAPCO Administration line in the Governor's Office budget.

*STATUTORY AUTHORITY:* Title 10, C.R.S.

*REQUEST:* The Department requests an appropriation of \$85,291 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF INSURANCE, TRANSFER TO CAPCO ADMINISTRATION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$85,291	\$0	\$85,291	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$85,291</b>	<b>\$0</b>	<b>\$85,291</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>

DIVISION OF INSURANCE, TRANSFER TO CAPCO ADMINISTRATION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$85,291	\$0	\$85,291	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$85,291</b>	<b>\$0</b>	<b>\$85,291</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$719,823 total funds (\$637,252 cash funds and \$82,571 federal funds).

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF INSURANCE, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	FEDERAL FUNDS	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$698,660	\$0	\$618,959	\$0	\$79,701	0.0
<b>TOTAL</b>	<b>\$698,660</b>	<b>\$0</b>	<b>\$618,959</b>	<b>\$0</b>	<b>\$79,701</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$698,660	\$0	\$618,959	\$0	\$79,701	0.0
Indirect cost assessment adjustment	21,163	0	18,293	0	2,870	0.0
<b>TOTAL</b>	<b>\$719,823</b>	<b>\$0</b>	<b>\$637,252</b>	<b>\$0</b>	<b>\$82,571</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$21,163</b>	<b>\$0</b>	<b>\$18,293</b>	<b>\$0</b>	<b>\$2,870</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	3.6%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(7) PUBLIC UTILITIES COMMISSION**

The three-member Public Utilities Commission (PUC) regulates the rates and services of fixed and transportation utilities. The PUC administers the Colorado Telecommunications High Cost Program and the Disabled Telephone Users Program.

PUBLIC UTILITIES COMMISSION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$14,065,751	\$0	\$14,065,751	\$0	\$0	97.3
Other legislation	802,778	0	562,778	240,000	0	0.0
<b>TOTAL</b>	<b>\$14,868,529</b>	<b>\$0</b>	<b>\$14,628,529</b>	<b>\$240,000</b>	<b>\$0</b>	<b>97.3</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$14,868,529	\$0	\$14,628,529	\$240,000	\$0	97.3
JBC staff initiated changes PUC TUDF	(600,000)	0	(600,000)	0	0	0.0
Annualize SB 16-087 (Highway-rail Crossing Signalization Fund)	(230,400)	0	(235,200)	4,800	0	0.0
JBC staff initiated change to remove annualization of SB 16-087	(4,800)	0	240,000	(244,800)	0	0.0
JBC staff initiated change to move Highway-rail Crossing Signalization	0	0	0	0	0	0.0
JBC staff initiated change for PUC FTE	0	0	0	0	0	(6.0)
Annualize prior year legislation	99,441	0	99,441	0	0	0.0
Indirect cost assessment adjustment	21,393	0	21,393	0	0	0.0
Annualize prior year salary survey	2,491	0	2,491	0	0	0.0
<b>TOTAL</b>	<b>\$14,156,654</b>	<b>\$0</b>	<b>\$14,156,654</b>	<b>\$0</b>	<b>\$0</b>	<b>91.3</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$711,875)</b>	<b>\$0</b>	<b>(\$471,875)</b>	<b>(\$240,000)</b>	<b>\$0</b>	<b>(6.0)</b>
Percentage Change	(4.8%)	0.0%	(3.2%)	(100.0%)	0.0%	(6.2%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$14,756,654</b>	<b>\$0</b>	<b>\$14,511,854</b>	<b>\$244,800</b>	<b>\$0</b>	<b>97.3</b>
Request Above/(Below) Recommendation	\$600,000	\$0	\$355,200	\$244,800	\$0	6.0

**DECISION ITEMS - PUBLIC UTILITY COMMISSION****→ STAFF-INITIATED: REDUCTION IN FTE**

**Staff recommends a decrease of 6.0 FTE for the Personal Services line item.** This line item has continuously reverted FTE. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.

**→ STAFF-INITIATED: REDUCTION IN CASH FUND SPENDING AUTHORITY**

**Staff recommends a decrease of \$600,000 cash funds for the Disabled Telephone Users Fund Payments line item.** This line item has continuously reverted spending authority. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.



## → STAFF-INITIATED: TECHNICAL CHANGE FOR THE HIGHWAY-RAIL CROSSING SIGNALIZATION FUND AND LINE ITEM CREATION

**Staff recommends a technical change creating a new line item for the Highway-rail Crossing Signalization Fund.** Currently, the funds for this are contained in the Operating Expenses line item. This creates a lack of transparency on expenditures for operating costs and expenditures for the signalization fund. The two sections quoted below deal with the fund and are for informational purposes.

In Section 40-4-106 (2) (b), C.R.S., “...In order to compensate for the use of the crossings by the public generally, the commission shall also order that such part of the cost of installing, reconstructing, or improving the signals or devices as will not be paid by the railroad corporation be divided between the highway-rail crossing signalization fund and the city, town, city and county, county, or other political subdivision in which the crossing is located, and the commission shall fix in each case the amount to be paid from the highway-rail crossing signalization fund and the amount to be paid by the city, town, city and county, county, or other political subdivision. Any order of the commission under this section for the payment of any part of any such costs from the highway-rail crossing signalization fund is authority for the state treasurer to pay out of said fund to the person, firm, or corporation entitled thereto under the commission's order the amount so determined to be paid from said fund.”

In Section 40-29-116 (2), C.R.S., “For the 2016-17 fiscal year, the sum of two hundred forty thousand dollars is appropriated from the highway users tax fund created in section 43-4-201 (1) (a), C.R.S., to the highway-rail crossing signalization fund as authorized by section 43-4-201 (3) (a) (VI), C.R.S. Pursuant to section 40-2-114 (1) (a) (II), for the 2017-18 fiscal year and for each fiscal year thereafter, the lesser of three percent of the fees collected under section 40-2-113 or an amount of the fees equal to two hundred forty thousand dollars plus a cumulative inflation adjustment of two percent for each fiscal year beginning with the 2017-18 fiscal year is credited to the highway-rail crossing signalization fund. Notwithstanding section 24-36-114 (1), C.R.S., any interest earned on the deposit and investment of moneys in the highway-rail crossing signalization fund shall remain in the fund and shall not be credited or transferred to the general fund or any other fund. Such earned interest moneys are hereby continuously appropriated to the public utilities commission for use for the purposes of the highway-rail crossing signalization fund.”

### LINE ITEM DETAIL - PUBLIC UTILITY COMMISSION

#### PERSONAL SERVICES

This line item provides funding for the payment of office staffing and contractual services.

*STATUTORY AUTHORITY:* Title 40, C.R.S.

*REQUEST:* The Department requests an appropriation of \$9,497,177 cash funds and 97.3 FTE.

**RECOMMENDATION:** Staff recommends an appropriation of \$9,497,177 cash funds and 91.3 FTE. See Staff’s write-up for the “JBC staff initiated reduction in FTE” at the beginning of this Division.

PUBLIC UTILITIES COMMISSION, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$9,494,686	\$0	\$9,494,686	\$0	\$0	97.3
<b>TOTAL</b>	<b>\$9,494,686</b>	<b>\$0</b>	<b>\$9,494,686</b>	<b>\$0</b>	<b>\$0</b>	<b>97.3</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$9,494,686	\$0	\$9,494,686	\$0	\$0	97.3
JBC staff initiated change for PUC FTE	0	0	0	0	0	(6.0)
Annualize prior year salary survey	2,491	0	2,491	0	0	0.0
<b>TOTAL</b>	<b>\$9,497,177</b>	<b>\$0</b>	<b>\$9,497,177</b>	<b>\$0</b>	<b>\$0</b>	<b>91.3</b>
<b>INCREASE/(DECREASE)</b>	<b>\$2,491</b>	<b>\$0</b>	<b>\$2,491</b>	<b>\$0</b>	<b>\$0</b>	<b>(6.0)</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	(6.2%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$9,497,177</b>	<b>\$0</b>	<b>\$9,497,177</b>	<b>\$0</b>	<b>\$0</b>	<b>97.3</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	6.0

**OPERATING EXPENSES**

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Title 40, C.R.S.

*REQUEST:* The Department requests an appropriation of \$839,273 total funds (\$594,473 cash funds and \$244,800 reappropriated funds).

**RECOMMENDATION:** Staff recommends an appropriation of \$594,473 cash funds. See Staff’s write-up for the “JBC staff initiated technical change for the Highway-rail Crossing Signalization Fund and line item creation” at the beginning of this Division. This line item also contains \$150,000 cash funds for Map-21 that are only accessible if the Department receives federal matching funds.

PUBLIC UTILITIES COMMISSION, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
Other legislation	\$630,000	\$0	\$390,000	\$240,000	\$0	0.0
HB 16-1405 (Long Bill)	\$444,473	\$0	\$444,473	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$1,074,473</b>	<b>\$0</b>	<b>\$834,473</b>	<b>\$240,000</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$1,074,473	\$0	\$834,473	\$240,000	\$0	0.0
JBC staff initiated change to move Highway-rail Crossing Signalization	(240,000)	0	(240,000)	0	0	0.0

PUBLIC UTILITIES COMMISSION, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
Annualize SB 16-087 (Highway-rail Crossing Signalization Fund)	(235,200)	0	(240,000)	4,800	0	0.0
JBC staff initiated change to remove annualization of SB 16-087	(4,800)	0	240,000	(244,800)	0	0.0
<b>TOTAL</b>	<b>\$594,473</b>	<b>\$0</b>	<b>\$594,473</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$480,000)</b>	<b>\$0</b>	<b>(\$240,000)</b>	<b>(\$240,000)</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(44.7%)	0.0%	(28.8%)	(100.0%)	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$839,273</b>	<b>\$0</b>	<b>\$594,473</b>	<b>\$244,800</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$244,800	\$0	\$0	\$244,800	\$0	0.0

### EXPERT TESTIMONY

This line pays for expert testimony during PUC hearings and for the cost of outside contract services for expertise not available from PUC staff.

*STATUTORY AUTHORITY:* Title 40, C.R.S.

*REQUEST:* The Department requests an appropriation of \$25,000 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

PUBLIC UTILITIES COMMISSION, EXPERT TESTIMONY						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$25,000	\$0	\$25,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$25,000	\$0	\$25,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$25,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### DISABLED TELEPHONE USERS FUND PAYMENTS

Revenue for the Disabled Telephone Users Fund is from fees on land lines pursuant to Section 40-17-104 (1), C.R.S. Money in the fund is *continuously appropriated* to pay the cost of a contract that provides telecommunications relay services for disabled individuals.

*STATUTORY AUTHORITY:* Pursuant to Section 40-17-103 (3), C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,900,542 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends an appropriation of \$1,300,542 cash funds.** See Staff’s write-up for the “JBC staff initiated reduction in cash fund spending authority” at the beginning of this Division.

PUBLIC UTILITIES COMMISSION, DISABLED TELEPHONE USERS FUND PAYMENTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$1,900,542	\$0	\$1,900,542	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$1,900,542</b>	<b>\$0</b>	<b>\$1,900,542</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$1,900,542	\$0	\$1,900,542	\$0	\$0	0.0
JBC staff initiated changes PUC TUDF	(600,000)	0	(600,000)	0	0	0.0
<b>TOTAL</b>	<b>\$1,300,542</b>	<b>\$0</b>	<b>\$1,300,542</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$600,000)</b>	<b>\$0</b>	<b>(\$600,000)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(31.6%)	0.0%	(31.6%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$600,000	\$0	\$600,000	\$0	\$0	0.0

**TRANSFER TO READING SERVICES FOR THE BLIND CASH FUND**

Funds are appropriated to this line from the Disabled Telephone Users Fund, and reappropriated to the Department of Education for allocation to privately operated reading services for the blind.

*STATUTORY AUTHORITY:* Pursuant to Section 40-17-104, C.R.S.

*REQUEST:* The Department requests an appropriation of \$360,000 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

PUBLIC UTILITIES COMMISSION, TRANSFER TO READING SERVICES FOR THE BLIND CASH FUND						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$360,000	\$0	\$360,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$360,000	\$0	\$360,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>

PUBLIC UTILITIES COMMISSION, TRANSFER TO READING SERVICES FOR THE BLIND CASH FUND						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$360,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

COMMISSION FOR THE DEAF AND HARD OF HEARING CASH FUND

Funds are appropriated to this line from the Disabled Telephone User Fund, and reappropriated to the Department of Human Services for use by the Commission for the Deaf and Hard of Hearing.

*STATUTORY AUTHORITY:* Pursuant to Section 40-17-104, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,285,037 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

PUBLIC UTILITIES COMMISSION, COMMISSION FOR THE DEAF AND HARD OF HEARING CASH FUND						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$1,012,818	\$0	\$1,012,818	\$0	\$0	0.0
Other legislation	\$172,778	\$0	\$172,778	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$1,185,596</b>	<b>\$0</b>	<b>\$1,185,596</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$1,185,596	\$0	\$1,185,596	\$0	\$0	0.0
Annualize prior year legislation	99,441	0	99,441	0	0	0.0
<b>TOTAL</b>	<b>\$1,285,037</b>	<b>\$0</b>	<b>\$1,285,037</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$99,441</b>	<b>\$0</b>	<b>\$99,441</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	8.4%	0.0%	8.4%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,285,037</b>	<b>\$0</b>	<b>\$1,285,037</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

COLORADO BUREAU OF INVESTIGATION BACKGROUND CHECKS PASS-THROUGH

This line item was added to the FY 2008-09 Long Bill to account for reappropriated funds in the Department of Public Safety, Colorado Bureau of Investigation, for processing of fingerprint-based criminal history record checks of drivers of certain motor vehicle carriers.

*STATUTORY AUTHORITY:* Title 40, C.R.S.

*REQUEST:* The Department requests an appropriation of \$104,377 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

PUBLIC UTILITIES COMMISSION, COLORADO BUREAU OF INVESTIGATION BACKGROUND CHECKS PASS-THROUGH						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$104,377	\$0	\$104,377	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$104,377	\$0	\$104,377	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$104,377</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### HIGHWAY-RAIL CROSSING SIGNALIZATION FUND

This line item exists to provide spending authority to cover expenditures made from annual statutory transfers to the Highway Rail Crossing Signalization Fund for the purposes set forth in Section 40-29-116, C.R.S. The fund exists to provide payment of costs of installing, reconstructing, and improving automatic and other safety appliance signals or devices at crossings at grade of public highways or roads over the tracks of any railroad or street railway corporation in this state.

*STATUTORY AUTHORITY:* Pursuant to Sections 40-4-106 and 40-29-116, C.R.S.

*REQUEST:* The Department requests an appropriation of \$0 total funds.

*RECOMMENDATION:* **Staff recommends an appropriation of \$244,800 cash funds.** See Staff's write-up for the "JBC staff initiated technical change for the Highway-rail Crossing Signalization Fund and line item creation" at the beginning of this Division.

PUBLIC UTILITIES COMMISSION, HIGHWAY-RAIL CROSSING SIGNALIZATION FUND						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$0	\$0	\$0	\$0	\$0	0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
JBC staff initiated change to move Highway-rail Crossing Signalization	240,000	0	240,000	0	0	0.0

PUBLIC UTILITIES COMMISSION, HIGHWAY-RAIL CROSSING SIGNALIZATION FUND						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
Annualize SB 16-087 (Highway-rail Crossing Signalization Fund)	4,800	0	4,800	0	0	0.0
<b>TOTAL</b>	<b>\$244,800</b>	<b>\$0</b>	<b>\$244,800</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$244,800</b>	<b>\$0</b>	<b>\$244,800</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Request Above/(Below) Recommendation	(\$244,800)	\$0	(\$244,800)	\$0	\$0	0.0

INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$745,248 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

PUBLIC UTILITIES COMMISSION, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$723,855	\$0	\$723,855	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$723,855</b>	<b>\$0</b>	<b>\$723,855</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$723,855	\$0	\$723,855	\$0	\$0	0.0
Indirect cost assessment adjustment	21,393	0	21,393	0	0	0.0
<b>TOTAL</b>	<b>\$745,248</b>	<b>\$0</b>	<b>\$745,248</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$21,393</b>	<b>\$0</b>	<b>\$21,393</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$745,248</b>	<b>\$0</b>	<b>\$745,248</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(8) DIVISION OF REAL ESTATE**

The Division of Real Estate licenses real estate agents, appraisers, and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement certification programs.

DIVISION OF REAL ESTATE						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$5,114,194	\$0	\$5,114,194	\$0	\$0	55.9
<b>TOTAL</b>	<b>\$5,114,194</b>	<b>\$0</b>	<b>\$5,114,194</b>	<b>\$0</b>	<b>\$0</b>	<b>55.9</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$5,114,194	\$0	\$5,114,194	\$0	\$0	55.9
JBC staff initiated change Real Estate FTE	0	0	0	0	0	(3.0)
JBC staff initiated change Real Estate Operating	(40,000)	0	(40,000)	0	0	0.0
Indirect cost assessment adjustment	12,291	0	12,291	0	0	0.0
Annualize prior year salary survey	2,598	0	2,598	0	0	0.0
<b>TOTAL</b>	<b>\$5,089,083</b>	<b>\$0</b>	<b>\$5,089,083</b>	<b>\$0</b>	<b>\$0</b>	<b>52.9</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$25,111)</b>	<b>\$0</b>	<b>(\$25,111)</b>	<b>\$0</b>	<b>\$0</b>	<b>(3.0)</b>
Percentage Change	(0.5%)	0.0%	(0.5%)	0.0%	0.0%	(5.4%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$40,000	\$0	\$40,000	\$0	\$0	3.0

**DECISION ITEMS - DIVISION OF REAL ESTATE****→ STAFF-INITIATED: REDUCTION IN FTE**

**Staff recommends a decrease of 3.0 FTE for the Personal Services line item.** This line item has continuously reverted FTE. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.

**→ STAFF-INITIATED: REDUCTION IN CASH FUND SPENDING AUTHORITY**

**Staff recommends a decrease of \$40,000 cash funds for the Operating Expenses line item.** This line item has continuously reverted spending authority. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.

**LINE ITEM DETAIL - DIVISION OF REAL ESTATE****PERSONAL SERVICES**



This line item provides funding for the payment of office staffing and contractual services.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$4,014,154 cash funds and 55.9 FTE.

*RECOMMENDATION:* **Staff recommends an appropriation of \$4,014,154 cash funds and 52.9 FTE.** See Staff’s write-up for the “JBC staff initiated reduction of FTE” at the beginning of this Division.

DIVISION OF REAL ESTATE, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$4,011,556	\$0	\$4,011,556	\$0	\$0	55.9
<b>TOTAL</b>	<b>\$4,011,556</b>	<b>\$0</b>	<b>\$4,011,556</b>	<b>\$0</b>	<b>\$0</b>	<b>55.9</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$4,011,556	\$0	\$4,011,556	\$0	\$0	55.9
Annualize prior year salary survey	2,598	0	2,598	0	0	0.0
JBC staff initiated change Real Estate FTE	0	0	0	0	0	(3.0)
<b>TOTAL</b>	<b>\$4,014,154</b>	<b>\$0</b>	<b>\$4,014,154</b>	<b>\$0</b>	<b>\$0</b>	<b>52.9</b>
<b>INCREASE/(DECREASE)</b>	<b>\$2,598</b>	<b>\$0</b>	<b>\$2,598</b>	<b>\$0</b>	<b>\$0</b>	<b>(3.0)</b>
Percentage Change	0.1%	0.0%	0.1%	0.0%	0.0%	(5.4%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	3.0

OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$244,557 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends an appropriation of \$204,557 cash funds.** See Staff’s write-up for the “JBC staff initiated reduction in cash fund spending authority” at the beginning of this Division.

DIVISION OF REAL ESTATE, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$244,557	\$0	\$244,557	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$244,557</b>	<b>\$0</b>	<b>\$244,557</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$244,557	\$0	\$244,557	\$0	\$0	0.0
JBC staff initiated change Real Estate Operating	(40,000)	0	(40,000)	0	0	0.0
<b>TOTAL</b>	<b>\$204,557</b>	<b>\$0</b>	<b>\$204,557</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$40,000)</b>	<b>\$0</b>	<b>(\$40,000)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(16.4%)	0.0%	(16.4%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$244,557</b>	<b>\$0</b>	<b>\$244,557</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$40,000	\$0	\$40,000	\$0	\$0	0.0

### COMMISSION MEETING COSTS

This line item funds the per diem, travel, and miscellaneous expenses for Real Estate Commission members when they attend Commission meetings.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$38,836 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF REAL ESTATE, COMMISSION MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$38,836	\$0	\$38,836	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$38,836	\$0	\$38,836	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$38,836</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

HEARINGS PURSUANT TO COMPLAINT

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S

*REQUEST:* The Department requests an appropriation of \$4,000 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF REAL ESTATE, HEARINGS PURSUANT TO COMPLAINT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$4,000	\$0	\$4,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$4,000</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$4,000	\$0	\$4,000	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$4,000</b>	<b>\$0</b>	<b>\$4,000</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

MORTGAGE BROKER CONSUMER PROTECTION

This line is used to pay the costs for the investigation and prosecution of mortgage brokers, and mortgage fraud by the Attorney General's Office. Funds are appropriated to the Division and reappropriated to the Department of Law, where the line item is set.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-61-101, 12-61-103.6, 12-61-301, 12-61-401, 12-61-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$399,382 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF REAL ESTATE, MORTGAGE BROKER CONSUMER PROTECTION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$399,382	\$0	\$399,382	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$399,382	\$0	\$399,382	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$399,382</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$428,154 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF REAL ESTATE, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$415,863	\$0	\$415,863	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$415,863</b>	<b>\$0</b>	<b>\$415,863</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$415,863	\$0	\$415,863	\$0	\$0	0.0
Indirect cost assessment adjustment	12,291	0	12,291	0	0	0.0
<b>TOTAL</b>	<b>\$428,154</b>	<b>\$0</b>	<b>\$428,154</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$12,291</b>	<b>\$0</b>	<b>\$12,291</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$428,154</b>	<b>\$0</b>	<b>\$428,154</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(9) DIVISION OF PROFESSIONS AND OCCUPATIONS**

This Division, formerly the Division of Registrations, regulates over 300,000 licensees in more than fifty professions and occupations. The Division is funded by fees paid by regulated professionals and licensees.

DIVISION OF PROFESSIONS AND OCCUPATIONS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$17,944,371	\$0	\$17,350,920	\$593,451	\$0	203.8
Other legislation	344,603	0	344,603	0	0	2.7
<b>TOTAL</b>	<b>\$18,288,974</b>	<b>\$0</b>	<b>\$17,695,523</b>	<b>\$593,451</b>	<b>\$0</b>	<b>206.5</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$18,288,974	\$0	\$17,695,523	\$593,451	\$0	206.5
R3 Improve enforcement of medical marijuana grey market	104,225	0	104,225	0	0	1.0
JBC staff initiated change P&O FTE	0	0	0	0	0	(10.0)
JBC staff initiated technical changes for FTE	0	0	0	0	0	1.0
Annualize prior year legislation	60,559	0	60,559	0	0	1.3
Indirect cost assessment adjustment	44,809	0	44,809	0	0	0.0
Annualize prior year salary survey	31,505	0	31,505	0	0	0.0
<b>TOTAL</b>	<b>\$18,530,072</b>	<b>\$0</b>	<b>\$17,936,621</b>	<b>\$593,451</b>	<b>\$0</b>	<b>199.8</b>
<b>INCREASE/(DECREASE)</b>	<b>\$241,098</b>		<b>\$241,098</b>	<b>\$0</b>	<b>\$0</b>	<b>(6.7)</b>
Percentage Change	1.3%	0.0%	1.4%	0.0%	0.0%	(3.2%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>						
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	9.0

**DECISION ITEMS - DIVISION OF PROFESSIONS AND OCCUPATIONS****→ STAFF-INITIATED: REDUCTION OF FTE**

**Staff recommends a decrease of 9.0 FTE for the Personal Services line item.** This line item has continuously reverted FTE. Staff analysis determined that this line item could be reduced to the recommended level without affecting the Divisions ability to perform required functions. Staff confirmed this analysis with the Department.

**→ STAFF-INITIATED: TECHNICAL CORRECTION FOR FTE ALLOCATION FROM H.B. 16-1047**

**Staff recommends a technical correction resulting in an increase of 1.0 FTE to the Division of Professions and Occupations, an increase of 0.2 FTE to the Department of Law, and a decrease of 0.2 FTE to the Department of Public Safety. Staff also recommends permission for the Department of Law and Department of Public Safety to make these technical corrections in the Long Bill.**

A technical error allocated incorrect FTE from H.B. 16-1047 (Interstate Medical Licensure Compact) to the Department of Regulatory Agencies, Department of Law, and Department of Public Safety. The bill correctly appropriates cash funds as prescribed by the Final Fiscal Note. However, during the drafting of the appropriation clause staff incorrectly transcribed the FTE, resulting in a 1.0 FTE deficiency in the bill’s appropriation clause. The table below details the inaccuracy and needed FTE corrections.

FTE Discrepancy and Correction for H.B. 16-1047			
Department	Final Fiscal Note	Appropriation Clause	Correction
Regulatory Agencies	1.3	0.3	1.0
Law	0.3	0.1	0.2
Public Safety	0.1	0.3	(0.2)
<b>Total</b>	<b>1.7</b>	<b>0.7</b>	<b>1.0</b>

This error does not have any programmatic impact, as the General Assembly does not technically appropriate FTE. Since the cash funds were correctly appropriated, the effected departments have sufficient funding to implement H.B. 16-1047.

LINE ITEM DETAIL - DIVISION OF PROFESSIONS AND OCCUPATIONS

PERSONAL SERVICES

This line item provides funding for the payment of office staffing and contractual services.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$14,697,086 total funds (\$14,103,635 cash funds and \$593,451 reappropriated funds) and 203.8 FTE

*RECOMMENDATION:* **Staff recommends an appropriation of \$14,697,086 total funds and 194.8 FTE.** See Staff’s write-up for the “JBC staff initiated reduction of FTE” at the beginning of this Division. The recommendation includes an increase of \$98,572 cash funds from the Marijuana Tax Cash Fund and 1.0 FTE. See Staff’s write-up for the “R3 Improve Enforcement of Medical Marijuana Grey Market” decision item at the beginning of the Department for more information.

DIVISION OF PROFESSIONS AND OCCUPATIONS, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$14,228,815	\$0	\$13,635,364	\$593,451	\$0	198.8
Other legislation	\$258,426	\$0	\$258,426	\$0	\$0	2.7
<b>TOTAL</b>	<b>\$14,487,241</b>	<b>\$0</b>	<b>\$13,893,790</b>	<b>\$593,451</b>	<b>\$0</b>	<b>201.5</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$14,487,241	\$0	\$13,893,790	\$593,451	\$0	201.5

DIVISION OF PROFESSIONS AND OCCUPATIONS, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	REAPPROPRIATED FUNDS	Federal Funds	FTE
R3 Improve enforcement of medical marijuana grey market	98,572	0	98,572	0	0	1.0
JBC staff initiated change P&O FTE	0	0	0	0	0	(10.0)
JBC staff initiated technical changes for FTE	0	0	0	0	0	1.0
Annualize prior year legislation	79,768	0	79,768	0	0	1.3
Annualize prior year salary survey	31,505	0	31,505	0	0	0.0
<b>TOTAL</b>	<b>\$14,697,086</b>	<b>\$0</b>	<b>\$14,103,635</b>	<b>\$593,451</b>	<b>\$0</b>	<b>194.8</b>
<b>INCREASE/(DECREASE)</b>	<b>\$209,845</b>	<b>\$0</b>	<b>\$209,845</b>	<b>\$0</b>	<b>\$0</b>	<b>(6.7)</b>
Percentage Change	1.4%	0.0%	1.5%	0.0%	0.0%	(3.3%)
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$14,697,086</b>	<b>\$0</b>	<b>\$14,103,635</b>	<b>\$593,451</b>	<b>\$0</b>	<b>203.8</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	9.0

### OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,550,075 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF PROFESSIONS AND OCCUPATIONS, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$1,477,454	\$0	\$1,477,454	\$0	\$0	0.0
Other legislation	\$86,177	\$0	\$86,177	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$1,563,631</b>	<b>\$0</b>	<b>\$1,563,631</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$1,563,631	\$0	\$1,563,631	\$0	\$0	0.0
R3 Improve enforcement of medical marijuana grey market	5,653	0	5,653	0	0	0.0
Annualize prior year legislation	(19,209)	0	(19,209)	0	0	0.0
<b>TOTAL</b>	<b>\$1,550,075</b>	<b>\$0</b>	<b>\$1,550,075</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>(\$13,556)</b>	<b>\$0</b>	<b>(\$13,556)</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	(0.9%)	0.0%	(0.9%)	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,550,075</b>	<b>\$0</b>	<b>\$1,550,075</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

OFFICE OF EXPEDITED SETTLEMENT PROGRAM COSTS

The Office of Expedited Settlement oversees the expedited settlement process in which all complaints received by boards and commissions are initially sent, for the purpose of resolving complaints prior to the use of the Attorney General's Office and incurring legal service costs.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$400,223 cash funds and 5.0 FTE, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF PROFESSIONS AND OCCUPATIONS, OFFICE OF EXPEDITED SETTLEMENT PROGRAM COSTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$400,223	\$0	\$400,223	\$0	\$0	5.0
<b>TOTAL</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$0</b>	<b>5.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$400,223	\$0	\$400,223	\$0	\$0	5.0
<b>TOTAL</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$0</b>	<b>5.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$400,223</b>	<b>\$0</b>	<b>\$0</b>	<b>5.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

HEARINGS PURSUANT TO COMPLAINT

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$307,075 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**



DIVISION OF PROFESSIONS AND OCCUPATIONS, HEARINGS PURSUANT TO COMPLAINT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$307,075	\$0	\$307,075	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$307,075	\$0	\$307,075	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$307,075</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

PAYMENTS TO DEPARTMENT OF HEALTH CARE POLICY AND FINANCING

Federal regulations require the state to certify nurse aides who work in medical facilities with Medicaid or Medicare patients. Federal matching funds pay half the cost of the Medicaid portion of this program, but the Department cannot apply directly for the federal match because Medicaid's centralization rules require that the matching funds be funneled through the Department of Health Care Policy and Financing.

*STATUTORY AUTHORITY:* Pursuant to Sections 12-2-101, 12-4-101, 12-5.5-101 and 201, 12-8-101, 12-22-101, 12-28-101, 12-29.5-101, 12-36-101, 12-37-101, 12-38.1-101, 12-39-101, 12-40-101, 12-41-102, 12-41.5-101, 12-43-302, 12-43-401, 12-43-502, 12-43-602, 12-43-702, 12-55.5-101, 12-58-101, 12-64-101, 24-34-102, and 25-05-701, C.R.S.

*REQUEST:* The Department requests an appropriation of \$14,652 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF PROFESSIONS AND OCCUPATIONS, PAYMENTS TO DEPARTMENT OF HEALTH CARE POLICY AND FINANCING						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$14,652	\$0	\$14,652	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$14,652	\$0	\$14,652	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$14,652</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director’s Office and for DORA’s share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$1,560,961 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF PROFESSIONS AND OCCUPATIONS, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$1,516,152	\$0	\$1,516,152	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$1,516,152</b>	<b>\$0</b>	<b>\$1,516,152</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$1,516,152	\$0	\$1,516,152	\$0	\$0	0.0
Indirect cost assessment adjustment	44,809	0	44,809	0	0	0.0
<b>TOTAL</b>	<b>\$1,560,961</b>	<b>\$0</b>	<b>\$1,560,961</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$44,809</b>	<b>\$0</b>	<b>\$44,809</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$1,560,961</b>	<b>\$0</b>	<b>\$1,560,961</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**(10) DIVISION OF SECURITIES**

This Division regulates the conduct of securities broker-dealers and sales representatives throughout Colorado, and enforces the following programs: the Colorado Securities Act, the Colorado Commodity Code, the Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act. The Division is entirely cash funded from the Division of Securities Cash Fund.

DIVISION OF SECURITIES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 Appropriation</b>						
HB 16-1405 (Long Bill)	\$3,530,264	\$0	\$3,530,264	\$0	\$0	24.0
<b>TOTAL</b>	<b>\$3,530,264</b>	<b>\$0</b>	<b>\$3,530,264</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$3,530,264	\$0	\$3,530,264	\$0	\$0	24.0
Indirect cost assessment adjustment	5,277	0	5,277	0	0	0.0
Annualize prior year salary survey	80	0	80	0	0	0.0
<b>TOTAL</b>	<b>\$3,535,621</b>	<b>\$0</b>	<b>\$3,535,621</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$5,357</b>	<b>\$0</b>	<b>\$5,357</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.2%	0.0%	0.2%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$3,535,621</b>	<b>\$0</b>	<b>\$3,535,621</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

**DECISION ITEMS - DIVISION OF SECURITIES**

The Executive Branch did not submit any decision items for this division.

**LINE ITEM DETAIL - DIVISION OF SECURITIES****PERSONAL SERVICES**

This line item provides funding for the payment of office staffing and contractual services.

*STATUTORY AUTHORITY:* Pursuant to Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$2,288,451 cash funds and 24.0 FTE.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$2,288,371	\$0	\$2,288,371	\$0	\$0	24.0

DIVISION OF SECURITIES, PERSONAL SERVICES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>TOTAL</b>	<b>\$2,288,371</b>	<b>\$0</b>	<b>\$2,288,371</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$2,288,371	\$0	\$2,288,371	\$0	\$0	24.0
Annualize prior year salary survey	80	0	80	0	0	0.0
<b>TOTAL</b>	<b>\$2,288,451</b>	<b>\$0</b>	<b>\$2,288,451</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$80</b>	<b>\$0</b>	<b>\$80</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$2,288,451</b>	<b>\$0</b>	<b>\$2,288,451</b>	<b>\$0</b>	<b>\$0</b>	<b>24.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### OPERATING EXPENSES

This line item provides funding for operating expenses of the division.

*STATUTORY AUTHORITY:* Pursuant to Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$58,999 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, OPERATING EXPENSES						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 APPROPRIATION						
HB 16-1405 (Long Bill)	\$58,999	\$0	\$58,999	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
FY 2017-18 RECOMMENDED APPROPRIATION						
FY 2016-17 Appropriation	\$58,999	\$0	\$58,999	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$58,999</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### HEARINGS PURSUANT TO COMPLAINT

This line item pays for expenses associated with division hearings and mediations to resolve complaints received by the division. Also included in this line is funding for a portion of the expert

witnesses, court reporters, transcripts and depositions expenses incurred in the Office of the Attorney General in the Department of Law.

*STATUTORY AUTHORITY:* Pursuant to Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$19,594 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, HEARINGS PURSUANT TO COMPLAINT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$19,594	\$0	\$19,594	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$19,594	\$0	\$19,594	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$19,594</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### BOARD MEETING COSTS

This line item funds the per diem, travel, and miscellaneous costs incurred by the Colorado Securities Board members when they attended board meetings.

*STATUTORY AUTHORITY:* Pursuant to Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$4,500 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, BOARD MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$4,500	\$0	\$4,500	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$4,500	\$0	\$4,500	\$0	\$0	0.0

DIVISION OF SECURITIES, BOARD MEETING COSTS						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>TOTAL</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$4,500</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### SECURITIES FRAUD PROSECUTION

The Division refers cases to the Securities Fraud Unit within the Department of Law's Criminal, Justice and Appellate Division for investigation and criminal prosecution. Funds appropriated in this line are set in and reappropriated to the Department of Law.

*STATUTORY AUTHORITY:* Pursuant to Sections 11-51-101, et seq.; 11-53-101, et seq.; 11-59-101, et seq.; 24-75-701, et seq., C.R.S.

*REQUEST:* The Department requests an appropriation of \$980,254 cash funds, which represents a continuation of level funding.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, SECURITIES FRAUD PROSECUTION						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$980,254	\$0	\$980,254	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$980,254	\$0	\$980,254	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$980,254</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

### INDIRECT COST ASSESSMENT

This line item is for the payment of indirect costs to cover the operations of the Executive Director's Office and for DORA's share of statewide indirect costs.

*STATUTORY AUTHORITY:* Pursuant to Section 24-75-1401, C.R.S.

*REQUEST:* The Department requests an appropriation of \$183,823 cash funds.

*RECOMMENDATION:* **Staff recommends approval of this request.**

DIVISION OF SECURITIES, INDIRECT COST ASSESSMENT						
	TOTAL FUNDS	GENERAL FUND	CASH FUNDS	Reappropriated Funds	Federal Funds	FTE
<b>FY 2016-17 APPROPRIATION</b>						
HB 16-1405 (Long Bill)	\$178,546	\$0	\$178,546	\$0	\$0	0.0
<b>TOTAL</b>	<b>\$178,546</b>	<b>\$0</b>	<b>\$178,546</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>FY 2017-18 RECOMMENDED APPROPRIATION</b>						
FY 2016-17 Appropriation	\$178,546	\$0	\$178,546	\$0	\$0	0.0
Indirect cost assessment adjustment	5,277	0	5,277	0	0	0.0
<b>TOTAL</b>	<b>\$183,823</b>	<b>\$0</b>	<b>\$183,823</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
<b>INCREASE/(DECREASE)</b>	<b>\$5,277</b>	<b>\$0</b>	<b>\$5,277</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Percentage Change	3.0%	0.0%	3.0%	0.0%	0.0%	0.0%
<b>FY 2017-18 EXECUTIVE REQUEST</b>	<b>\$183,823</b>	<b>\$0</b>	<b>\$183,823</b>	<b>\$0</b>	<b>\$0</b>	<b>0.0</b>
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

## LONG BILL FOOTNOTES AND REQUESTS FOR INFORMATION

### LONG BILL FOOTNOTES

None

### REQUESTS FOR INFORMATION

None



## INDIRECT COST ASSESSMENTS

### DESCRIPTION OF INDIRECT COST ASSESSMENT METHODOLOGY

The Department's methodology for allocating indirect costs is based on appropriated FTE, which most reasonably approximates the pro-rata share of Divisions and programs for the shared costs of administrative oversight and central services. Importantly, JBC staff may exercise its ability to ensure that appropriations match actual expense, which helps to keep the methodology accurate even as staffing patterns change over time. Further, an important part of the methodology is that recoverable costs from federal grants are applied first, so that cash agency indirects are calculated to include only indirect costs for which they will be actually billed. Alternative methodologies (for instance using other bases such as total expenses, personal services expenses, or actual time tracking) are thought to either be less accurate, too labor-intensive, or virtually the same in terms of accuracy.

### INDIRECT COST POOL

The pool of allocable indirect costs includes two components: administrative oversight and central services provided by the Executive Director's Office (\$3.5 million last year), and the Statewide Indirect Cost Assessment Plan (\$432,054 last year), which represents statewide overhead provided to the Department.

### INDIRECT COST BASE

Appropriated FTE is utilized as the basis for allocating indirect costs across the Department. In that way, a Division's percentage share of total appropriated FTE is the same as their percentage share of paying for administrative oversight, central services, and statewide overhead.

### INDIRECT COST RATE

The applicable rate for recovering indirect costs from federal grants is 8.9% of base wages and benefits paid by a federal grant. The applicable rate for state cash funded FTE is a product of indirect calculations each year; however, last year's rate amounted to 0.18% per FTE, or \$7,342 per paying cash funded FTE. These figures are in line with prior year history.

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

**Appendix A: Number Pages**

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
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**DEPARTMENT OF REGULATORY AGENCIES**  
**Joe Neguse, Executive Director**

**(1) EXECUTIVE DIRECTOR'S OFFICE AND ADMINISTRATIVE SERVICES**

The Executive Director's Office provides administrative and technical support for department divisions and programs including accounting, budgeting, and human resources functions. Additionally, the Office of Policy and Research (OPR) in the Executive Director's Office (EDO) provides sunset and sunrise regulatory evaluations and policy recommendations to the General Assembly and analyzes the submission of proposed rules and regulations from state agencies.

Personal Services	<u>2,298,054</u>	<u>2,374,551</u>	<u>2,430,854</u>	<u>2,430,854</u>	<u>2,430,854</u>
FTE	27.9	27.7	29.5	29.5	29.5
General Fund	(3,000)	0	34,875	46,890	46,890
Cash Funds	62,845	66,655	30,500	52,180	52,180
Reappropriated Funds	2,238,209	2,307,896	2,365,479	2,331,784	2,331,784
Health, Life, and Dental	<u>3,536,524</u>	<u>4,235,452</u>	<u>4,186,649</u>	<u>4,599,537</u>	<u>4,591,610</u> *
General Fund	96,445	121,741	119,053	205,432	205,432
Cash Funds	3,229,907	3,826,198	3,790,660	4,143,169	4,135,242
Reappropriated Funds	210,172	240,598	236,639	238,099	238,099
Federal Funds	0	46,915	40,297	12,837	12,837
Short-term Disability	<u>41,522</u>	<u>81,112</u>	<u>68,255</u>	<u>70,521</u>	<u>70,413</u> *
General Fund	2,456	2,622	2,000	2,576	2,576
Cash Funds	34,141	72,507	61,826	63,465	63,357
Reappropriated Funds	4,925	5,031	3,925	4,168	4,168
Federal Funds	0	952	504	312	312

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
S.B. 04-257 Amortization Equalization Disbursement	<u>1,384,754</u>	<u>1,644,105</u>	<u>1,729,990</u>	<u>1,862,731</u>	<u>1,859,883</u> *
General Fund	45,576	53,114	50,621	68,053	68,053
Cash Funds	1,247,725	1,469,588	1,567,171	1,676,334	1,673,486
Reappropriated Funds	91,453	102,083	99,342	110,105	110,105
Federal Funds	0	19,320	12,856	8,239	8,239
S.B. 06-235 Supplemental Amortization Equalization Disbursement	<u>1,242,381</u>	<u>1,588,056</u>	<u>1,711,969</u>	<u>1,862,731</u>	<u>1,859,883</u> *
General Fund	42,727	51,303	50,094	68,053	68,053
Cash Funds	1,113,917	1,419,489	1,550,846	1,676,334	1,673,486
Reappropriated Funds	85,737	98,603	98,307	110,105	110,105
Federal Funds	0	18,661	12,722	8,239	8,239
Salary Survey	<u>877,859</u>	<u>0</u>	<u>53,521</u>	<u>1,012,503</u>	<u>1,012,503</u>
General Fund	30,751	0	0	37,044	37,044
Cash Funds	785,443	0	51,472	911,027	911,027
Reappropriated Funds	61,665	0	0	59,943	59,943
Federal Funds	0	0	2,049	4,489	4,489
Workers' Compensation	<u>75,729</u>	<u>83,843</u>	<u>105,014</u>	<u>135,182</u>	<u>135,182</u>
General Fund	2,600	2,878	3,551	5,102	5,102
Cash Funds	70,485	78,038	96,273	121,691	121,691
Reappropriated Funds	2,644	2,927	3,611	6,780	6,780
Federal Funds	0	0	1,579	1,609	1,609
Operating Expenses	<u>100,309</u>	<u>99,182</u>	<u>210,344</u>	<u>210,344</u>	<u>210,344</u>
General Fund	0	0	3,689	3,689	3,689
Cash Funds	0	0	95,427	95,427	95,427
Reappropriated Funds	100,309	99,182	111,228	111,228	111,228

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
Legal Services	<u>10,049,506</u>	<u>8,499,000</u>	<u>10,539,009</u>	<u>11,303,047</u>	<u>11,303,047</u> *
General Fund	216,752	206,764	206,848	215,990	215,990
Cash Funds	9,775,787	8,217,055	10,047,518	10,789,873	10,789,873
Reappropriated Funds	56,967	75,181	103,614	108,193	108,193
Federal Funds	0	0	181,029	188,991	188,991
Administrative Law Judge Services	<u>278,450</u>	<u>357,148</u>	<u>201,827</u>	<u>246,338</u>	<u>246,338</u> *
General Fund	12,593	16,152	9,119	11,141	11,141
Cash Funds	265,857	340,996	192,708	235,197	235,197
Payment to Risk Management and Property Funds	<u>254,628</u>	<u>242,717</u>	<u>210,548</u>	<u>202,843</u>	<u>202,843</u> *
General Fund	8,740	8,332	7,119	7,548	7,548
Cash Funds	236,997	225,910	193,023	183,057	183,057
Reappropriated Funds	8,891	8,475	7,240	9,737	9,737
Federal Funds	0	0	3,166	2,501	2,501
Vehicle Lease Payments	<u>210,471</u>	<u>210,100</u>	<u>236,812</u>	<u>173,020</u>	<u>173,020</u> *
Cash Funds	210,471	210,100	236,812	173,020	173,020
Information Technology Asset Maintenance	<u>623,243</u>	<u>580,990</u>	<u>671,403</u>	<u>671,403</u>	<u>671,403</u>
Cash Funds	449,164	390,346	480,646	480,646	480,646
Reappropriated Funds	174,079	190,644	190,757	190,757	190,757
Hardware/Software Maintenance	<u>723,020</u>	<u>680,610</u>	<u>729,218</u>	<u>729,218</u>	<u>729,218</u>
General Fund	0	800	800	800	800
Cash Funds	469,129	421,696	469,816	469,816	469,816
Reappropriated Funds	253,891	258,114	258,602	258,602	258,602

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
Leased Space	<u>2,853,092</u>	<u>2,855,752</u>	<u>788,208</u>	<u>2,775,917</u>	<u>2,775,917</u> *
General Fund	96,244	91,692	0	0	0
Cash Funds	2,415,056	2,415,030	658,867	2,368,767	2,368,767
Reappropriated Funds	341,792	349,030	99,155	376,964	376,964
Federal Funds	0	0	30,186	30,186	30,186
Payments to OIT	<u>3,103,376</u>	<u>3,832,525</u>	<u>2,628,736</u>	<u>3,372,519</u>	<u>3,372,519</u> *
General Fund	130,444	161,603	104,155	134,170	134,170
Cash Funds	2,972,932	3,670,922	2,524,581	3,238,349	3,238,349
CORE Operations	<u>195,544</u>	<u>179,876</u>	<u>161,891</u>	<u>183,321</u>	<u>183,321</u>
General Fund	4,136	3,820	3,309	6,918	6,918
Cash Funds	180,433	165,931	144,189	165,027	165,027
Reappropriated Funds	10,975	10,125	8,769	9,194	9,194
Federal Funds	0	0	5,624	2,182	2,182
Consumer Outreach/Education Program	<u>141,399</u>	<u>136,834</u>	<u>205,000</u>	<u>205,000</u>	<u>205,000</u>
Cash Funds	141,399	136,834	205,000	205,000	205,000
Broadband Deployment Board	<u>0</u>	<u>78,228</u>	<u>134,000</u>	<u>202,504</u>	<u>202,504</u>
Cash Funds	0	78,228	134,000	202,504	202,504
Merit Pay	<u>330,904</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	10,803	0	0	0	0
Cash Funds	296,843	0	0	0	0
Reappropriated Funds	23,258	0	0	0	0
Federal Funds	0	0	0	0	0

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>TOTAL - (1) Executive Director's Office and Administrative Services</b>	28,320,765	27,760,081	27,003,248	32,249,533	32,235,802
<i>FTE</i>	<u>27.9</u>	<u>27.7</u>	<u>29.5</u>	<u>29.5</u>	<u>29.5</u>
General Fund	697,267	720,821	595,233	813,406	813,406
Cash Funds	23,958,531	23,205,523	22,531,335	27,250,883	27,237,152
Reappropriated Funds	3,664,967	3,747,889	3,586,668	3,925,659	3,925,659
Federal Funds	0	85,848	290,012	259,585	259,585

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(2) DIVISION OF BANKING</b>					
The Division of Banking regulates state-chartered banks and debt management companies. The Division conducts examinations and enforces compliance in areas including: Public Deposit Protection Act; electronic funds transfers; electronic data processing; and the Uniform Consumer Credit Code. The Division also contains the eight-member Colorado State Banking Board.					
Personal Services	<u>2,726,592</u>	<u>3,036,147</u>	<u>3,816,881</u>	<u>3,766,881</u>	<u>3,766,881</u> *
FTE	33.3	35.0	40.0	40.0	40.0
Cash Funds	2,726,592	3,036,147	3,816,881	3,766,881	3,766,881
Operating Expenses	<u>449,747</u>	<u>461,500</u>	<u>490,703</u>	<u>490,703</u>	<u>490,703</u>
Cash Funds	449,747	461,500	490,703	490,703	490,703
Board Meeting Costs	<u>12,103</u>	<u>15,412</u>	<u>23,500</u>	<u>23,500</u>	<u>23,500</u>
Cash Funds	12,103	15,412	23,500	23,500	23,500
Indirect Cost Assessment	<u>309,653</u>	<u>315,415</u>	<u>297,577</u>	<u>306,372</u>	<u>306,372</u>
Cash Funds	309,653	315,415	297,577	306,372	306,372
<b>TOTAL - (2) Division of Banking</b>	3,498,095	3,828,474	4,628,661	4,587,456	4,587,456
FTE	<u>33.3</u>	<u>35.0</u>	<u>40.0</u>	<u>40.0</u>	<u>40.0</u>
Cash Funds	3,498,095	3,828,474	4,628,661	4,587,456	4,587,456

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(3) CIVIL RIGHTS DIVISION</b>					
The Division of Civil Rights enforces Colorado's civil rights laws that prohibit discrimination in employment, housing, and public accommodations. The Division of Civil Rights is the only non-cash-funded division in the Department, relying on General Fund and federal funds.					
Personal Services	<u>1,449,550</u>	<u>1,493,001</u>	<u>1,946,757</u>	<u>1,948,806</u>	<u>1,948,806</u>
FTE	24.1	22.6	27.2	27.2	27.2
General Fund	1,092,373	1,076,079	1,089,606	970,081	970,081
Reappropriated Funds	357,177	416,922	432,054	551,579	551,579
Federal Funds	0	0	425,097	427,146	427,146
Operating Expenses	<u>65,863</u>	<u>65,973</u>	<u>105,460</u>	<u>105,460</u>	<u>105,460</u>
General Fund	65,863	65,973	62,284	62,284	62,284
Federal Funds	0	0	43,176	43,176	43,176
Hearings Pursuant to Complaint	<u>17,000</u>	<u>14,998</u>	<u>18,000</u>	<u>18,000</u>	<u>18,000</u>
General Fund	17,000	14,998	17,000	17,000	17,000
Federal Funds	0	0	1,000	1,000	1,000
Commission Meeting Costs	<u>5,174</u>	<u>4,812</u>	<u>12,374</u>	<u>12,374</u>	<u>12,374</u>
General Fund	5,174	4,812	5,174	5,174	5,174
Federal Funds	0	0	7,200	7,200	7,200
Indirect Cost Assessment	<u>0</u>	<u>0</u>	<u>19,938</u>	<u>20,656</u>	<u>20,656</u>
Cash Funds	0	0	0	718	718
Federal Funds	0	0	19,938	19,938	19,938
Federal Grants	<u>467,118</u>	<u>438,061</u>	<u>0</u>	<u>0</u>	<u>0</u>
FTE	0.0	0.0	0.0	0.0	0.0
Federal Funds	467,118	438,061	0	0	0



*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	<b>FY 2014-15 Actual</b>	<b>FY 2015-16 Actual</b>	<b>FY 2016-17 Appropriation</b>	<b>FY 2017-18 Request</b>	<b>FY 2017-18 Recommendation</b>
<b>TOTAL - (3) Civil Rights Division</b>	2,004,705	2,016,845	2,102,529	2,105,296	2,105,296
<i>FTE</i>	<u>24.1</u>	<u>22.6</u>	<u>27.2</u>	<u>27.2</u>	<u>27.2</u>
General Fund	1,180,410	1,161,862	1,174,064	1,054,539	1,054,539
Cash Funds	0	0	0	718	718
Reappropriated Funds	357,177	416,922	432,054	551,579	551,579
Federal Funds	467,118	438,061	496,411	498,460	498,460

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
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**(4) OFFICE OF CONSUMER COUNSEL**

The Office of Consumer Council represents the interests of residential, small business, and agricultural consumers on cases before the Public Utility Commission (PUC). These cases involve proposed changes to rates, services, and policies in the areas of electric, gas, and telecommunications. The Office of Consumer Council (OCC) is structured as a separate division but funded by PUC cash funds.

Personal Services	<u>726,437</u>	<u>755,867</u>	<u>851,259</u>	<u>853,040</u>	<u>853,040</u>
FTE	6.8	7.0	7.0	7.0	7.0
Cash Funds	726,437	755,867	851,259	853,040	853,040
Operating Expenses	<u>35,083</u>	<u>36,128</u>	<u>55,787</u>	<u>55,787</u>	<u>55,787</u>
Cash Funds	35,083	36,128	55,787	55,787	55,787
Indirect Cost Assessment	<u>54,189</u>	<u>55,197</u>	<u>52,076</u>	<u>53,725</u>	<u>53,725</u>
Cash Funds	54,189	55,197	52,076	53,725	53,725
<b>TOTAL - (4) Office of Consumer Counsel</b>	815,709	847,192	959,122	962,552	962,552
FTE	<u>6.8</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>	<u>7.0</u>
Cash Funds	815,709	847,192	959,122	962,552	962,552

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
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**(5) DIVISION OF FINANCIAL SERVICES**

The Division Financial Services regulates state chartered credit unions; savings and loans associations; and life care institutions. The Division also administers the Public Deposit Protection Act; conducts onsite examinations for financial stability and compliance; and initiates enforcement action when appropriate. The Division also contains the five-member Financial Services Board.

Personal Services	<u>1,114,137</u>	<u>1,028,764</u>	<u>1,402,636</u>	<u>1,402,636</u>	<u>1,402,636</u>
FTE	13.6	12.8	15.6	15.6	15.6
Cash Funds	1,114,137	1,028,764	1,402,636	1,402,636	1,402,636
Operating Expenses	<u>139,819</u>	<u>139,258</u>	<u>145,921</u>	<u>145,921</u>	<u>145,921</u>
Cash Funds	139,819	139,258	145,921	145,921	145,921
Indirect Cost Assessment	<u>100,637</u>	<u>123,011</u>	<u>116,055</u>	<u>119,485</u>	<u>119,485</u>
Cash Funds	100,637	123,011	116,055	119,485	119,485
<b>TOTAL - (5) Division of Financial Services</b>	1,354,593	1,291,033	1,664,612	1,668,042	1,668,042
FTE	<u>13.6</u>	<u>12.8</u>	<u>15.6</u>	<u>15.6</u>	<u>15.6</u>
Cash Funds	1,354,593	1,291,033	1,664,612	1,668,042	1,668,042

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(6) DIVISION OF INSURANCE</b>					
The Division of Insurance regulates and licenses life, health, property and casualty, and other types of insurance companies and agents. The Division also conducts actuarial and financial solvency examinations, collects premium taxes, responds to consumer complaints, and regulates bail bond agents.					
Personal Services	<u>5,654,468</u>	<u>5,299,286</u>	<u>6,289,137</u>	<u>6,272,154</u>	<u>6,272,154</u> *
FTE	74.7	68.2	83.2	83.2	83.2
Cash Funds	5,654,468	5,299,286	6,289,137	6,272,154	6,272,154
Operating Expenses	<u>250,471</u>	<u>293,957</u>	<u>291,716</u>	<u>291,716</u>	<u>291,716</u>
Cash Funds	250,471	293,957	291,716	291,716	291,716
Out-of-State Travel Expenses	<u>21,079</u>	<u>1,656</u>	<u>100,000</u>	<u>100,000</u>	<u>50,000</u>
Cash Funds	21,079	1,656	100,000	100,000	50,000
Senior Health Counseling Program	<u>1,177,873</u>	<u>1,074,996</u>	<u>517,794</u>	<u>517,794</u>	<u>517,794</u>
FTE	4.3	4.0	2.0	2.0	2.0
Federal Funds	1,177,873	1,074,996	517,794	517,794	517,794
Transfer to CAPCO Administration	<u>81,852</u>	<u>84,036</u>	<u>85,291</u>	<u>85,291</u>	<u>85,291</u>
Cash Funds	81,852	84,036	85,291	85,291	85,291
Indirect Cost Assessment	<u>641,756</u>	<u>656,063</u>	<u>698,660</u>	<u>719,823</u>	<u>719,823</u>
Cash Funds	641,756	656,063	618,959	637,252	637,252
Federal Funds	0	0	79,701	82,571	82,571
<b>TOTAL - (6) Division of Insurance</b>	<b>7,827,499</b>	<b>7,409,994</b>	<b>7,982,598</b>	<b>7,986,778</b>	<b>7,936,778</b>
FTE	<u>79.0</u>	<u>72.2</u>	<u>85.2</u>	<u>85.2</u>	<u>85.2</u>
Cash Funds	6,649,626	6,334,998	7,385,103	7,386,413	7,336,413
Federal Funds	1,177,873	1,074,996	597,495	600,365	600,365

\*This line item includes a decision item

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(7) PUBLIC UTILITIES COMMISSION</b>					
The Public Utilities Commission regulates the rates and services of fixed utilities and transportation utilities. Additionally, the PUC administers several programs including: the Colorado Telecommunications High Cost Program, Low Income Telephone Assistance Program, and the Disabled Telephone Users Program.					
Personal Services	<u>7,995,810</u>	<u>10,073,942</u>	<u>9,494,686</u>	<u>9,497,177</u>	<u>9,497,177</u>
FTE	88.7	82.1	97.3	97.3	91.3
General Fund	(626)	0	0	0	0
Cash Funds	7,996,436	10,073,942	9,494,686	9,497,177	9,497,177
Operating Expenses	<u>342,219</u>	<u>326,120</u>	<u>1,074,473</u>	<u>839,273</u>	<u>594,473</u>
Cash Funds	342,219	326,120	834,473	594,473	594,473
Reappropriated Funds	0	0	240,000	244,800	0
Expert Testimony	<u>24,999</u>	<u>0</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
Cash Funds	24,999	0	25,000	25,000	25,000
Disabled Telephone Users Fund Payments	<u>1,264,387</u>	<u>1,278,825</u>	<u>1,900,542</u>	<u>1,900,542</u>	<u>1,300,542</u>
Cash Funds	1,264,387	1,278,825	1,900,542	1,900,542	1,300,542
Transfer to Reading Services for the Blind Cash Fund	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>	<u>360,000</u>
Cash Funds	360,000	360,000	360,000	360,000	360,000
Commission for the Deaf and Hard of Hearing Cash Fund	<u>1,102,305</u>	<u>1,073,317</u>	<u>1,185,596</u>	<u>1,285,037</u>	<u>1,285,037</u>
Cash Funds	1,102,305	1,073,317	1,185,596	1,285,037	1,285,037
Colorado Bureau of Investigation Background Checks Pass-through	<u>68,024</u>	<u>55,024</u>	<u>104,377</u>	<u>104,377</u>	<u>104,377</u>
Cash Funds	68,024	55,024	104,377	104,377	104,377

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
Highway-Rail Crossing Signalization Fund	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>244,800</u>
Cash Funds	0	0	0	0	244,800
Indirect Cost Assessment	<u>721,172</u>	<u>766,248</u>	<u>723,855</u>	<u>745,248</u>	<u>745,248</u>
Cash Funds	721,172	766,248	723,855	745,248	745,248
<b>TOTAL - (7) Public Utilities Commission</b>	11,878,916	13,933,476	14,868,529	14,756,654	14,156,654
<i>FTE</i>	<u>88.7</u>	<u>82.1</u>	<u>97.3</u>	<u>97.3</u>	<u>91.3</u>
General Fund	(626)	0	0	0	0
Cash Funds	11,879,542	13,933,476	14,628,529	14,511,854	14,156,654
Reappropriated Funds	0	0	240,000	244,800	0

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(8) DIVISION OF REAL ESTATE</b>					
The Division of Real Estate licenses real estate agents, appraisers, and mortgage loan originators, registers mortgage companies and homeowners associations, and administers the conservation easement tax credit certification programs. The Division also contains the five-member Real Estate Commission and the seven-member Appraisal Board.					
Personal Services	<u>3,560,383</u>	<u>3,816,919</u>	<u>4,011,556</u>	<u>4,014,154</u>	<u>4,014,154</u>
FTE	48.3	50.9	55.9	55.9	52.9
Cash Funds	3,560,383	3,816,919	4,011,556	4,014,154	4,014,154
Operating Expenses	<u>158,630</u>	<u>143,286</u>	<u>244,557</u>	<u>244,557</u>	<u>204,557</u>
Cash Funds	158,630	143,286	244,557	244,557	204,557
Commission Meeting Costs	<u>26,504</u>	<u>20,345</u>	<u>38,836</u>	<u>38,836</u>	<u>38,836</u>
Cash Funds	26,504	20,345	38,836	38,836	38,836
Hearings Pursuant to Complaint	<u>0</u>	<u>142</u>	<u>4,000</u>	<u>4,000</u>	<u>4,000</u>
Cash Funds	0	142	4,000	4,000	4,000
Mortgage Broker Consumer Protection	<u>360,955</u>	<u>388,345</u>	<u>399,382</u>	<u>399,382</u>	<u>399,382</u>
Cash Funds	360,955	388,345	399,382	399,382	399,382
Indirect Cost Assessment	<u>432,738</u>	<u>440,791</u>	<u>415,863</u>	<u>428,154</u>	<u>428,154</u>
Cash Funds	432,738	440,791	415,863	428,154	428,154
<b>TOTAL - (8) Division of Real Estate</b>	4,539,210	4,809,828	5,114,194	5,129,083	5,089,083
FTE	<u>48.3</u>	<u>50.9</u>	<u>55.9</u>	<u>55.9</u>	<u>52.9</u>
Cash Funds	4,539,210	4,809,828	5,114,194	5,129,083	5,089,083

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
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**(9) DIVISION OF PROFESSIONS AND OCCUPATIONS**

The Division of Professions and Occupations regulates licensees in over 30 professions and occupations to ensure a basic level of competence among licensees and to protect the public welfare. The Division also licenses or approves qualified facilities, programs, and equipment.

Personal Services	<u>12,846,052</u>	<u>13,488,337</u>	<u>14,487,241</u>	<u>14,697,086</u>	<u>14,697,086</u> *
FTE	183.9	188.8	201.5	203.8	194.8
General Fund	0	0	0	0	0
Cash Funds	12,578,276	13,144,533	13,893,790	14,103,635	14,103,635
Reappropriated Funds	267,776	343,804	593,451	593,451	593,451
Operating Expenses	<u>1,145,961</u>	<u>964,271</u>	<u>1,563,631</u>	<u>1,550,075</u>	<u>1,550,075</u> *
Cash Funds	1,145,961	964,271	1,563,631	1,550,075	1,550,075
Office of Expedited Settlement Program Costs	<u>404,186</u>	<u>463,750</u>	<u>400,223</u>	<u>400,223</u>	<u>400,223</u>
FTE	5.0	5.0	5.0	5.0	5.0
General Fund	0	0	0	0	0
Cash Funds	404,186	463,750	400,223	400,223	400,223
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0
Hearings Pursuant to Complaint	<u>179,514</u>	<u>202,210</u>	<u>307,075</u>	<u>307,075</u>	<u>307,075</u>
Cash Funds	179,514	202,210	307,075	307,075	307,075
Payments to Department of Health Care Policy and Financing	<u>0</u>	<u>0</u>	<u>14,652</u>	<u>14,652</u>	<u>14,652</u>
General Fund	0	0	0	0	0
Cash Funds	0	0	14,652	14,652	14,652
Reappropriated Funds	0	0	0	0	0
Federal Funds	0	0	0	0	0

\*This line item includes a decision item



*JBC Staff Staff Figure Setting - FY 2017-18*  
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	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
Indirect Cost Assessment	<u>1,580,005</u>	<u>1,592,055</u>	<u>1,516,152</u>	<u>1,560,961</u>	<u>1,560,961</u>
Cash Funds	1,580,005	1,592,055	1,516,152	1,560,961	1,560,961
<b>TOTAL - (9) Division of Professions and Occupations</b>	16,155,718	16,710,623	18,288,974	18,530,072	18,530,072
<i>FTE</i>	<u>188.9</u>	<u>193.8</u>	<u>206.5</u>	<u>208.8</u>	<u>199.8</u>
General Fund	0	0	0	0	0
Cash Funds	15,887,942	16,366,819	17,695,523	17,936,621	17,936,621
Reappropriated Funds	267,776	343,804	593,451	593,451	593,451
Federal Funds	0	0	0	0	0

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	FY 2014-15 Actual	FY 2015-16 Actual	FY 2016-17 Appropriation	FY 2017-18 Request	FY 2017-18 Recommendation
<b>(10) DIVISION OF SECURITIES</b>					
The Division of Securities monitors the conduct of broker-dealers and sales representatives; investigates citizen complaints; and investigates indicators of investment fraud. The Division also enforces programs including: Colorado Securities Act; Colorado Commodity Code, Colorado Municipal Bond Supervision Act, and the Local Government Investment Pool Trust Fund Administration and Enforcement Act.					
Personal Services	<u>1,926,205</u>	<u>2,097,456</u>	<u>2,288,371</u>	<u>2,288,451</u>	<u>2,288,451</u>
FTE	23.0	25.0	24.0	24.0	24.0
Cash Funds	1,926,205	2,097,456	2,288,371	2,288,451	2,288,451
Operating Expenses	<u>101,665</u>	<u>64,537</u>	<u>58,999</u>	<u>58,999</u>	<u>58,999</u>
Cash Funds	101,665	64,537	58,999	58,999	58,999
Hearings Pursuant to Complaint	<u>15,560</u>	<u>18,229</u>	<u>19,594</u>	<u>19,594</u>	<u>19,594</u>
Cash Funds	15,560	18,229	19,594	19,594	19,594
Board Meeting Costs	<u>836</u>	<u>2,320</u>	<u>4,500</u>	<u>4,500</u>	<u>4,500</u>
Cash Funds	836	2,320	4,500	4,500	4,500
Securities Fraud Prosecution	<u>935,415</u>	<u>937,897</u>	<u>980,254</u>	<u>980,254</u>	<u>980,254</u>
Cash Funds	935,415	937,897	980,254	980,254	980,254
Indirect Cost Assessment	<u>185,792</u>	<u>189,249</u>	<u>178,546</u>	<u>183,823</u>	<u>183,823</u>
Cash Funds	185,792	189,249	178,546	183,823	183,823
<b>TOTAL - (10) Division of Securities</b>	3,165,473	3,309,688	3,530,264	3,535,621	3,535,621
FTE	<u>23.0</u>	<u>25.0</u>	<u>24.0</u>	<u>24.0</u>	<u>24.0</u>
Cash Funds	3,165,473	3,309,688	3,530,264	3,535,621	3,535,621

*JBC Staff Staff Figure Setting - FY 2017-18*  
*Staff Working Document - Does Not Represent Committee Decision*

	<b>FY 2014-15 Actual</b>	<b>FY 2015-16 Actual</b>	<b>FY 2016-17 Appropriation</b>	<b>FY 2017-18 Request</b>	<b>FY 2017-18 Recommendation</b>
<b>TOTAL - Department of Regulatory Agencies</b>	79,560,683	81,917,234	86,142,731	91,511,087	90,807,356
<i>FTE</i>	<u>533.6</u>	<u>529.1</u>	<u>588.2</u>	<u>590.5</u>	<u>572.5</u>
General Fund	1,877,051	1,882,683	1,769,297	1,867,945	1,867,945
Cash Funds	71,748,721	73,927,031	78,137,343	82,969,243	82,510,312
Reappropriated Funds	4,289,920	4,508,615	4,852,173	5,315,489	5,070,689
Federal Funds	1,644,991	1,598,905	1,383,918	1,358,410	1,358,410