

This document contains

1. The March 8, 2012 Department of Corrections Figure Setting Document that JBC staff presented to the JBC.
2. A handout distributed during figure setting that shows budget changes since FY 2008-09.
3. A March 16, 2012 comeback memo.

**COLORADO GENERAL ASSEMBLY
JOINT BUDGET COMMITTEE**



FY 2012-13 FIGURE SETTING

DEPARTMENT OF CORRECTIONS

**JBC Working Document - Subject to Change
Staff Recommendation Does Not Represent Committee Decision**

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March 8, 2012**

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**DEPARTMENT OF CORRECTIONS
FY 2012-13 FIGURE SETTING RECOMMENDATIONS
JBC WORKING DOCUMENT - SUBJECT TO CHANGE**

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**Fiscal Year 2012-13 Joint Budget Committee Staff Figure Setting
Department of Corrections**

NUMBERS PAGES

| | FY 2009-10 | FY 2010-11 | FY 2011-12 | | FY 2012-13 | FY 2012-13 | Change |
|---|-------------------|-------------------|----------------------|---|-------------------|-------------------|-----------------|
| | Actual | Actual | Appropriation | | Request | Recommend. | Requests |
| DEPARTMENT OF CORRECTIONS | | | | | | | |
| Executive Director: Tom Clements | | | | | | | |
| (1) MANAGEMENT | | | | | | | |
| (A) Executive Director's Office Subprogram | | | | | | | |
| Primary Function: Responsible for providing oversight and developing policies for all operations throughout the Department. | | | | | | | |
| Personal Services | 1,520,960 | 1,402,690 | 1,531,848 | S | 1,588,510 | 1,563,564 | R1 |
| FTE | <u>18.2</u> | <u>19.4</u> | <u>17.1</u> | | <u>18.0</u> | <u>18.0</u> | |
| General Fund | 1,361,073 | 1,222,777 | 1,288,043 | | 1,344,705 | 1,323,729 | |
| FTE | 16.5 | 15.4 | 13.1 | | 14.0 | 14.0 | |
| Reappropriated Funds | 97,970 | 179,913 | 243,805 | | 243,805 | 239,835 | |
| FTE | 1.7 | 4.0 | 4.0 | | 4.0 | 4.0 | |
| Federal Funds | 61,917 | 0 | 0 | | 0 | 0 | |
| FTE | 0.0 | 0.0 | 0.0 | | 0.0 | 0.0 | |
| Health, Life, and Dental | <u>36,112,498</u> | <u>36,047,560</u> | <u>37,293,325</u> | S | <u>39,090,894</u> | <u>42,852,282</u> | R1,2,3,7, BA4 |
| General Fund | 34,892,285 | 35,048,806 | 36,218,963 | | 37,859,830 | 41,497,442 | |
| Cash Funds | 1,220,213 | 998,754 | 1,074,362 | | 1,231,064 | 1,354,840 | |
| Short-term Disability | <u>455,965</u> | <u>511,077</u> | <u>561,835</u> | S | <u>573,800</u> | <u>546,422</u> | R1,2,3,7, BA4 |
| General Fund | 443,411 | 498,278 | 546,018 | | 555,412 | 529,380 | |
| Cash Funds | 12,554 | 12,799 | 15,817 | | 18,388 | 17,042 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|---|-----------------------|--------------------------|--------------------|
| S.B. 04-257 Amortization Equalization Disbursement | <u>6,203,010</u> | <u>7,617,487</u> | <u>8,832,637</u> | S | <u>10,370,396</u> | <u>9,929,533</u> | R1,2,3,7, BA4 |
| General Fund | 6,031,275 | 7,418,488 | 8,582,431 | | 10,037,962 | 9,603,096 | |
| Cash Funds | 171,735 | 198,999 | 250,206 | | 332,434 | 326,437 | |
| S.B. 06-235 Supplemental Amortization Equalization Dis | <u>3,809,532</u> | <u>5,501,239</u> | <u>7,050,760</u> | S | <u>8,898,218</u> | <u>8,479,329</u> | R1,2,3,7, BA4 |
| General Fund | 3,702,324 | 5,356,795 | 6,849,702 | | 8,612,532 | 8,198,797 | |
| Cash Funds | 107,208 | 144,444 | 201,058 | | 285,686 | 280,532 | |
| Salary Survey and Senior Executive Service | <u>0</u> | <u>0</u> | <u>0</u> | | <u>0</u> | <u>0</u> | |
| General Fund | 0 | 0 | 0 | | 0 | 0 | |
| Cash Funds | 0 | 0 | 0 | | 0 | 0 | |
| Performance-based Pay Awards | <u>0</u> | <u>0</u> | <u>0</u> | | <u>0</u> | <u>0</u> | |
| General Fund | 0 | 0 | 0 | | 0 | 0 | |
| Cash Funds | 0 | 0 | 0 | | 0 | 0 | |
| Shift Differential | <u>5,944,232</u> | <u>6,163,173</u> | <u>4,574,071</u> | S | <u>6,195,436</u> | <u>6,057,660</u> | BA4 |
| General Fund | 5,931,240 | 6,155,996 | 4,567,627 | | 6,181,981 | 6,044,475 | |
| Cash Funds | 12,992 | 7,177 | 6,444 | | 13,455 | 13,185 | |
| Workers' Compensation | <u>5,978,850</u> | <u>6,065,207</u> | <u>6,165,344</u> | | <u>8,456,946</u> | <u>pending</u> | |
| General Fund | 5,790,615 | 5,871,120 | 5,970,392 | | 8,189,532 | | |
| Cash Funds | 188,235 | 194,087 | 194,952 | | 267,414 | | |
| Operating Expenses | <u>268,722</u> | <u>283,075</u> | <u>278,632</u> | S | <u>281,955</u> | <u>281,955</u> | R1 |
| General Fund | 182,433 | 181,881 | 181,882 | | 191,955 | 191,955 | |
| Reappropriated Funds | 0 | 19,794 | 11,750 | | 5,000 | 5,000 | |
| Federal Funds | 86,289 | 81,400 | 85,000 | | 85,000 | 85,000 | |
| Legal Services | <u>1,234,909</u> | <u>1,158,309</u> | <u>1,239,958</u> | | <u>1,239,958</u> | <u>pending</u> | |
| General Fund | 1,192,522 | 1,117,368 | 1,200,579 | | 1,200,579 | | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Cash Funds | 42,387 | 40,941 | 39,379 | 39,379 | | |
| Payment to Risk Management and Property Funds | <u>4,246,414</u> | <u>1,299,105</u> | <u>2,823,339</u> | <u>3,061,463</u> | pending | |
| General Fund | 4,078,798 | 1,247,141 | 2,710,406 | 2,939,005 | | |
| Cash Funds | 167,616 | 51,964 | 112,933 | 122,458 | | |
| Leased Space | <u>3,406,111</u> | <u>3,437,154</u> | <u>3,410,708</u> | <u>3,344,158</u> | <u>3,275,715</u> | R7 |
| General Fund | 3,216,964 | 3,226,949 | 3,200,503 | 3,133,953 | 3,065,510 | |
| Cash Funds | 189,147 | 210,205 | 210,205 | 210,205 | 210,205 | |
| Capitol Complex Leased Space | <u>175,242</u> | <u>166,586</u> | <u>126,730</u> | <u>171,142</u> | pending | |
| General Fund | 106,094 | 92,481 | 98,626 | 135,508 | | |
| Cash Funds | 69,148 | 74,105 | 28,104 | 35,634 | | |
| Planning and Analysis Contracts - GF | 49,620 | 56,160 | 56,160 | 82,410 | 82,410 | |
| Payments to District Attorneys - GF | 144,108 | 282,406 | 366,880 S | 144,108 | 366,880 | |
| Start-up Costs - GF | 0 | 0 | 0 | 4,978 | 4,978 | |
| External Study of Sex Offender Treatment and Management Program - GF | 0 | 0 | 0 | 0 | 100,000 | |
| SUBTOTAL - Executive Director's Office | 69,550,173 | 69,991,228 | 74,312,227 0 | 83,504,372 | 73,540,728 | |
| FTE | <u>18.2</u> | <u>19.4</u> | <u>17.1</u> # | <u>18.0</u> | <u>18.0</u> | |
| General Fund | 67,122,762 | 67,776,646 | 71,838,212 0 | 80,614,450 | 71,008,652 | |
| Cash Funds | 2,181,235 | 1,933,475 | 2,133,460 0 | 2,556,117 | 2,202,241 | |
| Reappropriated Funds | 97,970 | 199,707 | 255,555 0 | 248,805 | 244,835 | |
| Federal Funds | 148,206 | 81,400 | 85,000 0 | 85,000 | 85,000 | |

(B) External Capacity Subprogram

(1) Private Prison Monitoring Unit

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Primary Function: Monitor private prison operations pursuant to Section 17-1-202 (1) (g), C.R.S. | | | | | | |
| Personal Services - GF | 1,434,401 | 1,354,516 | 1,031,078 | 1,065,095 | 1,043,853 | |
| FTE | 18.8 | 17.5 | 13.3 | 13.3 | 13.3 | |
| Operating Expenses | 226,239 | 224,316 | 202,223 | 213,443 | 213,443 | BA3 |
| General Fund | 226,239 | 224,316 | 172,170 | 183,976 | 183,976 | |
| Cash Funds | 0 | | 30,053 | 29,467 | 29,467 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Private Prison Monitoring Unit | 1,660,640 | 1,578,832 | 1,233,301 | 1,278,538 | 1,257,296 | |
| FTE | 18.8 | 17.5 | 13.3 | 13.3 | 13.3 | |
| General Fund | 1,660,640 | 1,578,832 | 1,203,248 | 1,249,071 | 1,227,829 | |
| Cash Funds | 0 | 0 | 30,053 | 29,467 | 29,467 | |

(2) Payments to House State Prisoners

Primary Function: To reimburse county jails and private prisons for state inmates housed in these facilities.

| | | | | | | |
|--|------------|------------|------------|--------------|------------|------|
| Payments to local jails at a rate of \$50.44 per inmate per day - GF | 10,474,017 | 11,772,794 | 9,557,357 | S 7,898,576 | 10,716,623 | R5 |
| Payments to in-state private prisons at a rate of \$54.93 per inmate per day (real rate is \$52.69) | 84,561,358 | 72,481,021 | 69,892,179 | S 65,664,113 | 65,100,701 | R3,5 |
| General Fund | 80,511,736 | 70,735,797 | 66,101,770 | 63,305,406 | 62,741,994 | |
| Cash Funds | 4,049,622 | 1,745,224 | 3,790,409 | 2,358,707 | 2,358,707 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Payments to Pre-release Parole Revocation Facilities at a Rate of \$54.93 per Inmate Per Day (real rate is \$52.69) - GF | 13,283,421 | 13,118,883 | 11,845,981 | S 12,731,933 | 12,071,929 | R5 |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Community Corrections Programs - GF | 3,912,275 | 4,035,869 | 3,851,545 | S 3,682,879 | 3,683,692 | R5 |
| SUBTOTAL - Payments to House State Prisoners | <u>112,231,071</u> | <u>101,408,567</u> | <u>95,147,062</u> | <u>89,977,501</u> | <u>91,572,945</u> | |
| General Fund | 108,181,449 | 99,663,343 | 91,356,653 | 87,618,794 | 89,214,238 | |
| Cash Funds | 4,049,622 | 1,745,224 | 3,790,409 | 2,358,707 | 2,358,707 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - External Capacity Subprogram | <u>113,891,711</u> | <u>102,987,399</u> | <u>96,380,363</u> | <u>91,256,039</u> | <u>92,830,241</u> | |
| FTE | <u>18.8</u> | <u>17.5</u> | <u>13.3</u> | <u>13.3</u> | <u>13.3</u> | |
| General Fund | 109,842,089 | 101,242,175 | 92,559,901 | 88,867,865 | 90,442,067 | |
| Cash Funds | 4,049,622 | 1,745,224 | 3,820,462 | 2,388,174 | 2,388,174 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |

(C) Inspector General Subprogram

Primary Function: Investigate crimes within the state prison system.

| | | | | | | |
|--------------------------|----------------|----------------|----------------|----------------|----------------|----------|
| Personal Services | 3,843,482 | 3,839,507 | 3,583,965 | 3,673,016 | 3,597,998 | |
| FTE | <u>49.2</u> | <u>48.0</u> | <u>45.2</u> | <u>45.2</u> | <u>45.2</u> | |
| General Fund | 3,770,878 | 3,744,811 | 3,482,546 | 3,571,597 | 3,498,702 | |
| FTE | 49.2 | 48.0 | 45.2 | 45.2 | 45.2 | |
| Cash Funds | 72,604 | 94,696 | 101,419 | 101,419 | 99,296 | |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses | <u>410,085</u> | <u>370,124</u> | <u>338,515</u> | <u>349,046</u> | <u>348,307</u> | R1,2,3,7 |
| General Fund | 315,125 | 286,936 | 255,328 | 265,859 | 265,120 | |
| Cash Funds | 94,960 | 83,188 | 83,187 | 83,187 | 83,187 | |
| Inspector General Grants | 168,187 | 85,778 | 404,464 | S 235,649 | 235,649 | BA1 |
| FTE | <u>1.0</u> | <u>0.0</u> | <u>1.0</u> | <u>1.0</u> | <u>1.0</u> | |
| Reappropriated Funds | 0 | 83,820 | 118,714 | 27,737 | 27,737 | |
| FTE | 1.0 | 0.0 | 1.0 | 1.0 | 1.0 | |
| Federal Funds | 168,187 | 1,958 | 285,750 | 207,912 | 207,912 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Inspector General Subprogram | 4,421,754 | 4,295,409 | 4,326,944 | 4,257,711 | 4,181,954 | |
| FTE | <u>50.2</u> | <u>48.0</u> | <u>46.2</u> | <u>46.2</u> | <u>46.2</u> | |
| General Fund | 4,086,003 | 4,031,747 | 3,737,874 | 3,837,456 | 3,763,822 | |
| Cash Funds | 167,564 | 177,884 | 184,606 | 184,606 | 182,483 | |
| Reappropriated Funds | 0 | 83,820 | 118,714 | 27,737 | 27,737 | |
| Federal Funds | 168,187 | 1,958 | 285,750 | 207,912 | 207,912 | |
| SUBTOTAL - MANAGEMENT | 187,863,638 | 177,274,036 | 175,019,534 | 179,018,122 | 170,552,923 | |
| FTE | <u>87.2</u> | <u>84.9</u> | <u>76.6</u> | <u>77.5</u> | <u>77.5</u> | |
| General Fund | 181,050,854 | 173,050,568 | 168,135,987 | 173,319,771 | 165,214,541 | |
| Cash Funds | 6,398,421 | 3,856,583 | 6,138,528 | 5,128,897 | 4,772,898 | |
| Reappropriated Funds | 97,970 | 283,527 | 374,269 | 276,542 | 272,572 | |
| Federal Funds | 316,393 | 83,358 | 370,750 | 292,912 | 292,912 | |

(2) INSTITUTIONS

(A) Utilities Subprogram

Primary Function: Provide heat, power, water, and sanitation at all facilities.

| | | | | | | |
|--------------------------------|-------------------|-------------------|---------------------|-------------------|-------------------|--------------|
| Energy Management Program - GF | 313,249 | 320,211 | 296,099 | 300,792 | 296,916 | |
| FTE | 3.0 | 2.4 | 2.6 | 2.6 | 2.6 | |
| Utilities | <u>18,442,169</u> | <u>19,581,181</u> | <u>20,514,677</u> S | <u>20,189,013</u> | <u>20,090,409</u> | R3, BA1, BA4 |
| General Fund | 17,571,672 | 18,667,855 | 19,475,759 | 19,138,179 | 19,039,575 | |
| Cash Funds | 870,497 | 913,326 | 1,038,918 | 1,050,834 | 1,050,834 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| SUBTOTAL - Utilities Subprogram | 18,755,418 | 19,901,392 | 20,810,776 | 20,489,805 | 20,387,325 | |
| FTE | <u>3.0</u> | <u>2.4</u> | <u>2.6</u> | <u>2.6</u> | <u>2.6</u> | |
| General Fund | 17,884,921 | 18,988,066 | 19,771,858 | 19,438,971 | 19,336,491 | |
| Cash Funds | 870,497 | 913,326 | 1,038,918 | 1,050,834 | 1,050,834 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |

(B) Maintenance Subprogram

Primary Function: Includes grounds maintenance, and maintenance of facilities, which includes the boiler house, janitorial, and life safety.

| | | | | | | |
|--|--------------|--------------|--------------|--------------|--------------|-----------|
| Personal Services - GF | 17,478,337 | 18,672,802 | 18,297,192 | S 18,520,936 | 18,113,117 | R3,4, BA4 |
| FTE | 295.3 | 314.2 | 314.5 | 309.6 | 308.7 | |
| Operating Expenses - GF | 5,176,376 | 5,082,314 | 6,749,777 | S 5,320,743 | 5,310,543 | R3,4, BA4 |
| Purchase of Services - GF | 1,088,323 | 1,111,424 | 1,111,424 | 1,467,820 | 1,467,820 | R4 |
| Maintenance Grants | 55,118 | 67,347 | 195,048 | S 0 | 0 | |
| Cash Funds | 47,465 | | 195,048 | 0 | 0 | |
| Reappropriated Funds | 7,653 | 67,347 | 0 | 0 | 0 | |
| Start-up Costs - GF | 0 | 117,000 | 0 | 215 | 0 | R3 |
| SUBTOTAL - Maintenance Subprogram | 23,798,154 | 25,050,887 | 26,353,441 | 25,309,714 | 24,891,480 | |
| FTE | <u>295.3</u> | <u>314.2</u> | <u>314.5</u> | <u>309.6</u> | <u>308.7</u> | |
| General Fund | 23,743,036 | 24,983,540 | 26,158,393 | 25,309,714 | 24,891,480 | |
| Cash Funds | 47,465 | 0 | 195,048 | 0 | 0 | |
| Reappropriated Funds | 7,653 | 67,347 | 0 | 0 | 0 | |

(C) Housing and Security Subprogram

Primary Function: Responsible for ongoing inmate supervision, including the implementation and management of security operations.

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Personal Services | 152,382,957 | 158,329,809 | 156,319,366 | S 163,981,430 | 160,303,632 | R3,4 |
| FTE | <u>2,857.0</u> | <u>3,122.0</u> | <u>3,057.9</u> | <u>3,116.0</u> | <u>3,105.0</u> | |
| General Fund | 65,176,683 | 158,326,862 | 155,822,800 | 163,978,483 | 160,300,745 | |
| Cash Funds | 0 | 2,947 | 496,566 | 2,947 | 2,887 | |
| Federal Funds | 87,206,274 | 0 | 0 | 0 | 0 | |
| Operating Expenses - GF | 1,739,841 | 1,947,883 | 1,919,483 | 1,854,511 | 1,848,511 | R3,4 |
| Start-up Costs - GF | 0 | 0 | 0 | 2,580 | 0 | R3 |
| SUBTOTAL - Housing & Security Subprogram | 154,122,798 | 160,277,692 | 158,238,849 | 165,838,521 | 162,152,143 | |
| FTE | <u>2,857.0</u> | <u>3,122.0</u> | <u>3,057.9</u> | <u>3,116.0</u> | <u>3,105.0</u> | |
| General Fund | 66,916,524 | 160,274,745 | 157,742,283 | 165,835,574 | 162,149,256 | |
| Cash Funds | 0 | 2,947 | 496,566 | 2,947 | 2,887 | |
| Federal Funds | 87,206,274 | 0 | 0 | 0 | 0 | |

(D) Food Service Subprogram

Primary Function: Provide three meals daily to all inmates.

| | | | | | | |
|---|-------------------|-------------------|-------------------|---------------------|-------------------|------|
| Personal Services - GF | 14,378,839 | 15,130,075 | 14,879,282 | S 15,030,999 | 14,681,992 | R3,4 |
| FTE | 241.8 | 265.4 | 261.1 | 257.5 | 256.6 | |
| Operating Expenses | <u>15,779,187</u> | <u>16,025,292</u> | <u>16,172,194</u> | S <u>15,980,599</u> | <u>15,745,174</u> | R3 |
| General Fund | 15,779,187 | 15,880,464 | 15,904,566 | 15,900,599 | 15,665,174 | |
| Federal Funds | 0 | 144,828 | 267,628 | 80,000 | 80,000 | |
| Purchase of Services - GF | 857,828 | 831,367 | 859,098 | 1,228,011 | 1,228,011 | R4 |
| Start-up Costs - GF | 0 | 0 | 0 | 215 | 0 | R3 |
| SUBTOTAL - Food Service Subprogram | 31,015,854 | 31,986,734 | 31,910,574 | 32,239,824 | 31,655,177 | |
| FTE | <u>241.8</u> | <u>265.4</u> | <u>261.1</u> | <u>257.5</u> | <u>256.6</u> | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---------------|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| General Fund | 31,015,854 | 31,841,906 | 31,642,946 | 32,159,824 | 31,575,177 | |
| Federal Funds | 0 | 144,828 | 267,628 | 80,000 | 80,000 | |

(E) Medical Services Subprogram

Primary Function: Provide acute and long-term health care services to all inmates, using both state employees and contracted health care providers.

| | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|----|
| Personal Services | 27,838,113 | 27,872,112 | 27,658,959 | 30,658,207 | 30,126,150 | R4 |
| FTE | <u>353.2</u> | <u>364.3</u> | <u>371.9</u> | <u>404.1</u> | <u>404.1</u> | |
| General Fund | 27,681,360 | 27,715,291 | 27,433,762 | 30,433,010 | 29,905,285 | |
| FTE | 350.2 | 362.3 | 368.9 | 401.1 | 401.1 | |
| Cash Funds | 156,753 | 156,821 | 225,197 | 225,197 | 220,865 | |
| FTE | 3.0 | 2.0 | 3.0 | 3.0 | 3.0 | |
| Operating Expenses - GF | 2,747,730 | 2,699,418 | 2,673,259 | 2,751,758 | 2,600,375 | R3 |
| Purchase of Pharmaceuticals - GF | 9,733,410 | 10,421,518 | 11,384,100 | S 10,612,565 | 11,930,318 | R5 |
| Purchase of Medical Services from Other Medical Facilities - GF | 21,979,398 | 20,435,719 | 20,378,663 | S 20,355,600 | 19,708,805 | R5 |
| Purchase of Medical Services from State Hospital - GF | 16,050 | 0 | 0 | 0 | 0 | |
| Catastrophic Medical Expenses - GF | 7,948,051 | 11,992,258 | 9,594,144 | S 11,640,956 | 9,866,736 | R5 |
| Service Contracts - GF | 2,401,631 | 2,469,255 | 2,452,396 | 2,389,886 | 2,389,886 | |
| Indirect Cost Recoveries - CF | 4,723 | 0 | 49,288 | 56,516 | 56,516 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| SUBTOTAL - Medical Services Subprogram | 72,669,106 | 75,890,280 | 74,190,809 | 78,465,488 | 76,678,786 | |
| FTE | <u>353.2</u> | <u>364.3</u> | <u>371.9</u> | <u>404.1</u> | <u>404.1</u> | |
| General Fund | 72,507,630 | 75,733,459 | 73,916,324 | 78,183,775 | 76,401,405 | |
| FTE | 350.2 | 362.3 | 368.9 | 401.1 | 401.1 | |
| Cash Funds | 161,476 | 156,821 | 274,485 | 281,713 | 277,381 | |
| FTE | 3.0 | 2.0 | 3.0 | 3.0 | 3.0 | |

(F) Laundry Subprogram

Primary Function: Issue and maintains all clothing, bedding, jackets, and footwear for inmates.

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|------|
| Personal Services - GF | 2,161,658 | 2,303,995 | 2,175,148 | 2,238,193 | 2,193,241 | R4 |
| FTE | 34.9 | 36.8 | 35.8 | 36.1 | 36.1 | |
| Operating Expenses - GF | 2,222,217 | 2,206,751 | 2,143,923 | 2,146,175 | 2,126,513 | R3,4 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Laundry Subprogram - GF | 4,383,875 | 4,510,746 | 4,319,071 | 4,384,368 | 4,319,754 | |
| FTE | 34.9 | 36.8 | 35.8 | 36.1 | 36.1 | |

(G) Superintendents Subprogram

Primary Function: Develop facility policy, procedures, and practices that conform with applicable laws, consent decrees, court orders, legislative mandates, and executive orders.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|---------|
| Personal Services - GF | 9,869,648 | 9,989,742 | 9,669,571 | 9,998,563 | 9,802,396 | R4 |
| FTE | 158.9 | 166.5 | 153.9 | 153.9 | 153.9 | |
| Operating Expenses - GF | 3,143,667 | 3,364,780 | 3,944,006 | 3,377,124 | 3,377,124 | R4, BA3 |
| Dress out - GF | 810,337 | 719,027 | 675,433 | 675,433 | 675,433 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Start-up Costs - GF | 0 | 580,470 | 0 | 79,605 | 54,955 | R1,3 |
| SUBTOTAL - Superintendents Subprogram - GF | 13,823,652 | 14,654,019 | 14,289,010 | 14,130,725 | 13,909,908 | |
| FTE | 158.9 | 166.5 | 153.9 | 153.9 | 153.9 | |

(Formerly H) Boot Camp Subprogram (Decommissioned)

Primary Function: Operate a 90-day minimum security military discipline training program with 100 beds.

| | | | | | | |
|---|-----------|---------|-----|-----|-----|--|
| Personal Services - GF | 1,731,685 | 143,696 | 0 | 0 | 0 | |
| FTE | 28.3 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses - GF | 52,413 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Boot Camp Subprogram - GF | 1,784,098 | 143,696 | 0 | 0 | 0 | |
| FTE | 28.3 | 0.0 | 0.0 | 0.0 | 0.0 | |

(H) Youthful Offender System Subprogram

Primary Function: Target offenders aged 14 to 18 years at the time of offense who have committed violent class 3 to 6 felonies. All sentences are determinate of 2-6 years.

| | | | | | | |
|--|------------|------------|------------|------------|------------|--|
| Personal Services - GF | 9,985,377 | 9,825,657 | 9,730,406 | 9,941,970 | 9,737,282 | |
| FTE | 162.5 | 161.8 | 162.7 | 162.7 | 162.7 | |
| Operating Expenses - GF | 197,670 | 333,350 | 469,028 | 604,705 | 604,705 | |
| Contract Services - GF | 23,716 | 28,800 | 28,820 | 28,820 | 28,820 | |
| Purchase of Services - GF | 620,218 | 624,451 | 624,589 | 624,589 | 624,589 | |
| SUBTOTAL - Y.O.S. Subprogram - GF | 10,826,981 | 10,812,258 | 10,852,843 | 11,200,084 | 10,995,396 | |
| FTE | 162.5 | 161.8 | 162.7 | 162.7 | 162.7 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|

(I) Case Management Subprogram

Primary Function: Responsible for case analysis, classification reviews, performance assessment, earned time evaluations, sentence computation, and parole preparations.

| | | | | | | |
|-------------------------|------------|------------|------------|--------------|------------|------|
| Personal Services - GF | 15,002,633 | 15,448,653 | 15,161,793 | S 15,447,560 | 15,086,443 | R3,4 |
| FTE | 212.0 | 223.1 | 218.8 | 217.5 | 216.6 | |
| Operating Expenses - GF | 150,874 | 160,578 | 158,803 | 162,156 | 161,656 | R3,4 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | | | | | | |
|---|------------|------------|------------|------------|------------|--|
| SUBTOTAL - Case Management Subprogram - GF | 15,153,507 | 15,609,231 | 15,320,596 | 15,609,716 | 15,248,099 | |
| FTE | 212.0 | 223.1 | 218.8 | 217.5 | 216.6 | |

(J) Mental Health Subprogram

Primary Function: Provide a full range of professional psychiatric, psychological, social work and other mental health services to inmates.

| | | | | | | |
|--------------------------------|-----------|-----------|-----------|------------|------------|-----|
| Personal Services - GF | 7,255,423 | 8,544,023 | 9,008,822 | 10,769,600 | 10,614,612 | R4 |
| FTE | 83.2 | 96.1 | 120.6 | 132.6 | 132.6 | |
| Operating Expenses - GF | 91,904 | 266,162 | 268,508 | 261,045 | 261,045 | R4 |
| Medical Contract Services - GF | 526,030 | 560,790 | 616,894 | 1,034,762 | 1,034,762 | |
| Mental Health Grants - RF | 60,293 | 251,294 | 230,000 | S 64,799 | 64,799 | BA3 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | | | | | | |
|--|-----------|-----------|------------|------------|------------|--|
| SUBTOTAL - Mental Health Subprogram | 7,933,650 | 9,622,269 | 10,124,224 | 12,130,206 | 11,975,218 | |
| FTE | 83.2 | 96.1 | 120.6 | 132.6 | 132.6 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|----------------------|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| General Fund | 7,873,357 | 9,370,975 | 9,894,224 | 12,065,407 | 11,910,419 | |
| Reappropriated Funds | 60,293 | 251,294 | 230,000 | 64,799 | 64,799 | |

(K) Inmate Pay Subprogram

Primary Function: Provide pay between \$0.23 and \$0.60 per day to inmates for labor positions such as janitorial services, facility maintenance, food services, laundry, grounds keeping, etc.

| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|----|
| Inmate Pay - GF | 1,457,836 | 1,527,421 | 1,533,490 | 1,507,722 | 1,498,362 | R3 |
| SUBTOTAL - Inmate Pay Subprogram - GF | 1,457,836 | 1,527,421 | 1,533,490 | 1,507,722 | 1,498,362 | |

(L) San Carlos Subprogram

Primary Function: Operate a 250-bed specialized facility designed to provide mental health treatment services to high needs mentally ill inmates.

| | | | | | | |
|--|------------|------------|------------|-----|-----------|----|
| Personal Services - GF | 12,483,933 | 12,352,827 | 12,231,847 | 0 | (251,424) | R4 |
| FTE | 177.1 | 175.2 | 178.2 | 0.0 | 0.0 | |
| Operating Expenses - GF | 193,024 | 199,092 | 199,092 | 0 | 0 | R4 |
| Service Contracts - GF | 708,746 | 725,306 | 725,309 | 0 | 0 | R4 |
| SUBTOTAL - San Carlos Subprogram - GF | 13,385,703 | 13,277,225 | 13,156,248 | 0 | (251,424) | |
| FTE | 177.1 | 175.2 | 178.2 | 0.0 | 0.0 | |

(M) Legal Access Subprogram

Primary Function: Provide inmates with resources to research and file claims with the courts.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|----|
| Personal Services - GF | 1,377,292 | 1,359,467 | 1,237,412 | 1,321,783 | 1,295,928 | R4 |
| FTE | 21.5 | 23.0 | 20.5 | 21.5 | 21.5 | |
| Operating Expenses - GF | 294,090 | 284,622 | 284,622 | 299,602 | 299,602 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Contract Services - GF | 70,905 | 70,905 | 70,905 | 70,905 | 70,905 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Legal Access Subprogram - GF | 1,742,287 | 1,714,994 | 1,592,939 | 1,692,290 | 1,666,435 | |
| FTE | 21.5 | 23.0 | 20.5 | 21.5 | 21.5 | |
| SUBTOTAL - INSTITUTIONS | 370,852,919 | 384,978,844 | 382,692,870 | 382,998,463 | 375,126,659 | |
| FTE | <u>4,628.7</u> | <u>4,950.8</u> | <u>4,898.5</u> | <u>4,814.1</u> | <u>4,800.4</u> | |
| General Fund | 282,499,261 | 383,442,281 | 380,190,225 | 381,518,170 | 373,650,758 | |
| Cash Funds | 1,079,438 | 1,073,094 | 2,005,017 | 1,335,494 | 1,331,102 | |
| Reappropriated Funds | 67,946 | 318,641 | 230,000 | 64,799 | 64,799 | |
| Federal Funds | 87,206,274 | 144,828 | 267,628 | 80,000 | 80,000 | |

(3) SUPPORT SERVICES

(A) Business Operations Subprogram

Primary Function: Provide all fiscal management and budgeting services for the Department.

| | | | | | | |
|-------------------------|-------------|--------------|-------------|-------------|-------------|--|
| Personal Services | 6,172,208 | 6,151,292 | 5,913,209 | 6,060,556 | 5,938,384 | |
| FTE | <u>94.8</u> | <u>106.9</u> | <u>92.3</u> | <u>91.8</u> | <u>91.8</u> | |
| General Fund | 5,714,564 | 5,734,158 | 5,472,762 | 5,627,977 | 5,514,905 | |
| FTE | 86.2 | 96.3 | 81.7 | 81.2 | 81.2 | |
| Cash Funds | 457,644 | 417,134 | 432,425 | 424,557 | 415,623 | |
| FTE | 8.6 | 10.6 | 10.6 | 10.6 | 10.6 | |
| Reappropriated Funds | 0 | 0 | 8,022 | 8,022 | 7,856 | |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses - GF | 230,733 | 224,245 | 223,630 | 234,201 | 234,201 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Business Operations Subprogram | 6,402,941 | 6,375,537 | 6,136,839 | 6,294,757 | 6,172,585 | |
| FTE | <u>94.8</u> | <u>106.9</u> | <u>92.3</u> | <u>91.8</u> | <u>91.8</u> | |
| General Fund | 5,945,297 | 5,958,403 | 5,696,392 | 5,862,178 | 5,749,106 | |
| FTE | 86.2 | 96.3 | 81.7 | 81.2 | 81.2 | |
| Cash Funds | 457,644 | 417,134 | 432,425 | 424,557 | 415,623 | |
| FTE | 8.6 | 10.6 | 10.6 | 10.6 | 10.6 | |
| Reappropriated Funds | 0 | 0 | 8,022 | 8,022 | 7,856 | |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | |

(B) Personnel Subprogram

Primary Function: Provide services, including recruitment, examination, position classification, personnel records, affirmative action, appeals, grievance, benefits administration, etc.

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|--|
| Personal Services - GF | 1,177,019 | 1,221,028 | 1,220,014 | 1,241,634 | 1,216,502 | |
| FTE | 15.7 | 21.6 | 17.0 | 17.0 | 17.0 | |
| Operating Expenses - GF | 93,296 | 89,259 | 82,259 | 86,931 | 86,931 | |
| Start-up Costs - GF | 0 | 4,795 | 0 | 0 | 0 | |
| SUBTOTAL - Personnel Subprogram - GF | 1,270,315 | 1,315,082 | 1,302,273 | 1,328,565 | 1,303,433 | |
| FTE | 15.7 | 21.6 | 17.0 | 17.0 | 17.0 | |

(C) Offender Services Subprogram

Primary Function: Provide offender population management, offender classification, offender case management, sentence computation, release operations, jail backlog monitoring, etc.

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|----|
| Personal Services - GF | 2,880,983 | 2,894,246 | 2,839,945 | 3,006,400 | 2,946,423 | R7 |
| FTE | 42.0 | 42.8 | 42.1 | 44.1 | 44.1 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Operating Expenses - GF | 58,182 | 55,332 | 55,332 | 60,144 | 60,144 | R7 |
| Start-up Costs - GF | 31,368 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Offender Services Subprogram - GF | 2,970,533 | 2,949,578 | 2,895,277 | 3,066,544 | 3,006,567 | |
| FTE | 42.0 | 42.8 | 42.1 | 44.1 | 44.1 | |

(D) Communications Subprogram

Primary Function: Manage staff voice communication, radio systems and equipment, cellular telephones, pagers, and video conferences.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|----------|
| Personal Services - GF | 611,670 | 0 | 0 | 0 | 0 | |
| FTE | 7.6 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses - GF | 1,522,718 | 1,538,605 | 1,477,045 S | 1,536,800 | 1,523,550 | R1,2,3,7 |
| Multiuse Network Payments | <u>1,242,017</u> | <u>2,338,576</u> | <u>2,303,077</u> | <u>2,903,075</u> | <u>3,063,333</u> | |
| General Fund | 1,174,948 | 2,268,419 | 2,233,566 | 2,815,455 | 2,970,876 | |
| Cash Funds | 67,069 | 70,157 | 69,511 | 87,620 | 92,457 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Dispatch Services - GF | 129,836 | 108,781 | 190,218 S | 230,270 | 200,000 | |
| Communications Services Payments - GF | 1,687,070 | 1,624,537 | 1,736,517 | 1,970,854 | 1,766,769 | |
| SUBTOTAL - Communications Subprogram | 5,193,311 | 5,610,499 | 5,706,857 | 6,640,999 | 6,553,652 | |
| FTE | <u>7.6</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | |
| General Fund | 5,126,242 | 5,540,342 | 5,637,346 | 6,553,379 | 6,461,195 | |
| Cash Funds | 67,069 | 70,157 | 69,511 | 87,620 | 92,457 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|

(E) Transportation Subprogram

Primary Function: Manage the Department's vehicle fleet as well as the Central Transportation Unit.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|----------------|--------|
| Personal Services - GF | 1,971,441 | 1,914,558 | 1,889,649 | 1,932,495 | 1,892,650 | |
| FTE | 35.9 | 35.3 | 35.9 | 35.9 | 35.9 | |
| Operating Expenses - GF | 291,079 | 277,550 | 269,888 | 284,794 | 284,794 | |
| Vehicle Lease Payments | <u>2,506,639</u> | <u>2,649,554</u> | <u>2,540,883</u> | <u>2,799,293</u> | <u>Pending</u> | R7, NP |
| General Fund | 2,428,331 | 2,389,884 | 2,289,725 | 2,414,952 | | |
| Cash Funds | 78,308 | 259,670 | 251,158 | 384,341 | | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Transportation Subprogram | 4,769,159 | 4,841,662 | 4,700,420 | 5,016,582 | 2,177,444 | |
| FTE | <u>35.9</u> | <u>35.3</u> | <u>35.9</u> | <u>35.9</u> | <u>35.9</u> | |
| General Fund | 4,690,851 | 4,581,992 | 4,449,262 | 4,632,241 | 2,177,444 | |
| Cash Funds | 78,308 | 259,670 | 251,158 | 384,341 | 0 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |

(F) Training Subprogram

Primary Function: Provide basic, extended, in-service and advanced training to DOC employees.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|----------|
| Personal Services - GF | 1,972,439 | 1,969,325 | 1,869,329 | 1,874,998 | 1,836,366 | |
| FTE | 27.0 | 27.6 | 25.7 | 25.0 | 25.0 | |
| Operating Expenses - GF | 273,333 | 270,432 | 267,050 | 280,497 | 279,758 | R1,2,3,7 |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Training Subprogram - GF | 2,245,772 | 2,239,757 | 2,136,379 | 2,155,495 | 2,116,124 | |
| FTE | 27.0 | 27.6 | 25.7 | 25.0 | 25.0 | |

(G) Information Systems Subprogram

Primary Function: Responsible for the development and maintenance of automated information systems within the DOC.

| | | | | | | |
|--|------------------|------------------|--------------------|------------------|------------------|----------|
| Personal Services - GF | 3,822,764 | 0 | 0 | 0 | 0 | |
| FTE | 44.5 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses | <u>1,582,629</u> | <u>1,565,322</u> | <u>1,537,964</u> S | <u>1,613,208</u> | <u>1,607,319</u> | R1,2,3,7 |
| General Fund | 1,582,629 | 1,565,322 | 1,537,964 | 1,613,208 | 1,607,319 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Purchase of Services From Computer Center - GF | 194,860 | 4,596,322 | 5,516,687 | 6,026,485 | 5,738,360 | |
| Management and Administration of OIT - GF | 128,028 | 434,410 | 439,320 | 430,870 | 437,228 | |
| Start-up Costs - GF | 0 | 27,928 | 0 | 0 | 0 | |
| SUBTOTAL - Information Systems Subprogram | 5,728,281 | 6,623,982 | 7,493,971 | 8,070,563 | 7,782,907 | |
| FTE | 44.5 | 0.0 | 0.0 | 0.0 | 0.0 | |
| General Fund | 5,728,281 | 6,623,982 | 7,493,971 | 8,070,563 | 7,782,907 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |

(H) Facility Services Subprogram

Primary Function: Duties include contractor/design team selection, design review, contract administration, and fiscal management of the DOC's capital construction projects.

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Personal Services - GF | 965,921 | 963,340 | 942,136 | 956,936 | 937,113 | |
| FTE | 9.5 | 10.5 | 9.4 | 9.4 | 9.4 | |
| Operating Expenses - GF | 80,820 | 78,941 | 78,941 | 83,096 | 83,096 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Facility Services Subprogram - GF | 1,046,741 | 1,042,281 | 1,021,077 | 1,040,032 | 1,020,209 | |
| FTE | 9.5 | 10.5 | 9.4 | 9.4 | 9.4 | |
| SUBTOTAL - SUPPORT SERVICES | 29,627,053 | 30,998,378 | 31,393,093 | 33,613,537 | 30,132,921 | |
| FTE | <u>277.0</u> | <u>244.7</u> | <u>222.4</u> | <u>223.2</u> | <u>223.2</u> | |
| General Fund | 29,024,032 | 30,251,417 | 30,631,977 | 32,708,997 | 29,616,985 | |
| Cash Funds | 603,021 | 746,961 | 753,094 | 896,518 | 508,080 | |
| Reappropriated Funds | 0 | 0 | 8,022 | 8,022 | 7,856 | |

(4) INMATE PROGRAMS

(A) Labor Subprogram

Primary Function: Supervise inmate work assignments involving physical labor to assist the DOC and outside agencies with reclamation, landscaping, construction, etc.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|----|
| Personal Services - GF | 5,405,607 | 5,341,465 | 5,280,225 | 5,374,726 | 5,178,002 | R3 |
| FTE | 91.3 | 89.4 | 90.9 | 90.5 | 88.7 | |
| Operating Expenses - GF | 81,117 | 91,420 | 90,297 | 89,052 | 88,052 | R3 |
| Start-up Costs - GF | 0 | 0 | 0 | 430 | 0 | R3 |
| SUBTOTAL - Labor Subprogram - GF | 5,486,724 | 5,432,885 | 5,370,522 | 5,464,208 | 5,266,054 | |
| FTE | 91.3 | 89.4 | 90.9 | 90.5 | 88.7 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| (B) Education Subprogram | | | | | | |
| Primary Function: Assist inmates in improving basic skills such as English, reading, writing, spelling, and math. | | | | | | |
| Personal Services | 14,343,937 | 14,592,214 | 11,664,100 S | 11,631,729 | 11,395,978 | |
| FTE | <u>218.1</u> | <u>225.4</u> | <u>183.4</u> | <u>175.9</u> | <u>175.9</u> | |
| General Fund | 10,943,992 | 10,390,502 | 10,749,839 | 10,717,468 | 10,500,196 | |
| FTE | 218.1 | 225.4 | 183.4 | 175.9 | 175.9 | |
| Cash Funds | 3,399,945 | 4,201,712 | 914,261 | 914,261 | 895,782 | |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | |
| Operating Expenses | <u>1,582,522</u> | <u>1,353,025</u> | <u>2,470,367</u> | <u>2,453,237</u> | <u>2,453,237</u> | |
| General Fund | 18,252 | 0 | 0 | 0 | 0 | |
| Cash Funds | 1,185,653 | 963,882 | 1,859,352 | 1,842,222 | 1,842,222 | |
| Reappropriated Funds | 378,617 | 389,143 | 611,015 | 611,015 | 611,015 | |
| Contract Services | <u>67,715</u> | <u>71,704</u> | <u>73,276</u> | <u>73,276</u> | <u>73,276</u> | |
| General Fund | 67,715 | 71,704 | 73,276 | 73,276 | 73,276 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Education Grants | 356,128 | 633,469 | 1,286,297 S | 113,894 | 113,894 | BA3 |
| FTE | <u>2.0</u> | <u>0.0</u> | <u>2.0</u> | <u>2.0</u> | <u>2.0</u> | |
| Cash Funds | 76 | 59 | 10,000 | 10,000 | 10,000 | |
| Reappropriated Funds | 0 | 202,446 | 273,735 | 76,244 | 76,244 | |
| FTE | 2.0 | 0.0 | 2.0 | 2.0 | 2.0 | |
| Federal Funds | 356,052 | 430,964 | 1,002,562 | 27,650 | 27,650 | |
| Indirect Cost Recoveries - FF | 0 | 0 | 5,476 | 5,476 | 5,476 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|--|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| SUBTOTAL - Education Subprogram | 16,350,302 | 16,650,412 | 15,499,516 | 14,277,612 | 14,041,861 | |
| FTE | <u>220.1</u> | <u>225.4</u> | <u>185.4</u> | <u>177.9</u> | <u>177.9</u> | |
| General Fund | 11,029,959 | 10,462,206 | 10,823,115 | 10,790,744 | 10,573,472 | |
| Cash Funds | 4,585,674 | 5,165,653 | 2,783,613 | 2,766,483 | 2,748,004 | |
| Reappropriated Funds | 378,617 | 591,589 | 884,750 | 687,259 | 687,259 | |
| Federal Funds | 356,052 | 430,964 | 1,008,038 | 33,126 | 33,126 | |

(C) Recreation Subprogram

Primary Function: Provide standardized, staff supervised recreational programs to inmates.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-------------|-----------|----|
| Personal Services - GF | 6,308,619 | 6,274,379 | 6,127,996 | S 6,394,753 | 6,269,391 | R4 |
| FTE | 115.7 | 118.3 | 114.8 | 115.8 | 115.8 | |
| Operating Expenses - CF | 73,864 | 73,132 | 74,033 | 71,200 | 71,200 | |

| | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--|
| SUBTOTAL - Recreation Subprogram | 6,382,483 | 6,347,511 | 6,202,029 | 6,465,953 | 6,340,591 | |
| FTE | <u>115.7</u> | <u>118.3</u> | <u>114.8</u> | <u>115.8</u> | <u>115.8</u> | |
| General Fund | 6,308,619 | 6,274,379 | 6,127,996 | 6,394,753 | 6,269,391 | |
| Cash Funds | 73,864 | 73,132 | 74,033 | 71,200 | 71,200 | |

(D) Drug and Alcohol Treatment Subprogram

Primary Function: Provide drug and alcohol treatment services to inmates.

| | | | | | | |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|--|
| Personal Services - GF | 4,281,165 | 3,421,132 | 3,911,133 | 4,986,150 | 4,894,469 | |
| FTE | 57.0 | 60.9 | 42.8 | 64.8 | 64.8 | |
| Operating Expenses - GF | 117,580 | 117,580 | 117,316 | 110,932 | 110,932 | |
| Drug Offender Surcharge Program - CF | 995,127 | 845,858 | 995,127 | 995,127 | 995,127 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Contract Services | <u>2,309,908</u> | <u>2,322,581</u> | <u>2,290,957</u> S | <u>2,226,170</u> | <u>2,172,183</u> | R7,8 |
| General Fund | 2,059,908 | 2,110,081 | 2,040,957 | 1,976,170 | 1,922,183 | |
| Cash Funds | 250,000 | 212,500 | 250,000 | 250,000 | 250,000 | |
| Treatment Grants | <u>218,023</u> | <u>211,652</u> | <u>312,461</u> S | <u>126,682</u> | <u>126,682</u> | |
| Reappropriated Funds | 0 | 169,173 | 312,461 | 126,682 | 126,682 | |
| Federal Funds | 218,023 | 42,479 | 0 | 0 | 0 | |
| Start-up Costs - GF | 265,586 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Drug/Alcohol Treatment Subprogram | 8,187,389 | 6,918,803 | 7,626,994 | 8,445,061 | 8,299,393 | |
| FTE | <u>57.0</u> | <u>60.9</u> | <u>42.8</u> | <u>64.8</u> | <u>64.8</u> | |
| General Fund | 6,724,239 | 5,648,793 | 6,069,406 | 7,073,252 | 6,927,584 | |
| Cash Funds | 1,245,127 | 1,058,358 | 1,245,127 | 1,245,127 | 1,245,127 | |
| Reappropriated Funds | 0 | 169,173 | 312,461 | 126,682 | 126,682 | |
| Federal Funds | 218,023 | 42,479 | 0 | 0 | 0 | |

(E) Sex Offender Treatment Subprogram

Primary Function: Provide treatment to sex offenders who are motivated to eliminate such behavior.

| | | | | | | |
|--------------------|---------------|---------------|---------------|---------------|---------------|--|
| Personal Services | 2,362,965 | 2,700,462 | 2,685,839 | 4,027,303 | 3,889,451 | |
| FTE | <u>39.8</u> | <u>39.8</u> | <u>40.8</u> | <u>64.8</u> | <u>63.3</u> | |
| General Fund | 2,341,482 | 2,672,206 | 2,657,460 | 3,998,924 | 3,861,671 | |
| FTE | 38.8 | 38.8 | 39.8 | 63.8 | 62.3 | |
| Cash Funds | 21,483 | 28,256 | 28,379 | 28,379 | 27,780 | |
| FTE | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | |
| Operating Expenses | <u>84,776</u> | <u>84,776</u> | <u>84,776</u> | <u>98,261</u> | <u>97,411</u> | |
| General Fund | 84,276 | 84,276 | 84,276 | 97,761 | 96,911 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Cash Funds | 500 | 500 | 500 | 500 | 500 | |
| Polygraph Testing - GF | 99,569 | 99,500 | 99,569 | 240,569 | 231,686 | |
| Sex Offender Treatment Grants - FF | 0 | 171,330 | 511,587 S | 65,597 | 65,597 | |
| Start-up Costs - GF | 0 | 0 | 0 | 183,689 | 183,689 | |
| SUBTOTAL - Sex Offender Treatment Subprogram | 2,547,310 | 3,056,068 | 3,381,771 | 4,615,419 | 4,467,834 | |
| FTE | <u>39.8</u> | <u>39.8</u> | <u>40.8</u> | <u>64.8</u> | <u>63.3</u> | |
| General Fund | 2,525,327 | 2,855,982 | 2,841,305 | 4,520,943 | 4,373,957 | |
| Cash Funds | 21,983 | 28,756 | 28,879 | 28,879 | 28,280 | |
| Federal Funds | 0 | 171,330 | 511,587 | 65,597 | 65,597 | |

(F) Volunteers Subprogram

Primary Function: Manage volunteer programs including volunteer chaplain services to inmates.

| | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|--|
| Personal Services - CF | 520,521 | 501,308 | 547,280 | 555,345 | 543,722 | |
| FTE | 7.2 | 7.0 | 7.4 | 7.4 | 7.4 | |
| Operating Expenses - CF | 17,518 | 16,577 | 17,912 | 17,912 | 17,912 | |
| SUBTOTAL - Volunteers Subprogram - CF | 538,039 | 517,885 | 565,192 | 573,257 | 561,634 | |
| FTE | 7.2 | 7.0 | 7.4 | 7.4 | 7.4 | |

| | | | | | | |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--|
| SUBTOTAL - INMATE PROGRAMS | 39,492,247 | 38,923,564 | 38,646,024 | 39,841,510 | 38,977,367 | |
| FTE | <u>531.1</u> | <u>540.8</u> | <u>482.1</u> | <u>521.2</u> | <u>517.9</u> | |
| General Fund | 32,074,868 | 30,674,245 | 31,232,344 | 34,243,900 | 33,410,458 | |
| Cash Funds | 6,464,687 | 6,843,784 | 4,696,844 | 4,684,946 | 4,654,245 | |
| Reappropriated Funds | 378,617 | 760,762 | 1,197,211 | 813,941 | 813,941 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---------------|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Federal Funds | 574,075 | 644,773 | 1,519,625 | 98,723 | 98,723 | |

(5) COMMUNITY SERVICES

(A) Parole Subprogram

Primary Function: Supervise offenders who have been placed on parole by the Parole Board.

| | | | | | | |
|--|----------------|------------------|------------------|--------------------|------------------|----|
| Personal Services - GF | 10,724,211 | 10,163,591 | 10,100,007 | S 10,005,215 | 9,457,866 | R7 |
| FTE | 165.4 | 165.8 | 160.5 | 153.1 | 145.6 | |
| Operating Expenses - GF | 1,116,326 | 1,087,337 | 1,114,583 | S 1,088,665 | 1,051,387 | R7 |
| Administrative Law Judge Services - GF | 3,841 | 4,461 | 4,449 | S 4,458 | Pending | |
| Contract Services | <u>980,027</u> | <u>1,631,373</u> | <u>3,216,589</u> | S <u>3,186,690</u> | <u>3,091,894</u> | R7 |
| General Fund | 980,027 | 1,621,440 | 1,741,589 | 1,711,690 | 1,666,894 | |
| Reappropriated Funds | 0 | 9,933 | 1,475,000 | 1,475,000 | 1,425,000 | |
| Wrap-Around Services Program - GF | 0 | 1,108,764 | 1,207,225 | 1,338,985 | 1,207,225 | |
| Parole Grants | <u>32,301</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Federal Funds | 32,301 | 0 | 0 | 0 | 0 | |
| Start-up Costs - GF | 58,257 | 116,294 | 0 | 0 | 0 | |
| SUBTOTAL - Parole Subprogram | 12,914,963 | 14,111,820 | 15,642,853 | 15,624,013 | 14,808,372 | |
| FTE | <u>165.4</u> | <u>165.8</u> | <u>160.5</u> | <u>153.1</u> | <u>145.6</u> | |
| General Fund | 12,882,662 | 14,101,887 | 14,167,853 | 14,149,013 | 13,383,372 | |
| Reappropriated Funds | 0 | 9,933 | 1,475,000 | 1,475,000 | 1,425,000 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---------------|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Federal Funds | 32,301 | 0 | 0 | 0 | 0 | |

(B) Parole Intensive Supervision Subprogram (ISP)

Primary Function: Manage high-risk offenders who are placed on parole by the Parole Board.

| | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|----|
| Personal Services - GF | 5,180,138 | 4,784,519 | 4,647,198 | S 4,634,776 | 4,361,770 | R7 |
| FTE | 80.5 | 87.1 | 73.3 | 70.4 | 66.4 | |
| Operating Expenses - GF | 508,384 | 476,428 | 466,730 | S 454,254 | 435,144 | R7 |
| Contract Services - GF | 1,451,178 | 1,598,972 | 1,550,100 | S 1,494,499 | 1,411,141 | R7 |
| Non-residential Services - GF | 1,006,856 | 1,188,017 | 1,158,436 | S 1,115,575 | 1,051,318 | R7 |
| Home Detention - GF | 35,647 | 59,927 | 69,383 | 69,383 | 69,383 | |
| Start-up Costs - GF | 30,660 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Parole ISP Subprogram - GF | 8,212,863 | 8,107,863 | 7,891,847 | 7,768,487 | 7,328,756 | |
| FTE | 80.5 | 87.1 | 73.3 | 70.4 | 66.4 | |

(C) Community Intensive Supervision Subprogram (ISP)

Primary Function: Monitor and supervises offenders in who are in non-residential, transition community corrections programs.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|----|
| Personal Services - GF | 3,440,743 | 3,185,694 | 3,146,345 | 3,223,670 | 3,158,067 | |
| FTE | 50.8 | 48.0 | 45.6 | 45.6 | 45.6 | |
| Operating Expenses - GF | 519,823 | 515,731 | 515,113 | 517,792 | 517,792 | |
| Contract Services - GF | 3,775,111 | 3,169,618 | 3,174,885 | 3,157,198 | 3,174,885 | R8 |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Community ISP Subprogram - GF | 7,735,677 | 6,871,043 | 6,836,343 | 6,898,660 | 6,850,744 | |
| FTE | 50.8 | 48.0 | 45.6 | 45.6 | 45.6 | |

(D) Community Supervision Subprogram

(1) Community Supervision

Primary Function: Supervise transition offenders who are placed in residential community corrections facilities.

| | | | | | | |
|--|---------------|---------------|---------------|---------------|---------------|-----------|
| Personal Services - GF | 3,017,184 | 2,887,164 | 2,770,847 | 2,833,644 | 2,775,119 | |
| FTE | 39.4 | 41.4 | 35.5 | 35.5 | 35.5 | |
| Operating Expenses - GF | 171,323 | 151,099 | 138,366 | 139,269 | 139,269 | |
| Community Mental Health Services | 471,702 | 525,245 | 629,803 S | 611,511 | 589,617 | R7,8, BA3 |
| General Fund | 471,702 | 525,245 | 449,803 | 431,511 | 409,617 | |
| Reappropriated Funds | 0 | 0 | 180,000 | 180,000 | 180,000 | |
| Psychotropic Medication - GF | 119,975 | 177,947 | 131,760 | (360) | 131,400 | |
| Contract Services for High Risk Offenders - GF | 285,996 | 280,355 | 243,162 | 242,214 | 243,162 | R8 |
| Contract Services for Fugitive Returns | <u>70,027</u> | <u>70,027</u> | <u>74,524</u> | <u>74,524</u> | <u>74,524</u> | |
| General Fund | 42,049 | 42,049 | 42,049 | 42,049 | 42,049 | |
| Reappropriated Funds | 27,978 | 27,978 | 32,475 | 32,475 | 32,475 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| SUBTOTAL - Community Supervision | 4,136,207 | 4,091,837 | 3,988,462 | 3,900,802 | 3,953,091 | |
| FTE | <u>39.4</u> | <u>41.4</u> | <u>35.5</u> | <u>35.5</u> | <u>35.5</u> | |
| General Fund | 4,108,229 | 4,063,859 | 3,775,987 | 3,688,327 | 3,740,616 | |
| Reappropriated Funds | 27,978 | 27,978 | 212,475 | 212,475 | 212,475 | |

(2) Youthful Offender System Aftercare

Primary Function: Assist YOS offenders as they return to their communities after confinement in YOS.

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|--|
| Personal Services - GF | 628,307 | 626,918 | 624,163 | 636,789 | 623,577 | |
| FTE | 7.8 | 7.6 | 8.0 | 8.0 | 8.0 | |
| Operating Expenses - GF | 130,903 | 140,362 | 140,362 | 141,067 | 141,067 | |
| Contract Services - GF | 1,031,196 | 1,060,770 | 1,062,396 | 1,062,396 | 1,062,396 | |
| SUBTOTAL - Y.O.S. Aftercare - GF | 1,790,406 | 1,828,050 | 1,826,921 | 1,840,252 | 1,827,040 | |
| FTE | 7.8 | 7.6 | 8.0 | 8.0 | 8.0 | |

(E) Community Re-entry Subprogram

Primary Function: Provide emergency assistance to inmates who require temporary shelter, work clothes, bus tokens, small work tools, or other short-term emergency assistance upon release from custody.

| | | | | | | |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|--|
| Personal Services - GF | 1,961,539 | 1,959,152 | 1,950,504 | 1,995,144 | 1,954,182 | |
| FTE | 35.3 | 35.7 | 35.6 | 35.6 | 35.6 | |
| Operating Expenses - GF | 120,501 | 122,586 | 122,586 | 123,202 | 123,202 | |
| Offender Emergency Assistance - GF | 85,458 | 96,768 | 96,768 | 96,768 | 96,768 | |
| Contract Services - GF | 186,590 | 172,282 | 190,000 | 190,000 | 190,000 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Offender Re-employment Center | <u>363,618</u> | <u>364,000</u> | <u>374,000</u> | <u>374,000</u> | <u>374,000</u> | |
| General Fund | 363,618 | 364,000 | 364,000 | 364,000 | 364,000 | |
| Cash Funds | 0 | 0 | 10,000 | 10,000 | 10,000 | |
| Community Reintegration Grants | 135,077 | 23,176 | 129,213 S | 48,779 | 48,779 | BA3 |
| FTE | <u>1.0</u> | <u>0.0</u> | <u>1.0</u> | <u>1.0</u> | <u>1.0</u> | |
| Cash Funds | 25,045 | 749 | 0 | 0 | 0 | |
| Reappropriated Funds | 0 | 2,250 | 90,115 | 9,681 | 9,681 | |
| Federal Funds | 110,032 | 20,177 | 39,098 | 39,098 | 39,098 | |
| FTE | 1.0 | 0.0 | 1.0 | 1.0 | 1.0 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - Community Re-entry Subprogram | 2,852,783 | 2,737,964 | 2,863,071 | 2,827,893 | 2,786,931 | |
| FTE | <u>36.3</u> | <u>35.7</u> | <u>36.6</u> | <u>36.6</u> | <u>36.6</u> | |
| General Fund | 2,717,706 | 2,714,788 | 2,723,858 | 2,769,114 | 2,728,152 | |
| Cash Funds | 25,045 | 749 | 10,000 | 10,000 | 10,000 | |
| Reappropriated Funds | 0 | 2,250 | 90,115 | 9,681 | 9,681 | |
| Federal Funds | 110,032 | 20,177 | 39,098 | 39,098 | 39,098 | |
| SUBTOTAL - COMMUNITY SERVICES | 37,642,899 | 37,748,577 | 39,049,497 | 38,860,107 | 37,554,934 | |
| FTE | <u>380.2</u> | <u>385.6</u> | <u>359.5</u> | <u>349.2</u> | <u>337.7</u> | |
| General Fund | 37,447,543 | 37,687,490 | 37,222,809 | 37,113,853 | 35,858,680 | |
| Cash Funds | 25,045 | 749 | 10,000 | 10,000 | 10,000 | |
| Reappropriated Funds | 27,978 | 40,161 | 1,777,590 | 1,697,156 | 1,647,156 | |
| Federal Funds | 142,333 | 20,177 | 39,098 | 39,098 | 39,098 | |

(6) PAROLE BOARD

Primary Function: Conduct all parole hearings and parole revocation hearings statewide.

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|-------------------------------------|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Personal Services - GF | 1,361,506 | 1,174,391 | 1,164,841 | 1,197,526 | 1,173,268 | |
| FTE | 16.4 | 16.8 | 12.5 | 12.5 | 12.5 | |
| Operating Expenses - GF | 227,838 | 101,545 | 99,545 | 104,890 | 104,890 | |
| Contract Services - GF | 152,000 | 70,071 | 272,437 | 288,437 | 288,437 | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | |
| SUBTOTAL - PAROLE BOARD - GF | 1,741,344 | 1,346,007 | 1,536,823 | 1,590,853 | 1,566,595 | |
| FTE | 16.4 | 16.8 | 12.5 | 12.5 | 12.5 | |

(7) CORRECTIONAL INDUSTRIES

Primary Function: Employ inmates in profit-oriented industries, usually within DOC facilities.

| | | | | | | |
|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----|
| Personal Services | 8,223,700 | 9,204,427 | 9,974,587 | 11,132,251 | 10,944,882 | R2 |
| FTE | <u>139.5</u> | <u>134.3</u> | <u>142.1</u> | <u>161.1</u> | <u>161.1</u> | |
| Cash Funds | 1,657,118 | 2,067,109 | 2,935,802 | 3,961,248 | 3,906,100 | |
| FTE | 139.5 | 134.3 | 39.2 | 58.2 | 58.2 | |
| Reappropriated Funds | 6,566,582 | 7,137,318 | 7,038,785 | 7,171,003 | 7,038,782 | |
| FTE | 0.0 | 0.0 | 102.9 | 102.9 | 102.9 | |
| Operating Expenses | <u>5,429,374</u> | <u>5,572,585</u> | <u>5,928,190</u> | <u>5,937,690</u> | <u>5,937,690</u> | R2 |
| Cash Funds | 1,667,706 | 1,704,437 | 1,817,327 | 1,826,827 | 1,826,827 | |
| Reappropriated Funds | 3,761,668 | 3,868,148 | 4,110,863 | 4,110,863 | 4,110,863 | |
| Raw Materials | <u>19,834,608</u> | <u>25,115,021</u> | <u>35,823,826</u> | <u>35,823,826</u> | <u>35,823,826</u> | |
| Cash Funds | 4,609,638 | 5,528,887 | 8,441,080 | 8,441,080 | 8,441,080 | |
| Reappropriated Funds | 15,224,970 | 19,586,134 | 27,382,746 | 27,382,746 | 27,382,746 | |

| | FY 2009-10 Actual | FY 2010-11 Actual | FY 2011-12 Appropriation | FY 2012-13 Request | FY 2012-13 Recommend. | Change Requests |
|---|----------------------|----------------------|-----------------------------|-----------------------|--------------------------|--------------------|
| Inmate Pay | <u>1,491,700</u> | <u>1,591,311</u> | <u>1,649,702</u> | <u>1,877,702</u> | <u>1,877,702</u> | R2 |
| Cash Funds | 468,453 | 453,345 | 468,453 | 696,453 | 696,453 | |
| Reappropriated Funds | 1,023,247 | 1,137,966 | 1,181,249 | 1,181,249 | 1,181,249 | |
| Capital Outlay | <u>447,392</u> | <u>370,702</u> | <u>1,406,200</u> | <u>1,406,200</u> | <u>1,406,200</u> | |
| Cash Funds | 69,904 | 55,222 | 337,094 | 337,094 | 337,094 | |
| Reappropriated Funds | 377,488 | 315,480 | 1,069,106 | 1,069,106 | 1,069,106 | |
| Correctional Industries Grants - FF | 0 | | 1,000,000 S | 503,050 | 503,050 | BA3 |
| Indirect Cost Assessment | <u>354,981</u> | <u>330,462</u> | <u>347,654</u> | <u>341,462</u> | <u>341,462</u> | R2 |
| Cash Funds | 71,447 | 46,928 | 64,120 | 57,928 | 57,928 | |
| Reappropriated Funds | 283,534 | 283,534 | 283,534 | 283,534 | 283,534 | |
| SUBTOTAL - CORRECTIONAL INDUSTRIES | 35,781,755 | 42,184,508 | 56,130,159 | 57,022,181 | 56,834,812 | |
| FTE | <u>139.5</u> | <u>134.3</u> | <u>142.1</u> | <u>161.1</u> | <u>161.1</u> | |
| Cash Funds | 8,544,266 | 9,855,928 | 14,063,876 | 15,320,630 | 15,265,482 | |
| Reappropriated Funds | 27,237,489 | 32,328,580 | 41,066,283 | 41,198,501 | 41,066,280 | |
| Federal Funds | 0 | 0 | 1,000,000 | 503,050 | 503,050 | |

(8) CANTEEN OPERATION

Primary Function: Provide various items for sale to DOC inmates at all DOC facilities.

| | | | | | | |
|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--|
| Personal Services | 1,661,975 | 1,670,510 | 1,732,307 | 1,770,093 | 1,733,575 | |
| FTE | <u>26.6</u> | <u>29.0</u> | <u>26.9</u> | <u>26.9</u> | <u>26.9</u> | |
| Cash Funds | 1,661,975 | 1,670,510 | 1,732,307 | 1,770,093 | 1,733,575 | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | |
| Operating Expenses | <u>11,558,167</u> | <u>12,480,086</u> | <u>12,851,987</u> | <u>12,851,987</u> | <u>12,851,987</u> | |
| Cash Funds | 11,558,167 | 12,480,086 | 12,851,987 | 12,851,987 | 12,851,987 | |

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OVERVIEW

The Department's budget request reflects the major functions and cost centers involved in operating the state's secure prison facilities and community programs which serve inmates or offenders paroled from inmate status. The Department's budget request is based on eight major program areas within the Department, with those program areas further broken down into 36 subprograms. The eight major program areas are designated by roman numerals and are capitalized. The 36 subprogram areas follow each program area. They are shown in Long Bill order with alphabetical notations.

SMART Act recommendations of House and Senate Oversight Committees. The SMART Act allows a Committee of Reference to make formal recommendations to the Joint Budget Committee regarding the budget requests of the state agencies that they oversee. The House Judiciary Committees made no recommendations regarding the budget request of the Division of Criminal Justice, over which these committee has oversight, but the Senate Judiciary Committee made four recommendations:

1. "The Joint Budget Committee should take steps to ensure that if there are any funds not expended under the per offender per day medical expenses line item (Decision Item 5), those funds should not revert back to the legislature, but should instead be used for a well ness and prevention fund within the department"
2. "The [Senate Judiciary] committee expressed support for Decision Item 1, concerning sex offender treatment expansion, and encouraged methods to ensure that the best treatment models are and continue to be scrutinized in order to ensure the pursuit of the most outcome- and cost-effective approaches."
3. "The [Senate Judiciary] committee expressed support for Decision Item 2, concerning the Colorado Correctional Industries expansion program."
4. "The Joint Budget Committee should adjust funding for the Department of Corrections' Decision Item 4, concerning external capacity caseload, by either waiting until more accurate inmate population figures are available in March, or by decreasing the funding to match 300 offenders, rather than 724 offenders as requested."

The letters from these Committees are reproduced in Appendix 1 at the end of this document.

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PENDING LINE ITEMS

The recommendation for line items used to purchase services from the Department of Personnel and Administration and the Department of Law are pending. Accordingly, the total staff recommendation, as reflected in the numbers pages, is lower than the recommendation would have been had these figures been included. The following table summarizes the line items for which the recommendation is pending. If the requested funds for these line items were approved by the JBC, an additional \$15.7 million, including \$14.8 million General Fund, would be required.

| Requests for Pending Items | Total | GF | CF | RF | FF |
|---|---------------------|---------------------|------------------|------------|------------|
| Workers' Compensation | \$8,456,946 | \$8,189,532 | \$267,414 | \$0 | \$0 |
| Legal Services | 1,239,958 | 1,200,579 | 39,379 | 0 | 0 |
| Payment to Risk Management and Property Funds | 3,061,463 | 2,939,005 | 122,458 | 0 | 0 |
| Capitol Complex Leased Space | 171,142 | 135,508 | 35,634 | 0 | 0 |
| Vehicle Lease Payments | 2,799,293 | 2,414,952 | 384,341 | 0 | 0 |
| Administrative Law Judge Services | 4,458 | 4,458 | 0 | 0 | 0 |
| FY 2012-13 Pending Requests | \$15,733,260 | \$14,884,034 | \$849,226 | \$0 | \$0 |

TO REDUCE CASELOAD LEGISLATION IS NECESSARY

The recommendations made in this figure setting packet are based on projected caseload under current law.

The Department of Corrections budget is directly correlated to caseload. Caseload is determined by a number of factors which include: (1) the level of criminal activity in the state; (2) the sentences meted out by the judicial system to offenders convicted of crimes; and (3) laws enacted by the General Assembly that create crimes, that specify maximum and minimum sentences, and that dictate procedures related to parole and earned time, each of which affects an offenders length of stay. If the General Assembly were to enact legislation that would reduce the DOC caseload, it is possible for significant long-term budgetary savings to be achieved.

FY 2012-13 LATE SUPPLEMENTAL REQUESTS

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There were no late supplemental requests this year.

DEPARTMENT-WIDE REQUESTS AND RECOMMENDATIONS

This figure setting packet contains requests and recommendations that affect line items across many subprograms within the Department. Because of their multi-line impact, it is efficient to consider these items before systematically stepping through the subprograms. Specifically, the packet contains recommendations related to the following items, with the associated "R" (Request) or "BA" (Budget Amendment) numbers:

- R1 Sex Offender Treatment:** The Department requests an increase of \$1,923,692 General Fund and 24.9 FTE to begin expansion of its sex offender treatment and management program.

- R2 Colorado Correctional Industries Program Expansion:** The Department requests an increase of \$1,358,474 cash funds and 19.0 FTE for a Correctional Industries expansion.

- R3 Conservation Camp Program:** The Department requests a *reduction* of \$529,974 and an *increase* of 15.5 FTE to move 100 offenders who participate in a wildfire / forest management / trails program out of the Buena Vista Minimum Center into the now-vacant boot camp at the Buena Vista facility. This would free 100 DOC minimum beds that would be backfilled with 100 offenders from private prisons.

- R4 Consolidate San Carlos:** DOC requests that the stand-alone appropriations for the San Carlos Correctional Facility be combined with the appropriations for most other DOC correctional facilities, which are already combined. This change would not alter total appropriations.

- R5 External Capacity Caseload.** The Department requests that the appropriation for external capacity be increased by \$13,916,129 General Fund for FY 2012-13 to meet the funding needs associated with an offender population that is larger than the population projection on which the FY 2011-12 appropriation is based.

- R7 Parole & Parole ISP Caseload:** The Department requests a \$994,460 General Fund, 11.2 FTE decrease to align staffing levels, operating expenses, and contract services in the Parole and Parole Intensive programs with the declining number of offenders on parole.

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- R8 Community Corrections Caseload:** The Department requests a \$22,101 General Fund decrease to align contract services in its community supervision programs with the number of offenders in community corrections programs.
- BA4 Security & Utilities for Fort Lyon Correctional Facility:** The Department requests an increase of \$934,089 General Fund and 6.0 FTE to keep a minimum level of operations going at Fort Lyon Correctional Facility.

The details of these department-wide items follows. For purposes of exposition, the three caseload requests will be considered first:

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□ ***Requests R5 External Capacity Caseload, R7 Parole and Parole ISP Caseload, and R8 Community Corrections Caseload***

These three requests are conceptually similar, each asks that appropriations be adjusted to a level that is consistent for with caseload forecasts issued by the Division of Criminal Justice (DCJ). All of the requests utilizes a forecast that was issued in August 2011 by DCJ. Since the August forecast has been superseded by the December 2011 forecast, requests based upon it are no longer current. In addition, since budget amendments were not submitted, these requests do not take account of the supplemental appropriations for these items, which dramatically change the size of the necessary adjustment for FY 2012-13. For this reason, staff will disregard the dollar amounts indicated in each of these requests and will compute new dollar amounts that are based on the most recent forecasts and on other new information.

Background: Each December Legislative Council Staff and Division of Criminal Justice (DCJ) staff release separate forecasts of two key components of the Department of Corrections' population: the "Inmate Jurisdictional Population" and the Parole population. The inmate population is for the most part incarcerated while the parole population for the most part is not.

The inmate jurisdictional population serves at the basis for the "External Capacity" appropriations, which is the subject of Request R5.

The Department of Corrections (DOC) controls the placement of the inmate jurisdictional population and divides it among the following locations:

- DOC's prisons.
- Private prisons, which DOC pays \$52.69 per day to house inmates
- County jails, which DOC pays \$50.44 per day to house inmates (and to house parolees who have had their parole temporarily revoked).
- Community Corrections facilities, which *DCJ* pays 37.74 per day (or more) to house offenders who are on transition status
- Community corrections facilities, which DOC pays \$43.68 per day per to house parolees who had their parole temporarily revoked. .
- There are also some inmates who are living in the community under intensive supervision, not in a facility.

The total inmate jurisdictional population is an aggregate, JBC staff must convert it into forecasts of the number of inmates who will be held in DOC's various "external facilities" and the number who will be held "internally" at DOC prisons. JBC staff usually does this by assuming that a constant portion of the inmate population will be in each of the various locations, sometimes refining that

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number to recognize special characteristics. For example, if an average of 2.9 percent of the overall inmate population has been in a jail recently, staff will assume that 2.9% will continue to be in a jail over the forecast horizon. Staff thus assumes that the components of populations move up and down in step with the overall population.

The following chart concentrates on the two largest components of the total inmate population: the number of offenders in DOC prisons and the number in private prisons. The lower line of the graph shows the number of offenders who have been in DOC facilities in the past and projects the number who will be there in the future; it takes into account the Department's pledge, made during supplemental comebacks and repeated in a memo dated February 10, 2012 that was provided to members of the JBC, to reduce the number of vacant state-operated beds to 1 percent of the total beds and to repurpose some of its administrative segregation beds so they can be used by offenders in the general population.

The top line of the graph shows the combined private and state prison population in DOC prisons and in private prisons. It vividly illustrates Colorado's current correctional situation; it shows that the recent downward slide of the incarcerated population has been accommodated with relatively moderate reductions at the Department of Corrections, such as the closure of Fort Lyon Correctional Facility, and substantially larger declines (particularly in percentage terms) in the population that DOC places in private prisons. The vertical distance between the DOC line in this graph and the top line is the number of offenders in private prisons. Multiplied by the daily compensation rate for private prisons, that vertical distance represents the amount that DOC must pay to private prisons each day. As the two lines move closer together these payments diminish.

At the point where the top line forks, the chart turns from actual data to forecast. That fork presents the Committee with a challenge. Which of these forecasts will prove closer to reality? The DCJ population forecast is less than the LCS population forecast, meaning that DCJ is effectively forecasting lower payments to private prisons than is LCS. If the Committee picks the forecast that proves most accurate, the result will be a smaller supplemental next year, in rare cases perhaps no supplemental. If the Committee picks the less accurate forecast, the result will a larger supplemental – perhaps as large as this year's external capacity supplemental.

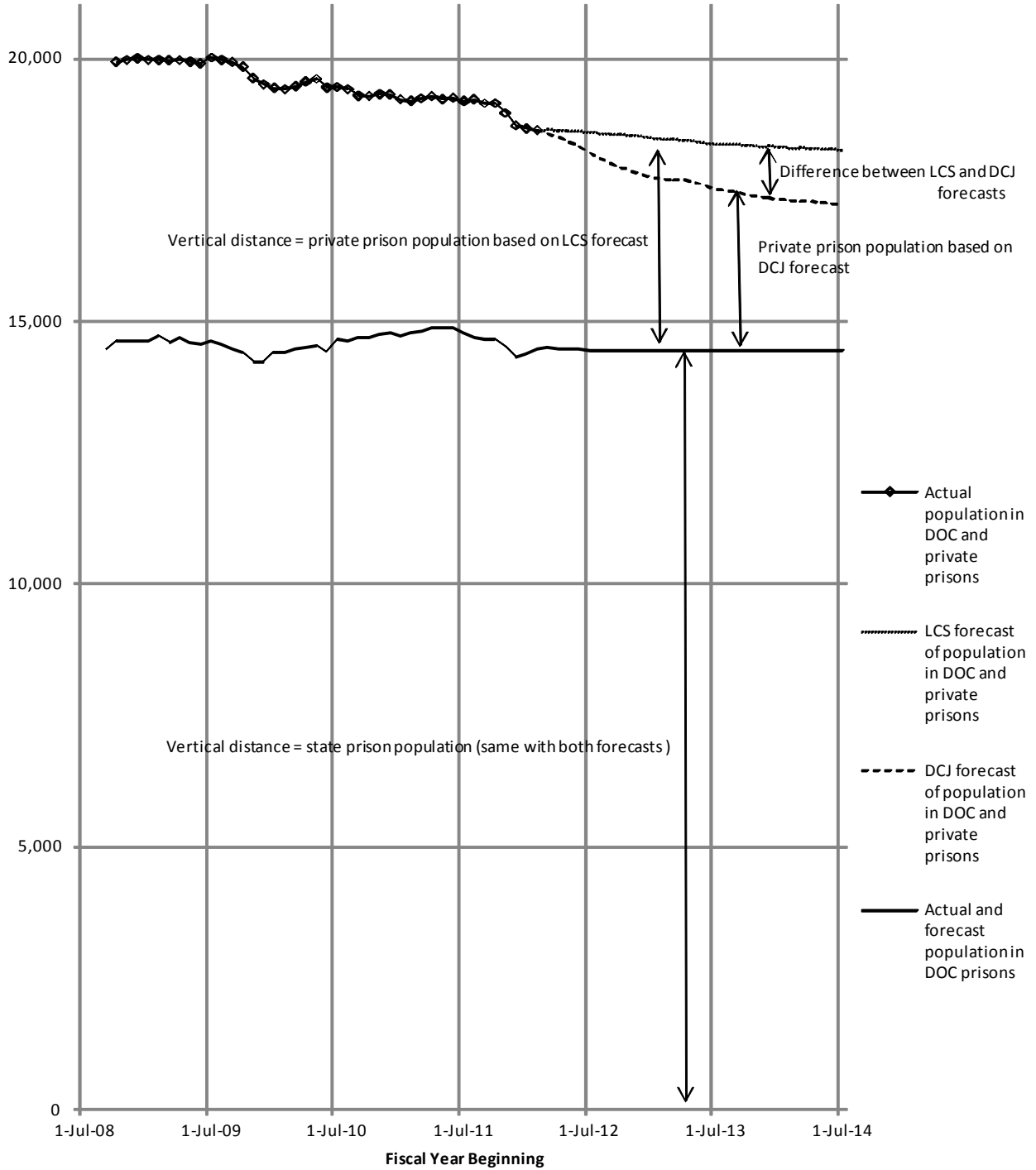
Staff has turned both the LCS forecast and the DCJ forecast into the relevant components for which DOC must pay, creating a population forecast for jails, private prisons, and so forth. Multiplying these components of that forecast by the applicable daily rate yields the corresponding appropriation. These are the results:

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| Appropriations for External Capacity (General Fund in all cases) | Appropriation based on LCS Forecast | Appropriation based on LCS Forecast |
|---|--|--|
| Payments to local jails at a rate of \$50.44 per inmate per day | \$10,716,623 | \$10,318,430 |
| Payments to in-state private prisons at a rate of \$54.93 per inmate per day (real rate is \$52.69) | 65,100,701 | 53,414,279 |
| Payments to Pre-release Parole Revocation Facilities at a Rate of \$54.93 per Inmate Per Day (real rate is \$52.69) | 12,071,929 | 11,623,378 |
| Community Corrections Programs | 3,683,692 | 3,546,819 |
| less: Available SCAAP Funds (State Criminal Alien Assistance Program Cash Fund, Section 17-1-107.5, C.R.S.) | (2,358,707) | (2,358,707) |
| Total General Fund Appropriation for external capacity | 89,214,238 | 76,544,199 |
| less: Current General Fund Appropriation in the Long Bill for External Capacity (Includes the adjustments in the DOC supplemental bill) | (91,356,653) | (91,356,653) |
| Change to Long Bill General Fund appropriations for external capacity. | (2,142,415) | (14,812,454) |

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Projected State and Private Prison Populations



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Parole Caseload Adjustments. The LCS and DCJ forecasts also project parole populations. These projections are relevant for determining future parole supervision costs. Parolees are not divided into as many categories as are members of the total inmate jurisdictional population. For the most part, parolees are either on "standard" parole or are on what is known as intensive supervision Parole, called Parole ISP for short. As a general rule 15 percent of the parole population is on parole ISP.

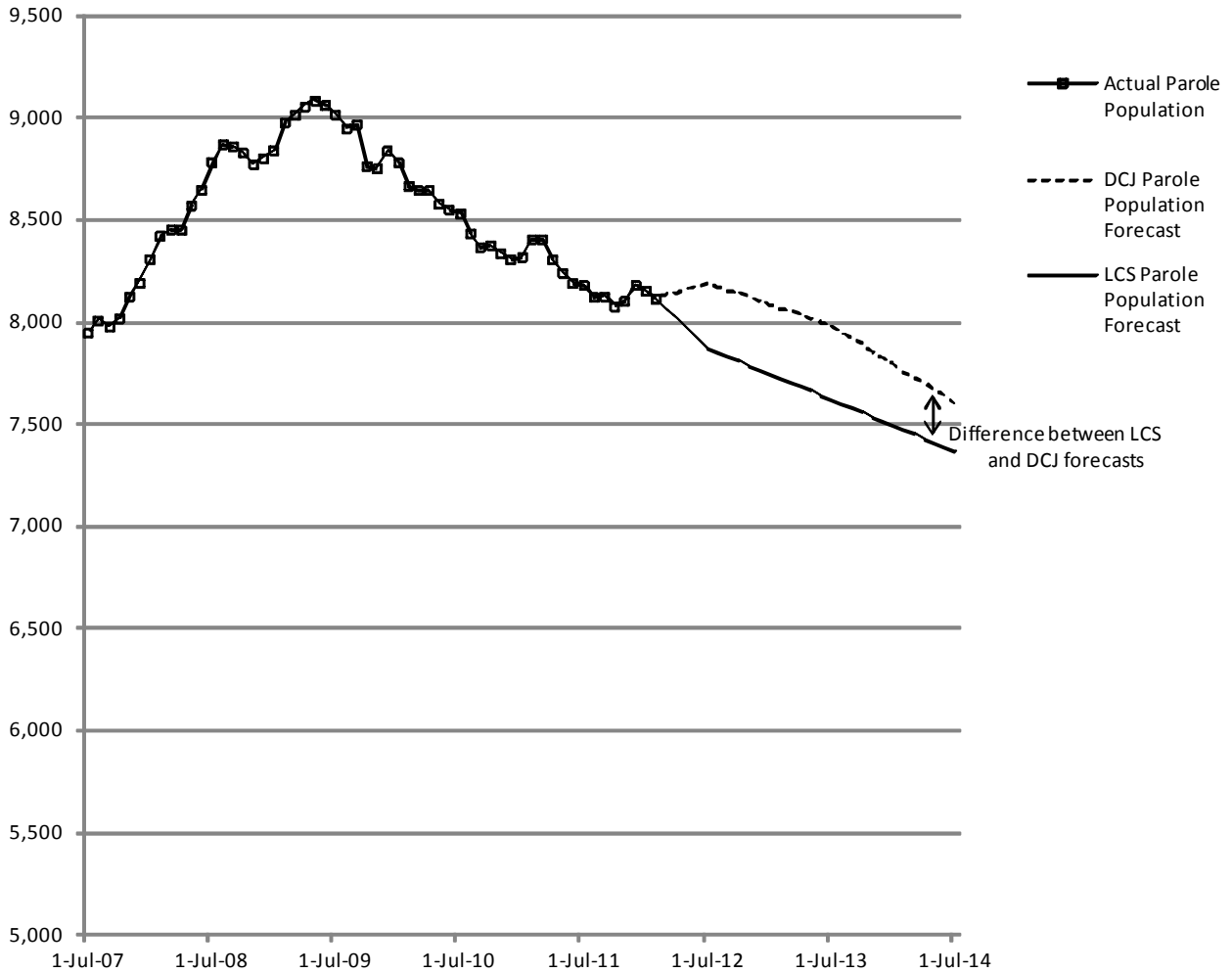
The Department tries to maintain specified supervision ratios when it supervises parolees. Parole office caseloads are currently 1:68.7 for conventional parole and 1:22.8 for Parole ISP. The Department also tries to maintain a supervisory structure for these officers, with specified Parole-Supervisor to Parole-Office ratios and a specified amount of administrative support per Parole-Officer. In addition, the Department projects that a certain percentage of its parolees will need specialized support, such as mental health services or drug and alcohol treatment services. As a result, 21 separate appropriations need to be adjusted up and down as the parole population changes. However, the combined effect of these appropriation changes can be distilled into a single cost per day per parolee that summarizes the cost of adding and reducing parole staff and support services as the parole population grows and declines. This cost equals \$5.96 per offender per day.

The next diagram shows that, perhaps unsurprisingly, the DCJ and the LCS parole forecasts differ substantially, though in opposite directions; this time DCJ forecasts the higher population. The following table summarizes the impact.

| Component (General Fund in all cases) | Impact on DOC General Fund Appropriations if Adopt the LCS Forecast | Impact on DOC General Fund Appropriations if Adopt the DCJ Forecast |
|--|--|--|
| Combined effect on General Fund appropriations of the changes to 21 line items | (1,733,791) | (994,460) |
| Combined effect on FTE of the changes to 21 line items. | (19.8) | (11.2) |

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Actual And Forecast Parole Population



R8 Community Corrections Caseload Supplemental

The third caseload supplemental from DOC asks for a \$22,101 General Fund, 0.0 FTE reduction in the number of parole officers who deal with transition offenders in Community Corrections facilities.

Staff presented evidence during figure setting for DCJ that community corrections populations are not falling in step with the decline in the total adult jurisdictional population. **Therefore, staff does not recommend a reduction to this appropriation.**

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❑ *RI, Sex Offender Treatment*

The Department requests a FY 2012-13 appropriation of \$1,923,692 General Fund and 24.9 FTE to begin expansion of its Sex Offender Treatment and Monitoring Program during FY 2012-13. The proposed expansion will slightly more than double treatment capacity and will reduce the backlog of sex offenders who require treatment under Colorado's sex offender laws. The cost of the proposed expansion rises to \$2,525,430 General Fund and 37.9 FTE in FY 2012-13. These appropriations are divided among 16 Long Bill lines items.

The Senate Judiciary committee expressed support for Decision Item 1, concerning sex offender treatment expansion, and encouraged methods to ensure that the best treatment models are and continue to be scrutinized in order to ensure the pursuit of the most outcome- and cost-effective approaches.

Staff recommends that the Committee approve this Decision with a modification that responds to the Senate Judiciary committee's concerns. Specifically, staff recommends that FY 2012-13 funding for this decision item be reduced by approximately 5 percent and the savings be used to engage an external reviewer who will study the Department's sex offender treatment program and, if warranted, make recommendations for change.

Staff recommends that a \$100,000 General Fund appropriation, the amount saved by the reduction, be added to the Long Bill, the line would be titled "External Study of Sex Offender Treatment and Management Program." A footnote attached to the line item would read.

The Department is requested to engage external experts to conduct a study of the efficacy and cost-effectiveness of the Sex Offender Treatment and Management Program, as implemented by the Department of Corrections, with recommendations for improvement, if warranted, based on consideration of the relevant literature and on consideration of alternative treatment and management models. The Department is requested to provide the report to the Joint Budget Committee by February 1, 2013. The Department is encouraged to seek alternative funding sources for this study.

Staff analysis:

Staff has addressed the Committee twice before on this issue so Staff's prior observations need only be summarized. First, Staff has concluded that there is insufficient sex offender treatment and monitoring capacity within the Department. This has the effect of delaying offenders' entry into

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treatment, often for years. Since successful completion of treatment is, practically speaking, a prerequisite for parole, this also delays the parole opportunities of sex offenders, especially those with lifetime sentences, again often for years. Knowing of this problem, the Department gives high priority to sex offenders with indeterminate sentences. As a result, offenders with indeterminate sentences are often paroled without having received treatment.

Staff is also aware of a 2011 Colorado court decision that ordered a sex offender into treatment after he tried for years to reenter the program, having been earlier forced to drop out. The judge expressed outrage at the delay. A class action lawsuit was recently filed on behalf of offenders incarcerated in the DOC. It seeks to force the Department to provide treatment for members of the class.

Staff also examined private sector treatment alternatives in a prison environment and concluded that they are not feasible given the constraints imposed by statute and the constitution.

The Staff recommendation is based on staff's perception that the Department's recent administrative segregation report is having a dramatic effect on the use of administrative segregation and offers the possibility of saving the state a great deal of money without compromising public safety. The backlog of sex offenders in Colorado prisons awaiting treatment is now approaching 2000 and many who have been treated have not been released. Perhaps the current treatment and management model is the best available, but it certainly has a very high cost. Staff hopes that a rigorous, independent examination of the program with either lay to rest the unease of those question the program or suggest more efficacious and cost-effective treatment alternatives. An alternative treatment model that preserves public safety could substantially reduce the DOC prison population.

Staff believes that the Department will not have difficulty adjusting to a lower first year appropriation. Since this is a program expansion, the department can either move back or stretch out the implementation schedule. For example, the Department could implement phase 1 of the program on schedule, and then delay phase 2, which is scheduled to begin in March.

The last thorough study of the program was conducted in 2003 by DCJ. It gave the program a good review, but knowledge in this area has no doubt progressed since then.

R2 Colorado Correctional Industries Program Expansion:

The Department requests a FY 2012-13 appropriation of \$1,358,474 cash funds and 19.0 FTE to expand Correctional Industries programs to further offender training and rehabilitation.

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Staff recommends that the Committee approve this request, for the reasons described below.

Please note that the Senate Judiciary committee expressed support for this decision item.

Background and staff analysis: The proposed new ventures include a water buffalo milking station, vegetable processing for elementary schools, television assembly, use of heavy equipment, packaging entrees for sale by national wholesalers, and clothing manufacture. The revenue for this cash spending authority will come from the sale of goods and services produced by the new ventures. The extra FTE are needed to supervise the estimated 190 offenders who will perform the new work. In FY 2013-14 expenditures are projected to rise by another \$8,000 cash funds but FTE will remain unchanged. If these ventures fail to produce the expected revenue, no General Fund will be lost.

The new programs will provide meaningful work for these 190 offenders, allowing them to obtain work skills and, equally important, helping them develop a work ethic that will assist them upon their release back into society. As the Department points out, many offenders have never held regular jobs; they aren't used to showing up for work on a regular basis. The creation of these new jobs will put 190 offenders to work instead of being idle. By working, offenders are less likely to be a security problem and are more likely to be productive, learn skills, and earn wages to pay restitution and/or child support. Correctional Industries jobs can also help offenders accumulate a modest amount of savings, which serves a very useful role when they are released.

R3 Conservation Camp Program:

The Department request authority to repurpose the currently vacant boot camp at the Buena Vista Complex, in the process reducing General Fund appropriations by \$529,974 and increasing FTE by 15.5.

For the reasons presented below, Staff does not recommend that the JBC approve this request.

Background and staff analysis: The Department's plan is complex. It begins with 100 low security offenders, 60 of whom are members of the *already existing* Correctional Industries "Swift" and "Trails" teams that fight wildfires, perform forest management work, and build trails. The other 40 are trainees who will join the Swift and Trails teams as current team members parole or transition to halfway houses and openings arise. As these trainees wait for positions to open, they will learn the skills needed to be team members. Those who are already on the team spend substantial amounts of time outside of the Buena Vista complex performing their Swift and Trails jobs. When at the complex, they also participate in training and preparation activities.

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The Department's request would move these 100 offenders out of the minimum center at Buena Vista, i.e. out of the level 2 prison where they currently have beds, and into the currently vacant boot camp that is next door to the Buena Vista minimum center. The beds in the boot camp building are in four large rooms, approximately 25 to a room, in a unique arrangement that is poorly suited for security reasons to all but the lowest risk inmates. DOC will then move 100 offenders who are currently housed in private prisons into the 100 now-empty beds in the Minimum Center, reducing payments to private prisons by the $\$19,232 * 100 = \$1,923,200$ that the State currently pays to house those offenders. The staffing level for the Minimum Center will remain unchanged, but DOC will need to expand the overall Buena Vista staff by 17 individuals (15.5 FTE in the first year due to the payday shift) in order to provide adequate security and supervision within the conservation camp. This is a low staffing level to supervise 100 offenders and it is the source of the savings associated with this plan. It's low because these offenders are low risk, because there's an already existing infrastructure at Buena Vista to support the camp, and because the staff of the Swift and Trails teams will provide some of the supervision.

The overall result is an unusual combination of a *reduction* of General Fund (\$529,974 according to the Department) and an *increase* of 15.5 FTE. The savings comes from placing 100 fewer offenders in private prisons and it is only partially offset by the new staff who must be hired for the bootcamp.

Staff believes that this Decision Item is unwise for the following reasons:

1. The state's prison population is currently contracting; these population reductions are being absorbed by the state's private prisons and this plan would deprive them of an extra 100 offenders and \$1.9 million in annual revenue. The savings to the state would be less than a quarter of that amount. Staff believes that it is wise to preserve a reasonable amount of external capacity.
2. As prison populations continue to decline, the State may decide that it needs to close another prison. Buena Vista is an obvious candidate because it is the second oldest facility in the state, after Colorado Territorial Correctional Facility. It seems unwise to expand within a complex that may someday close.
3. Staff was never completely satisfied with the Department's analysis of this item. For example, DOC omitted the cost of pharmaceuticals for 100 offenders from their calculations. These pharmaceuticals would have cost \$73,224 ($= 61.02 * 100 * 12$) reducing the savings to \$456,750 rather than the \$529,974 proposed by DOC. Other aspects of the plan also left staff unsure.

Despite these misgivings, Staff notes that the Committee could approve this base reduction item and in the process reduce appropriations by \$456,750, at the cost of 15.5 extra FTE.

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R4 Consolidate San Carlos:

The Department requests that the stand-alone appropriations for the San Carlos Correctional Facility be combined with the appropriations for most other DOC correctional facilities, which are already combined with one another. \$13.4 million and 178.2 FTE from 3 line items that now fund San Carlos would be distributed among 11 other line items that now fund 19 of DOC's 21 facilities. This change would not alter total appropriations to the Department.

Staff recommends that the Committee approve this request, for the reasons described below.

Background and staff analysis: Currently, the funding for 19 of the Department's 21 facilities is consolidated into functional areas. Only appropriations for San Carlos and the Youthful Offender System (YOS) are presented separately. For example, all the appropriations for Food Service involving these 19 facilities appear in a single place in the Long Bill. The following diagram shows the redistribution that would occur: \$13.4 million currently appropriated on 3 line items to San Carlos would be distributed among 11 line items. San Carlos would not longer appear separately in the Long Bill, leaving only the Youthful Offender System for separate presentation.

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| | | | |
|----------------------------------|------------------|---|---------------------|
| | | Combine with the following appropriations to 19 other correctional facilities | |
| | | Maintenance | \$691,581 |
| | | FTE | 5.0 |
| | | Housing & Security | 6,953,868 |
| | | FTE | 110.0 |
| | | Food Service | 523,441 |
| | | FTE | 3.0 |
| | | Medical Services | 2,457,601 |
| | | FTE | 32.2 |
| | | Laundry | 72,083 |
| | | FTE | 1.0 |
| San Carlos Correctional Facility | | Superintendents | 506,069 |
| Personal Services | (\$12,470,077) | FTE | 6.0 |
| FTE | (178.2) | Case Management | 187,633 |
| Operating Expenses | (199,092) | FTE | 3.0 |
| <u>Service Contracts</u> | <u>(725,309)</u> | Mental Health | 1,589,256 |
| Total | (\$13,394,478) | FTE | 12.0 |
| FTE | (178.2) | Legal Access | 56,305 |
| | | FTE | 1.0 |
| | | Business Operations | 85,095 |
| | | FTE | 1.0 |
| | | Recreation | 271,546 |
| | | FTE | 4.0 |
| | | <u>Total</u> | <u>\$13,394,478</u> |
| | | FTE | 178.2 |

Notice that the San Carlos appropriations in the Long Bill are generic (Personal Services, Operating Expenses, and Services Contracts). They are not particularly informative, nor do they give the General Assembly very much control over how the appropriation is expended. While this presentation of appropriations prevents moving funds from operating to personal services, and visa versa, large movements could happen within each category without a single dollar of change in the Long Bill. FTE devoted to security could increase, for example, as FTE devoted to medical care declines, or expenditures on educational materials could fall as expenditures on food rises.

Staff believes that the Long Bill will become more informative if San Carlos is consolidated. Currently, it is impossible to determine from the Long Bill what DOC spends in total on mental health care because mental health spending for San Carlos, where a significant portion of this spending occurs, is not presented separately. After the consolidation, it will be easier to track DOC's total mental health spending. There is some loss of information, of course, because after the consolidation it will be impossible to determine from the Long Bill how it costs to operate San Carlos. Staff judges

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that total spending on medical care, or on mental health care is generally more interesting than the cost of operating San Carlos.

However, though the Long Bill itself may no longer present San Carlos separately, there will be no loss of available information from the JBC's perspective because JBC staff has access to much more detailed cost information than that which is presented in the Long Bill. This information shows, for example, how much is spent on each of the functional areas at *each* facility. Thus there will be no loss of information from the JBC's perspective.

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BA4 Security & Utilities for FLCF:

The Department requests an increase of \$934,089 General Fund and 6.0 FTE to provide security, utilities and light maintenance for Fort Lyon Correctional Facility until December 31, 2012, which will facilitate the ongoing repurposing efforts. This appropriation is divided among 8 line items throughout the Department.

Staff recommends that the Committee approve this request, for the reasons described below.

Background and staff analysis: During supplementals the Department received a \$410,570 General Fund, 1.3 FTE increase for FY 2011-12 to pay for utilities, light maintenance, and one 24-hours-per-day, 7-days-per-week post at the now closed Fort Lyon Correctional Facility (FLCF) for three months. The utilities and light maintenance are giving the Department the ability to keep building systems operational and prevent damage to the facility from adverse weather conditions. The 24-hour post provides security for a structure that is probably an attractive target for vandals. The supplemental has enabled the Department to protect the facility and to keep critical building and utility systems operational through June 30, 2012.

This budget amendment essentially extends these appropriations for another 6 months, until December 31, 2012. The \$934,089 increase in General Fund and the accompanying 6.0 FTE will allow the department to maintain two posts at FLCF. The request will also provide funds for facility grounds maintenance and the annual state property insurance policy premium.

Without an appropriation for utilities and light maintenance, the Department will have to shut down Fort Lyon's water, electricity, heat, and ventilation systems. Without security services, the facility cannot be monitored and protected from vandalism and other events, like fire, that could endanger it. Thus these services are critical to the repurposing efforts.

Omitted Detail in the FTE Staffing Tables

When preparing this packet, staff asked the Department to submit staffing data for the staffing tables. Not long afterwards, the Department told staff that they were having difficulties constructing the tables. In most divisions, the FTE appropriation was less than the number of FTE employed. The FTE appropriation for a division might be 100, but 105 were employed. The Department didn't know

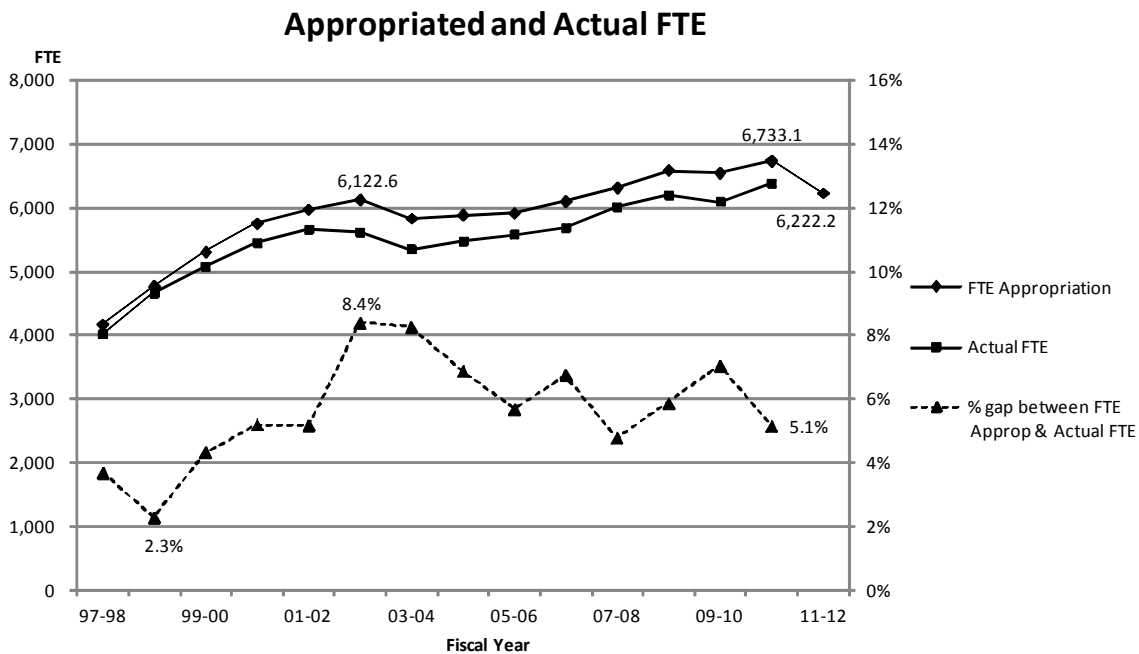
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how to report the detail of the FTE appropriation under such circumstances. In addition, what should they put in the request column when they knew that they were going to employ more FTE than there were in their request?

Note that the Governor instructed Departments in last year's Long Bill veto message to "disregard the FTE authorizations...[and] manage their operations within the scope of the dollars appropriated.". Thus the Department was acting as he directed in going over the FTE appropriation.

This staff member believed that he should not bring FTE staffing tables to the Committee that he knew to be wrong, so he told the Department to report total FTE but not the corresponding detail. I.e. do not arbitrarily omit FTE in the appropriation or request columns in an effort to appear in conformity with the appropriation. The result is staffing tables in this document with many blank columns.

Staff understands that this problem traces to figure setting last year when, the JBC reduced the department's appropriation of FTE by 400.0. If this reduction was intended to align the FTE appropriation with actual FTE, it appears not to have done so. The following graph shows actual and appropriated FTE since the mid 1990's. The dip in FY 11-12 suggests appropriated FTE will be below actual FTE.



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(1) MANAGEMENT

The management program area contains three subprograms: the Executive Director's Office Subprogram, the External Capacity Subprogram, and the Inspector General Subprogram. This program area is responsible for the main administrative functions of the Department.

(A) Executive Director's Office Subprogram

The Executive Director's Office (EDO) is responsible for the management, leadership, and direction for the DOC in all policy, fiscal, and operations matters. The Director is ultimately responsible to the offenders and their families regarding care, treatment, and condition issues which surface regularly in the normal course of operations. The FTE in the Executive Director's Office perform the following functions:

- legislative liaison, community relations/public information, and inspector general;
- pre-employment screening and the internal affairs investigative services;
- management of the Office of Planning and Analysis (maintains offender trend data), legal services, support services, the Canteen Subprogram, and Correctional Industries;
- supervision and management of security, daily operations, business, fiscal, personnel and staffing management of all facilities and centers; and
- the Director of Prison Operations is responsible for the state-owned facilities shown in the following table (capacities as of January 2011):

| Prisons Available to House Colorado Inmates - FY 2011-12 | | |
|---|-----------------|-------------|
| | Capacity | FTE* |
| State Prisons | | |
| 1 Arkansas Valley Correctional Facility | 1,007 | 297.3 |
| 2 Arrowhead Correctional Center | 524 | 190.1 |
| 3 Buena Vista Correctional Facility | 1,224 | 337.9 |
| 4 Centennial Correctional Facility | 652 | 416.4 |
| 5 Colorado Correctional Center | 150 | 46.0 |
| 6 Colorado Territorial Correctional Facility | 928 | 455.3 |
| 7 Colorado State Penitentiary | 756 | 425.3 |
| 8 Delta Correctional Center | 484 | 124.8 |
| 9 Denver Women's Correctional Facility | 976 | 371.1 |
| 10 Denver Reception and Diagnostic Center | 542 | 349.3 |
| 11 Fort Lyon Correctional Facility | 0 | 0.0 |

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| Prisons Available to House Colorado Inmates - FY 2011-12 | | | |
|---|--|-----------------|----------------|
| | | Capacity | FTE* |
| 12 | Four Mile Correctional Center | 525 | 129.0 |
| 13 | Fremont Correctional Facility | 1,661 | 489.0 |
| 14 | Limon Correctional Facility | 898 | 294.6 |
| 15 | La Vista Correctional Facility (formerly Pueblo Minimum Center) | 589 | 201.3 |
| 16 | Rifle Correctional Center | 192 | 56.5 |
| 17 | San Carlos Correctional Facility | 255 | 197.6 |
| 18 | Skyline Correctional Center | 249 | 52.5 |
| 19 | Sterling Correctional Facility | 2,545 | 793.7 |
| 20 | Trinidad Correctional Facility | 484 | 149.9 |
| 21 | Youth Offender System | <u>256</u> | <u>185.4</u> |
| Subtotal State Prisons | | 14,897 | 5,563.0 |
| In-state Private Prisons | | | |
| 1 | Bent County Correctional Facility | 1,387 | n/a |
| 2 | High Plains Correctional Facility | - | n/a |
| 3 | Crowley County Correctional Facility | 1,616 | n/a |
| 4 | Hudson Correctional Facility | 1,188 | n/a |
| 5 | Huerfano County Correctional Facility | - | n/a |
| 6 | Kit Carson Correctional Facility | 976 | n/a |
| 7 | Cheyenne Mountain Re-Entry Center (Pre-release /Parole Revocation) | <u>728</u> | n/a |
| Subtotal In-state Private Prisons | | 5,895 | n/a |

* FTE are the number of FTE required to fully staff the facility according to Department of Corrections staffing plans. Actual FTE are lower.

Also included in the Executive Director's Office are all funds that are centrally-appropriated to the Department to cover expenses such as salary survey, performance-based pay, shift differential, group health and life insurance, and short-term disability.

Personal Services:

| Executive Director Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Professional Staff | 11.8 | 11.4 | | | |
| Administrative Staff | 5.3 | 6.0 | | | |
| Statisticians / Data Analysis | 1.1 | 2.0 | | | |
| R-1, Sex Offender Treatment Expansion | | | | 0.9 | 0.9 |
| Total | 18.2 | 19.4 | 17.1 | 18.0 | 18.0 |

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The following tables present staffing by job function along with the staff recommendation and the request. It includes half of a requested adjustment that moves the appropriation in S.B. 11-176, Appropriate Use of Administrative Segregation, to the Planning and Analysis Contracts line in the Executive Director's Office Subprogram.

| (1) (A) Executive Director's Office Subprogram Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|----------------|-----------|-------------|
| FY 2011-12 Appropriation | 1,531,848 | 1,288,043 | 0 | 243,805 | 0 | 17.1 |
| State PERA contribution back to 10.15% | 35,097 | 35,097 | 0 | 0 | 0 | 0.0 |
| Move the appropriation in S.B. 11-176, Appropriate Use of Administrative Segregation, to the Planning and Analysis Contracts line in the Executive Director's Office Subprogram | (26,250) | (26,250) | 0 | 0 | 0 | 0.0 |
| Base personal services adjustment | (24,946) | (20,976) | 0 | (3,970) | 0 | 0.0 |
| R1, Sex Offender Treatment | 47,815 | 47,815 | 0 | 0 | 0 | 0.9 |
| FY 2012-13 Recommendation | 1,563,564 | 1,323,729 | 0 | 239,835 | 0 | 18.0 |
| FY 2012-13 Request | 1,588,510 | 1,344,705 | 0 | 243,805 | 0 | 18.0 |

The sources of cash funds are Victims Assistance and Law Enforcement Fund (State VALE) grants and Federal Victims Of Crime Act (VOCA) grants, both transferred from the Division of Criminal Justice in the Department of Public Safety.

Health, Life, and Dental:

The following table presents the staff recommendation and the request.

| (1) (A) Executive Director's Office Subprogram Health, Life, and Dental | Total | GF | CF | RF | FF |
|--|-------------------|-------------------|------------------|-----------|-----------|
| JBC Common Policy | 43,241,897 | 41,971,056 | 1,270,841 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (642,816) | (642,816) | 0 | 0 | 0 |
| Restore BA12 Communities Reduction | 126,720 | 126,720 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 103,047 | 103,047 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 83,999 | 0 | 83,999 | 0 | 0 |
| R7, Part 1, Parole & Parole ISP Caseload | (86,871) | (86,871) | 0 | 0 | 0 |
| BA4, Security & Utilities for FLCF | 26,306 | 26,306 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 42,852,282 | 41,497,442 | 1,354,840 | 0 | 0 |
| FY 2012-13 Request | 39,090,894 | 37,859,830 | 1,231,064 | 0 | 0 |

* The sources are Correctional Industries and Canteen funds.

Short-term Disability:

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This line item is used to purchase short-term disability (STD) coverage. Pursuant to Section 24-50-603 (13), C.R.S., STD coverage provides for a partial payment of an employee's salary if an individual becomes disabled and cannot perform his or her duties. The Long Bill head notes prohibit appropriations made for short-term disability from being used for other purposes.

The following table presents the staff recommendation and the request.

| Short-term Disability | Total | GF | CF | RF | FF |
|--|----------------|----------------|---------------|-----------|-----------|
| JBC Common Policy | 551,854 | 536,353 | 15,501 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (9,750) | (9,750) | 0 | 0 | 0 |
| Restore BA12 Communities Reduction | 1,648 | 1,648 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 1,989 | 1,989 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 1,541 | 0 | 1,541 | 0 | 0 |
| R7, Part 1, Parole & Parole ISP Caseload | (1,388) | (1,388) | 0 | 0 | 0 |
| BA4, Security & Utilities for FLCF | 528 | 528 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 546,422 | 529,380 | 17,042 | 0 | 0 |
| FY 2012-13 Request | 573,800 | 555,412 | 18,388 | 0 | 0 |

* The sources are Correctional Industries and Canteen funds

Amortization Equalization Disbursement:

This line item was created pursuant to S.B. 04-257. The line item is intended to fund the Amortization Equalization Disbursement to the Public Employees' Retirement Association. For CY 2011, the rate is 2.6 percent of base salaries. For CY 2012, the rate is increased to 3.0 percent.

The following table presents the staff recommendation and the request.

| Amortization Equalization Disbursement | Total | GF | CF | RF | FF |
|---|------------------|------------------|----------------|-----------|-----------|
| JBC Common Policy | 10,027,867 | 9,729,285 | 298,582 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (168,290) | (168,290) | 0 | 0 | 0 |
| Restore BA12 Communities Reduction | 26,077 | 26,077 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 29,298 | 29,298 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 27,855 | 0 | 27,855 | 0 | 0 |
| R7, Part 1, Parole & Parole ISP Caseload | (22,815) | (22,815) | 0 | 0 | 0 |
| BA4, Security & Utilities for FLCF | 9,541 | 9,541 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 9,929,533 | 9,603,096 | 326,437 | 0 | 0 |
| FY 2012-13 Request | 10,370,396 | 10,037,962 | 332,434 | 0 | 0 |

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* The sources are Correctional Industries and Canteen funds

Supplemental Amortization Equalization Disbursement:

This line item was created pursuant to S.B. 06-235. The line item is used to provide additional Amortization Equalization Disbursement to the Public Employees' Retirement Association. For CY 2011, the rate is 2.0 percent of base salaries, and for CY 2012, the rate is 2.5 percent.

The following table presents the staff recommendation and the request.

| Supplemental Amortization Equalization Disbursement | Total | GF | CF | RF | FF |
|--|------------------|------------------|----------------|-----------|-----------|
| JBC Common Policy | 8,577,693 | 8,321,099 | 256,594 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (156,966) | (156,966) | 0 | 0 | 0 |
| Restore BA12 Communities Reduction | 20,955 | 20,955 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 25,150 | 25,150 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 23,938 | 0 | 23,938 | 0 | 0 |
| R7, Part 1, Parole & Parole ISP Caseload | 25,331 | 25,331 | 0 | 0 | 0 |
| BA4, Security & Utilities for FLCF | 8,198 | 8,198 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 8,524,299 | 8,243,767 | 280,532 | 0 | 0 |
| FY 2012-13 Request | 8,898,218 | 8,612,532 | 285,686 | 0 | 0 |

* The sources are Correctional Industries and Canteen funds

Salary Survey and Senior Executive Service:

The Department uses this line item to pay for annual increases for salary survey and Senior Executive Service (SES) positions. The sources of cash funds are Correctional Industries and Canteen funds.

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Performance-based Pay Awards:

This line item was created in FY 2002-03 for performance-based pay awards. The Department does not request and the Committee has not approved an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Shift Differential:

This line item is used to pay for the adjustment to compensate employees for work performed outside the regular Monday through Friday 8:00 a.m. to 5:00 p.m. work schedule. The majority of the amount

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requested is related to the 24-hour supervision of inmates. Unlike the other centrally-appropriated line items, the JBC approved a shift differential common policy to fund 80.0 percent of the total estimated costs.

The following table presents the staff recommendation and the request.

| Shift Differential | Total | GF | CF | RF | FF |
|-------------------------------------|------------------|------------------|---------------|-----------|-----------|
| JBC Common Policy | 6,174,802 | 6,161,617 | 13,185 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (124,098) | (124,098) | 0 | 0 | 0 |
| BA4, Security & Utilities for FLCF | 6,956 | 6,956 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 6,057,660 | 6,044,475 | 13,185 | 0 | 0 |
| FY 2012-13 Request | 6,195,436 | 6,181,981 | 13,455 | 0 | 0 |

* The sources are Correctional Industries and Canteen funds

Workers' Compensation:

The Department requests an appropriation of \$8,456,946 total funds for this line item. **The staff recommendation for this line item is pending approval of a JBC common policy.** The sources of the cash funds are Canteen and Correctional Industries funds.

Operating Expenses:

The following table summarizes the staff recommendation and the department request.

| Workers' Compensation | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|--------------|---------------|
| FY 2011-12 Appropriation | 278,632 | 181,882 | 0 | 11,750 | 85,000 |
| Restore temporary 5% operating expenses reduction | 9,573 | 9,573 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 500 | 500 | 0 | 0 | 0 |
| Reduction in available VOCA funding | (6,750) | 0 | 0 | (6,750) | 0 |
| FY 2012-13 Recommendation | 281,955 | 191,955 | 0 | 5,000 | 85,000 |
| FY 2012-13 Request | 281,955 | 191,955 | 0 | 5,000 | 85,000 |

The sources of cash funds are Victims Assistance and Law Enforcement Fund (State VALE) grants and Federal Victims Of Crime Act (VOCA) grants, both transferred from the Division of Criminal Justice in the Department of Public Safety.

Legal Services:

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The following table reflects the appropriation, the request, and the recommendation for "Legal Services".

| Department of Corrections - Legal Services | | | | | | | | | |
|--|--------------------------|----------------|--------------------|----------------------|----------------|--------------------|---------------------------|------------|----------------|
| | FY 2011-12 Appropriation | | | FY 2012-13 Request | | | FY 2012-13 Recommendation | | |
| | Legal Services Hours | Rate | Total | Legal Services Hours | Rate | Total | Legal Services Hours | Rate | Total |
| Base Budget Hours | 15,118 | \$75.71 | \$1,144,584 | 15,118 | \$75.71 | \$1,144,584 | 15,118 | TBD | TBD |
| Montez Lawsuits | 180 | \$75.71 | \$13,628 | 180 | \$75.71 | \$13,628 | 180 | TBD | TBD |
| Subtotal hours | 15,298 | \$75.71 | \$1,158,212 | 15,298 | \$75.71 | \$1,158,212 | 15,298 | TBD | Pending |
| Contract for Rifle | | | \$81,746 | | | \$81,746 | | | \$81,746 |
| Total cost | | | \$1,239,958 | | | \$1,239,958 | | | Pending |

Base Legal Hours Justified. The Department of Corrections is involved in many lawsuits involving employees and inmates. In the past, the Department has advised staff that it is involved with as many as 100 open cases at any point in time. If these lawsuits are not defended, the State could be at risk for a significant outlay. For example, when the Department settled the Montez class action lawsuit related to the American's with Disabilities Act, it required a \$1.1 million payment for the plaintiff's legal expenses as well as costly modifications to DOC facilities and programs.

Montez Lawsuits. Staff recommends continuing the 180 hours of legal services for lawsuits related to the Montez settlement agreement. In 2004, the Department settled a class action lawsuit related to the Americans with Disabilities Act. The settlement authorized individual inmates to seek damages and injunctive relief from DOC. The Department of Law advised JBC staff that it initially had to respond to over 1,100 individual cases related to DOC compliance with the American's with Disabilities Act. The Department of Law further advised staff that approximately 400 to 500 of the inmates had sought specific injunctive relief from DOC for specific services (hearing aids, visual aids, etc.). The Department of Corrections must purchase legal services from the Department of Law for these cases. The remainder of the cases are seeking damages. These cases were handled by the Department of Personnel and Administration's Risk Management unit. The Department of Law advised staff that it would be appropriate to continue the number of legal services hours for this lawsuit at 180 hours of Legal Services based on a continuing work load.

Rifle Lawsuit. Staff also recommends a continuing level of funding for a contract attorney related to the Rifle lawsuit. This lawsuit is a complex action that involves federal, state, and local agencies. Without the appropriation, the Department contends that its storage and water rights for the Rifle Correctional Facility could be in jeopardy. The Department explained that the water courts have retained jurisdiction for a five-year period while this lawsuit is resolved.

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The total dollar level of funding for this line is pending. After the JBC approves an hourly rate for legal services in the Department of Law, staff will apply it to the Committee authorized level of Attorney General hours to determine the dollar amount associated with Attorney General hours, and add the private council costs to determine the total required level of funding for this line item. **Staff recommends this line item be letter noted in the Long Bill to show the funding associated with Attorney General hours versus private contract dollars.**

| Summary of Legal Services Recommendation (1) (A) Executive Director's Office — Department of Corrections | | | |
|---|--------------|-------------|--------------|
| | General Fund | Cash Funds* | Total |
| LSSA Hours | 14,732 hours | 566 hours | 15,298 hours |
| Rifle Lawsuit | \$81,746 | \$0 | \$81,746 |
| Total | Pending | Pending | Pending |

* The sources are Correctional Industries and Canteen funds.

Payments to Risk Management and Property Fund:

The Department requests an appropriation of \$3,674,834 total funds for this line item. **The staff recommendation for this line item is pending approval of a JBC common policy.** The source of the cash funds is Correctional Industries and Canteen funds.

Leased Space:

All leased space for the Department is consolidated into this section, including office space for DOC's headquarters in Colorado Springs, Parole and Community Services offices throughout the state, and the DOC's training academy in Cañon City.

The following table presents the staff recommendation and the request.

| Leased Space | Total | GF | CF | RF | FF |
|----------------------------------|-----------|-----------|---------|----|----|
| FY 2011-12 Appropriation | 3,410,708 | 3,200,503 | 210,205 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (134,993) | (134,993) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 3,275,715 | 3,065,510 | 210,205 | 0 | 0 |
| FY 2012-13 Request | 3,344,158 | 3,133,953 | 210,205 | 0 | 0 |

Capitol Complex Leased Space:

This line item pays for the lease on the Colorado Correctional Center at Camp George West. It was added to the Long Bill in FY 2003-04. Prior to this, the Department of Corrections had reimbursed the Department of Personnel for DOC's share of the capitol complex costs for Camp George West

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through its "Leased Space" line item. Staff recommends an appropriation to support 61,296 square feet of space at Camp George West.

| Summary of Capitol Complex Leased Space Recommendation (1) (A) Executive Director's Office — Department of Corrections | | |
|--|-----------------------|----------------|
| | Square Footage | Percent |
| General Fund | 42,624 | 69.5% |
| Cash Funds (Correctional Industries) | <u>18,672</u> | <u>30.5%</u> |
| Total | 61,296 | 100.0% |

The Department requests an appropriation of \$171,142 total funds for this line item. **The JBC staff recommendation for this line item is pending approval of a common policy for capitol complex leased space.** Based on the amount approved by the Committee, staff recommends applying the fund mixes shown in the previous table (i.e., 69.5 percent General Fund and 30.5 percent cash funds from Correctional Industries).

Planning and Analysis Contracts:

This line item was added during the FY 2006-07 session through H.B. 07-1358. House Bill 07-1358 requires the creation of the Colorado Criminal and Juvenile Justice Commission in the Department of Public Safety (DPS). The Department of Corrections incurs costs related to providing research and statistical support for staff at DPS through FY 2012-13.

Move appropriation for S.B. 11-176 to this line. S.B. 11-176 , Appropriate Use of Administrative Segregation, provided \$26,250 of General Fund to the Department for contract services that produce reports. The bill incorrectly assigned the appropriation to the Personal Services Line in the Executive Director's Office Subprogram, when it should have been assigned to this, the Planning and Analysis Contracts line. The Department requests and staff recommends that this \$26,250 appropriation be moved to this line item.

The Department requests an appropriation of \$82,410 (= \$56,160 + \$26,250) General Fund for this line item, which is the annualized funding need according to H.B. 07-1358 and S.B. 11-176. As such, **staff recommends that the Committee approve the requested appropriation of \$82,410 General Fund for this line item.**

Payments to District Attorneys:

When a crime occurs in a Department of Corrections facility, the local district attorney (DA) prosecutes the case, and, pursuant to Section 16-18-101, C.R.S., the DOC reimburses the DA for costs incurred. Expenses charged to the Department include professional services, witness fees, supplies, lodging, and per diem. Previously these expenses were paid from the personal services appropriation within the Executive Director's Office.

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In the middle of the last decade, these expenses began to mount, largely as a result of death penalty prosecutions mounted by the DA in the 18th Judicial District, who was prosecuting murders that occurred in the Limon Correctional Facility. In response to the higher expenses, this line item was added to the Long Bill by the Department's FY 2007-08 supplemental, which paid for some of these prosecution expenses. The following table shows total expenses for all prosecutions since FY 2007-08.

| Judicial District | FY 07-08 | FY 08-09 | FY 09-10 | FY 10-11 |
|---|----------------|----------------|----------------|----------------|
| 2 nd - Denver | 42,556 | 0 | 748 | 1,826 |
| 3 rd - Huerfano, Las Animas | 0 | 0 | 0 | 1,188 |
| 7 th - Delta, Gunnison, Hinsdale, Montrose, Ouray, San Miguel | 0 | 0 | 372 | 0 |
| 10 th - Pueblo | 0 | 0 | 700 | 213 |
| 11 th - Fremont, Chaffee, Park, Custer | 70,899 | 44,749 | 59,452 | 30,524 |
| 13 th - Kit Carson, Logan, Morgan, Phillips, Sedgewick, Washington, Yuma | 18,382 | 29,308 | 21,316 | 19,776 |
| 16 th - Bent, Crowley, Otero | 32,107 | 50,475 | 43,018 | 46,334 |
| 18 th - Arapahoe, Douglas, Elbert, Lincoln | 368,904 | 123,356 | 167,740 | 183,269 |
| Total | 532,847 | 247,888 | 293,346 | 283,130 |

DOC's supplemental appropriation for Payments to District Attorneys raised the Department's FY 2011-12 appropriation for this line item from \$144,108 to \$366,880 General Fund, which staff estimated would equal the reimbursement requests of DA offices around the state. The Department requested a \$441,424 supplemental increase, which would have brought the FY 2011-12 appropriation to \$585,532, but staff concluded this was unnecessary due to court delays in the 18th Judicial District which moved substantial expenditures into FY 2012-13..

The Department requests an appropriation of \$144,108 General Fund for this line item, which equals the FY 2011-12 appropriation before supplementals. Given the evidence in the above table, staff believes that this appropriation would be totally inadequate and would virtually guarantee a large supplemental. Expensive prosecutions loom; in addition to the 18th Judicial District's continuing pursuit of the death penalty in the case of Edward Montour, Jr., who took the life of a Limon Correctional Officer, the 13th Judicial District needs to begin prosecution of some or all of the *five* offenders who are suspected of killing fellow inmates at the Sterling Correctional facility in recent months.

Staff recommends that appropriations for Payments to District Attorneys remain at \$366,880 General Fund for FY 2012-13 and believes that there is a good possibility that this amount will prove inadequate. Staff hopes it will be possible to reduce the appropriation next year after murder proceedings in the 18th Judicial district conclude.

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Start-up Costs:

The Department requests a one time appropriation of \$4,978 for this line item for costs connected to decision item R1, Sex Offender Treatment. **Staff recommends that the Committee approve this request.**

External Study of Sex Offender Treatment and Management Program:

This is the recommended new line item that would fund a study of the efficacy and cost-effectiveness of DOC's Sex Offender Treatment and Management Program.

(1) MANAGEMENT

(B) External Capacity Subprogram

This subprogram monitors private prison facilities and makes payments to county jails, private prisons, and certain community corrections facilities. (Most payments to community corrections facilities are made by the Division of Criminal Justice.) These jails, private prisons and community corrections facilities house state inmates who have been sentenced to the custody of the DOC, but for whom no state prison beds are available.

(1) MANAGEMENT

(B) External Capacity Subprogram

(1) Private Prison Monitoring Unit

Section 17-1-202 (1) (g), C.R.S., requires the DOC to monitor all private prison contracts. Pursuant to this subsection, monitoring costs of in-state private prisons are paid from the General Fund while monitoring costs for out-of-state private prisons (of which there are currently none) are paid by the out-of state contractor. Prior to FY 2006-07, in-state prisons also paid their own monitoring costs, which created a situation in which some of the dollars the state paid to contractors came right back to the DOC to pay for monitoring. The monitoring payments the state received from contractors counted as revenue under TABOR. House Bill 04-1419 ended monitoring payments to the state from in-state contractors, substituting a General Fund appropriation and reducing payments to private prisons by an offsetting amount (an 81¢ per offender per day reduction).

Pursuant to statute, in-state private facilities are permitted to house state inmates classified at medium or below on a long-term basis. These private facilities are located in Colorado Springs, Bent County, Crowley County, and Kit Carson County. The correctional facilities at Brush and Huerfano County are now closed. A private prison in Hudson houses offenders from Alaska.

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Note that DOC does not monitor jails that house state prisoners.

Personal Services:

Included in this unit is the spending authority for staff to review, audit, and monitor private prisons for contract compliance. The functions performed by the unit include the following:

- The inmate population is reviewed to ensure it meets classification and risk standards set by the Department.
- All private facilities in Colorado must meet minimum standards for American Correctional Association accreditation within two years after opening. The Department monitors the private facilities to ensure they obtain this accreditation.
- The Department reviews and audits private prisons for security, construction, religious programming, educational programming, medical, mental health, food service, case management, hearing boards, and administrative policy.

| Private Prison Monitoring Unit Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff | 14.0 | 10.5 | | | |
| Administrative Staff | 2.6 | 4.0 | | | |
| Statisticians / Data Analysis | <u>2.2</u> | <u>3.0</u> | | | |
| Total | 18.8 | 17.5 | 13.3 | 13.3 | 13.3 |

The following table shows computes the recommended appropriation for the program.

| (1) (B) External Capacity Subprogram , Private Prison Monitoring Unit, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,031,078 | 1,031,078 | 0 | 0 | 0 | 13.3 |
| State PERA contribution back to 10.15% | 34,017 | 34,017 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (21,242) | (21,242) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,043,853 | 1,043,853 | 0 | 0 | 0 | 13.3 |
| FY 2012-13 Request | 1,065,095 | 1,065,095 | 0 | 0 | 0 | 13.3 |

Operating Expenses:

The following table summarizes the recommendation and the request.

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| (1) (B) External Capacity Subprogram Private Prison Monitoring Unit, Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|---------------|-----------|-----------|
| FY 2011-12 Appropriation | 202,223 | 172,170 | 30,053 | 0 | 0 |
| Restore 5% operating expense reduction | 11,806 | 11,806 | 0 | 0 | 0 |
| Projected change in funds received for monitoring Hudson Correctional Facility (Alaska Prisoners) | (586) | 0 | (586) | 0 | 0 |
| FY 2012-13 Recommendation | 213,443 | 183,976 | 29,467 | 0 | 0 |
| FY 2012-13 Request | 213,443 | 183,976 | 29,467 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(1) MANAGEMENT

(B) External Capacity Subprogram

(2) Payments to House State Prisoners:

The following items are included in this program:

- The funds associated with holding DOC inmates in local county jails;
- The funds associated with placing inmates classified as medium or below in in-state private facilities;
- The funds associated with placing inmates classified as medium or below in the pre-release parole revocation facility in Colorado Springs; and
- The funds associated with placing inmates in community return-to-custody beds pursuant to the provisions of S.B. 03-252 .

The external capacity bed forecast was presented earlier and will not be reviewed here,

The following table summarizes the "no-change" rate request and recommendation for these external capacity programs.

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| Summary of External Capacity Provider Rates | | | |
|---|--------------------------|----------------|------------------|
| Line Item | FY 2011-12 Daily Rate | FY 2012-13 | |
| | | Requested Rate | Recommended Rate |
| Local Jails | \$50.44 | \$50.44 | \$50.44 |
| In-State Private Prisons | \$52.69 | \$52.69 | \$52.69 |
| Out-of-State Private Prisons | n/a | n/a | n/a |
| Pre-Release Parole Revocation Facility | \$52.69 | \$52.69 | \$52.69 |
| Community Corrections Programs | \$43.68 | \$43.68 | \$43.68 |

Payments to Local Jails at a rate of \$50.44 per inmate per day:

This line item is used to reimburse local jails for housing state inmates who have been sentenced to the Department of Corrections. In the last two years, the number of offenders has averaged between 550 and 650. These offenders fall into a number of categories:

- Technical parole violators.
- Regressed to prison from community corrections.
- Newly sentenced to DOC by courts, awaiting transport (DOC pays after when 72 hours have elapsed since the sentence).
- Parole violators who have committed new crimes.
- DOC contract with a jail to house general DOC offenders.
- DOC contract with a jail to house technical parole violators.

As discussed previously, the staff recommendation is for a continuing reimbursement rate of \$50.44 per inmate per day, which is the same reimbursement rate as in FY 2011-12.

Based on the LCS forecast, staff recommends an appropriation of \$10,716,623 General Fund.

Payments to In-State Private Prisons at a Rate of \$54.93 per Inmate Per Day:

This line item is used to reimburse private prisons. Pursuant to Section 17-1-105.5, C.R.S., the General Assembly determines the rates used to reimburse private prisons. As discussed previously, **staff recommends the Committee approve a reimbursement rate of \$52.69 per inmate per day.** The rate for private facilities is higher than the rate for local jails because the private facilities provide more programming for inmates (i.e. educational programs, vocational programs, recreational programs, etc).

Based on the LCS forecast, staff recommends an appropriation of \$65,100,701, comprised of \$62,741,994 General Fund and \$2,358,707 cash funds.

Payments to out-of-state private prisons at a Rate of \$54.00 per inmate per day:

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Colorado brought the last offenders housed in out-of-state prisons back to Colorado during FY 2007-08. Therefore, **staff does not recommend that the Committee approve an appropriation for this line item.**

Payments to pre-release and parole revocation facilities at a rate of \$54.93 per inmate per day:

Pursuant to Section 17-206.5, C.R.S., DOC is authorized to contract with a private prison to serve as a pre-parole and parole revocation facility. The population eligible for placement in the facility is defined in statute to include inmates who are within 19 months of their parole eligibility date for nonviolent offenses and parolees whose parole has been revoked for a period not to exceed 180 days. Parolees are not eligible if their parole was revoked for a new crime.

Based on the LCS forecast, staff recommends an appropriation of \$12,071,929 General Fund.

Payments to House State Prisoners – Community Corrections Programs:

Pursuant to Section 17-2-103, C.R.S., the parole board is authorized to revoke the parole for certain offenders for a period of 180 days to a community corrections program (S.B. 03-252). Unlike traditional community corrections programs, placement in an S.B. 03-252 bed is determined by the Department of Corrections without the involvement of the local community corrections boards. The Long Bill currently provides an average rate of \$43.68 per inmate per day. Inmates housed in these beds reduce the demand for higher cost prison beds. The beds also save General Fund dollars because the inmates length of stay is reduced. The staff recommendation assumes an average rate of \$43.68 per inmate per day pursuant to JBC common policy.

Based on the LCS forecast, staff recommends an appropriation of \$3,683,692 General Fund.

(1) Management Programs

(C) Inspector General Subprogram

The Inspector General's Office is authorized in Section 17-1-103.8, C.R.S. It is responsible for investigating all criminal activities within the prison system, including activities of staff and of inmates. This subprogram was created in FY 2005-06 pursuant to a decision item approved by the JBC. Prior to this decision, the Inspector General program was funded in the Executive Director's Office.

Personal Services:

| Inspector General Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management | 1.0 | 1.0 | | | |
| Investigators / Professional Staff | 36.8 | 37.0 | | | |

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| Inspector General Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Correctional Officers | 2.9 | 2.0 | | | |
| Administrative Support | 8.5 | 8.0 | | | |
| Total | 49.2 | 48.0 | 45.2 | 45.2 | 45.2 |

The following table summarizes the request and the recommendation for this line item.

| (1) (C) Inspector General Subprogram Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|---------------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 3,583,965 | 3,482,546 | 101,419 | 0 | 0 | 45.2 |
| State PERA contribution back to 10.15% | 89,051 | 89,051 | 0 | 0 | 0 | 0.0 |
| Base personal services adjustment | (75,018) | (72,895) | (2,123) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 3,597,998 | 3,498,702 | 99,296 | 0 | 0 | 45.2 |
| FY 2012-13 Request | 3,673,016 | 3,571,597 | 101,419 | 0 | 0 | 45.2 |

Operating Expenses:

The following table summarizes the recommendation and the request.

| (1) (C) Inspector General Subprogram Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|---------------|-----------|-----------|
| FY 2011-12 Appropriation | 338,515 | 255,328 | 83,187 | 0 | 0 |
| Restore 5% operating reduction | 15,792 | 15,792 | 0 | 0 | 0 |
| Second year of BA-12 (last year) Therapeutic Communities) | (6,650) | (6,650) | 0 | 0 | 0 |
| R1 Sex Offender Treatment and Management | 698 | 698 | 0 | 0 | 0 |
| Correctional Industries Expansion | 475 | 475 | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (523) | (523) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 348,307 | 265,120 | 83,187 | 0 | 0 |
| FY 2012-13 Request | 349,046 | 265,859 | 83,187 | 0 | 0 |

Inspector General Grants:

This line item reflects grants that the Department expects to receive. The following table summarizes the request and the recommendation:

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| (1) (C) Inspector General Subprogram | | | | | | |
|---|----------------|-----------|-----------|---------------|----------------|------------|
| Inspector General Grants | Total | GF | CF | RF* | FF** | FTE |
| FY 2011-12 Appropriation | 404,464 | 0 | 0 | 118,714 | 285,750 | 1.0 |
| Grant adjustments | (168,815) | 0 | 0 | (90,977) | (77,838) | 0.0 |
| FY 2012-13 Recommendation | 235,649 | 0 | 0 | 27,737 | 207,912 | 1.0 |
| FY 2012-13 Request | 235,649 | 0 | 0 | 27,737 | 207,912 | 1.0 |

* The reappropriated funds are Colorado Information Analysis Center (CIAC) funds from the Governor's Office of Homeland Security and Offender Release of Information to Law Enforcement (ORILE) funds from Division of Criminal Justice, in the Department of Public Safety.

** The federal funds are Forfeiture funds and Prison Rape Elimination Act (PREA) funds from the U.S. Department of Justice.

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

The Institutions Long Bill group represents all functions and cost centers directly attributable to the operations of DOC prisons. Previously, the Long Bill identified groupings such as maximum and medium facilities, which were further broken down by personal services, operating expenses, service contracts, etc. However, this did not provide any information about the functions necessary to operate secure institutional facilities. The current structure of the Long Bill identifies the major functions performed by the DOC staff who work in those facilities. The functions include housing, security, food services, medical services, laundry services, and case management services.

(A) Utilities Subprogram

The utilities subprogram provides utility services to all Department of Corrections' buildings, equipment, and other systems to provide a secure, safe living and work environment.

Energy Management Program:

This line item was added to the FY 2006-07 Long Bill (H.B. 06-1385). Prior to this, a Long Bill footnote authorized the Department to use its "Utilities" line item to hire one FTE to administer the Department's energy management program. The mission of the Energy Management Program is to improve plant and program operational energy and/or utility efficiencies through life cost analysis, cost-effective energy and/or utility procurement, improved maintenance, system optimization, and user education and incentive systems.

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| Energy Management Program Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|-----------------|-----------------|------------------|------------------|------------------|
| Professional Staff | 2.6 | 2.4 | 2.6 | 2.6 | 2.6 |

The following table presents the recommendation and the Department request. Note that this line item combines Personal Services and Operating Expenses.

| (2) (A) (2) Energy Management Program | Total | GF | CF | RF | FF | FTE |
|--|----------------|----------------|----------|----------|----------|------------|
| FY 2011-12 Appropriation | 296,099 | 296,099 | 0 | 0 | 0 | 2.6 |
| State PERA contribution back to 10.15% | 4,693 | 4,693 | 0 | 0 | 0 | 0.0 |
| 2% personal services base reduction | (3,876) | (3,876) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 296,916 | 296,916 | 0 | 0 | 0 | 2.6 |
| FY 2012-13 Request | 300,792 | 300,792 | 0 | 0 | 0 | 2.6 |

Utilities:

This line item provides the funding for all of the Department's utility costs.

Decision Item: BA1, Utilities Rate Increase: The Department requests a \$37,414 total funds increase for this line item to cover projected increases in utilities costs, comprised of \$25,498 General Fund and \$11,916 cash funds. The \$1,549,908 supplemental for Utilities greatly reduced the size of this supplemental relative to the FY 2011-12 appropriation. In light of the modest size of the increase on base spending of \$20.1 million, **staff recommends that the Committee approve this request.**

The following table presents the recommendation and the Department request.

| (2) (A) (2) Utilities | Total | GF | CF | RF | FF |
|--|-------------------|-------------------|------------------|----------|----------|
| FY 2011-12 Appropriation | 20,514,677 | 19,475,759 | 1,038,918 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (783,926) | (783,926) | 0 | 0 | 0 |
| Annualize T-Building Reconfiguration (Original BA is 9 months, this annualizes it to a year) | 21,998 | 21,998 | 0 | 0 | 0 |
| BA 1 Utilities Rate Increase (Recommended) | 37,414 | 25,498 | 11,916 | 0 | 0 |
| BA 4 Security and Utilities for Fort Lyon | 300,246 | 300,246 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 20,090,409 | 19,039,575 | 1,050,834 | 0 | 0 |
| FY 2012-13 Request | 20,189,013 | 19,138,179 | 1,050,834 | 0 | 0 |

Start-up costs

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This line contains one-time costs associated with increased utility usage. The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS
(B) Maintenance Subprogram

The maintenance subprogram includes personnel responsible for general facility and grounds maintenance as well as boiler house; janitorial; and vehicle maintenance, and life safety projects for over 6.9 million square feet of building space, with an additional 471,200 square feet to be added when CSP II construction is complete. Most of this work is performed by inmates. The staff in this subprogram are responsible for supervising and training inmates.

Personal Services:

| Maintenance Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management | 13.0 | 0.0 | | | |
| Professional Staff | 9.6 | 22.0 | | | |
| Mechanical /Trades Specialists | 255.0 | 276.2 | | | |
| Utility Plant Operators | 2.9 | 1.0 | | | |
| Administrative Support | 14.8 | 15.0 | | | |
| BA #7 - Fort Lyon Correctional Facility Decommission | | | | (15.5) | (15.5) |
| R-3, Conservation Camp Program | | | | 0.9 | |
| BA4 Security & Utilities for Ft. Lyon | | | | 4.7 | 4.7 |
| R-4, San Carlos Consolidation | | | | 5.0 | 5.0 |
| Total | 295.3 | 314.2 | 314.5 | 309.6 | 308.7 |

The following table summarizes the recommendation and the request.

| (2) (B) Maintenance, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|-------------------|-------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 18,297,192 | 18,297,192 | 0 | 0 | 0 | 314.5 |
| State PERA contribution back to 10.15% | 439,139 | 439,139 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (881,414) | (881,414) | 0 | 0 | 0 | (15.5) |
| 2% base personal services adjustment | (363,540) | (363,540) | 0 | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | 315,185 | 315,185 | 0 | 0 | 0 | 5.0 |
| BA4 Security & Utilities for Ft. Lyon | 306,555 | 306,555 | 0 | 0 | 0 | 4.7 |
| FY 2012-13 Recommendation | 18,113,117 | 18,113,117 | 0 | 0 | 0 | 308.7 |

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| (2) (B) Maintenance, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|--------------|------------|-----------|-----------|-----------|------------|
| FY 2012-13 Request | 18,520,936 | 18,520,936 | 0 | 0 | 0 | 309.6 |

Operating Expenses:

The following table summarizes the recommendation and the request.

| (2) (B) Maintenance - Operating Expenses | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 6,749,777 | 6,749,777 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (412,767) | (412,767) | 0 | 0 | 0 |
| BA7 (last year) T-Building Reconfiguration Annualization | (1,166,337) | (1,166,337) | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 20,000 | 20,000 | 0 | 0 | 0 |
| BA4 Security & Utilities for FLCF | 119,870 | 119,870 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 5,310,543 | 5,310,543 | 0 | 0 | 0 |
| FY 2012-13 Request | 5,320,743 | 5,320,743 | 0 | 0 | 0 |

Purchase of Services:

This line item provides funding for services purchased from the Colorado Mental Health Institute in Pueblo (CMHIP) for maintenance of the La Vista Correctional Facility. Following the consolidation of the San Carlos line item, it will also show purchased maintenance expenses for San Carlos.

| (2) (B) Maintenance - Purchase of Services | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,111,424 | 1,111,424 | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 356,396 | 356,396 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,467,820 | 1,467,820 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,467,820 | 1,467,820 | 0 | 0 | 0 |

Start-up costs

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(C) Housing and Security Subprogram

The major mission of the housing and security subprogram is to ensure the safety and security of staff, inmates, and property through the daily management of inmates in the various housing units. Typically, inmates can spend between 10 and 24 hours per day in their housing units depending upon the type of facility and/or custody level. The Department uses standard custody level designations

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(Levels I through V) when describing the different types of housing units. The higher the level, the more secure the facility. Typically, the more secure the housing unit the more staff intensive the supervision levels requested by the Department.

Security is responsible for the safety, management, and control of staff, inmates, and the general public at each of the Department's facilities. Currently, the Department has allocated security positions based on a facility's size, mission, architectural design, and the need to provide relief coverage for posts. Security personnel are responsible for manning the master control, control towers, perimeter patrols, roving escort teams, etc.

Personal Services:

| Housing and Security Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Corr. Officer V | 26.7 | 32.2 | | | |
| Corr. Officer IV | 82.7 | 85.6 | | | |
| Corr. Officer III | 233.9 | 244.7 | | | |
| Corr. Officer II | 632.0 | 650.8 | | | |
| Corr. Officer I | 1,870.0 | 2,095.5 | | | |
| Administrative Support | 11.7 | 13.2 | | | |
| Decommission Ft Lyon | | | | (62.9) | (62.9) |
| R-3, Conservation Camp Program | | | | 11.0 | |
| R-4, San Carlos Consolidation | | | | 110.0 | 110.0 |
| Total | 2,857.0 | 3,122.0 | 3,057.9 | 3,116.0 | 3,105.0 |

As a result of five-year appropriations in accordance with Section 2-2-703, C.R.S., the following General Fund is statutorily appropriated to the Department of Corrections:

| Summary of 5-year Appropriations for FY 2012-13 | | | |
|--|--------------|---|------------------|
| Statutory Citation | Bill | Title | Amount* |
| 17-18-101 (1) (e) (II) | H.B. 08-1115 | Retaliation Against a Judge | \$28,758 |
| 17-18-104 (1) (e) | H.B. 08-1194 | Drunk Driving | 31,634 |
| 17-18-102 (1) (e) | H.B. 08-1352 | Return to Custody Options | 217,566 |
| 17-18-103 (1) (e) (II) | S.B. 08-239 | Leaving the Scene of an Accident | 175,424 |
| 17-18-105 (1) (c) | H.B. 10-1081 | Money Laundering Criminal Fraud | 28,800 |
| 17-18-106 (1) (c) | H.B. 10-1277 | Sexual Conduct in a Correctional Facility | 4,482 |
| TOTAL | | | \$486,664 |

*All amounts are General Fund.

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Staff recommends that the "Personal Services" appropriation for the Housing and Security Subprogram be offset by the statutorily appropriated amount of \$486,664 General Fund, and the Department utilize those funds to supplement this line item. Additionally, staff recommends the following letter note be added to this line item in the 2011 Long Bill:

In addition to the funding provided in this line, it is the intent of the General Assembly that the Department of Corrections utilize the \$486,664 General Fund appropriation contained in Sections 17-18-101 (1) (e) (II), 17-18-104 (1) (e), 17-18-102 (1) (e), 17-18-103 (1) (e) (II), 17-18-105 (1) (c), and 17-18-106 (1) (c), C.R.S., for these purposes.

The following table computes the recommended total appropriation for Housing and Security Personal Services and shows the Long Bill recommendation after taking into account appropriations already in 5-year sentencing bills.

| (2) (C) Housing and Security Personal Services | Total | GF | CF | RF | FF | FTE |
|--|--------------------|--------------------|--------------|-----------|-----------|----------------|
| FY 2011-12 Appropriation | 156,319,366 | 155,822,800 | 496,566 | 0 | 0 | 3,057.9 |
| State PERA contribution back to 10.15% | 3,572,817 | 3,572,817 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (2,825,926) | (2,825,926) | 0 | 0 | 0 | (62.9) |
| Remove funding for HB07-1040, Warrants & Bonds for Illegal Immigrants, which is in the base appropriation | (493,619) | 0 | (493,619) | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | 6,918,440 | 6,918,440 | 0 | 0 | 0 | 110.0 |
| 2% personal services base reduction | (3,187,446) | (3,187,386) | (60) | 0 | 0 | 0.0 |
| FY 2012-13 Total Recommended Appropriation | 160,303,632 | 160,300,745 | 2,887 | 0 | 0 | 3,105.0 |
| Less Appropriations already in 5 year sentencing bills | (486,664) | (486,664) | 0 | 0 | 0 | 0.0 |
| = Recommended appropriation in the Long Bill | 159,816,968 | 159,814,081 | 2,887 | 0 | 0 | 3,105.0 |
| FY 2012-13 Total Requested Appropriation | 163,981,430 | 163,978,483 | 2,947 | 0 | 0 | 3,116.0 |

Operating Expenses:

The following table summarizes the request and the recommendation:

| (2) (C) Housing and Security, Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,919,483 | 1,919,483 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (106,400) | (106,400) | 0 | 0 | 0 |
| R4 (FY13) Consolidate San Carlos | 35,428 | 35,428 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,848,511 | 1,848,511 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,854,511 | 1,854,511 | 0 | 0 | 0 |

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Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(D) Food Service Subprogram

The Department's centrally managed food service operation is responsible for planning and preparing approximately 15.5 million meals annually. This is accomplished through food service operations at most of the facilities, with a couple of central operations which service more than one facility. One example is the Fremont Correctional Facility's operation, which services Fremont and Centennial Correctional Facility. Meals for the Pueblo Minimum Center, San Carlos Correctional Facility, and the Youthful Offender System are purchased via an interagency purchase agreement from the Colorado Mental Health Institute at Pueblo. The food service subprogram employs approximately 1,600 inmates in its kitchen and food service operations. Overall, the Department states that its average cost per offender per day for meals served is \$3.15 (raw food and operating costs – excludes labor and utilities costs). Incorporated into this cost are the more expensive special meals, such as:

- Basic Meal Rate: \$1.05 per meal
- Therapeutic Diets: increases basic meal rate by 20%
- Religious Diets: increases basic meal rate by 10%
- Kosher Diets: increase basic meal rate by 48%
- Work Crew Meals: increases basic meal rate by 25%
- Segregation: increases basic meal rate by 10%
- Lockdown Meals: increases basic meal rate by 17%

Personal Services:

| Food Service Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management / Professional Staff | 3.6 | 4.2 | | | |
| Dietician | 0.3 | 0.0 | | | |
| Food Service | 222.4 | 240.8 | | | |
| Correctional Officer I | 8.8 | 13.7 | | | |
| Correctional Officer II | 3.0 | 2.4 | | | |
| Administrative Support | 3.7 | 4.3 | | | |
| Decommission Ft Lyon | | | | (7.5) | (7.5) |

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| Food Service Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| R-3, Conservation Camp Program | | | | 0.9 | |
| R-4, San Carlos Consolidation | | | | 3.0 | 3.0 |
| Total | 241.8 | 265.4 | 261.1 | 257.5 | 256.6 |

The following table presents the staff recommendation and the request.

| (2) (D) Food Service - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|-------------------|-------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 14,879,282 | 14,879,282 | 0 | 0 | 0 | 261.1 |
| State PERA contribution back to 10.15% | 322,051 | 322,051 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (369,141) | (369,141) | 0 | 0 | 0 | (7.5) |
| R4 Consolidate San Carlos | 154,528 | 154,528 | 0 | 0 | 0 | 3.0 |
| 2% base personal services adjustment | (304,728) | (304,728) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 14,681,992 | 14,681,992 | 0 | 0 | 0 | 256.6 |
| FY 2012-13 Request | 15,030,999 | 15,030,999 | 0 | 0 | 0 | 257.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (2) (D) Food Service - Operating Expenses | Total | GF | CF | RF | FF |
|--|-------------------|-------------------|-----------|-----------|---------------|
| FY 2011-12 Appropriation | 16,172,194 | 15,904,566 | 0 | 0 | 267,628 |
| Decommission Ft. Lyon Annualization | (280,722) | (280,722) | 0 | 0 | 0 |
| BA7 T-Building Reconfiguration Annualization | 41,330 | 41,330 | 0 | 0 | 0 |
| Return to base appropriation after receiving a donation of U.S.D.A. food in prior year | (187,628) | 0 | 0 | 0 | (187,628) |
| FY 2012-13 Recommendation | 15,745,174 | 15,665,174 | 0 | 0 | 80,000 |
| FY 2012-13 Request | 15,980,599 | 15,900,599 | 0 | 0 | 80,000 |

Purchase of Services:

This line item reflects the costs associated with food services for the La Vista Correctional Facility and San Carlos, which are located on the campus of the Colorado Mental Health Institute at Pueblo (CMHIP). The CMHIP provides the food services for these facilities.

The following table presents the staff recommendation and the request.

| Purchase of Services | Total | GF | CF | RF | FF |
|-----------------------------|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 859,098 | 859,098 | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 368,913 | 368,913 | 0 | 0 | 0 |

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| Purchase of Services | Total | GF | CF | RF | FF |
|----------------------------------|--------------|-----------|-----------|-----------|-----------|
| FY 2012-13 Recommendation | 1,228,011 | 1,228,011 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,228,011 | 1,228,011 | 0 | 0 | 0 |

Start-up Costs:

The Department requests an appropriation of \$215 for this line item, related to the request to establish a conservation camp program, which staff has recommended against. **Staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(E) Medical Services Subprogram

The medical services subprogram is a centrally-managed operation that provides acute and long-term health care services to all inmates in the DOC system, using both state FTE personnel and contracted health care providers and facilities. Upon entering the DOC system, all inmates are provided a comprehensive medical evaluation, including patient history and physical exam, immunizations, blood testing, other diagnostic tests, and are assigned a medical classification code prior to permanent assignment to a DOC Facility. The Department operates outpatient walk-in clinics in all major facilities on a daily basis, two infirmaries and pharmacies (Denver Reception and Diagnostic Center and Colorado Territorial Correctional Facility), and secondary hospital care at the Colorado Mental Health Institute at Pueblo and other private hospitals.

Personal Services:

| Medical Services Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Administration | 22.8 | 27.6 | | | |
| Medical Personnel | 287.1 | 293.3 | | | |
| Medical Records | 19.2 | 19.2 | | | |
| Correctional Officers | 24.1 | 24.2 | | | |
| R-4, San Carlos Consolidation | | | | 32.2 | 32.2 |
| Total | 353.2 | 364.3 | 371.9 | 404.1 | 404.1 |

The following table presents the staff recommendation and the request.

| (2) (E) Medical Services, Personal Services | Total | GF | CF* | RF | FF | FTE |
|--|--------------|------------|------------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 27,658,959 | 27,433,762 | 225,197 | 0 | 0 | 371.9 |

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| (2) (E) Medical Services, Personal Services | Total | GF | CF* | RF | FF | FTE |
|--|-------------------|-------------------|----------------|-----------|-----------|--------------|
| State PERA contribution back to 10.15% | 548,875 | 548,875 | 0 | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | 2,457,601 | 2,457,601 | 0 | 0 | 0 | 32.2 |
| Statewide Indirect Cost Allocations | (7,228) | (7,228) | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (532,057) | (527,725) | (4,332) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 30,126,150 | 29,905,285 | 220,865 | 0 | 0 | 404.1 |
| FY 2012-13 Request | 30,658,207 | 30,433,010 | 225,197 | 0 | 0 | 404.1 |

* The source of funds is inmate medical fees collected pursuant to Section 17-1-113, C.R.S.

Operating Expenses:

The following table presents the staff recommendation and the request.

| (2) (E) Medical Services, Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 2,673,259 | 2,673,259 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (72,884) | (72,884) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 2,600,375 | 2,600,375 | 0 | 0 | 0 |
| FY 2012-13 Request | 2,751,758 | 2,751,758 | 0 | 0 | 0 |

Per Offender Per Month Costs

The next section of this figure setting document deals with the three medical line items for which the appropriations are set in similar ways:

- Purchase of Pharmaceuticals
- Purchase of Medical Services from Other Medical Facilities
- Catastrophic Medical Expenses

Decision Item: R6 Medical Per Offender Per Month (POPM)

The Department requests an overall General Fund increase of \$1,252,214 in the level of spending for these line items. The combined FY 2011-12 appropriation for these lines, as adjusted by the Department's supplemental, equals \$41,356,907, so this represents a 3.0 percent increase over FY 2011-12 appropriations.

Background on *Purchase of Pharmaceuticals*: The Department provides pharmaceuticals for offenders incarcerated in DOC-owned facilities, including the youth offender system. These pharmaceuticals are purchased using the *Purchase of Pharmaceuticals* appropriation. Private prisons and jails, at their own expense, provide pharmaceuticals for the offenders that they hold. DOC transition offenders in community corrections centers usually pay for their own medications but

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sometimes receive psychotropic medication from DOC, but appropriations for these medications are contained in DOC division (5) Community Services.

Background on *Purchase of Medical Services from Other Medical Facilities*: Offenders in DOC-owned facilities receive most of their medical care from DOC staff. When care goes beyond that which can be provided within a DOC facility or a private prison, the offender is taken to an outside medical provider and the cost of care is paid from the *Purchase of Medical Services from Other Medical Facilities* appropriation, which is part of this supplemental. Jails must generally pay for outside care for the DOC offenders they hold. Transition offenders in community corrections must pay for their own care.

Background on *Catastrophic Medical Expenses*: The first \$50,000 of care that an offender in DOC or in a private prison receives from outside medical facilities within a given year is paid from the *Purchase of Medical Services from Other Medical Facilities*. Expenses in excess of \$50,000 are paid from the *Catastrophic Medical Expenses* line item.

Eligible populations: Two groups are eligible for DOC-paid pharmaceuticals and for DOC-paid outside medical care:

1. The **pharmaceutical population**, which receives pharmaceuticals at DOC expense, consists of all offenders who are incarcerated in DOC facilities, including offenders in the Youth Offender System.
2. The **outside-medical-care population**, which consists of the pharmaceutical eligible population, plus offenders in private prisons, including the Cheyenne Mountain Reentry Center. This group qualifies for Medical Services Purchased from Other Medical Facilities and for Catastrophic Medical Expenses.

Estimate annual costs by multiplying the eligible population by the cost per offender times 12. To establish appropriations for the three lines under consideration, one must estimate the number of offenders who will qualify for care and then multiply by the relevant cost per offender. Costs are traditionally measured on a *per offender per month* (POPM) basis, which leads to the following measures.

- Cost of pharmaceuticals per offender per month (Pharmaceutical POPM)
- Cost of Medical Services purchased from other medical facilities per month (Outside medical POPM)
- Catastrophic Medical Expenses per month (Catastrophic POPM)

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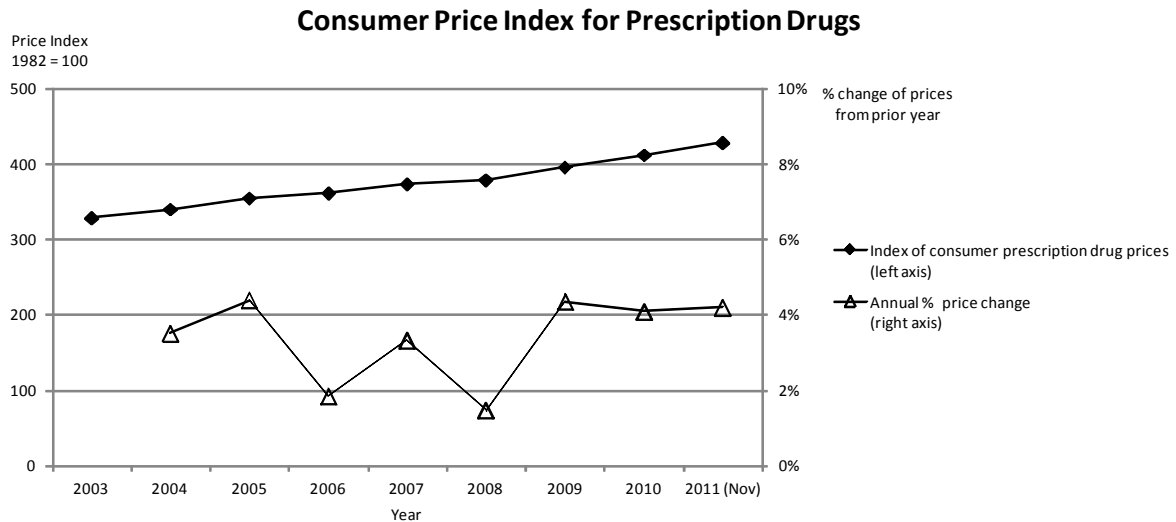
| Appropriation for: | Equals: |
|--|--|
| Purchase of Pharmaceuticals | (Pharmaceutical POPM) * (Pharmaceutical population) * 12 months |
| Purchase of Medical Services from Other Medical Facilities | (Outside-medical POPM) * (Outside-medical-care population) * 12 months |
| Catastrophic Medical Expenses | (Catastrophic POPM) * (Outside-medical-care population) * 12 months |

The following table shows the Department POPM request, the Staff recommendation, and the value of each POPM on which the current appropriation is based.

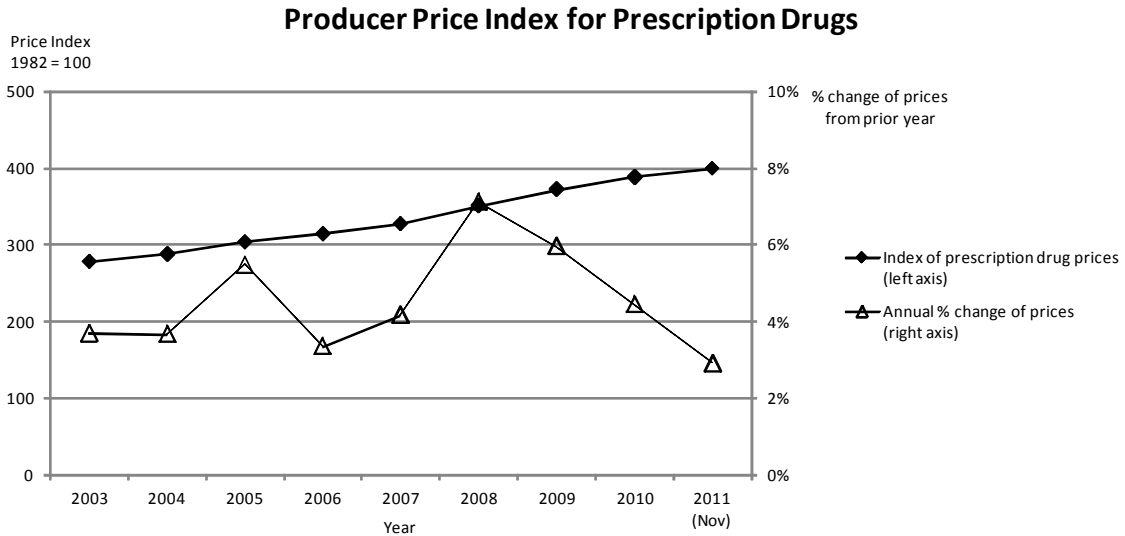
| POPM | Current Value* | Department Request | Staff Recommendation |
|----------------------|----------------|--------------------|----------------------|
| Pharmaceutical POPM | \$65.00 | \$61.01 | \$67.60 |
| Outside-medical POPM | \$87.46 | \$87.89 | \$87.89 |
| Catastrophic POPM | \$42.00 | \$51.27 | 44.00 |

* Reflects the Department's Supplemental.

The following charts, which present price data from the Bureau of Labor Statistics, show that consumer prescription drug prices have risen 4 percent in each of the past 3 years while producer drug prices (which probably reflect more closely the prices that DOC must pay) have been more volatile and have risen as much as 9 percent annually. Based on these recent observed rates of change, staff believes that it is reasonable to project a 4 percent pharmaceutical price increase for FY 12-13 over FY 11-12., which leads to a pharmaceutical POPM of \$67.60.



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Purchases of Medical Services from Other Medical Facilities POPM: The Department requests and Staff recommends that the POPM for Purchases of Medical Services from Other Medical Facilities be increased to \$87.89 from \$87.46, which reflects increased administrative fees. This equates to a 0.5 percent increase.

Catastrophic POPM:

The following table presents the Department's annual catastrophic medical expenditures in recent fiscal years:

| Year | a. Actual fiscal-year catastrophic expenditure | b. Number of offenders eligible for catastrophic medical care | POPm = a / (b * 12) |
|----------|--|---|---------------------|
| FY 08-09 | 8,970,755 | 19,970 | 37.43 |
| FY 09-10 | 7,948,051 | 19,604 | 33.79 |
| FY 10-11 | 11,992,258 | 19,277 | 51.84 |

These POPM figures show that the Department, with this request, seeks a catastrophic POPM approximately equal to the FY 2010-11 rate. Staff recommends a POPM of \$44.00, which represents an approximately 5 percent increase over the prior year.

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| Expenditure estimates | LCS Population Forecast | DCJ Population Forecast | POPM | LCS approp. | DCJ approp |
|---|--|--|-------------|--------------------|-----------------------|
| Purchase of pharmaceuticals | 14,707 | 14,707 | \$67.60 | 11,930,318 | 11,930,318 |
| Purchase of Medical Services from Other Medical Facilities | 18,687 | 17,981 | \$87.89 | 19,708,805 | 18,964,201 |
| Catastrophic Medical Expenses | 18,687 | 17,981 | 44.00 | 9,866,736 | 9,493,968 |
| Total | | | | 41,505,860 | 40,388,487 |

/a Outside-medical expenditure estimates include \$400,000 for a managed care incentive cap.

Note that there is no difference in the LCS and DCJ pharmaceuticals population because both forecasts assume that DOC fills 99 percent of its beds.

Staff recommends that the Committee adopt the LCS forecast.

Purchase of Medical Services from State Hospital:

This line item funds medical expenses incurred by the Department of Corrections at the state hospital for medical services occurred in this facility.

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Service Contracts:

This line item provides funds to purchase contract medical and support services, including physicians, dentists, psychiatrists, psychologists, medical assistants, etc.

The following table presents the staff recommendation and the request.

| Service Contracts | Total | GF | CF | RF | FF |
|-------------------------------------|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 2,452,396 | 2,452,396 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (62,510) | (62,510) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 2,389,886 | 2,389,886 | 0 | 0 | 0 |
| FY 2012-13 Request | 2,389,886 | 2,389,886 | 0 | 0 | 0 |

Indirect Cost Recoveries:

The Department requests an appropriation of \$56,516 cash funds for this line item, an increase of 7,228 over FY 2011-12. These cash funds represent assessments on inmate fees that the Department anticipates receiving in FY 2012-13. **Staff recommends that the Committee approve the requested appropriation of \$56,516 cash funds for this line item.**

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Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Recommended Request for information:

Staff recently learned that DOC may be able to qualify for 340B pricing for certain medications taken by its HIV positive inmates. Staff understands that Florida's prison system has qualified for this pricing. For this reason, staff recommends that the Committee approve the following request for information.

The Department is requested to report by November 1, 2012 on progress in obtaining 340B pricing for AIDS and HIV drugs for the Department's HIV-positive offenders and on progress in obtaining 340B pricing for hepatitis C drugs for HIV-positive offenders who are also infected with hepatitis C. The report should discuss obstacles that may exist and indicate how those obstacles can be overcome.

(2) INSTITUTIONS

(F) Laundry Subprogram

The Department's various laundry operations are responsible for issuing, maintaining, and controlling all required clothing, bedding, jackets, and footwear for all inmates housed in state correctional facilities.

Personal Services:

| LaundrySubprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Laundry Supervisor IV | 0.4 | | | | |
| Laundry Supervisor III | 0.0 | | | | |
| Laundry Supervisor II | 8.7 | 9.1 | | | |
| Laundry Supervisor I | 25.8 | 27.7 | | | |
| Decommission Ft. Lyon | | | | (0.7) | (0.7) |
| R-4, San Carlos Consolidation | | | | 1.0 | 1.0 |
| Total | 34.9 | 36.8 | 35.8 | 36.1 | 36.1 |

The following table presents the staff recommendation and the request.

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| (F) Laundry Subprogram, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 2,175,148 | 2,175,148 | 0 | 0 | 0 | 35.8 |
| State PERA contribution back to 10.15% | 50,692 | 50,692 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (37,240) | (37,240) | 0 | 0 | 0 | (0.7) |
| R4 Consolidate San Carlos | 49,593 | 49,593 | 0 | 0 | 0 | 1.0 |
| 2% base personal services adjustment | (44,952) | (44,952) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 2,193,241 | 2,193,241 | 0 | 0 | 0 | 36.1 |
| FY 2012-13 Request | 2,238,193 | 2,238,193 | 0 | 0 | 0 | 36.1 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (F) Laundry - Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 2,143,923 | 2,143,923 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (39,900) | (39,900) | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 22,490 | 22,490 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 2,126,513 | 2,126,513 | 0 | 0 | 0 |
| FY 2012-13 Request | 2,146,175 | 2,146,175 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(G) Superintendents Subprogram

The superintendents subprogram includes the superintendents of the various DOC facilities as well as the staff involved in the day-to-day management of the facilities. The superintendents subprogram is responsible for facility policies, procedures, and practices that are congruent with applicable laws, consent decrees, court orders, legislative mandates, executive orders, and DOC administrative regulations. The superintendents function is also responsible for all staffing assignments and resource allocations within a given facility as well as coordination of all inmate assignments and programs.

Personal Services:

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| Superintendents Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management/Supervisor | 12.1 | 15.8 | | | |
| Professional Staff | 42.8 | 40.8 | | | |
| Correctional Officer | 1.2 | 2.0 | | | |
| Administrative Support | 102.8 | 107.9 | | | |
| Decommission Ft. Lyon | | | | (6.0) | (6.0) |
| R-4, San Carlos Consolidation | | | | 6.0 | 6.0 |
| Total | 158.9 | 166.5 | 153.9 | 153.9 | 153.9 |

The following table presents the staff recommendation and the request.

| (G) Superintendents Subprogram, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 9,669,571 | 9,669,571 | 0 | 0 | 0 | 153.9 |
| State PERA contribution back to 10.15% | 217,521 | 217,521 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (279,664) | (279,664) | 0 | 0 | 0 | (6.0) |
| Consolidate San Carlos | 391,135 | 391,135 | 0 | 0 | 0 | 6.0 |
| 2% base personal services adjustment | (196,167) | (196,167) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 9,802,396 | 9,802,396 | 0 | 0 | 0 | 153.9 |
| FY 2012-13 Request | 9,998,563 | 9,998,563 | 0 | 0 | 0 | 153.9 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| Superintendents - Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 3,944,006 | 3,944,006 | 0 | 0 | 0 |
| Restore 5% operating reductions | 16,234 | 16,234 | 0 | 0 | 0 |
| Decommission Fort Lyon | (113,050) | (113,050) | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 114,934 | 114,934 | 0 | 0 | 0 |
| | (585,000) | (585,000) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 3,377,124 | 3,377,124 | 0 | 0 | 0 |
| FY 2012-13 Request | 3,377,124 | 3,377,124 | 0 | 0 | 0 |

Dress Out:

The Department is statutorily mandated to provide all inmates who are paroled or discharged from a correctional facility with suitable clothing and a sum of \$100. In instances where an inmate is released to a detainer, the Department is not required to provide the payment. The Department is also not required to provide the payment to inmates who have previously been discharged from the

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Department and then returned to custody. Additionally, when an inmate is unable to provide for transportation to his/her place of residence, the Department provides transportation fare.

The Department requests and Staff recommends a continuation appropriation of \$675,433 General Fund for this line item.

Start-up Costs:

This line provides one-time funding for various costs associated with initializing operations at new or expanding facilities. The Department requests an appropriation of \$79,605 but **staff recommends \$54,955 General Fund for this line item related to R1 Sex Offender Treatment.**

(2) INSTITUTIONS

(H) Boot Camp Subprogram (Colorado Corrections Alternative Program)

The adult boot camp program, which is now closed, was established pursuant to H.B. 90-1029, and houses nonviolent offenders who have not served a previous sentence in a correctional facility for a violent offense. The 100-bed program, operated on the grounds of the Buena Vista Correctional Facility, combined traditional correctional programs with military style discipline and physical fitness training. The inmates referred to the program were situated in dormitory-style housing units, were not allowed personal property, lived in a tobacco and caffeine free environment, and waived due process provided under the DOC code of penal discipline as well as visitation privileges. The boot camp offered transitional education, addiction recovery, and cognitive restructuring programs.

The Department requests and Staff recommends no appropriations for the boot camp program.

(2) INSTITUTIONS

(I) Youthful Offender System Subprogram

The Youthful Offender System (Y.O.S.) was created by S.B. 93S-9, passed in the 1993 Special Session on youth violence. The program was re-authorized in the 2004 session (S.B. 04-123). This bill also capped the number of beds at the YOS facility at 256. The Department used federal flexible funds to modify the YOS facility and the former Pueblo Minimum Center with the intent of swapping the inmates from these two facilities. This modification created additional female beds at the newly named La Vista Correctional Facility.

Y.O.S. targets youthful offenders 14 to 18 years of age (at the time of the offense), who have committed violent felonies, have been direct-filed by the district attorney, and are convicted as adults. If a youth is sentenced to the YOS, his/her adult sentence is suspended, but the DOC has the ability

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to return unmanageable youths before the court for possible imposition of the sentence to adult corrections.

The guiding principles for YOS are as follows: (1) teach self-discipline by providing clear consequences for behavior; (2) create a daily regimen that totally occupies youth offenders in physical training, strict discipline, learning, etc.; (3) replace gang principles with community accepted values; (4) provide staff models and mentors; and (5) reinforce use of cognitive behavior strategies that change criminal thinking.

Personal Services:

| Youthful Offender System Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management / Professional Staff | 7.3 | 5.8 | | | |
| Correctional Officers | 111.7 | 110.7 | | | |
| Correctional Support (Food Service) | 13.0 | 13.5 | | | |
| Youth Counselors / Soc. Worker | 3.9 | 4.2 | | | |
| Teachers / Librarian | 15.6 | 16.7 | | | |
| Medical Services | 2.2 | 2.0 | | | |
| Administrative Staff | 8.8 | 8.9 | | | |
| Total | 162.5 | 161.8 | 162.7 | 162.7 | 162.7 |

The following table presents the staff recommendation and the request.

| (2) (I) Youthful Offender System, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 9,730,406 | 9,730,406 | 0 | 0 | 0 | 162.7 |
| State PERA contribution back to 10.15% | 211,564 | 211,564 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (204,688) | (204,688) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 9,737,282 | 9,737,282 | 0 | 0 | 0 | 162.7 |
| FY 2012-13 Request | 9,941,970 | 9,941,970 | 0 | 0 | 0 | 162.7 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| Youthful Offender System - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 469,028 | 469,028 | 0 | 0 | 0 |
| 2 nd year impact of H.B.10-1413, Limitation on Juvenile Direct File | 135,677 | 135,677 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 604,705 | 604,705 | 0 | 0 | 0 |

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| Youthful Offender System - Operating Expenses | Total | GF | CF | RF | FF |
|---|---------|---------|----|----|----|
| FY 2012-13 Request | 604,705 | 604,705 | 0 | 0 | 0 |

Contract Services:

The funds in this line item are used to enhance educational programs, training, anger management, etc., for youths sentenced to the YOS.

The Department requests a continuation appropriation of \$28,820 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$28,820 General Fund for this line item.**

Purchase of Services:

This line item is used to purchase services such as maintenance and food preparation from the Colorado Mental Health Institute at Pueblo.

The Department requests a continuation appropriation of \$624,589 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$624,589 General Fund for this line item.**

(2) INSTITUTIONS

(J) Case Management Subprogram

Case managers are the primary source of contact for offenders and serve as a link to facility administration, central administration, the Parole Board, outside agencies, attorneys, families, and victims. Some of the responsibilities of case managers are: case analysis, classification reviews, inmate performance assessment, earned time evaluations, sentence computation, and parole and release preparations.

Personal Services:

| Case Management Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|----------------------------------|--------------------|--------------------|---------------------|---------------------|---------------------|
| Professional Staff | 0.7 | 0.2 | | | |
| Case Managers | 171.4 | 184.6 | | | |
| Correctional Officers | 21.4 | 21.3 | | | |
| Administrative Support | 18.5 | 17.0 | | | |
| Decommission Ft. Lyon | | | | (5.2) | (5.2) |
| R-3, Conservation Camp Program | | | | 0.9 | |

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| Case Management Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| R-4, San Carlos Consolidation | | | | 3.0 | 3.0 |
| Total | 212.0 | 223.1 | 218.8 | 217.5 | 216.6 |

The following table presents the staff recommendation and the request.

| (2) (J) Case Management, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|-------------------|-------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 15,161,793 | 15,161,793 | 0 | 0 | 0 | 218.8 |
| State PERA contribution back to 10.15% | 323,951 | 323,951 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (273,171) | (273,171) | 0 | 0 | 0 | (5.2) |
| R4 Consolidate San Carlos | 186,166 | 186,166 | 0 | 0 | 0 | 3.0 |
| 2% base personal services adjustment | (312,296) | (312,296) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 15,086,443 | 15,086,443 | 0 | 0 | 0 | 216.6 |
| FY 2012-13 Request | 15,447,560 | 15,447,560 | 0 | 0 | 0 | 217.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| Case Management - Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 158,803 | 158,803 | 0 | 0 | 0 |
| Restore 5% operating cut | 8,036 | 8,036 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (6,650) | (6,650) | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 1,467 | 1,467 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 161,656 | 161,656 | 0 | 0 | 0 |
| FY 2012-13 Request | 162,156 | 162,156 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(K) Mental Health Subprogram

The mental health subprogram provides a full range of professional psychiatric, psychological, social work, and other mental health services to DOC inmates. Three broad categories of mental health services are provided, including: clinical mental health services, rehabilitative services, and services

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for inmates who are mentally ill and/or developmentally disabled. The staffing and operating funds identified in this subprogram are used to provide services to all inmates who are not deemed severe enough to require assignment to the San Carlos Correctional Facility, which is designed to serve the most severely chronically mentally ill inmates.

Personal Services:

| Mental Health Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Medical Personnel | 76.7 | 89.1 | | | |
| Administrative Support | 6.5 | 7.0 | | | |
| R-4, San Carlos Consolidation | | | | 12.0 | 12.0 |
| Total | 83.2 | 96.1 | 120.6 | 132.6 | 132.6 |

The following table presents the staff recommendation and the request.

| (2) (K) Mental Health, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|-------------------|-------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 9,008,822 | 9,008,822 | 0 | 0 | 0 | 120.6 |
| State PERA contribution back to 10.15% | 176,295 | 176,295 | 0 | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | 1,584,483 | 1,584,483 | 0 | 0 | 0 | 12.0 |
| 2% base personal services adjustment | (154,988) | (154,988) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 10,614,612 | 10,614,612 | 0 | 0 | 0 | 132.6 |
| FY 2012-13 Request | 10,769,600 | 10,769,600 | 0 | 0 | 0 | 132.6 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (2) (K) Mental Health, Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 268,508 | 268,508 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (12,236) | (12,236) | 0 | 0 | 0 |
| R4 Consolidate San Carlos | 4,773 | 4,773 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 261,045 | 261,045 | 0 | 0 | 0 |
| FY 2012-13 Request | 261,045 | 261,045 | 0 | 0 | 0 |

Medical Contract Services:

These funds are used to contract with psychiatrists and psychologists who supplement the services provided by DOC mental health staff.

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The following table presents the staff recommendation and the request.

| (2) (K) Mental Health, Medical Contract Services | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 616,894 | 616,894 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (32,186) | (32,186) | 0 | 0 | 0 |
| SB11-176 Appropriate use of Ad Seg | 450,054 | 450,054 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,034,762 | 1,034,762 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,034,762 | 1,034,762 | 0 | 0 | 0 |

Mental Health Grants:

This line item includes funding from the Division of Criminal Justice, in the Department of Public Safety, to form a partnership with Aurora Community Mental Health to provide community-based services to male offenders with mental illness that are transitioning to the city of Aurora.

The following table presents the staff recommendation and the request.

| (2) (K) Mental Health - Mental Health Grants | Total | GF | CF | RF | FF |
|---|---------------|-----------|-----------|---------------|-----------|
| FY 2011-12 Appropriation | 230,000 | 0 | 0 | 230,000 | 0 |
| Reduction in grants for Mountain Re-Entry Program for Male Offenders, RF from DCJ | (165,201) | 0 | 0 | (165,201) | 0 |
| FY 2012-13 Recommendation | 64,799 | 0 | 0 | 64,799 | 0 |
| FY 2012-13 Request | 64,799 | 0 | 0 | 64,799 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) INSTITUTIONS

(L) Inmate Pay Subprogram

The inmate pay subprogram provides nominal pay to DOC inmates. Inmates who receive this pay are those who are employed in positions outside of the Correctional Industries and Canteen programs. The primary function of this subprogram is to pay inmates for work performed. Inmate labor is used in janitorial services, facility maintenance, food services, laundry operations, clerical services, grounds maintenance, and as aids to staff in providing educational, recreational, and vocational

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training programs. Several minimum security facilities also operate community labor programs which provide inmate labor crews to assist in various municipal, county, and other government operations outside of the facility confines. Inmates participating in educational programs (both vocational and academic) are paid through this subprogram.

In prior years, a range of pay was awarded to inmates. However, because of budget reductions, the inmate pay plan was revised to include only two levels of pay: (1) \$0.23 per day for most inmates, which include those who are unassigned and inmates who are assigned to intensive labor programs; and \$0.60 per day for inmates in full-time program or work assignments (\$0.30 per day for one-half day work or program assignment). The minimum amount of \$0.23 per inmate per day is provided to inmates who are unassigned due to medical classification or administrative reasons (i.e. new arrivals, wait lists) so that the inmate can purchase hygiene items.

The following table presents the staff recommendation and the request.

| (2) (L) Inmate Pay | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,533,490 | 1,533,490 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (41,496) | (41,496) | 0 | 0 | 0 |
| T-Building Reconfiguration Annualization | 6,368 | 6,368 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,498,362 | 1,498,362 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,507,722 | 1,507,722 | 0 | 0 | 0 |

(2) INSTITUTIONS

(M) San Carlos Correctional Facility Subprogram

The San Carlos Correctional Facility (SCCF) is a 250-bed multi-custody facility designed to house and treat offenders with serious mental illnesses incarcerated in the DOC. These offenders are typically dysfunctional in general population facilities and require special programming. Offenders at SCCF include offenders with serious mental illness, mentally handicapped offenders, developmentally disabled offenders, and some inmates with serious medical needs. The Department estimates that more than 19.6 percent of the inmate population is seriously mentally ill while another 6.0 percent of the inmates have developmental disabilities. The role of SCCF is to provide treatment for the short-term stabilization and the return to general population for most of its admissions due to the number and acuity of the target population.

The facility is designed around specialized clinical teams of cross trained professional staff consisting generally of a psychiatrist, psychologist, social worker, psychiatric nurse, substance abuse counselor,

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and general correctional staff. The facility has a treatment philosophy that combines diagnostic criteria, mental health treatment plans, and the inmate's response to treatment.

Personal Services:

| San Carlos Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management / Professional Staff | 4.0 | 3.5 | | | |
| Correctional Officers | 118.1 | 120.5 | | | |
| Medical Services | 34.6 | 34.2 | | | |
| Food / Laundry Staff | 7.0 | 6.3 | | | |
| Case Managers | 2.9 | 2.9 | | | |
| Education | 1.0 | 1.0 | | | |
| Legal Assistant | 1.0 | 1.0 | | | |
| Administrative Staff | 8.5 | 5.8 | | | |
| | | | | (178.2) | (178.2) |
| Total | 177.1 | 175.2 | 178.2 | 0.0 | 0.0 |

The Department has requested and staff recommends that appropriations for this facility be combined appropriations for other facilities and presented in functional categories such as housing, food, laundry, mental health services, and medical services.

| (2) (M) San Carlos, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 12,231,847 | 12,231,847 | 0 | 0 | 0 | 178.2 |
| State PERA contribution back to 10.15% | 238,230 | 238,230 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (251,424) | (251,424) | 0 | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | (12,470,077) | (12,470,077) | 0 | 0 | 0 | (178.2) |
| FY 2012-13 Recommendation | (251,424) | (251,424) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Request | 0 | 0 | 0 | 0 | 0 | 0.0 |

Clearly a negative appropriation such as the above is impossible. It reflects the fact that the thirteen transfers to other personal services lines in the Department from San Carlos' single personal services line item are based on the full value of the personal services being transferred; these transfers need to be adjusted downward by 2 percent of the base to which the reduction applies, which is a difficult computation because it requires detailed knowledge of the salaries of those who are being transferred. Approximately \$1.1 million of the amount being transferred is not subject to the reduction and without knowing where this \$1.1 million is going staff can only do an approximate computation that could potentially create imbalances if the staff approximations are incorrect. Knowing that the 2 percent base reduction has been the subject of an OSPB comeback, and that the percentage might be

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altered, staff requests permission to work with the Department and refine the transfers and the associated appropriations once the Committee settles on a base reduction percentage. When these adjustments are made, the negative appropriation shown above will be distributed among the 13 line items that are receiving the San Carlos personal services appropriations.

Operating Expenses:

The following table presents the staff recommendation and the request.

| (2) (M) San Carlos - Operating Expenses | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 199,092 | 199,092 | 0 | 0 | 0 |
| Consolidate San Carlos | (199,092) | (199,092) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Request | 0 | 0 | 0 | 0 | 0 |

Service Contracts:

The funds in this line item are used to contract with the CMHIP to provide food and maintenance services to the San Carlos Correctional Facility, as well as to provide additional contract mental health services to inmates.

The following table presents the staff recommendation and the request.

| (2) (M) San Carlos - Service Contracts | Total | GF | CF | RF | FF |
|---|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 725,309 | 725,309 | 0 | 0 | 0 |
| R4 Consolidate San Carlos | (725,309) | (725,309) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Request | 0 | 0 | 0 | 0 | 0 |

(2) INSTITUTIONS

(N) Legal Access Subprogram

The funds in this subprogram are used to provide constitutionally mandated legal access services to inmates incarcerated in DOC facilities. The Department maintains law libraries and legal assistants at most facilities.

Personal Services:

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| Legal Access Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Supervisory Staff | 2.3 | 3.8 | | | |
| Legal Assistants | 16.6 | 13.4 | | | |
| Administrative Support | 2.6 | 5.8 | | | |
| R-4, San Carlos Consolidation | | | | 1.0 | 1.0 |
| Total | 21.5 | 23.0 | 20.5 | 21.5 | 21.5 |

Personal Services:

The following table presents the staff recommendation and the request.

| (2) (N) Legal Access, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,237,412 | 1,237,412 | 0 | 0 | 0 | 20.5 |
| State PERA contribution back to 10.15% | 28,066 | 28,066 | 0 | 0 | 0 | 0.0 |
| R4 Consolidate San Carlos | 56,305 | 56,305 | 0 | 0 | 0 | 1.0 |
| 2% base personal services adjustment | (25,855) | (25,855) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,295,928 | 1,295,928 | 0 | 0 | 0 | 21.5 |
| FY 2012-13 Request | 1,321,783 | 1,321,783 | 0 | 0 | 0 | 21.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (2) (N) Legal Access, Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 284,622 | 284,622 | 0 | 0 | 0 |
| Restore 5% operating reduction | 14,980 | 14,980 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 299,602 | 299,602 | 0 | 0 | 0 |
| FY 2012-13 Request | 299,602 | 299,602 | 0 | 0 | 0 |

Contract Services:

This line item was added during the 2004 session. The line item pays for a sign language interpreter for DOC inmates. This service is a requirement of the settlement agreement of the Montez lawsuit.

The Department requests a continuation appropriation of \$70,905 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$70,905 General Fund for this line item .**

Start-up Costs:

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This line item provides funding for various one-time costs associated with initializing operations at new or expanding facilities. The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

The support services program area represents the Department's support operations, including business operations, personnel, offender services, transportation, training, information services, and facility services.

(A) Business Operations Subprogram

The business operations subprogram includes the controller's office (accounts payable/receivable, cashier, general accounting, inmate banking), business office (all fiscal liaisons located at each facility as well as central budgeting), the warehouse operations (two centralized facilities and four self-supporting warehouse centers), payroll office, and purchasing.

Personal Services:

| Business Operations Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Management | 1.0 | 1.4 | | | |
| General Professional Staff | 16.0 | 23.2 | | | |
| Accounting / Auditing Staff | 37.8 | 41.7 | | | |
| Budget Staff | 6.6 | 6.6 | | | |
| Warehouse/Purchasing/Transport. | 22.6 | 25.1 | | | |
| Administrative Staff | 10.8 | 8.9 | | | |
| Decommission Ft. Lyon | | | | (1.5) | (1.5) |
| R-4, San Carlos Consolidation | | | | 1.0 | 1.0 |
| Total | 94.8 | 106.9 | 92.3 | 91.8 | 91.8 |

The following table presents the staff recommendation and the request.

| (A) Business Operations Subprogram, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|--------------|-----------|-----------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 5,913,209 | 5,472,762 | 432,425 | 8,022 | 0 | 92.3 |
| State PERA contribution back to 10.15% | 131,020 | 131,020 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (68,768) | (68,768) | 0 | 0 | 0 | (1.5) |
| R2 Correctional Industries Program Expansion | 0 | (6,100) | 6,100 | 0 | 0 | 0.0 |

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| (A) Business Operations Subprogram, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|----------------|--------------|-----------|-------------|
| R4 Consolidate San Carlos | 85,095 | 85,095 | 0 | 0 | 0 | 1.0 |
| Statewide Indirect Cost Allocations | 0 | 13,968 | (13,968) | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (122,172) | (113,072) | (8,934) | (166) | 0 | 0.0 |
| FY 2012-13 Recommendation | 5,938,384 | 5,514,905 | 415,623 | 7,856 | 0 | 91.8 |
| FY 2012-13 Request | 6,060,556 | 5,627,977 | 424,557 | 8,022 | 0 | 91.8 |

Note: The source of the cash funds is indirect cost recoveries from Correctional Industries, the Canteen Operation, restitution payments, and a few other small fund sources within the Department. The source of the reappropriated funds is indirect cost recoveries from federal grants.

After the JBC has voted on all line items, staff will re-calculate the indirect costs to incorporate the statewide indirect cost recoveries with the necessary offsets for department-wide indirect cost assessments. This could increase or decrease the amount of General Fund as reflected in this figure setting document. If the recommended indirect cost recoveries change, staff will present the recommended changes to the Committee.

Operating Expenses:

The following table presents the staff recommendation and the request.

| (A) Business Operations Subprogram, Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 223,630 | 223,630 | 0 | 0 | 0 |
| Restore 5% operating reduction | 11,802 | 11,802 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (1,231) | (1,231) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 234,201 | 234,201 | 0 | 0 | 0 |
| FY 2012-13 Request | 234,201 | 234,201 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(B) Personnel Subprogram

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This subprogram is responsible for all employment and pre-employment services provided to DOC employees. Many of the services provided are delegated by the State Personnel Director to the Executive Director, including recruitment, examination, position classification, personnel records, affirmative action, appeals, grievance, benefits administration, and training and development.

Personal Services:

| Personnel Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Professional Staff | 9.3 | 12.3 | | | |
| Administrative Staff | 6.4 | 9.3 | | | |
| Total | 15.7 | 21.6 | 17.0 | 17.0 | 17.0 |

The following table presents the staff recommendation and the request.

| (3) (B) Personnel, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,220,014 | 1,220,014 | 0 | 0 | 0 | 17.0 |
| State PERA contribution back to 10.15% | 21,620 | 21,620 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (25,132) | (25,132) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,216,502 | 1,216,502 | 0 | 0 | 0 | 17.0 |
| FY 2012-13 Request | 1,241,634 | 1,241,634 | 0 | 0 | 0 | 17.0 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (3) (B) Personnel, Operating Expenses | Total | GF | CF | RF | FF |
|--|---------------|---------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 82,259 | 82,259 | 0 | 0 | 0 |
| Restore 5% operating reduction | 4,672 | 4,672 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 86,931 | 86,931 | 0 | 0 | 0 |
| FY 2012-13 Request | 86,931 | 86,931 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(C) Offender Services Subprogram

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The offender services subprogram provides services in the area of offender population management. The various functions performed by this subprogram include: monitoring of all system prison beds to best utilize available bed space, offender classification reviews, and auditing of inmate assignments, administration of the offender disciplinary process (DOC code of penal discipline violations), jail backlog monitoring, court services, interstate corrections compact administration, etc.

Personal Services:

| Offender Services Staffing Summary | FY 20-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff | 5.1 | 4.2 | | | |
| Case Managers | 9.1 | 9.9 | | | |
| Administrative Staff | 27.8 | 28.7 | | | |
| R-7, Parole and Parole ISP Caseload | | | | 2.0 | 2.0 |
| Total | 42.0 | 42.8 | 42.1 | 44.1 | 44.1 |

The following table presents the staff recommendation and the request.

| (3) (C) Offender Services, Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 2,839,945 | 2,839,945 | 0 | 0 | 0 | 42.1 |
| State PERA contribution back to 10.15% | 59,935 | 59,935 | 0 | 0 | 0 | 0.0 |
| 2% base reduction (free-standing column) | (59,977) | (59,977) | 0 | 0 | 0 | 0.0 |
| R7 (part 2) Transfer appropriation for Case Managers from Community Services to Offender Services to correct mis-location in H.B. 10-1374 appropriation | 106,520 | 106,520 | 0 | 0 | 0 | 2.0 |
| 2% base personal services adjustment | | | | | | |
| FY 2012-13 Recommendation | 2,946,423 | 2,946,423 | 0 | 0 | 0 | 44.1 |
| FY 2012-13 Request | 3,006,400 | 3,006,400 | 0 | 0 | 0 | 44.1 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (3) (C) Offender Services - Operating Expenses | Total | GF | CF | RF | FF |
|---|---------------|---------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 55,332 | 55,332 | 0 | 0 | 0 |
| Restore 5% operating reduction | 2,912 | 2,912 | 0 | 0 | 0 |
| R7 (part 2) Transfer appropriation for Case Managers from Community Services to Offender Services to correct mis-location in H.B. 10-1374 appropriation | 1,900 | 1,900 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 60,144 | 60,144 | 0 | 0 | 0 |

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| (3) (C) Offender Services - Operating Expenses | Total | GF | CF | RF | FF |
|---|--------------|-----------|-----------|-----------|-----------|
| FY 2012-13 Request | 60,144 | 60,144 | 0 | 0 | 0 |

Start-up costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(D) Communications Subprogram

The communications subprogram provides central monitoring of the following areas: staff voice communication, radio systems and radio equipment, cellular telephones, pagers, and video conferences.

Personal Services:

| Communications Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional | 4.1 | 0.0 | 0.0 | 0.0 | 0.0 |
| Telecommunications Specialists | 1.8 | 0.0 | 0.0 | 0.0 | 0.0 |
| Information Technology Staff | 1.7 | 0.0 | 0.0 | 0.0 | 0.0 |
| Administrative Staff | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 16.6 | 0.0 | 0.0 | 0.0 | 0.0 |

The Department does not request an appropriation for this line item due to the OIT consolidation. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Operating Expenses:

The majority of the funding in this line item is used to pay for telephone service for the Department. The line item is also used to provide for the purchase, maintenance, and repair of telecommunications equipment for the Department.

The following table presents the staff recommendation and the request.

| (3) (D) Communications - Operating Expenses | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,477,045 | 1,477,045 | 0 | 0 | 0 |

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| (3) (D) Communications - Operating Expenses | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| Restore 5% operating reduction | 76,645 | 76,645 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (44,640) | (44,640) | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 12,585 | 12,585 | 0 | 0 | 0 |
| R2 CCi Program Expansion | 1,350 | 1,350 | 0 | 0 | 0 |
| R7 (part 1) Parole & Parole ISP Caseload | (9,335) | (9,335) | 0 | 0 | 0 |
| Restore Therapeutic Communities Reduction | 9,900 | 9,900 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,523,550 | 1,523,550 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,536,800 | 1,536,800 | 0 | 0 | 0 |

Multi-use Network:

This common policy line item is used to pay for the Department of Corrections' share of the state-wide multi-use network. The Department requests an appropriation of \$2,903,075, comprised of \$2,815,455 General Fund and \$87,620 cash funds for this line item. **Staff recommends an appropriation of \$3,063,333, comprised of \$2,970,876 General Fund and \$92,457 cash funds,** which corresponds to the Committee's common policy decision.

Dispatch Services:

This line item provides funding for dispatch services provided by the Colorado State Patrol. The Department requests a continuation appropriation of \$230,270 General Fund for this line item. This line item has had reversions in recent years and was the subject of a negative supplemental this year. **Staff recommends that the Committee reduce the appropriation to \$200,000 General Fund for this line item.**

Communications Services Payments:

The common policy line item is used to make payments to the Department of Personnel and Administration for statewide communications services. The Department requests an appropriation of \$1,970,854 General Fund for this line item. **Staff recommends an appropriation of \$1,766,769 General Fund,** which corresponds to the Committee's common policy decision.

(3) SUPPORT SERVICES

(E) Transportation Subprogram

The transportation subprogram is responsible for maintaining the Department's vehicle fleet, which consists of 1,184 vehicles that are leased from the State Fleet Management program. This program provides preventive maintenance, general maintenance, motor pool services, vehicle records

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maintenance, and monthly billing reports. The Department's central transportation unit (CTU) is also funded out of this subprogram. The CTU provides inmate movements between facilities, to community corrections, to Denver area courts, to the CMHIP for medical procedures, and out-of-state inmate returns.

Personal Services:

| Transportation Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Correctional Officer IV | 1.0 | 0.8 | | | |
| Correctional Officer III | 2.8 | 2.8 | | | |
| Correctional Officer II | 7.0 | 7.0 | | | |
| Correctional Officer I | 24.1 | 23.7 | | | |
| Administrative Assistant III | 1.0 | 1.0 | | | |
| Total | 35.9 | 35.3 | 35.9 | 35.9 | 35.9 |

The following table presents the staff recommendation and the request.

| (3) (E) Transportation - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,889,649 | 1,889,649 | 0 | 0 | 0 | 35.9 |
| State PERA contribution back to 10.15% | 42,846 | 42,846 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (39,845) | (39,845) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,892,650 | 1,892,650 | 0 | 0 | 0 | 35.9 |
| FY 2012-13 Request | 1,932,495 | 1,932,495 | 0 | 0 | 0 | 35.9 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (3) (E) Transportation - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 269,888 | 269,888 | 0 | 0 | 0 |
| Restore 5% operating reduction | 14,906 | 14,906 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 284,794 | 284,794 | 0 | 0 | 0 |
| FY 2012-13 Request | 284,794 | 284,794 | 0 | 0 | 0 |

Vehicle Lease Payments:

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The funds in this line item are used to provide central accounting and payment for leased vehicles department-wide. The Department's fleet is maintained and serviced by Correctional Industries, which also constructed the initial list of vehicles for which replacement is requested. The DOC request list was sent to DPA Fleet, which in consultation with OSPB made the final selection of vehicles for which replacement is requested.

The Department requests the replacement of 93 vehicles. No vehicle replacements have been requested during the past three years. The following table shows characteristics of the vehicles that have been requested for replacement. Vehicles are acquired via lease-purchase agreements under which the state owns the vehicle after the lease ends. "Loan term" in the following table is the term of the lease.

| Vehicle Characteristic | Comment |
|---|--|
| Number of vehicles in the DOC fleet | 1,182 Per master vehicle list of 2/27/2012 |
| Number of vehicles for which replacement is requested | 93 |
| Proportion of fleet being replaced | 7.9% |
| Number of years to replace entire fleet at this replacement rate | 12.7 years |
| Average age in spring 2013, when the vehicle will be replaced | 12.0 years |
| Oldest | 1987 A 16 passenger bus with 70,605 projected miles at replacement |
| Newest | 2008 A 7 passenger mini van, 174,765 projected miles at replacement |
| Average projected mileage in spring 2013, when the vehicle will be replaced | 157,522 |
| Number of vehicles that will still be on lease at time of replacement | 19 |
| Average term of the new loan | 8.6 years. Loan terms range from 48 to 120 months. 51 of the vehicles will have 120 month loans. |
| Average miles these vehicles have been driven per month | 961 |
| Number used by: | |
| Criminal Investigators | 9 |
| Parole Officers | 12 |
| Prison Security/Inmate Transport | 16 |
| Maintenance and Support | 30 |
| Motor Pool | 17 |
| Specialized | 10 Examples: tractor trailer, 1 ton truck |
| Assigned to an individual | 3 Average projected mileage of individual vehicles at time of replacement = 195,585 |

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Staff recommends that the JBC approve replacement of each of these vehicles. The staff recommendation for the dollar amount for the vehicle lease line item is pending approval of a JBC common policy.

One decision item, R7 Parole & Parole ISP Caseload, will alter the size of the Department's fleet. The request will decrease the size of the department's fleet by 9 vehicles.

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(F) Training Subprogram

The training subprogram administers centrally the training needs, both for new employees and continuing training, for Department personnel. Staff training is categorized into four components: (1) basic training for all new employees (19 day, 152 hour course); (2) extended orientation and training program (40 hour course); (3) in-service training for current staff members; and, (4) advanced/specialized training such as cultural diversity, crisis intervention, training for trainers, violence in the workplace, pressure point control tactics, Americans With Disabilities Act, etc.

Personal Services:

| Training Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|----------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff | 6.6 | 4.5 | | | |
| Correctional Officers | 17.8 | 18.5 | | | |
| Administrative Staff | 2.6 | 4.6 | | | |
| Decommission Ft Lyon | | | | (0.7) | (0.7) |
| Total | 27.0 | 27.6 | 25.7 | 25.0 | 25.0 |

The following table presents the staff recommendation and the request.

| (3) (F) Training - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|--------------|-----------|-----------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 1,869,329 | 1,869,329 | 0 | 0 | 0 | 25.7 |
| State PERA contribution back to 10.15% | 37,201 | 37,201 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (31,532) | (31,532) | 0 | 0 | 0 | (0.7) |

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| (3) (F) Training - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| 2% base personal services adjustment | (38,632) | (38,632) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,836,366 | 1,836,366 | 0 | 0 | 0 | 25.0 |
| FY 2012-13 Request | 1,874,998 | 1,874,998 | 0 | 0 | 0 | 25.0 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (3) (F) Training - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 267,050 | 267,050 | 0 | 0 | 0 |
| Restore 5% operating reduction | 13,988 | 13,988 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (2,480) | (2,480) | 0 | 0 | 0 |
| Restore Therapeutic Communities Reduction | 550 | 550 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 698 | 698 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 475 | 475 | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (523) | (523) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 279,758 | 279,758 | 0 | 0 | 0 |
| FY 2012-13 Request | 280,497 | 280,497 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(G) Information Systems Subprogram

The information systems subprogram is responsible for the development and maintenance of automated information systems within the Department. In recent years, staff in this subprogram designed, developed and implemented a victim notification web registration site, a tracking system for the American Correctional Association, and an internal incident tracking system. It also created an approved treatment provider web application for clinical staff.

Personal Services:

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| Information Systems Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--------------------------------------|--------------------|--------------------|---------------------|---------------------|---------------------|
| Supervisory / Professional Staff | 2.2 | 0.0 | 0.0 | 0.0 | 0.0 |
| Information Technology Staff | 42.3 | 0.0 | 0.0 | 0.0 | 0.0 |
| Administrative Staff | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Total | 44.5 | 0.0 | 0.0 | 0.0 | 0.0 |

The Department does not request an appropriation for this line item due to the OIT consolidation. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Operating Expenses:

The following table presents the staff recommendation and the request.

| Information Systems Subprogram - Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|------------------|----------|----------|----------|
| FY 2011-12 Appropriation | 1,537,964 | 1,537,964 | 0 | 0 | 0 |
| Restore 5% operating reduction | 79,551 | 79,551 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (19,840) | (19,840) | 0 | 0 | 0 |
| Restore Therapeutic Communities Reduction | 4,400 | 4,400 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 5,595 | 5,595 | 0 | 0 | 0 |
| R2 Correctional Industries Program Expansion | 3,800 | 3,800 | 0 | 0 | 0 |
| R7 (FY13) Parole & Parole ISP Caseload | (4,151) | (4,151) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,607,319 | 1,607,319 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,613,208 | 1,613,208 | 0 | 0 | 0 |

Purchase of Services From Computer Center:

The Department requests an appropriation of \$6,026,485 General Fund for this common policy line item. **Staff recommends an appropriation of \$5,738,360 General Fund,** which corresponds to the Committee's common policy decision.

Management and Administration of OIT:

This line item helps fund the Governor's Office of Information Technology (OIT). The Department requests an appropriation of \$430,870 General Fund for this common policy line item. **Staff recommends an appropriation of \$437,228 General Fund,** which corresponds to the Committee's common policy decision.

Start-up Costs:

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The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(3) SUPPORT SERVICES

(H) Facility Services Subprogram

The facility services subprogram is responsible for managing construction and controlled maintenance projects for the Department. These responsibilities include procurement (requests for proposals and qualifications development, review, and award), contractor/design team selection, design review, contract administration, and fiscal management. This office is also responsible for developing facility master plans.

Personal Services:

| Facility Services Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Planning / Professional Staff | 8.4 | 9.1 | | | |
| Administrative Staff | 1.1 | 1.4 | | | |
| Total | 9.5 | 10.5 | 9.4 | 9.4 | 9.4 |

The following table presents the staff recommendation and the request.

| (3) (H) Facility Services - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|----------------|----------------|-----------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 942,136 | 942,136 | 0 | 0 | 0 | 9.4 |
| State PERA contribution back to 10.15% | 14,800 | 14,800 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (19,823) | (19,823) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 937,113 | 937,113 | 0 | 0 | 0 | 9.4 |
| FY 2012-13 Request | 956,936 | 956,936 | 0 | 0 | 0 | 9.4 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (3) (H) Facility Services - Operating Expenses | Total | GF | CF | RF | FF |
|---|---------------|---------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 78,941 | 78,941 | 0 | 0 | 0 |
| Restore 5% operating reduction | 4,155 | 4,155 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 83,096 | 83,096 | 0 | 0 | 0 |
| FY 2012-13 Request | 83,096 | 83,096 | 0 | 0 | 0 |

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Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(4) INMATE PROGRAMS

The inmate programs section includes all vocation, education, recreation, and inmate labor programs operated by the Department. Also included in this section are the sex offender treatment program and drug/alcohol treatment programs.

(A) Labor Subprogram

The labor subprogram is responsible for the development and supervision of inmate work assignments involving physical labor to assist the Department with reclamation, landscaping, construction and other related projects. The only department facility without a specific labor program for inmates is the Colorado State Penitentiary due to its administrative segregation mission. The Department identifies three components of the labor program: (1) intensive labor -- operated on an incentive basis so that the inmate is able to demonstrate that he or she is willing to modify his or her behavior; (2) inter-facility labor -- concentrated in the Cañon minimum centers, using inmates for land reclamation and erosion control; and (3) off grounds labor -- usually reserved for minimum security facilities to provide off grounds inmate work crews for a variety of governmental projects.

Personal Services:

| Labor Subprogram Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Correctional Officers | 6.6 | 5.9 | | | |
| Inmate Crew Supervisors | 84.7 | 83.5 | | | |
| Decommission Ft. Lyon | | | | (2.2) | (2.2) |
| R-3, Conservation Camp Program | | | | 1.8 | |
| Total | 91.3 | 89.4 | 90.9 | 90.5 | 88.7 |

This line item is intended to fund staff to supervise inmates who are working in labor crews.

The following table presents the staff recommendation and the request.

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| (4) (A) Labor - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 5,280,225 | 5,280,225 | 0 | 0 | 0 | 90.9 |
| State PERA contribution back to 10.15% | 117,270 | 117,270 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (111,328) | (111,328) | 0 | 0 | 0 | (2.2) |
| 2% base personal services adjustment | (108,165) | (108,165) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 5,178,002 | 5,178,002 | 0 | 0 | 0 | 88.7 |
| FY 2012-13 Request | 5,374,726 | 5,374,726 | 0 | 0 | 0 | 90.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (4) (A) Labor - Operating Expenses | Total | GF | CF | RF | FF |
|---|---------------|---------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 90,297 | 90,297 | 0 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (2,245) | (2,245) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 88,052 | 88,052 | 0 | 0 | 0 |
| FY 2012-13 Request | 89,052 | 89,052 | 0 | 0 | 0 |

Start-up Costs:

The Department requests \$430 for start-up costs, for the conservation camp. **Staff does not recommend that the Committee approve an appropriation for this line item.**

(4) INMATE PROGRAMS

(B) Education Subprogram

The education portion of this subprogram provides academic and other basic skills education to the total population on a daily basis. The Department operates programs such as Adult Basic Education (ABE), General Educational Development (GED), Special Education, Cognitive Education, Anger Management, English as a Second Language (ESL), Victim’s Education, Life Skills, etc. The Department also contracts with several community colleges for the provision of additional educational and vocational services.

The vocational portion of this subprogram develops competency-based vocational/technical programs designed to equip inmates with job entry skills. The Community Colleges of Colorado approves the programs based on state labor and employment needs. Some of the programs provided include: auto body repair, carpentry, printing trades, landscaping, electronics, graphic design, machine, computer information systems, video production, welding, etc.

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Personal Services:

| Education/Vocation Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Teachers / Professional Staff | 166.5 | 179.3 | | | |
| Librarians | 32.4 | 31.7 | | | |
| Correctional Officers | 3.3 | 2.7 | | | |
| Administrative Support | 15.9 | 11.7 | | | |
| | | | | (7.5) | (7.5) |
| Total | 218.1 | 225.4 | 183.4 | 175.9 | 175.9 |

The following table presents the staff recommendation and the request.

| (4) (B) Education - Personal Services | Total | GF | CF* | RF | FF | FTE |
|--|-------------------|-------------------|----------------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 11,664,100 | 10,749,839 | 914,261 | 0 | 0 | 183.4 |
| State PERA contribution back to 10.15% | 309,475 | 309,475 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (341,846) | (341,846) | 0 | 0 | 0 | (7.5) |
| 2% base personal services adjustment | (235,751) | (217,272) | (18,479) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 11,395,978 | 10,500,196 | 895,782 | 0 | 0 | 175.9 |
| FY 2012-13 Request | 11,631,729 | 10,717,468 | 914,261 | 0 | 0 | 175.9 |

* The sources are sales revenue from inmate vocational programs and Canteen funds.

Operating Expenses:

The Department of Corrections has vocational education programs at its facilities. These programs are designed to improve the education of inmates by providing them with an opportunity to develop occupational skills. Programs include drafting, welding, computers, carpentry, cooking, machine shop, welding, heavy equipment, auto body, graphic art, culinary arts, cosmetology, construction technology, construction trades, office equipment repair, video production, and janitorial. These vocational programs are reimbursed with sales revenues earned, which is reflected in the cash funds appropriation for this line item.

The following table presents the staff recommendation and the request.

| (4) (B) Education - Operating Expenses | Total | GF | CF* | RF | FF |
|---|------------------|-----------|------------------|----------------|-----------|
| FY 2011-12 Appropriation | 2,470,367 | 0 | 1,859,352 | 611,015 | 0 |
| Decommission Ft. Lyon Annualization | (17,130) | 0 | (17,130) | 0 | 0 |
| FY 2012-13 Recommendation | 2,453,237 | 0 | 1,842,222 | 611,015 | 0 |
| FY 2012-13 Request | 2,453,237 | 0 | 1,842,222 | 611,015 | 0 |

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* The sources are sales revenue from inmate vocational programs and Canteen funds.

Contract Services:

The Department contracts with local community colleges to provide a variety of educational and vocational programs to inmates. This line item provides the funding for those contracts. The Department has indicated that eliminating this line item would not prevent the Department from complying with its statutory obligations to educate inmates. Furthermore, it would not jeopardize the Department's ability to comply with the standards of the American Correctional Association. Provider rate increases have not been historically provided for this line item.

The Department requests a continuation appropriation of \$73,276 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$73,276 for this line item.**

Education Grants:

The Department receives a variety of education grants to provide life skills training, ABE/GED education, transition services, family parenting education, etc. to inmates. This line item provides the spending authority for those grants.

The following table presents the staff recommendation and the request.

| (4) (B) Education - Education Grants | Total | GF | CF | RF | FF |
|---|----------------|-----------|---------------|---------------|---------------|
| FY 2011-12 Appropriation | 1,286,297 | 0 | 10,000 | 273,735 | 1,002,562 |
| Grant Funding Changes | (1,172,403) | 0 | 0 | (197,491) | (974,912) |
| FY 2012-13 Recommendation | 113,894 | 0 | 10,000 | 76,244 | 27,650 |
| FY 2012-13 Request | 113,894 | 0 | 10,000 | 76,244 | 27,650 |

* The source of cash funds is gifts, grants, and donations. The source of reappropriated funds is federal funds appropriated to the Department of Education and the Department of Public Health and Environment.

Indirect Cost Recoveries:

This line item reflects indirect cost recoveries from federal grants received in this subprogram. **Staff recommends that the Committee approve the requested appropriation of \$5,476 federal funds for this line item.** After the JBC has voted on all line items, staff will re-calculate the indirect costs to incorporate the statewide indirect cost recoveries with the necessary offsets for department-wide indirect cost assessments. This could increase or decrease the amount of General Fund as reflected in this figure setting document. If the recommended indirect cost recoveries change, staff will present the recommended changes to the Committee.

Start-up Costs:

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The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(4) INMATE PROGRAMS
(C) Recreation Subprogram

The recreation subprogram is responsible for developing, implementing, and supervising all recreational programs including leisure time activities and outdoor exercise. Most facilities (with the exception of Colorado State Penitentiary) have recreation programs.

Personal Services:

| Recreation Staffing Summary | FY 009-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|------------------------------------|-------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Correctional Officer IV | 1.9 | 2.0 | | | |
| Correctional Officer III | 14.4 | 16.2 | | | |
| Correctional Officer II | 25.1 | 24.8 | | | |
| Correctional Officer I | 73.3 | 75.0 | | | |
| Physical Therapist | 1.0 | 0.3 | | | |
| Decommission Ft. Lyon | | | | (3.0) | (3.0) |
| R-4, San Carlos Consolidation | | | | 4.0 | 4.0 |
| Total | 115.7 | 118.3 | 114.8 | 115.8 | 115.8 |

The following table presents the staff recommendation and the request.

| (4) (C) Recreation - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 6,127,996 | 6,127,996 | 0 | 0 | 0 | 114.8 |
| State PERA contribution back to 10.15% | 129,611 | 129,611 | 0 | 0 | 0 | 0.0 |
| Decommission Ft. Lyon Annualization | (134,400) | (134,400) | 0 | 0 | 0 | (3.0) |
| R4 Consolidate San Carlos | 271,546 | 271,546 | 0 | 0 | 0 | 4.0 |
| 2% base personal services adjustment | (125,362) | (125,362) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 6,269,391 | 6,269,391 | 0 | 0 | 0 | 115.8 |
| FY 2012-13 Request | 6,394,753 | 6,394,753 | 0 | 0 | 0 | 115.8 |

Operating Expenses:

The following table presents the staff recommendation and the request.

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| (4) (C) Recreation - Operating Expenses | Total | GF | CF | RF | FF |
|--|---------------|-----------|---------------|-----------|-----------|
| FY 2011-12 Appropriation | 74,033 | 0 | 74,033 | 0 | 0 |
| No changes recommend | (2,833) | 0 | (2,833) | 0 | 0 |
| FY 2012-13 Recommendation | 71,200 | 0 | 71,200 | 0 | 0 |
| FY 2012-13 Request | 71,200 | 0 | 71,200 | 0 | 0 |

* The source is sales revenue from the Canteen operation, which sells things to offenders.

(4) INMATE PROGRAMS

(D) Drug and Alcohol Treatment Subprogram

The drug and alcohol treatment subprogram is responsible for providing the following substance abuse services to inmates: (1) intake evaluation, assessment, and orientation; (2) self-help meetings; (3) facility-based education and treatment groups; (4) drug testing; (5) intensive treatment; and (6) community/parole services. The Department estimates that approximately 80.0 percent of all inmates incarcerated in DOC facilities are assessed as needing some level of substance abuse treatment.

Personal Services:

| Drug and Alcohol Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| General Professional | 3.9 | 1.3 | | | |
| Substance Abuse Counselor | 46.8 | 55.0 | | | |
| Administrative Staff | 6.3 | 4.6 | | | |
| Restore BA-12 Therapeutic Communities Reduction | | | | 22.0 | 22.0 |
| Total | 57.0 | 60.9 | 42.8 | 64.8 | 64.8 |

The following table presents the staff recommendation and the request.

| (4) (D) Drug and Alcohol Treatment, Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 3,911,133 | 3,911,133 | 0 | 0 | 0 | 42.8 |
| State PERA contribution back to 10.15% | 58,947 | 58,947 | 0 | 0 | 0 | 0.0 |
| Restore Therapeutic Communities Reduction | 1,016,070 | 1,016,070 | 0 | 0 | 0 | 22.0 |
| 2% base personal services adjustment | (91,681) | (91,681) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 4,894,469 | 4,894,469 | 0 | 0 | 0 | 64.8 |
| FY 2012-13 Request | 4,986,150 | 4,986,150 | 0 | 0 | 0 | 64.8 |

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Operating Expenses:

The following table presents the staff recommendation and the request.

| (4) (D) Drug and Alcohol Treatment, Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 117,316 | 117,316 | 0 | 0 | 0 |
| | (6,384) | (6,384) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 110,932 | 110,932 | 0 | 0 | 0 |
| FY 2012-13 Request | 110,932 | 110,932 | 0 | 0 | 0 |

Drug Offender Surcharge Program:

This line item provides funding related to the drug offender program created pursuant to H.B. 91-1173, which established a process for standardizing the assessment and treatment of all drug offenders in the criminal justice system.

The Department requests a continuation appropriation of \$995,127 cash funds for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$995,127 cash funds for this line item** (see the following table).

| Summary of Drug Offender Surcharge Program Recommendation (4) (D) Drug and Alcohol Treatment — Department of Corrections | |
|---|--------------------|
| | Cash Funds* |
| FY 2010-11 Long Bill (H.B. 10-1376) | 995,127 |
| Adjustments (none requested or recommended) | <u>0</u> |
| JBC Staff Recommendation | 995,127 |

* The source is the Drug Offender Surcharge Fund.

Contract Services:

These funds are used to contract with individuals who are certified by the Division of Alcohol and Drug Abuse to provide facility-based treatment and counseling services.

The following table presents the staff recommendation and the request.

| (4) (D) Drug and Alcohol - Contract Services | Total | GF | CF* | RF | FF |
|---|--------------|-----------|------------|-----------|-----------|
| FY 2011-12 Appropriation | 2,290,957 | 2,040,957 | 250,000 | 0 | 0 |
| Decommission Ft. Lyon Annualization | (26,600) | (26,600) | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (92,174) | (92,174) | 0 | 0 | 0 |

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| (4) (D) Drug and Alcohol - Contract Services | Total | GF | CF* | RF | FF |
|---|--------------|-----------|------------|-----------|-----------|
| FY 2012-13 Recommendation | 2,172,183 | 1,922,183 | 250,000 | 0 | 0 |
| FY 2012-13 Request | 2,226,170 | 1,976,170 | 250,000 | 0 | 0 |

* The source is the Drug Offender Surcharge Fund.

Treatment Grants:

This line item reflects funding received from the Division of Criminal Justice to fund the therapeutic community project at the Arrowhead Correctional Center, and to provide TASC services.

The following table presents the staff recommendation and the request. This recommendation reflects the level of federal grants the Department anticipates receiving in FY 2012-13 for this purpose.

| (4) (D) Drug and Alcohol - Treatment Grants | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 312,461 | 0 | 0 | 312,461 | 0 |
| Change in grant funding | (185,779) | 0 | 0 | (185,779) | 0 |
| FY 2012-13 Recommendation | 126,682 | 0 | 0 | 126,682 | 0 |
| FY 2012-13 Request | 126,682 | 0 | 0 | 126,682 | 0 |

* The source is federal funds transferred from the Division of Criminal Justice in the Department of Public Safety.

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(4) INMATE PROGRAMS

(E) Sex Offender Treatment Subprogram

The sex offender treatment program (SOTP) is designed to provide treatment to sex offenders who are motivated to change to a more socially appropriate lifestyle. The program is broken down into four phases: Phase I focuses on common problem areas of sex offenders (such as understanding why people commit sex offenses, victim empathy, social skills, cognitive restructuring, sex offense cycles, etc.); Phase II focuses on changing the inmate's distorted thinking and patterns of behavior and developing a comprehensive relapse prevention plan; Phase III is planned to provide specialized community corrections placements for sex offenders; and, Phase IV involves intensive, specialized supervision of sex offenders on parole. Completion of sex offender treatment is necessary for inmates to be released because of life time sex offender supervision statutes.

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Pursuant to H.B. 98-1156, inmates sentenced to the Department of Corrections are required to be sentenced to a minimum term for the level of the offense committed with a maximum of the offender's natural life. This law requires evaluation and treatment prior to parole. After meeting the minimum sentence, inmates are eligible to meet with the Parole Board. The Parole Board can waive the offender's parole hearing in the event that they did not participate in treatment.

Personal Services:

| Sex Offender Treatment Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Treatment Staff | 36.6 | 36.1 | | | |
| Administrative Staff | 3.2 | 3.7 | | | |
| R-1, Sex Offender Treatment Expansion | | | | 24.0 | 22.5 |
| Total | 39.8 | 39.8 | 40.8 | 64.8 | 63.3 |

The following table presents the staff recommendation and the request.

| (4) (E) Sex Offender Treatment - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|---------------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 2,685,839 | 2,657,460 | 28,379 | 0 | 0 | 40.8 |
| State PERA contribution back to 10.15% | 53,504 | 53,504 | 0 | 0 | 0 | 0.0 |
| R1 Sex Offender Treatment | 1,206,819 | 1,206,819 | 0 | 0 | 0 | 22.5 |
| 2% base personal services adjustment | (56,711) | (56,112) | (599) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 3,889,451 | 3,861,671 | 27,780 | 0 | 0 | 63.3 |
| FY 2012-13 Request | 4,027,303 | 3,998,924 | 28,379 | 0 | 0 | 64.8 |

* The source is the Sex Offender Surcharge Fund.

Operating Expenses:

The following table presents the staff recommendation and the request.

| (4) (E) Sex Offender Treatment, Operating Expenses | Total | GF | CF | RF | FF |
|---|---------------|---------------|------------|-----------|-----------|
| FY 2011-12 Appropriation | 84,776 | 84,276 | 500 | 0 | 0 |
| R1 Sex Offender Treatment | 12,635 | 12,635 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 97,411 | 96,911 | 500 | 0 | 0 |
| FY 2012-13 Request | 98,261 | 97,761 | 500 | 0 | 0 |

* The source is the Sex Offender Surcharge Fund.

Polygraph Testing:

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This line item provides funding used to perform polygraph tests to assist in the treatment of sex offenders. The following table presents the staff recommendation and the request.

| (4) (E) Sex Offender Treatment - Polygraph Testing | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 99,569 | 99,569 | 0 | 0 | 0 |
| R1 Sex Offender Treatment | 132,117 | 132,117 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 231,686 | 231,686 | 0 | 0 | 0 |
| FY 2012-13 Request | 240,569 | 240,569 | 0 | 0 | 0 |

Sex Offender Treatment Grants:

This line item reflects federal funding from the Sex Offender Management Grant from the U.S. Department of Justice.

The following table presents the staff recommendation and the request.

| (4) (E) Sex Offender Treatment - Sex Offender Treatment Grants | Total | GF | CF | RF | FF |
|---|---------------|-----------|-----------|-----------|---------------|
| FY 2011-12 Appropriation | 511,587 | 0 | 0 | 0 | 511,587 |
| Reduced grant funding | (445,990) | 0 | 0 | 0 | (445,990) |
| FY 2012-13 Recommendation | 65,597 | 0 | 0 | 0 | 65,597 |
| FY 2012-13 Request | 65,597 | 0 | 0 | 0 | 65,597 |

Start-up Costs:

The Department requests startup costs of \$183,689 General Fund related to R1 Sex Offender Treatment. **Staff recommends an appropriation of \$183,689.**

(4) INMATE PROGRAMS

(F) Volunteers Subprogram

An office of volunteer services was created by the Department effective July 1, 1995, in order to provide religious and non-religious volunteer programs to offenders. The Department converted its previous chaplain positions to form a coordinated volunteer program consisting of a volunteer services administrator, two administrative assistants, a religious services administrator, and area volunteer coordinators located at field offices throughout the State. No groups accessing DOC facilities to provide volunteer services are paid by the Department.

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Personal Services:

| Volunteers Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Professional Staff | 5.2 | 5.4 | | | |
| Administrative Staff | 2.0 | 1.6 | | | |
| Total | 7.2 | 7.0 | 7.4 | 7.4 | 7.4 |

The following table presents the staff recommendation and the request.

| (4) (F) Volunteers - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|----------------|-----------|----------------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 547,280 | 0 | 547,280 | 0 | 0 | 7.4 |
| State PERA contribution back to 10.15% | 8,065 | 0 | 8,065 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (11,623) | 0 | (11,623) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 543,722 | 0 | 543,722 | 0 | 0 | 7.4 |
| FY 2012-13 Request | 555,345 | 0 | 555,345 | 0 | 0 | 7.4 |

* The source is sales revenue from the Canteen operation, which sells things to inmates.

Operating Expenses:

The following table presents the staff recommendation and the request.

| (4) (F) Volunteers - Operating Expenses | Total | GF | CF | RF | FF |
|--|---------------|-----------|---------------|-----------|-----------|
| FY 2011-12 Appropriation | 17,912 | 0 | 17,912 | 0 | 0 |
| No change recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 17,912 | 0 | 17,912 | 0 | 0 |
| FY 2012-13 Request | 17,912 | 0 | 17,912 | 0 | 0 |

(5) COMMUNITY SERVICES

The community services program area includes the parole, parole ISP, community supervision (transition), and community ISP (transition) subprograms. This major program area is designed to isolate all the costs associated with supervising offenders in a community setting. Historically, the General Assembly has funded the Parole and Parole ISP programs under the assumption that 85 percent of the population is placed on parole and 15 percent of the population is placed on parole ISP.

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(A) Parole Subprogram

Typical functions performed by parole officers include: conducting pre-release investigations, performing new parolee classification, monitoring parolee compliance with the terms of parole, coordinating treatment needs of parolees, investigating alleged parole violations, and presenting testimony to the Parole Board.

Personal Services:

| Parole Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|-------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management | 10.7 | 18.4 | | | |
| Parole Supervisors | 14.5 | 9.9 | | | |
| Parole Officer – Team Leader | 14.4 | 14.3 | | | |
| Parole Officer | 101.2 | 98.3 | | | |
| Administrative Support | 24.6 | 24.9 | | | |
| R-7, Parole and Parole ISP Caseload | | | | (7.4) | (14.9) |
| Total | 165.4 | 165.8 | 160.5 | 153.1 | 145.6 |

The following table presents the staff recommendation and the request.

| (5) (A) Parole - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|--------------|
| FY 2011-12 Appropriation | 10,100,007 | 10,100,007 | 0 | 0 | 0 | 160.5 |
| State PERA contribution back to 10.15% | 255,331 | 255,331 | 0 | 0 | 0 | 0.0 |
| R7 Part 1, Parole & Parole ISP Caseload | (577,131) | (577,131) | 0 | 0 | 0 | (12.9) |
| R7 Part 2, Transfer appropriation for Case Managers from Community Services to Offender Services (corrects appropriation in H.B. 10-1374 that directed funding to the wrong line item) | (106,520) | (106,520) | 0 | 0 | 0 | (2.0) |
| 2% base personal services adjustment | (213,821) | (213,821) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 9,457,866 | 9,457,866 | 0 | 0 | 0 | 145.6 |
| FY 2012-13 Request | 10,005,215 | 10,005,215 | 0 | 0 | 0 | 153.1 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (5) (A) Parole - Operating Expenses | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,114,583 | 1,114,583 | 0 | 0 | 0 |
| R7 Part 1, Parole & Parole ISP Caseload | (67,243) | (67,243) | 0 | 0 | 0 |

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| (5) (A) Parole - Operating Expenses | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| R7 Part 2, Transfer appropriation for Case Managers from Community Services to Offender Services to correct mis-location in H.B. 10-1374 appropriation | (1,900) | (1,900) | 0 | 0 | 0 |
| Restore 5% operating reduction | 5,947 | 5,947 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,051,387 | 1,051,387 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,088,665 | 1,088,665 | 0 | 0 | 0 |

Administrative Law Judge Services:

This line item provides funding to purchase Administrative Law Judge services from the Department of Personnel.

The Department requests an appropriation of \$4,458 General Fund for this line item. **The staff recommendation is pending common policy figure setting for the Department of Personnel and Administration.**

Contract Services:

This line item contains funding for drug screens, mental health treatment, and fugitive returns.

The following table presents the staff recommendation and the request.

| (5) (A) Parole - Contract Services | Total | GF | CF | RF* | FF |
|--|------------------|------------------|-----------|------------------|-----------|
| FY 2011-12 Appropriation | 3,216,589 | 1,741,589 | 0 | 1,475,000 | 0 |
| Reduction to align appropriation for Day Reporting services with recent use. | (50,000) | 0 | 0 | (50,000) | 0 |
| R7, Part 1 Parole & Parole ISP Caseload | (74,695) | (74,695) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 3,091,894 | 1,666,894 | 0 | 1,425,000 | 0 |
| FY 2012-13 Request | 3,186,690 | 1,711,690 | 0 | 1,475,000 | 0 |

* This amount is reappropriated funds transferred from the Judicial Branch for day reporting services.

Wrap-Around Services Program:

This line item was added to the Long Bill in FY 2008-09 to provide comprehensive assistance, such as substance abuse treatment and job placement, through local community-based service providers for parolees transitioning from prison. The line item was intended to provide funding for approximately 200 parolees for a year-long program at up to \$9,000 per parolee as well as up to \$3,000 in follow-up services per parolee in the second year. Service components include: mental health services, substance abuse treatment, and housing and vocational assistance.

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This appropriation has had a wild ride since its inception. The \$1,800,000 General Fund appropriation for FY 2008-09 was eliminated halfway through the year by S.B. 09-184, the Department's Supplemental. The FY 2009-10 Long Bill restored a \$1,800,000 appropriation, but it too was eliminated, this time by DOC supplemental H.B. 10-1298. There was no appropriation for the program in the FY 2010-11 Long Bill, but another 2010 session bill, H.B. 10-1360 (Parole Placement for Technical Violation) provided \$1,807,225 of FY 2010-11 funding. However, S.B. 11-136, the Department's supplemental bill, then reduced this appropriation by \$600,000 to \$1,207,225. The FY 2011-12 Long Bill contained a \$1,207,225 appropriation, which was not adjusted by H.B. 12-1181, the DOC supplemental bill. Provided no other 2012 session bills change the FY 2011-12 appropriation, it will remain at \$1,207,225.

Decision Item BA2, Consolidate Psychotropic Medications.

The Department requests a line item consolidation that combines the Psychotropic Medication line and the Wrap-around Services line into one line item. **Staff recommends against this request for the reasons outlined below.**

The Psychotropic Medications appropriation, which will be presented more fully later, benefits transition offenders in community corrections. It was added to the Long Bill in FY 2006-07 to provide psychotropic medications for offenders in community corrections. The Wrap-around Services appropriation benefits parolees.

The Department states that this consolidation will increase flexibility in providing psychotropic medication for both "parolees and Community offenders". The Department's description provides no specific examples of how this change could have enhanced the delivery of services in the past or could enhance it in the future.

The Department states that no statutory change would be required if this consolidation is approved, but JBC staff is concerned that this consolidation would violate the spirit of statute. H.B. 10-1360, which provides the funding for Wrap-around Services, added the following provision to statute (*italics added*):

17-2-103 (11.5) Each fiscal year, the General Assembly shall appropriate a portion of the savings generated by house bill 10-1360, enacted in 2010. This appropriation shall be used *only* for re-entry support services for parolees related to obtaining employment, housing, transportation, substance abuse treatment, mental health treatment, mental health medication, or offender specific services. The appropriation shall be made after consideration of the division of adult parole's status report required pursuant to section 17-2-102 (11).

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The status report that this paragraph refers to was described in H.B. 10-1360 in the following provision:

17-2-102. (11) The division of adult parole shall provide to the judiciary committees of the senate and the house of representatives, or any successor committees, a status report on the effect on parole outcomes and use of any moneys allocated pursuant to house bill 10-1360, enacted in 2010.

Reading these two paragraphs together, there is an implicit requirement for periodic status reports from the Division of Adult Parole to guide the appropriation process, however the latter paragraph could have much more clearly stated this requirement. In any case, as far as staff can determine, no report has been produced nor is being produced.

Staff believes that the Department's proposed change would blur the lines between appropriations that are designed to help transition offenders and appropriations that are designed to help parolees in a manner that is contrary to the intent of H.B. 10-1360. It would open the door to using the Wrap-around Services appropriation, which is funded from H.B. 10-1360, to help the transition population.

If a status report was being produced, it might be possible to keep track of expenditures on parolees and transition offenders to verify that appropriations were being spent properly, but no report means no tracking.

Note that this request would result in no change in the overall level of appropriations.

Staff recommends that the Committee not approve this request.

The following table summarizes the staff recommendation and the request for the Wrap-Around Services appropriation.

| (5) (A) Parole - Wrap-Around Services Program | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,207,225 | 1,207,225 | 0 | 0 | 0 |
| BA2 Consolidate Psychotropic Medications - not recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,207,225 | 1,207,225 | 0 | 0 | 0 |
| FY 2012-13 Request (big due to consolidation) | 1,338,985 | 1,338,985 | 0 | 0 | 0 |

Parole Grants:

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This line was added in the 2005 session through a supplemental appropriation (S.B. 05-109). The FY 2007-08 appropriation was amended to reflect the anticipated funds. The Department does not anticipate any grant funding to be available in FY 2010-11. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

Start-up Costs:

This line item provides one-time funding for costs associated with increasing parole officers. The line item funds the following types of expenses: furniture, computers, guns, hand cuffs, mobile radios, phones, search gloves, bullet proof vests, vehicle cages, cassette recorders, digital cameras, uniform/officer clothing, and basic training. Because staff is not recommending an increase in parole officers, **staff does not recommend that the Committee approve an appropriation for this line item.**

(5) COMMUNITY SERVICES

(B) Parole Intensive Supervision Program (ISP) Subprogram

The parole ISP subprogram targets high-risk offenders who would not otherwise be paroled due to the risk posed to public safety. The minimum statutory standards require the ISP parole officer to maintain weekly face to face contacts with the parolee, daily telephone contact, a monitored curfew at the parolee’s place of residence at least once a month, employment visitation at least twice a month, home visitation, drug and alcohol screening, treatment referrals and monitoring, and assuring the payment of restitution by the parolee. The parole ISP program also uses electronic monitoring for all ISP parolees, and also uses home detention strategies in conjunction with the ISP program.

Personal Services:

| Parole ISP Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|-------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Management | 1.6 | 3.0 | | | |
| Parole Supervisor | 5.4 | 5.8 | | | |
| Parole Team Leader | 4.8 | 4.7 | | | |
| Parole Officer | 50.9 | 56.0 | | | |
| Administrative Support | 17.8 | 17.6 | | | |
| R-7, Parole and Parole ISP Caseload | | | | (2.9) | (6.9) |
| Total | 80.5 | 87.1 | 73.3 | 70.4 | 66.4 |

The following table presents the staff recommendation and the request.

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| (5) (B) Parole ISP - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 4,647,198 | 4,647,198 | 0 | 0 | 0 | 73.3 |
| State PERA contribution back to 10.15% | 114,528 | 114,528 | 0 | 0 | 0 | 0.0 |
| R7 Parole & Parole ISP Caseload | (302,254) | (302,254) | 0 | 0 | 0 | (6.9) |
| 2% base personal services adjustment | (97,702) | (97,702) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 4,361,770 | 4,361,770 | 0 | 0 | 0 | 66.4 |
| FY 2012-13 Request | 4,634,776 | 4,634,776 | 0 | 0 | 0 | 70.4 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (5) (B) Parole ISP - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 466,730 | 466,730 | 0 | 0 | 0 |
| Restore 5% operating reduction | 2,733 | 2,733 | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (34,319) | (34,319) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 435,144 | 435,144 | 0 | 0 | 0 |
| FY 2012-13 Request | 454,254 | 454,254 | 0 | 0 | 0 |

Contract Services:

This line item contains the appropriation associated with drug screens, mental health treatment, and fugitive returns.

The following table presents the staff recommendation and the request.

| (5) (A) Parole ISP - Contract Services | Total | GF | CF | RF | FF |
|---|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,550,100 | 1,550,100 | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (138,959) | (138,959) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,411,141 | 1,411,141 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,494,499 | 1,494,499 | 0 | 0 | 0 |

Non-Residential Services:

This line item funds nonresidential services such as drug screens, substance abuse monitoring, medication management, daily call-ins to a day reporting center, etc. for offenders on parole ISP.

The following table presents the staff recommendation and the request.

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| (5) (A) Parole ISP - Non-Residential Services | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,158,436 | 1,158,436 | 0 | 0 | 0 |
| R7 Parole & Parole ISP Caseload | (107,118) | (107,118) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,051,318 | 1,051,318 | 0 | 0 | 0 |
| FY 2012-13 Request | 1,115,575 | 1,115,575 | 0 | 0 | 0 |

Home Detention:

This line item provides funding for in-home electronic monitoring. The Department requests a continuation appropriation of \$69,383 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$69,383 General Fund for this line item.**

Start-up Costs:

This line item provides one-time funding for costs associated with increasing parole officers. The line item funds the following types of expenses: furniture, computers, guns, hand cuffs, mobile radios, phones, search gloves, bullet proof vests, vehicle cages, cassette recorders, digital cameras, uniform/officer clothing, and basic training.

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(5) COMMUNITY SERVICES

(C) Community ISP Subprogram

The community ISP subprogram is responsible for daily monitoring and close supervision for up to six months for transition inmates who are living in their own home or an approved private residence. Statutory language regarding placement criteria states that "*any offender not having more than 180 days until such offender's parole eligibility date (PED) is eligible*" for placement in a community ISP transition slot. Based on an offender's risk assessment score, a community ISP officer will develop a supervision plan which explains curfew times, electronic monitoring requirements, support services availability, urine screens, and any drug/alcohol or mental health programs that are necessary. Approximately two-thirds of all transition ISP inmates are placed on electronic monitoring.

Personal Services:

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| Community ISP Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Community Parole Supervisor | 5.7 | 5.8 | | | |
| Community Parole Team Leader | 0.0 | 0.0 | | | |
| Community Parole Officer | 39.2 | 37.6 | | | |
| Administrative Support | 5.9 | 4.6 | | | |
| Total | 50.8 | 48.0 | 45.6 | 45.6 | 45.6 |

The following table presents the staff recommendation and the request.

| (5) (C) Community ISP - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 3,146,345 | 3,146,345 | 0 | 0 | 0 | 45.6 |
| State PERA contribution back to 10.15% | 77,325 | 77,325 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (65,603) | (65,603) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 3,158,067 | 3,158,067 | 0 | 0 | 0 | 45.6 |
| FY 2012-13 Request | 3,223,670 | 3,223,670 | 0 | 0 | 0 | 45.6 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (5) (C) Community ISP - Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 515,113 | 515,113 | 0 | 0 | 0 |
| Restore 5% operating reduction | 2,679 | 2,679 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 517,792 | 517,792 | 0 | 0 | 0 |
| FY 2012-13 Request | 517,792 | 517,792 | 0 | 0 | 0 |

Contract Services:

This line item provides funding for drug screens, substance abuse monitoring, medication management, daily call-ins to a day reporting center, etc. for offenders on Community ISP.

The following table presents the staff recommendation and the request.

| (5) (C) Community ISP - Contract Services | Total | GF | CF | RF | FF |
|--|------------------|------------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 3,174,885 | 3,174,885 | 0 | 0 | 0 |
| No change recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 3,174,885 | 3,174,885 | 0 | 0 | 0 |

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| (5) (C) Community ISP - Contract Services | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2012-13 Request | 3,157,198 | 3,157,198 | 0 | 0 | 0 |

The difference between recommendation and request is due to the fact that staff is not recommending R8 Community Corrections Caseload.

Start-up Costs:

This line item provides one-time funding for costs associated with increasing community corrections officers. The line item funds the following types of expenses: furniture, computers, guns, hand cuffs, mobile radios, phones, search gloves, bullet proof vests, vehicle cages, cassette recorders, digital cameras, uniform / officer clothing, and basic training.

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(5) COMMUNITY SERVICES

(D) Community Supervision Subprogram

The community supervision subprogram is responsible for the management and supervision of transition inmates who are released to a community corrections facility. Staff in this subprogram regularly interact with DOC facility case managers to ensure timely referral of inmates to community placement, with community corrections boards to ensure compliance with codes and standards in community facilities, and with residential facility staff to ensure that transition inmates are supervised in a fashion that promotes public safety. The Community Supervision Subprogram contains two separate parts. Part 1 is regular Community Supervision and part 2 is the Youthful Offender System (YOS) Phases II and III Aftercare.

Personal Services:

| Community Supervision Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Manager/Division Administration | 5.4 | 6.1 | | | |
| Community Parole Team Leader | 5.7 | 5.9 | | | |
| Community Parole Officer | 22.0 | 26.2 | | | |
| Administrative Support | 6.3 | 3.2 | | | |
| Total | 39.4 | 41.4 | 35.5 | 35.5 | 35.5 |

The following table presents the staff recommendation and the request.

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| (5) (D) (1) Community Supervision - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 2,770,847 | 2,770,847 | 0 | 0 | 0 | 35.5 |
| State PERA contribution back to 10.15% | 62,797 | 62,797 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (58,525) | (58,525) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 2,775,119 | 2,775,119 | 0 | 0 | 0 | 35.5 |
| FY 2012-13 Request | 2,833,644 | 2,833,644 | 0 | 0 | 0 | 35.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (5) (D) (1) Community Supervision - Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 138,366 | 138,366 | 0 | 0 | 0 |
| Restore 5% operating reduction | 903 | 903 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 139,269 | 139,269 | 0 | 0 | 0 |
| FY 2012-13 Request | 139,269 | 139,269 | 0 | 0 | 0 |

Community Mental Health Services:

This line item provides funding for services to inmates the Department estimates are seriously mentally ill. The funding allows the Department to provide services to the most needy inmates.

The following table presents the staff recommendation and the request.

| (5) (D) (1) Community Supervision - Community Mental Health Services | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|----------------|-----------|
| FY 2011-12 Appropriation | 629,803 | 449,803 | 0 | 180,000 | 0 |
| R7 Parole & Parole ISP Caseload | (40,186) | (40,186) | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 589,617 | 409,617 | 0 | 180,000 | 0 |
| FY 2012-13 Request | 611,511 | 431,511 | 0 | 180,000 | 0 |

The reappropriated funds are received from the Division of Criminal Justice at the Department of Public safety. They reimburse DOC for payments it makes for Intensive Residential Treatment beds. The DOC has an interagency agreement with DPS to reimburse the DOC for payments made for contractually provided IRT services to parolees. The agreement, Contract Management System

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(CMS) #25616, contains a payment schedule of \$180,000 per year from FY 2011-12 through FY 2014-15.

Psychotropic Medication:

This line item was added in FY 2006-07 to provide psychotropic medications for offenders in community corrections. It originally received an appropriation of \$1,346,880 but was later reduced to less than \$200,000 and has subsequently remained in this range. The following table presents the staff recommendation and the request.

| (5) (D) (1) Community Supervision - Psychotropic Medication | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 131,760 | 131,760 | 0 | 0 | 0 |
| Leap-day adjustment | (360) | (360) | 0 | 0 | 0 |
| BA2 Consolidate Psychotropic Medications - not recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 131,400 | 131,400 | 0 | 0 | 0 |
| FY 2012-13 Request (0 due to consolidation) | (360) | (360) | 0 | 0 | 0 |

Contract Services for High Risk Offenders:

This line item provides funding for contract services to provide global positioning devices, paging systems, etc. for tracking high risk offenders released to the community.

The Department requests an appropriation of \$242,214 General Fund for this line item. **Staff recommends that the Committee approve an appropriation of \$243,162 General Fund for this line item.**

Contract Services for Fugitive Returns:

This line item provides funding for contract services used to return fugitives to custody. The Department requests a continuation appropriation of \$74,524 total funds for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$74,524 total funds for this line item.** The recommendation includes \$42,049 General Fund and \$32,475 reappropriated funds. The source of reappropriated funds is a transfer from the Department of Public Safety, Division of Criminal Justice.

Start-up Costs:

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This line item provides one-time funding for costs associated with increasing community corrections officers. The line item funds the following types of expenses: furniture, computers, guns, hand cuffs, mobile radios, phones, search gloves, bullet proof vests, vehicle cages, cassette recorders, digital cameras, uniform/officer clothing, and basic training.

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(2) YOS Aftercare - Phases II and III:

The community supervision program has statutory responsibility for administration of the YOS community phases. Phase II is a three month transition program that supports Phase I redirection programs and establishes the basis for a graduated Phase III community reintegration. Phase II offers academic education, life skills, and pre-vocational and vocational education. This is a residential program.

Phase III is the community supervision and reintegration portion of the program. A graduated decrease in supervision intensity accompanies positive program participation, measurable attainment of goals and objectives, and increasingly pro-social involvement.

Personal Services:

| YOS Phase II and III Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|----------------------------|----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Community Parole Officer | 5.6 | 5.6 | | | |
| Professional Staff | 1.2 | 1.0 | | | |
| Administrative Support | <u>1.0</u> | 1.0 | | | |
| Total | 7.8 | 7.6 | 8.0 | 8.0 | 8.0 |

The following table presents the staff recommendation and the request.

| (5) (D) (2) Y.O.S. Aftercare - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|----------------|----------------|-----------|-----------|-----------|------------|
| FY 2011-12 Appropriation | 624,163 | 624,163 | 0 | 0 | 0 | 8.0 |
| State PERA contribution back to 10.15% | 12,626 | 12,626 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (13,212) | (13,212) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 623,577 | 623,577 | 0 | 0 | 0 | 8.0 |
| FY 2012-13 Request | 636,789 | 636,789 | 0 | 0 | 0 | 8.0 |

Operating Expenses:

The following table presents the staff recommendation and the request.

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| (5) (D) (2) Y.O.S. Aftercare - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 140,362 | 140,362 | 0 | 0 | 0 |
| Restore 5% operating reduction | 705 | 705 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 141,067 | 141,067 | 0 | 0 | 0 |
| FY 2012-13 Request | 141,067 | 141,067 | 0 | 0 | 0 |

Contract Services:

This line item provides funding for the contract portion of the YOS aftercare. This includes housing, food, alcohol and drug intervention, and mental health counseling. The purpose of phase III is to gradually prepare the youth to live on their own. In prior years, this line item was adjusted based on anticipated changes in caseload. However, caseload has been relatively stable in recent years. As of January 31, 2011, there were 47 offenders in the Y.O.S. aftercare program, up 2 from a year earlier.

The Department requests a continuation appropriation of \$1,062,396 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$1,062,396 General Fund for this line item.**

(5) COMMUNITY SERVICES

(E) Community Re-entry Subprogram

The Community Re-entry Subprogram consists of pre-release and post-release components. The pre-release component includes activities which screen inmates to identify the individual skill requirements necessary to increase the probability of success following release and the development of the personal life and pre-employment skills critical to transition from an institutional setting to the community.

The post-release component consists of assistance and support to the offender in the transition process, in accessing community services, and in securing employment and/or training. Support services are also available to those offenders for whom limited financial support in areas such as housing, clothing, and tools will increase the opportunity of success.

Pursuant to a decision item in the 2005 session, this program was relocated this section of the Long Bill from the Inmate Programs Section. Through the same decision item, this subprogram was renamed to "Community Re-entry Subprogram". Previously, it had been named the Community Reintegration Subprogram.

Personal Services:

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| Community Re-entry Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff | 19.3 | 34.1 | | | |
| Administrative Support | 1.0 | 1.6 | | | |
| Total | 20.3 | 35.7 | 35.6 | 35.6 | 35.6 |

The following table presents the staff recommendation and the request.

| (5) (E) Community Re-entry Subprogram - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,950,504 | 1,950,504 | 0 | 0 | 0 | 35.6 |
| State PERA contribution back to 10.15% | 44,640 | 44,640 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (40,962) | (40,962) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,954,182 | 1,954,182 | 0 | 0 | 0 | 35.6 |
| FY 2012-13 Request | 1,995,144 | 1,995,144 | 0 | 0 | 0 | 35.6 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (5) (E) Community Re-entry Subprogram - Operating Expenses | Total | GF | CF | RF | FF |
|---|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 122,586 | 122,586 | 0 | 0 | 0 |
| Restore 5% operating reduction | 616 | 616 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 123,202 | 123,202 | 0 | 0 | 0 |
| FY 2012-13 Request | 123,202 | 123,202 | 0 | 0 | 0 |

Offender Emergency Assistance:

This line item provides funding for one-time or short-term services for offenders in areas such as housing, clothing, transportation, and work tools which increase a released offender's opportunity for success in the reintegration process.

The Department requests a continuation appropriation of \$96,768 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$96,768 General Fund for this line item.**

Contract Services:

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This line item provides funding for personal services costs for contracted reintegration staff positions.

The Department requests a continuation appropriation of \$190,000 General Fund for this line item. **Staff recommends that the Committee approve the requested continuation appropriation of \$190,000 General Fund for this line item.**

Offender Re-employment Center:

Historically, this line item permitted the Department to utilize gifts, grants, and donations to fund a center that places employment resources in a central location in Denver accessible to offenders upon their return to the community. During the 2005 session, an amendment was added to the Long Bill for \$100,000 General Fund due to declining gifts, grants, and donations.

The following table presents the staff recommendation and the request.

| (5) (E) Community Re-entry Subprogram - Offender Re-employment Center | Total | GF | CF* | RF | FF |
|--|----------------|----------------|---------------|-----------|-----------|
| FY 2011-12 Appropriation | 374,000 | 364,000 | 10,000 | 0 | 0 |
| No change recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 374,000 | 364,000 | 10,000 | 0 | 0 |
| FY 2012-13 Request | 374,000 | 364,000 | 10,000 | 0 | 0 |

* The source is gifts, grants, and donations.

Community Reintegration Grants:

The following table presents the staff recommendation and the request.

| (5) (E) Community Re-entry - Community Reintegration Grants | Total | GF | CF* | RF | FF |
|--|---------------|-----------|------------|--------------|---------------|
| FY 2011-12 Appropriation | 129,213 | 0 | 0 | 90,115 | 39,098 |
| Reduced Colorado Second Chance Housing and Prisoner Re-entry Program (C-SHARP) funds from the Department of Local Affairs, Division of Housing | (80,434) | 0 | 0 | (80,434) | 0 |
| FY 2012-13 Recommendation | 48,779 | 0 | 0 | 9,681 | 39,098 |
| FY 2012-13 Request | 48,779 | 0 | 0 | 9,681 | 39,098 |

* The source is funds appropriated to the Division of Housing in the Department of Local Affairs.

Start-up Costs:

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The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(6) PAROLE BOARD

The seven members of the Parole Board conduct all parole release hearings as well as most parole revocation hearings on all parole violation complaints filed by the parole subprogram.

Personal Services:

| Parole Board Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--------------------------------------|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Parole Board Members | 6.8 | 7.0 | | | |
| Office Manager | 0.8 | 0.7 | | | |
| Administrative Support | 8.8 | 9.1 | | | |
| Total | 16.4 | 16.8 | 12.5 | 12.5 | 12.5 |

The following table presents the staff recommendation and the request.

| (6) Parole Board - Personal Services | Total | GF | CF | RF | FF | FTE |
|---|------------------|------------------|-----------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,164,841 | 1,164,841 | 0 | 0 | 0 | 12.5 |
| State PERA contribution back to 10.15% | 32,685 | 32,685 | 0 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (24,258) | (24,258) | 0 | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,173,268 | 1,173,268 | 0 | 0 | 0 | 12.5 |
| FY 2012-13 Request | 1,197,526 | 1,197,526 | 0 | 0 | 0 | 12.5 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (6) Parole Board - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 99,545 | 99,545 | 0 | 0 | 0 |
| Restore 5% operating reduction | 5,345 | 5,345 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 104,890 | 104,890 | 0 | 0 | 0 |
| FY 2012-13 Request | 104,890 | 104,890 | 0 | 0 | 0 |

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Contract Services:

The Parole Board uses these funds to provide additional hearing officers in remote areas of the State for parole revocation hearings.

The following table presents the staff recommendation and the request.

| (6) Parole Board - Operating Expenses | Total | GF | CF | RF | FF |
|--|----------------|----------------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 272,437 | 272,437 | 0 | 0 | 0 |
| Second-year impact of S.B. 11-241 Parole Board Changes | 16,000 | 16,000 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 288,437 | 288,437 | 0 | 0 | 0 |
| FY 2012-13 Request | 288,437 | 288,437 | 0 | 0 | 0 |

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

(7) CORRECTIONAL INDUSTRIES

This program employs approximately 1,900 inmates who work in approximately 50 different industries at 16 DOC facilities. Some of the industries operated by this program include: license plate factory, license plate tabs, sign shop, furniture production and refurbishing, dairy, computer manufacturing, surplus property, farming operations, metal products, saddle making, greenhouse operations, print shop, wild horse management, garment factory, inmate forest fighting crews, and canine training programs. The main goals of this program are to reduce inmate idleness, to train inmates in meaningful skills and work ethics, and to operate in a business-like manner so that a profit is realized to maintain solvency.

Pursuant to Section 17-24-104, C.R.S., Correctional Industries is an enterprise. Despite its enterprise status, the General Assembly controls expenditures through the appropriations process.

Personal Services:

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| Correctional Industries Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|---|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Manager | 1.0 | 1.0 | | | |
| Corr. Industries Supervisors | 111.8 | 110.9 | | | |
| Sales Representatives | 1.0 | 1.0 | | | |
| Equipment Operators | 5.8 | 6.0 | | | |
| Professional and Admin. Support | 19.9 | 15.4 | | | |
| R-2, CCi Program Expansion | | | | 19.0 | 19.0 |
| Total | 139.5 | 134.3 | 142.1 | 161.1 | 161.1 |

The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|-------------------|-----------|------------------|------------------|-----------|--------------|
| FY 2011-12 Appropriation | 9,974,587 | 0 | 2,935,802 | 7,038,785 | 0 | 142.1 |
| State PERA contribution back to 10.15% | 186,223 | 0 | 54,005 | 132,218 | 0 | 0.0 |
| R2 Correctional Industries Program Expansion | 971,441 | 0 | 971,441 | 0 | 0 | 19.0 |
| 2% base personal services adjustment | (187,369) | 0 | (55,148) | (132,221) | 0 | 0.0 |
| FY 2012-13 Recommendation | 10,944,882 | 0 | 3,906,100 | 7,038,782 | 0 | 161.1 |
| FY 2012-13 Request | 11,132,251 | 0 | 3,961,248 | 7,171,003 | 0 | 161.1 |

Operating Expenses:

The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Operating Expenses | Total | GF | CF | RF | FF |
|---|------------------|-----------|------------------|------------------|-----------|
| FY 2011-12 Appropriation | 5,928,190 | 0 | 1,817,327 | 4,110,863 | 0 |
| R2 Correctional Industries Program Expansion | 9,500 | 0 | 9,500 | 0 | 0 |
| FY 2012-13 Recommendation | 5,937,690 | 0 | 1,826,827 | 4,110,863 | 0 |
| FY 2012-13 Request | 5,937,690 | 0 | 1,826,827 | 4,110,863 | 0 |

Raw Materials:

This line item provides cash funds spending authority to purchase the raw materials used in the production of Correctional Industries products. The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Raw Materials | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|------------|-----------|
| FY 2011-12 Appropriation | 35,823,826 | 0 | 8,441,080 | 27,382,746 | 0 |

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| (7) Correctional Industries - Raw Materials | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|------------|-----------|
| No adjustment requested or recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 35,823,826 | 0 | 8,441,080 | 27,382,746 | 0 |
| FY 2012-13 Request | 35,823,826 | 0 | 8,441,080 | 27,382,746 | 0 |

Inmate Pay:

This line item provides cash funds spending authority to pay the inmates employed within Correctional Industries programs. The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Inmate Pay | Total | GF | CF | RF | FF |
|---|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,649,702 | 0 | 468,453 | 1,181,249 | 0 |
| R2 Correctional Industries Program Expansion | 228,000 | 0 | 228,000 | 0 | 0 |
| FY 2012-13 Recommendation | 1,877,702 | 0 | 696,453 | 1,181,249 | 0 |
| FY 2012-13 Request | 1,877,702 | 0 | 696,453 | 1,181,249 | 0 |

Capital Outlay:

This line item provides spending authority for capital outlay associated with the Correctional Industries program. The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Capital Outlay | Total | GF | CF | RF | FF |
|---|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 1,406,200 | 0 | 337,094 | 1,069,106 | 0 |
| No adjustment requested or recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 1,406,200 | 0 | 337,094 | 1,069,106 | 0 |
| FY 2012-13 Request | 1,406,200 | 0 | 337,094 | 1,069,106 | 0 |

Correctional Industries Grants:

This item appeared in the Long Bill in FY 2011-12 when it was added by the Department's supplemental bill, S.B. 12-1181. It reflects federal funds received for the International Correctional Management Training Center (ICMTC) from the US Department of State, Bureau of International Narcotics and Law Enforcement Affairs (INL).

The following table presents the staff recommendation and the request.

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| (7) Correctional Industries - Correctional Industries Grants | Total | GF | CF | RF | FF |
|---|----------------|-----------|-----------|-----------|----------------|
| FY 2011-12 Appropriation | 1,000,000 | 0 | 0 | 0 | 1,000,000 |
| Decreased federal funding | (496,950) | 0 | 0 | 0 | (496,950) |
| FY 2012-13 Recommendation | 503,050 | 0 | 0 | 0 | 503,050 |
| FY 2012-13 Request | 503,050 | 0 | 0 | 0 | 503,050 |

Indirect Cost Assessment:

The Department requests an appropriation of \$347,654 total funds for this line item based on the Department's estimate of indirect cost recoveries for Correctional Industries. The following table presents the staff recommendation and the request.

| (7) Correctional Industries - Indirect Cost Assessment | Total | GF | CF | RF | FF |
|---|----------------|-----------|---------------|----------------|-----------|
| FY 2011-12 Appropriation | 347,654 | 0 | 64,120 | 283,534 | 0 |
| R2 Correctional Industries Program Expansion | 6,100 | 0 | 6,100 | 0 | 0 |
| Statewide Indirect Cost Allocations | (12,292) | 0 | (12,292) | 0 | 0 |
| FY 2012-13 Recommendation | 341,462 | 0 | 57,928 | 283,534 | 0 |
| FY 2012-13 Request | 341,462 | 0 | 57,928 | 283,534 | 0 |

After the JBC has voted on all line items, staff will re-calculate the indirect costs to incorporate the statewide indirect cost recoveries with the necessary offsets for department-wide indirect cost assessments. This could increase or decrease the amount of General Fund as reflected in this figure setting document. If the recommended indirect cost recoveries change, staff will present the recommended changes to the Committee.

(8) CANTEEN OPERATION

Inmates can purchase goods and services from the Canteen Operation with inmate pay, and other funds that are deposited in their inmate bank accounts (typically gifts from relatives and friends). The Canteen Operation operates two central distribution centers. One is located near the Arrowhead Correctional Center in the East Cañon Prison Complex. The other is at the Denver Women's Correctional Facility. The Canteen's goal is to provide quality products to the inmate population within the security requirements of the various facilities, to manage the Canteen as a profitable business while being sensitive to the limited resources of the inmate population, and to implement

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delivery systems that eliminate the introduction of contraband into facilities. Net proceeds from the Canteen Fund are used to offset the need for General Fund in the Volunteers Subprogram, the Education Subprogram, and the Recreation Subprogram. The Canteen Operation became an enterprise in the 2002 legislative session pursuant to the provisions of H.B. 02-1171 (Section 17-24-126, C.R.S.).

Personal Services:

| Canteen Staffing Summary | FY 09-10 Actual | FY 10-11 Actual | FY 11-12 Approp. | FY 12-13 Request | FY 12-13 Recomm. |
|--|------------------------|------------------------|-------------------------|-------------------------|-------------------------|
| Professional Staff | 3.0 | 3.0 | | | |
| Correctional Support Staff / Warehouse Staff | 14.0 | 15.0 | | | |
| Administrative Support | 11.5 | 11.0 | | | |
| Total | 28.5 | 29.0 | 26.9 | 26.9 | 26.9 |

The following table presents the staff recommendation and the request.

| (8) Canteen - Personal Services | Total | GF | CF | RF | FF | FTE |
|--|------------------|-----------|------------------|-----------|-----------|-------------|
| FY 2011-12 Appropriation | 1,732,307 | 0 | 1,732,307 | 0 | 0 | 26.9 |
| State PERA contribution back to 10.15% | 37,786 | 0 | 37,786 | 0 | 0 | 0.0 |
| 2% base personal services adjustment | (36,518) | 0 | (36,518) | 0 | 0 | 0.0 |
| FY 2012-13 Recommendation | 1,733,575 | 0 | 1,733,575 | 0 | 0 | 26.9 |
| FY 2012-13 Request | 1,770,093 | 0 | 1,770,093 | 0 | 0 | 26.9 |

Operating Expenses:

Operating expenses include the cost of the products that the Canteen purchases and resells to offenders. The following table presents the staff recommendation and the request.

| (8) Canteen - Operating Expenses | Total | GF | CF | RF | FF |
|---|-------------------|-----------|-------------------|-----------|-----------|
| FY 2011-12 Appropriation | 12,851,987 | 0 | 12,851,987 | 0 | 0 |
| No adjustment requested or recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 12,851,987 | 0 | 12,851,987 | 0 | 0 |
| FY 2012-13 Request | 12,851,987 | 0 | 12,851,987 | 0 | 0 |

Inmate Pay:

**DEPARTMENT OF CORRECTIONS
 FY 2012-13 FIGURE SETTING RECOMMENDATIONS
 JBC WORKING DOCUMENT - SUBJECT TO CHANGE**

This line item provides cash fund spending authority to pay inmates employed by the Canteen Operation. The Canteen employs an average of 85 inmates in its operation.

The following table presents the staff recommendation and the request.

| (8) Canteen - Inmate Pay | Total | GF | CF | RF | FF |
|--|--------------|-----------|-----------|-----------|-----------|
| FY 2011-12 Appropriation | 40,386 | 0 | 40,386 | 0 | 0 |
| No adjustment requested or recommended | 0 | 0 | 0 | 0 | 0 |
| FY 2012-13 Recommendation | 40,386 | 0 | 40,386 | 0 | 0 |
| FY 2012-13 Request | 40,386 | 0 | 40,386 | 0 | 0 |

Indirect Cost Assessment:

The Department requests an appropriation of \$49,451 cash funds for this line item based on the Department's estimate of indirect costs recoveries for Canteen. **Staff recommends that the Committee approve the requested appropriation of \$49,451 cash funds for this line item.** After the JBC has voted on all line items, staff will re-calculate the indirect costs to incorporate the statewide indirect cost recoveries with the necessary offsets for department-wide indirect cost assessments. This could increase or decrease the amount of General Fund as reflected in this figure setting document. If the recommended indirect cost recoveries change, staff will present the recommended changes to the Committee.

Start-up Costs:

The Department does not request an appropriation for this line item. As such, **staff does not recommend that the Committee approve an appropriation for this line item.**

LONG BILL FOOTNOTES AND REQUESTS FOR INFORMATION

* Footnotes affecting more than one agency are not included in this figure setting packet. Recommendations for these footnotes will be made in a separate presentation.

Footnotes:

Staff recommends the following footnotes be **continued**:

- 2 Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners** -- It is the intent of the General Assembly that the Department of Corrections be authorized to transfer 5.0 percent of the total appropriation for external capacity

**DEPARTMENT OF CORRECTIONS
FY 2012-13 FIGURE SETTING RECOMMENDATIONS
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subprogram between line items in the external capacity subprogram for purposes of reimbursing local jails, private prison providers, and community corrections providers.

Comment: This footnote was added through the FY 2006-07 supplemental bill (S.B. 07-160) to give the Department of Corrections flexibility in managing its external capacity line items. This footnote will help to prevent year end overexpenditures and reduces the potential need for emergency supplemental requests pursuant to the provisions of H.B. 98-1331 at year end. Staff believes that there is an ongoing benefit associated with continuing this flexibility.

- 3 Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners** -- It is the intent of the General Assembly that the appropriations made for payments to private facilities housing state inmates be used exclusively for the purpose of per diem payments. It is the intent of the General Assembly that the department not withhold funds from the per diem payments to cover major medical expenses incurred by state inmates assigned to private facilities. It is the intent of the General Assembly that appropriations made in the medical services subprogram are sufficient to cover major medical expenses incurred by state inmates held in both state and private facilities.

Comment: Staff understands that it is *not* the Department's policy to withhold funds from the per diem payments to cover major medical expenses incurred by state inmates assigned to private facilities. Staff believes that there may be an ongoing benefit associated with expressing the legislative intent for this line item.

- n Department of Corrections, Management, Executive Directors Office, External Study of Sex Offender Treatment and Management Program** -- The Department is requested to engage external experts to conduct a study of the efficacy and cost-effectiveness of the Sex Offender Treatment and Management Program, as implemented by the Department of Corrections, with recommendations for improvement, if warranted, based on consideration of the relevant literature and on consideration of alternative treatment and management models. The Department is requested to provide the report to the Joint Budget Committee by February 1, 2013. The Department is encouraged to seek alternative funding sources for this study.

Comment: This new footnote was discussed earlier.

**DEPARTMENT OF CORRECTIONS
FY 2012-13 FIGURE SETTING RECOMMENDATIONS
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Staff recommends the following footnotes be **discontinued**:

- 4 Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners, Payments to in-state private prisons at a rate of \$54.93 per inmate per day, and Payments to pre-release parole revocation facilities at a rate of \$54.93 per inmate per day** -- Due to current fiscal restraints, it is the intent of the General Assembly not to fund any supplemental requests from the Department of Corrections that are requested as a result of the Department of Corrections paying a higher per diem rate to private prisons than \$52.69. If caseload changes would result in a negative supplemental from the Department of Corrections given a private prison per diem rate of \$52.69, it is further the intent of the General Assembly to reduce the appropriation to the Department of Corrections accordingly.

Comment: Staff believes that this footnote is no longer necessary. This footnote was added in the FY 2008-09 supplemental bill (S.B. 09-184) to limit payments to private prisons to a daily rate that is less than that stated in the private prison line items. Staff believes that this footnote adds unnecessary obscurity to the Long Bill; a Long Bill reader who looks only at the private prison appropriations and fails to read this footnote will mistakenly think that payment rates are higher than they truly are. For the sake of transparency, staff recommends that the \$52.69 reimbursement rate be written into the line item title and that this footnote be discontinued.

- 5 Department of Corrections, Institutions, Mental Health Subprogram** -- It is the intent of the General Assembly that the funds being appropriated to convert beds at Colorado State Penitentiary (CSP) for use by offenders with mental illness (OMIs) are being appropriated to create an appropriate, secure, therapeutic environment for OMIs within CSP and that the beds shall not be occupied or managed solely for disciplinary purposes.

Comment: Staff believes that this footnote is no longer necessary. This footnote was added in the FY 2010-11 Long Bill as CSP 2, which is now referred to as Centennial Towers, was opening. The appropriations to which the footnote refers are now in the past and the conversion is complete. The Department states that it is managing these beds as this footnote intends.

- 6 Department of Corrections, Institutions, Mental Health Subprogram** -- It is the intent of the General Assembly that the Department of Corrections work with the Mentally Ill Offender Task Force to develop a plan for the implementation and ongoing evaluation of the mental health unit at Colorado State Penitentiary (CSP).

Comment: Staff believes that this footnote is no longer necessary. This footnote was added in the FY 2010-11 Long Bill as CSP 2, which is now referred to as Centennial Towers, was opening. The Department states that it worked with the Mentally Ill Offender Task Force to develop an

**DEPARTMENT OF CORRECTIONS
FY 2012-13 FIGURE SETTING RECOMMENDATIONS
JBC WORKING DOCUMENT - SUBJECT TO CHANGE**

implementation plan. The Department has a continuing collaborative relationship with many different mental health groups related to the OMI program.

Requests for Information (RFIs):

Staff recommends the following requests for information be **continued as amended**:

1. **Department of Corrections, Institutions, Mental Health Subprogram** -- It is requested that the Department of Corrections submit a report to the House Judiciary Committee and the Senate Judiciary Committee by January 31, ~~2012~~, 2013, detailing the progress related to the mental health unit at CSP.

Comment: Staff understands that some of the members of the Senate Judiciary Committee are very interested in this issue. For this reason Staff recommends that the request be continued.

2. **Department of Corrections, Community Services, Community Supervision Subprogram, Community Supervision, Psychotropic Medication** -- The Department is requested to submit a report to the Joint Budget Committee on or before February 1, ~~2012~~, 2013, summarizing the outcomes of offenders who were provided psychotropic medication from this line item. The report is requested to include the number of mentally ill offenders who receive medication from this line item, the regression rate of the offenders, and the number of offenders who commit new crimes. The report is requested to compare these outcomes with the population of mentally ill offenders in community corrections programs in FY 2005-06.

Comment: This request for information was originally added as a footnote in the FY 2006-07 supplemental bill (S.B. 07-160) to request a report on the outcomes associated with providing psychotropic medication to offenders who are released into the community. Staff believes that the requested report will provide value to the General Assembly to the extent that the outcomes can be measured.

Staff recommends the following requests for information be **discontinued**:

3. **Department of Corrections, Institutions, Youthful Offender System Subprogram** -- The Department of Corrections is requested to submit a report to the Joint Budget Committee by November 1, ~~2011~~, 2012, justifying the ongoing need for the Youthful Offender System. The report is requested to summarize the cost effectiveness of the program, including the cost per offender, taking into consideration drop-out rates and recidivism rates for the program.

Comment: This is a useful and interesting report, but staff does not believe that it needs to be done annually. Once every two or three years is sufficient.

**DEPARTMENT OF CORRECTIONS
FY 2012-13 FIGURE SETTING RECOMMENDATIONS
JBC WORKING DOCUMENT - SUBJECT TO CHANGE**

4. **Department of Corrections, Executive Director's Office, External Capacity Subprogram, Payments to House State Prisoners** -- The Department is requested to provide a report to the Joint Budget Committee on or before November 1, ~~2011~~, 2012, summarizing transfers that were made within this subprogram pursuant to the flexibility authorized by footnote.

Comment: The information contained in this report is also contained in the Schedule 3s that the Department submits as part of its budget request. The report is not necessary.

5. **Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners** -- The Department of Corrections is requested to require private prison providers to break-out their respective operating expenses and capital construction costs in the invoices that they submit to the Department for housing Colorado inmates. The Department of Corrections is further requested to submit a report to the Joint Budget Committee by November 1, ~~2011~~, 2012, summarizing this information for each of the private prison providers reimbursed from the External Capacity Subprogram.

Comment: This request for information was originally added as a footnote in the FY 2007-08 Long Bill (S.B. 07-239) to try to determine how much private prisons were charging for operating expenses versus capital construction expenses. This information would be interesting and useful if private prisons complied with the request, but they never have. After five unsuccessful attempts to obtain this information, Staff recommends discontinuing the footnote.

- n The Department is requested to report by November 1, 2012 on progress in obtaining 340B pricing for AIDS and HIV drugs for the Department's HIV-positive offenders and on progress in obtaining 340B pricing for hepatitis C drugs for HIV-positive offenders who are also infected with hepatitis C. The report should discuss obstacles that may exist and indicate how those obstacles can be overcome.

Comment: This is a new question. Staff believes that DOC may be able to qualify for 340B pricing for certain drugs for HIV-positive offenders.

State Representative
ROBERT S. GARDNER
P.O. Box 1082
Colorado Springs, Colorado 80901
Capitol: 303-866-2191
E-mail: bob.gardner.house@state.co.us



Chairman:
Judiciary Committee
Vice-Chairman
Legal Services
Member:
Appropriations Committee
Local Government Committee

COLORADO
HOUSE OF REPRESENTATIVES
STATE CAPITOL
DENVER
80203

January 27, 2012

Representative Cheri Gerou
Chair, Joint Budget Committee
200 East 14th Avenue, Third Floor
Denver, Colorado 80203

Dear Representative Gerou:

The SMART Act allows committees of reference to make formal recommendations to the Joint Budget Committee (JBC) regarding state departments' budgets, based on the committee's hearings with their assigned departments. The House Judiciary Committee met on January 26, 2012, to discuss recommendations to the JBC per the SMART Act. At this time, the committee has no formal recommendations for the departments it oversees.

Sincerely,

Representative Bob Gardner, Chair
House Judiciary Committee

- c: Joint Budget Committee Members
House Judiciary Committee Members
Tom Clements, Executive Director, Department of Corrections
Gary Maas, Legislative Liaison, Department of Corrections
John Suthers, Colorado Attorney General, Department of Law
David Blake, Deputy Attorney General for Legal Policy and Government Affairs,
Department of Law
James Davis, Executive Director, Department of Public Safety
Jana Locke, Legislative Liaison, Department of Public Safety
Colonel James Wolfenbarger, Chief, Colorado State Patrol
Sergeant Bobby Juchem, Legislative Liaison, Colorado State Patrol
The Honorable Michael Bender, Chief Justice of the Colorado Supreme Court, Judicial Branch

January 17, 2012

Page 2

Jeff Clayton, Legislative Liaison, Judicial Branch

Douglas Wilson, Colorado State Public Defender, Office of the State Public Defender

Lindy Frolich, Director, Office of the Alternate Defense Counsel

Linda Weinerman, Executive Director, Office of the Child's Representative

Dorothy Macias, Legislative Liaison, Office of the Child's Representative

John Ziegler, Staff Director, Joint Budget Committee

Jessika Shipley, Legislative Council Staff



MORGAN CARROLL
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Senate
State of Colorado
Denver

COMMITTEES

JUDICIARY
CHAIR
AGRICULTURE, NATURAL RESOURCES, AND ENERGY
MEMBER
LEGAL SERVICES
MEMBER

January 26, 2012

Representative Cheri Gerou
Chair, Joint Budget Committee
200 East 14th Avenue, Third Floor
Denver, Colorado 80203

Dear Representative Gerou:

The SMART Act allows a committee of reference to make formal recommendations to the Joint Budget Committee (JBC) regarding state departments' budgets, based on the committee's hearings with their assigned departments. The Senate Judiciary Committee met on January 25, 2012, to discuss recommendations to the JBC per the SMART Act. At its meeting, the committee made:

- 4 recommendations concerning the Department of Corrections;
- 1 recommendation concerning the Department of Law;
- 2 recommendations concerning the Department of Public Safety; and
- 4 recommendations concerning the Judicial Branch, including 1 recommendation concerning the independent agencies within the branch.

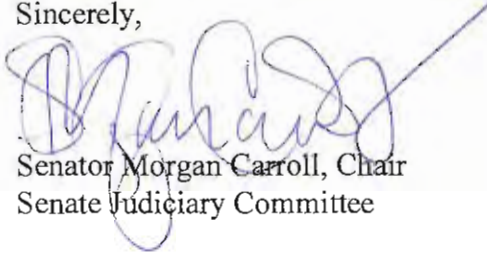
Table 1 summarizes the committee's recommendations for each department. In some instances, the committee voted to express support for certain decision items in each department's budget requests. However, it should be noted that the committee's silence on other decision items is not intended to convey disapproval of those decision items. Furthermore, the committee made its recommendations by considering only the budget requests of specific departments; those recommendations may need to be revisited once the overall demands of the FY 2012-13 budget become clear.



Table 1
Senate Judiciary Committee Recommendations to the Joint Budget Committee

| Department | Recommendation |
|---------------------------------|---|
| Department of Corrections (4) | The Joint Budget Committee should take steps to ensure that if there are any funds not expended under the per offender per day medical expenses line item (Decision Item 5), those funds should not revert back to the legislature, but should instead be used for a wellness and prevention fund within the department. |
| | The committee expressed support for Decision Item 1, concerning sex offender treatment expansion, and encouraged methods to ensure that the best treatment models are and continue to be scrutinized in order to ensure the pursuit of the most outcome- and cost-effective approaches. |
| | The committee expressed support for Decision Item 2, concerning the Colorado Correctional Industries expansion program. |
| | The Joint Budget Committee should adjust funding for the Department of Corrections' Decision Item 4, concerning external capacity caseload, by either waiting until more accurate inmate population figures are available in March, or by decreasing the funding to match 300 offenders, rather than 724 offenders as requested. |
| Department of Law (1) | The committee expressed support for Decision Item 1, concerning consumer protection enhancement. |
| Department of Public Safety (2) | The committee expressed support for Change Request 1, concerning an operating fund increase for the Colorado Crime Information Center. |
| | The committee expressed support for Change Request 3, concerning backup and emergency medical assistance in mountainous and rural areas. |
| Judicial Branch (4) | The committee expressed support for Decision Item 1, concerning compensation realignment. |
| | The committee expressed support for Decision Item 2, concerning protective proceedings, and encouraged research into new ideas concerning how to deliver these services in an innovative and efficient manner. |
| | The committee expressed support for Decision Item 3, concerning <i>pro se</i> case managers, and for Decision Item 4, concerning the supervision of sex offenders on probation. |
| | The committee expressed support for all of the Judicial Branch's decision items, including those related to the Office of the State Public Defender, the Office of the Alternate Defense Counsel, and the Office of the Child's Representative, within the context of the limited information that the committee had available as it considered the requests. |

Sincerely,

A handwritten signature in blue ink, appearing to read "Morgan Carroll", is written over a light blue rectangular background. The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Senator Morgan Carroll, Chair
Senate Judiciary Committee

- c: Joint Budget Committee Members
Senate Judiciary Committee Members
Tom Clements, Executive Director, Department of Corrections
Gary Maas, Legislative Liaison, Department of Corrections
John Suthers, Colorado Attorney General, Department of Law
David Blake, Deputy Attorney General for Legal Policy and Government Affairs,
Department of Law
James Davis, Executive Director, Department of Public Safety
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Lindy Frolich, Director, Office of the Alternate Defense Counsel
Linda Weirnerman, Executive Director, Office of the Child's Representative
Dorothy Macias, Legislative Liaison, Office of the Child's Representative
John Ziegler, Staff Director, Joint Budget Committee
Hillary Smith, Legislative Council Staff

**FY 2012-13 Joint Budget Committee Staff Figure Setting
Department of Corrections**

APPENDIX A: BUDGET CHANGES SINCE FY 2008-09

| | FY 08-09 | FY 09-10 | FY 10-11 | FY 11-12 | FY 12-13 | Change |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------------------|
| | Actual | Actual | Actual | Approp | Recomnd | FY08-09 to FY12-13 Rec |
| | (adjusted) | (adjusted) | (adjusted) | (adjusted) | (adjusted) | |

DEPARTMENT OF CORRECTIONS
Executive Director: Tom Clements

Large changes have been highlighted.

Key Adjustments: San Carlos Correctional Facility is not consolidated in the Recommendation Column

Salary survey, Performance-based Pay and Shift Differential are distributed to line items.

ARRA federal funds are shown as the GF they displaced.

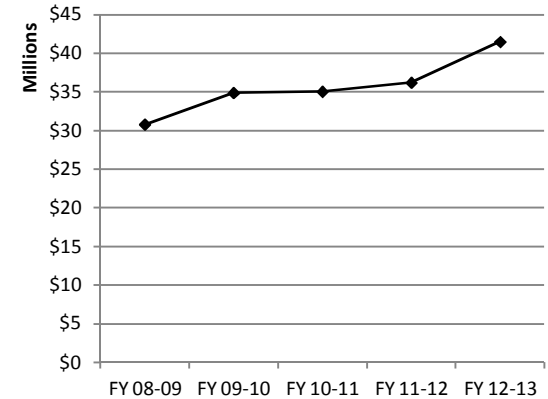
(1) MANAGEMENT

(A) Executive Director's Office Subprogram

Primary Function: Responsible for providing oversight and developing policies for all operations throughout the Department.

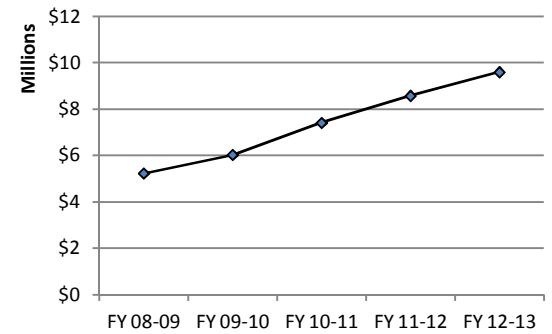
| | | | | | | |
|---------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Personal Services | 1,752,506 | 1,520,960 | 1,402,690 | 1,531,848 | 1,563,564 | (188,942) |
| FTE | <u>16.2</u> | <u>18.2</u> | <u>19.4</u> | <u>17.1</u> | <u>18.0</u> | <u>1.8</u> |
| General Fund | 1,632,818 | 1,361,073 | 1,222,777 | 1,288,043 | 1,323,729 | (309,089) |
| FTE | 14.5 | 16.5 | 15.4 | 13.1 | 14.0 | (0.5) |
| Reappropriated Funds | 119,688 | 97,970 | 179,913 | 243,805 | 239,835 | 120,147 |
| FTE | 1.7 | 1.7 | 4.0 | 4.0 | 4.0 | 2.3 |
| Federal Funds | 0 | 61,917 | 0 | 0 | 0 | 0 |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Health, Life, and Dental | <u>31,714,829</u> | <u>36,112,498</u> | <u>36,047,560</u> | <u>37,293,325</u> | <u>42,852,282</u> | <u>11,137,453</u> |
| General Fund | 30,801,108 | 34,892,285 | 35,048,806 | 36,218,963 | 41,497,442 | 10,696,334 |
| Cash Funds | 913,721 | 1,220,213 | 998,754 | 1,074,362 | 1,354,840 | 441,119 |

Health, Life, and Dental
(ΔGF = +\$10,696,334)

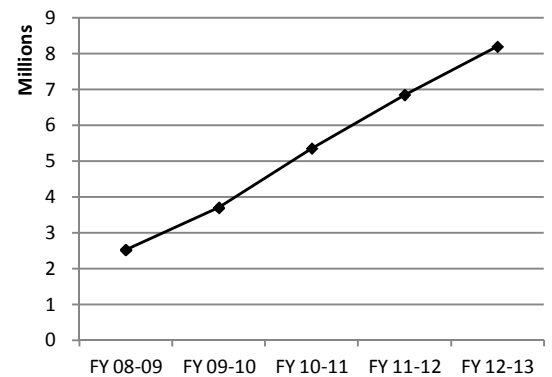


| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Short-term Disability | <u>427,066</u> | <u>455,965</u> | <u>511,077</u> | <u>561,835</u> | <u>546,422</u> | <u>119,356</u> |
| General Fund | 415,644 | 443,411 | 498,278 | 546,018 | 529,380 | 113,736 |
| Cash Funds | 11,422 | 12,554 | 12,799 | 15,817 | 17,042 | 5,620 |
| | | | | | | |
| S.B. 04-257 Amortization Equalization Disbursement | <u>5,371,781</u> | <u>6,203,010</u> | <u>7,617,487</u> | <u>8,832,637</u> | <u>9,929,533</u> | <u>4,557,752</u> |
| General Fund | 5,232,759 | 6,031,275 | 7,418,488 | 8,582,431 | 9,603,096 | 4,370,337 |
| Cash Funds | 139,022 | 171,735 | 198,999 | 250,206 | 326,437 | 187,415 |
| | | | | | | |
| S.B. 06-235 Supplemental Amortization Equalization Disbursement | <u>2,589,788</u> | <u>3,809,532</u> | <u>5,501,239</u> | <u>7,050,760</u> | <u>8,479,329</u> | <u>5,889,541</u> |
| General Fund | 2,528,678 | 3,702,324 | 5,356,795 | 6,849,702 | 8,198,797 | 5,670,119 |
| Cash Funds | 61,110 | 107,208 | 144,444 | 201,058 | 280,532 | 219,422 |
| | | | | | | |
| Salary Survey and Senior Executive Service | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| General Fund | see note | | | | | |
| Cash Funds | | | | | | |

AED
(ΔGF = +\$4,370,337)



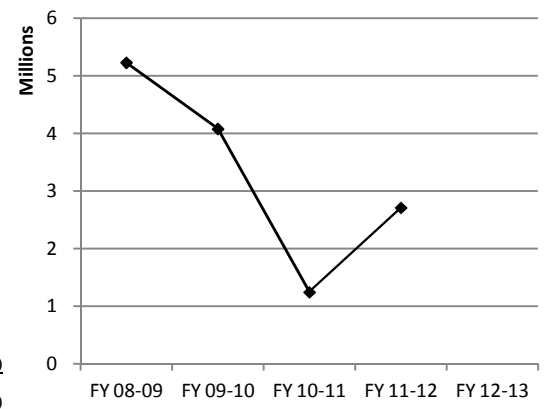
SAED
(ΔGF = +\$5,670,119)



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Performance-based Pay Awards | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| General Fund | see note | | | | | |
| Cash Funds | | | | | | |
| Shift Differential | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| General Fund | see note | | | | | |
| Cash Funds | | | | | | |
| Workers' Compensation | <u>6,027,568</u> | <u>5,978,850</u> | <u>6,065,207</u> | <u>6,165,344</u> | <u>pending</u> | <u>pending</u> |
| General Fund | 5,828,055 | 5,790,615 | 5,871,120 | 5,970,392 | | |
| Cash Funds | 199,513 | 188,235 | 194,087 | 194,952 | | |
| Operating Expenses | <u>398,782</u> | <u>268,722</u> | <u>283,075</u> | <u>278,632</u> | <u>281,955</u> | <u>(116,827)</u> |
| General Fund | 191,450 | 182,433 | 181,881 | 181,882 | 191,955 | 505 |
| Reappropriated Funds | 8,385 | 0 | 19,794 | 11,750 | 5,000 | (3,385) |
| Federal Funds | 198,947 | 86,289 | 81,400 | 85,000 | 85,000 | (113,947) |
| Legal Services | <u>1,184,990</u> | <u>1,234,909</u> | <u>1,158,309</u> | <u>1,239,958</u> | <u>pending</u> | <u>pending</u> |
| General Fund | 1,142,603 | 1,192,522 | 1,117,368 | 1,200,579 | | |
| Cash Funds | 42,387 | 42,387 | 40,941 | 39,379 | | |
| Payment to Risk Management and Property Funds | <u>5,405,253</u> | <u>4,246,414</u> | <u>1,299,105</u> | <u>2,823,339</u> | <u>pending</u> | <u>pending</u> |
| General Fund | 5,226,339 | 4,078,798 | 1,247,141 | 2,710,406 | | |
| Cash Funds | 178,914 | 167,616 | 51,964 | 112,933 | | |
| Leased Space | <u>3,506,688</u> | <u>3,406,111</u> | <u>3,437,154</u> | <u>3,410,708</u> | <u>3,275,715</u> | <u>(230,973)</u> |
| General Fund | 3,297,639 | 3,216,964 | 3,226,949 | 3,200,503 | 3,065,510 | (232,129) |
| Cash Funds | 209,049 | 189,147 | 210,205 | 210,205 | 210,205 | 1,156 |

For all fiscal years Salary Survey, Performance-based Pay and Shift Differential have been allocated to line items where these amounts were used or are expected to be used.

Payment to Risk Management and Property Funds (ΔGF = +\$)



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|---|---|---|---|--|---|
| Capitol Complex Leased Space | <u>170,878</u> | <u>175,242</u> | <u>166,586</u> | <u>126,730</u> | pending | pending |
| General Fund | 98,646 | 106,094 | 92,481 | 98,626 | | |
| Cash Funds | 72,232 | 69,148 | 74,105 | 28,104 | | |
| Planning and Analysis Contracts - GF | 56,160 | 49,620 | 56,160 | 56,160 | 82,410 | 26,250 |
| Payments to District Attorneys - GF | 244,330 | 144,108 | 282,406 | 366,880 | 366,880 | 122,550 |
| Start-up Costs - GF | 8,210 | 0 | 0 | 0 | 4,978 | (3,232) |
| External Study of Sex Offender Treatment and Management Program - GF | 0 | 0 | 0 | 0 | 100,000 | |
| SUBTOTAL - Executive Director's Office | 58,858,829 | 63,605,941 | 63,828,055 | 69,738,156 | 67,483,068 | 21,312,928 |
| FTE | <u>16.2</u> | <u>18.2</u> | <u>19.4</u> | <u>17.1</u> | <u>18.0</u> | <u>1.8</u> |
| General Fund | 56,704,439 | 61,191,522 | 61,620,650 | 67,270,585 | 64,964,177 | 20,455,381 |
| Cash Funds | 1,827,370 | 2,168,243 | 1,926,298 | 2,127,016 | 2,189,056 | 854,732 |
| Reappropriated Funds | 128,073 | 97,970 | 199,707 | 255,555 | 244,835 | 116,762 |
| Federal Funds | 198,947 | 148,206 | 81,400 | 85,000 | 85,000 | (113,947) |

(B) External Capacity Subprogram

(1) Private Prison Monitoring Unit

Primary Function: Monitor private prison operations pursuant to Section 17-1-202 (1) (g), C.R.S.

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 1,452,307 | 1,434,401 | 1,354,516 | 1,031,078 | 1,043,853 | (408,454) |
| FTE | 19.5 | 18.8 | 17.5 | 13.3 | 13.3 | (6.2) |
| Operating Expenses | 231,509 | 226,239 | 224,316 | 172,170 | 213,443 | (18,066) |
| General Fund | 231,509 | 226,239 | 224,316 | 172,170 | 183,976 | (47,533) |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Cash Funds | 0 | 0 | 0 | 0 | 29,467 | 29,467 |
| Start-up Costs - GF | 4,174 | 0 | 0 | 0 | 0 | (4,174) |
| SUBTOTAL - Private Prison Monitoring | | | | | | |
| Unit | 1,687,990 | 1,660,640 | 1,578,832 | 1,203,248 | 1,257,296 | (430,694) |
| FTE | 19.5 | 18.8 | 17.5 | 13.3 | 13.3 | (6.2) |
| General Fund | 1,687,990 | 1,660,640 | 1,578,832 | 1,203,248 | 1,227,829 | (460,161) |
| Cash Funds | 0 | 0 | 0 | 0 | 29,467 | 29,467 |

In FY 08-09, the GF for monitoring Private Prisons equaled \$0.87 per private-prison offender per day.

In FY 11-12, the GF for monitoring Private Prisons, is expected to equal \$0.83 per private-prison offender per day.

ΔGF for monitoring per offender in Priv Prison per year = -\$12.12

(2) Payments to House State Prisoners

Primary Function: To reimburse county jails and private prisons for state inmates housed in these facilities.

| | | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|---------------------|
| Payments to local jails at a rate of \$50.44 per inmate per day - GF | 7,595,058 | 10,474,017 | 11,772,794 | 9,557,357 | 10,716,623 | 3,121,565 |
| Payments to in-state private prisons at a rate of \$54.93 per inmate per day (real rate is \$52.69) | <u>90,735,091</u> | <u>84,561,358</u> | <u>72,481,021</u> | <u>69,892,179</u> | <u>65,100,701</u> | <u>(25,634,390)</u> |
| General Fund | 86,202,698 | 80,511,736 | 70,735,797 | 66,101,770 | 62,741,994 | (23,460,704) |
| Cash Funds | 4,532,393 | 4,049,622 | 1,745,224 | 3,790,409 | 2,358,707 | (2,173,686) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Payments to Pre-release Parole Revocation Facilities at a Rate of \$54.93 per Inmate Per Day (real rate is \$52.69) - GF | 13,588,203 | 13,283,421 | 13,118,883 | 11,845,981 | 12,071,929 | (1,516,274) |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|

| | | | | | | |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|---------|
| Community Corrections Programs - GF | 3,395,999 | 3,912,275 | 4,035,869 | 3,851,545 | 3,683,692 | 287,693 |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|---------|

| | | | | | | |
|--|--------------------|--------------------|--------------------|-------------------|-------------------|--------------|
| SUBTOTAL - Payments to House State Prison | <u>115,314,351</u> | <u>112,231,071</u> | <u>101,408,567</u> | <u>95,147,062</u> | <u>91,572,945</u> | (23,741,406) |
| General Fund | 110,781,958 | 108,181,449 | 99,663,343 | 91,356,653 | 89,214,238 | (21,567,720) |
| Cash Funds | 4,532,393 | 4,049,622 | 1,745,224 | 3,790,409 | 2,358,707 | (2,173,686) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

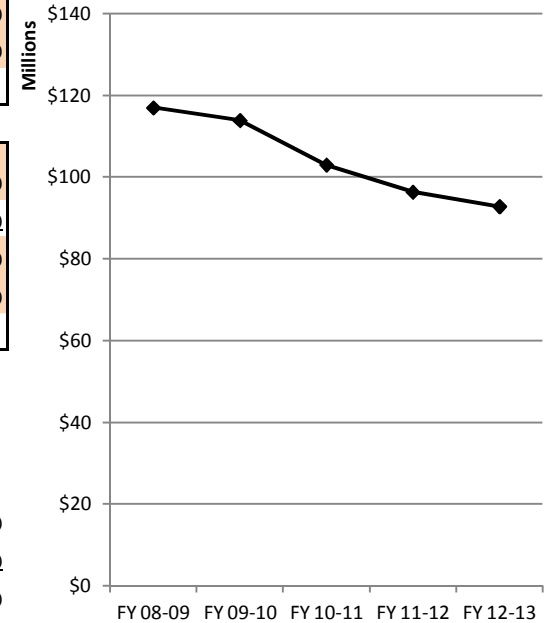
| | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|--------------|
| SUBTOTAL - External Capacity Subprogram | 117,002,341 | 113,891,711 | 102,987,399 | 96,350,310 | 92,830,241 | (24,172,100) |
| FTE | <u>19.5</u> | <u>18.8</u> | <u>17.5</u> | <u>13.3</u> | <u>13.3</u> | (6.2) |
| General Fund | 112,469,948 | 109,842,089 | 101,242,175 | 92,559,901 | 90,442,067 | (22,027,881) |
| Cash Funds | 4,532,393 | 4,049,622 | 1,745,224 | 3,790,409 | 2,388,174 | (2,144,219) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

(C) Inspector General Subprogram

Primary Function: Investigate crimes within the state prison system.

| | | | | | | |
|--------------------------|----------------|----------------|----------------|----------------|----------------|---------------|
| Personal Services | 3,829,613 | 3,843,482 | 3,839,507 | 3,583,965 | 3,597,998 | (231,615) |
| FTE | <u>47.4</u> | <u>49.2</u> | <u>48.0</u> | <u>45.2</u> | <u>45.2</u> | (2.2) |
| General Fund | 3,829,613 | 3,770,878 | 3,744,811 | 3,482,546 | 3,498,702 | (330,911) |
| FTE | 47.4 | 49.2 | 48.0 | 45.2 | 45.2 | (2.2) |
| Cash Funds | 0 | 72,604 | 94,696 | 101,419 | 99,296 | 99,296 |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Operating Expenses | <u>304,959</u> | <u>410,085</u> | <u>370,124</u> | <u>338,515</u> | <u>348,307</u> | <u>43,348</u> |
| General Fund | 299,999 | 315,125 | 286,936 | 255,328 | 265,120 | (34,879) |
| Cash Funds | 4,960 | 94,960 | 83,188 | 83,187 | 83,187 | 78,227 |
| Inspector General Grants | 358,579 | 168,187 | 85,778 | 404,464 | 235,649 | (122,930) |
| FTE | <u>1.0</u> | <u>1.0</u> | <u>0.0</u> | <u>1.0</u> | <u>1.0</u> | <u>0.0</u> |

**External Capacity Subprogram
(ΔGF = -\$22,027,881)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|----------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Reappropriated Funds | 132,160 | 0 | 83,820 | 118,714 | 27,737 | (104,423) |
| FTE | 1.0 | 1.0 | 0.0 | 1.0 | 1.0 | 0.0 |
| Federal Funds | 226,419 | 168,187 | 1,958 | 285,750 | 207,912 | (18,507) |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |

| SUBTOTAL - Inspector General Subprogram | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|--------------|
| | 4,493,151 | 4,421,754 | 4,295,409 | 4,326,944 | 4,181,954 | (311,197) |
| FTE | <u>48.4</u> | <u>50.2</u> | <u>48.0</u> | <u>46.2</u> | <u>46.2</u> | <u>(2.2)</u> |
| General Fund | 4,129,612 | 4,086,003 | 4,031,747 | 3,737,874 | 3,763,822 | (365,790) |
| Cash Funds | 4,960 | 167,564 | 177,884 | 184,606 | 182,483 | 177,523 |
| Reappropriated Funds | 132,160 | 0 | 83,820 | 118,714 | 27,737 | (104,423) |
| Federal Funds | 226,419 | 168,187 | 1,958 | 285,750 | 207,912 | (18,507) |

In FY 08-09, Inspector General GF per offender equalled \$206.83
 In FY 11-12, Inspector General GF per offender is expected to
 equal \$203.61

ΔGF for Inspector General per offender = -\$3.22

| SUBTOTAL - MANAGEMENT | | | | | | |
|------------------------------|-------------|-------------|-------------|-------------|-------------|--------------|
| | 180,354,321 | 181,919,406 | 171,110,863 | 170,415,410 | 164,495,263 | (3,170,369) |
| FTE | <u>84.1</u> | <u>87.2</u> | <u>84.9</u> | <u>76.6</u> | <u>77.5</u> | <u>(6.6)</u> |
| General Fund | 173,303,999 | 175,119,614 | 166,894,572 | 163,568,360 | 159,170,066 | (1,938,290) |
| Cash Funds | 6,364,723 | 6,385,429 | 3,849,406 | 6,102,031 | 4,759,713 | (1,111,964) |
| Reappropriated Funds | 260,233 | 97,970 | 283,527 | 374,269 | 272,572 | 12,339 |
| Federal Funds | 425,366 | 316,393 | 83,358 | 370,750 | 292,912 | (132,454) |

(2) INSTITUTIONS

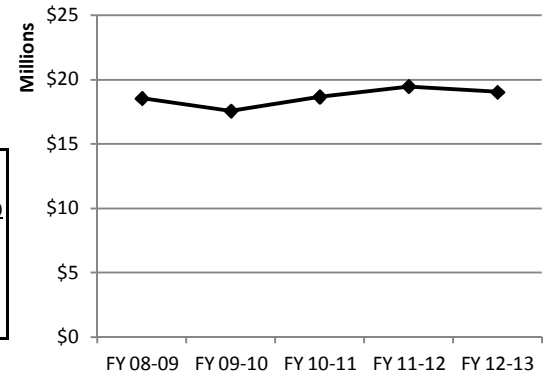
(A) Utilities Subprogram

Primary Function: Provide heat, power, water, and sanitation at all facilities.

| | | | | | | |
|--------------------------------|---------|---------|---------|---------|---------|----------|
| Energy Management Program - GF | 316,268 | 313,249 | 320,382 | 296,099 | 296,916 | (19,352) |
| FTE | 2.8 | 3.0 | 2.4 | 2.6 | 2.6 | (0.2) |

| | FY 08-09 | FY 09-10 | FY 10-11 | FY 11-12 | FY 12-13 | Change |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------------------|
| | Actual | Actual | Actual | Approp | Recomnd | FY08-09 to FY12-13 Rec |
| | (adjusted) | (adjusted) | (adjusted) | (adjusted) | (adjusted) | |
| Utilities | <u>19,461,349</u> | <u>18,442,169</u> | <u>19,581,181</u> | <u>20,514,677</u> | <u>20,090,409</u> | <u>629,060</u> |
| General Fund | 18,555,586 | 17,571,672 | 18,667,855 | 19,475,759 | 19,039,575 | 483,989 |
| Cash Funds | 905,763 | 870,497 | 913,326 | 1,038,918 | 1,050,834 | 145,071 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
| SUBTOTAL - Utilities Subprogram | 19,777,617 | 18,755,418 | 19,901,563 | 20,810,776 | 20,387,325 | 609,708 |
| FTE | <u>2.8</u> | <u>3.0</u> | <u>2.4</u> | <u>2.6</u> | <u>2.6</u> | <u>(0.2)</u> |
| General Fund | 18,871,854 | 17,884,921 | 18,988,237 | 19,771,858 | 19,336,491 | 464,637 |
| Cash Funds | 905,763 | 870,497 | 913,326 | 1,038,918 | 1,050,834 | 145,071 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

Utilities
(ΔGF = +\$145,071)

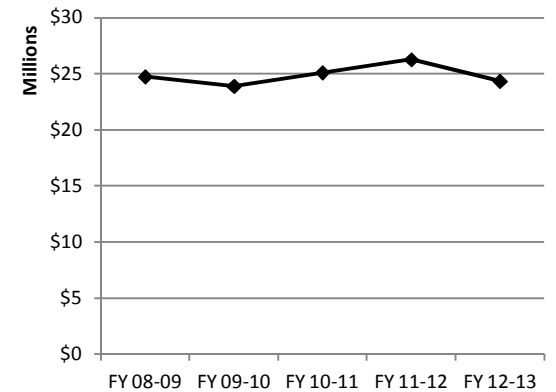


(B) Maintenance Subprogram

Primary Function: Includes grounds maintenance, and maintenance of facilities, which includes the boiler house, janitorial, and life safety.

| | | | | | | |
|---------------------------|------------|------------|------------|------------|------------|-----------|
| Personal Services - GF | 18,386,596 | 17,631,093 | 18,798,786 | 18,407,981 | 17,944,542 | (442,054) |
| FTE | 295.0 | 295.3 | 314.2 | 314.5 | 303.7 | 8.7 |
| Operating Expenses - GF | 5,246,193 | 5,176,376 | 5,082,314 | 6,749,777 | 5,290,543 | 44,350 |
| Purchase of Services - GF | 1,106,064 | 1,088,323 | 1,111,424 | 1,111,424 | 1,111,424 | 5,360 |
| Maintenance Grants | 0 | 55,118 | 67,347 | 0 | 0 | 0 |
| Cash Funds | 0 | 47,465 | 0 | 0 | 0 | 0 |
| Reappropriated Funds | 0 | 7,653 | 67,347 | 0 | 0 | 0 |
| Start-up Costs - GF | 0 | 0 | 117,000 | 0 | 0 | 0 |

Maintenance expenses
(ΔGF = +\$44,350)



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| SUBTOTAL - Maintenance Subprogram | 24,738,853 | 23,950,910 | 25,176,871 | 26,269,182 | 24,346,509 | (392,344) |
| FTE | <u>295.0</u> | <u>295.3</u> | <u>314.2</u> | <u>314.5</u> | <u>303.7</u> | <u>8.7</u> |
| General Fund | 24,738,853 | 23,895,792 | 25,109,524 | 26,269,182 | 24,346,509 | (392,344) |
| Cash Funds | 0 | 47,465 | 0 | 0 | 0 | 0 |
| Reappropriated Funds | 0 | 7,653 | 67,347 | 0 | 0 | 0 |

(C) Housing and Security Subprogram

Primary Function: Responsible for ongoing inmate supervision, including the implementation and management of security operations.

| | | | | | | |
|-------------------------|----------------|----------------|----------------|----------------|----------------|-------------|
| Personal Services | 164,306,972 | 156,959,825 | 163,089,821 | 159,856,317 | 158,065,743 | (6,241,229) |
| FTE | <u>2,988.7</u> | <u>2,857.0</u> | <u>3,122.0</u> | <u>3,057.9</u> | <u>2,995.0</u> | <u>6.3</u> |
| General Fund | 164,306,972 | 156,959,825 | 163,086,874 | 159,359,751 | 158,062,856 | (6,244,116) |
| Cash Funds | 0 | 0 | 2,947 | 496,566 | 2,887 | 2,887 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Operating Expenses - GF | 1,820,166 | 1,739,841 | 1,947,883 | 1,919,483 | 1,813,083 | (7,083) |
| Start-up Costs - GF | 4,105 | 0 | 0 | 0 | 0 | (4,105) |

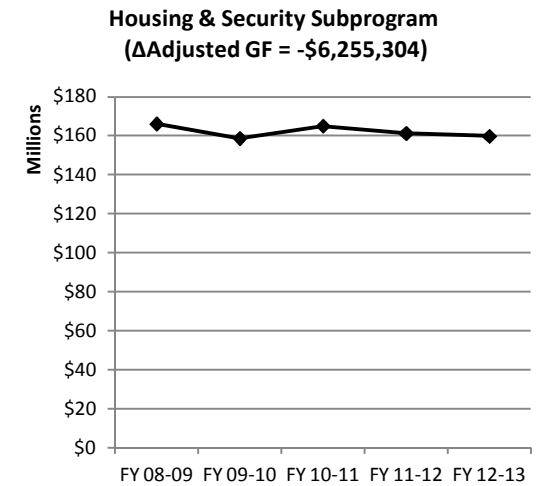
Note: \$24.6 million of FY 08-09 and \$87.2 million of FY 09-10 expenditures of ARRA federal funds for Housing-and-security Personal services have been shifted to the General Fund row to enhance year-to-year comparisons. This temporary funding displaced DOC General Fund appropriations, freeing the money to be used elsewhere in the state budget.

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| SUBTOTAL - Housing & Security Subprogram | 166,131,243 | 158,699,666 | 165,037,704 | 161,775,800 | 159,878,826 | (6,252,417) |
| FTE | <u>2,988.7</u> | <u>2,857.0</u> | <u>3,122.0</u> | <u>3,057.9</u> | <u>2,995.0</u> | <u>6.3</u> |
| General Fund | 166,131,243 | 158,699,666 | 165,034,757 | 161,279,234 | 159,875,939 | (6,255,304) |
| Cash Funds | 0 | 0 | 2,947 | 496,566 | 2,887 | 2,887 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |

(D) Food Service Subprogram

Primary Function: Provide three meals daily to all inmates.

| | | | | | | |
|---------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------------------|
| Personal Services - GF | 14,742,794 | 14,441,814 | 15,195,970 | 14,927,318 | 14,591,031 | (151,763) |
| FTE | 233.2 | 241.8 | 265.4 | 261.1 | 253.6 | 20.4 |
| Operating Expenses | <u>16,510,247</u> | <u>15,779,187</u> | <u>16,025,292</u> | <u>16,172,194</u> | <u>15,745,174</u> | <u>(765,073)</u> |
| General Fund | 16,510,247 | 15,779,187 | 15,880,464 | 15,904,566 | 15,665,174 | (845,073) |
| Federal Funds | 0 | 0 | 144,828 | 267,628 | 80,000 | 80,000 |
| Purchase of Services - GF | 856,030 | 857,828 | 831,367 | 859,098 | 859,098 | 3,068 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |



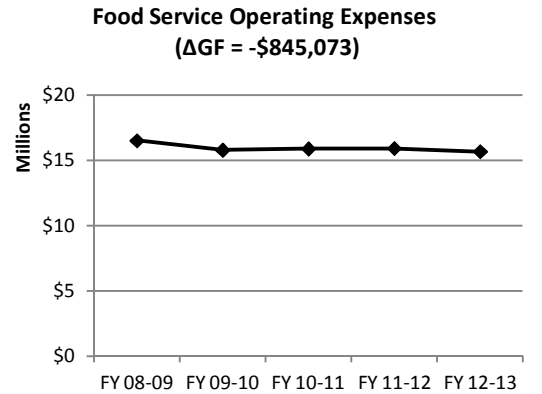
ΔGF for food operating per offender = -\$44.35

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| SUBTOTAL - Food Service Subprogram | 32,109,071 | 31,078,829 | 32,052,629 | 31,958,610 | 31,195,303 | (913,768) |
| FTE | <u>233.2</u> | <u>241.8</u> | <u>265.4</u> | <u>261.1</u> | <u>253.6</u> | <u>20.4</u> |
| General Fund | 32,109,071 | 31,078,829 | 31,907,801 | 31,690,982 | 31,115,303 | (993,768) |
| Federal Funds | 0 | 0 | 144,828 | 267,628 | 80,000 | 80,000 |

(E) Medical Services Subprogram

Primary Function: Provide acute and long-term health care services to all inmates

| | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|-------------|
| Personal Services | 27,602,993 | 28,219,359 | 28,249,692 | 27,972,731 | 28,083,773 | 480,780 |
| FTE | <u>320.4</u> | <u>353.2</u> | <u>364.3</u> | <u>371.9</u> | <u>371.9</u> | <u>51.5</u> |
| General Fund | 27,427,545 | 28,062,606 | 28,092,871 | 27,747,534 | 27,862,908 | 435,363 |
| FTE | 317.4 | 350.2 | 362.3 | 368.9 | 368.9 | 51.5 |
| Cash Funds | 175,448 | 156,753 | 156,821 | 225,197 | 220,865 | 45,417 |
| FTE | 3.0 | 3.0 | 2.0 | 3.0 | 3.0 | 0.0 |
| Operating Expenses - GF | 2,763,614 | 2,747,730 | 2,699,418 | 2,673,259 | 2,600,375 | (163,239) |
| Purchase of Pharmaceuticals - GF | 9,970,520 | 9,733,410 | 10,421,518 | 11,384,100 | 11,930,318 | 1,959,798 |
| Purchase of Medical Services from Other Medical Facilities - GF | 20,192,163 | 21,979,398 | 20,435,719 | 20,378,663 | 19,708,805 | (483,358) |
| Purchase of Medical Services from State Hospital - GF | 665,687 | 16,050 | 0 | 0 | 0 | (665,687) |
| Catastrophic Medical Expenses - GF | 8,970,755 | 7,948,051 | 11,992,258 | 9,594,144 | 9,866,736 | 895,981 |
| Service Contracts - GF | 2,398,090 | 2,401,631 | 2,469,255 | 2,452,396 | 2,389,886 | (8,204) |
| Indirect Cost Recoveries - CF | 0 | 4,723 | 0 | 49,288 | 56,516 | 56,516 |



ΔGF Pharmaceuticals per offender = +\$144.17

ΔGF Purchase of Medical Services from Other Medical Facilities per offender = -\$16.16

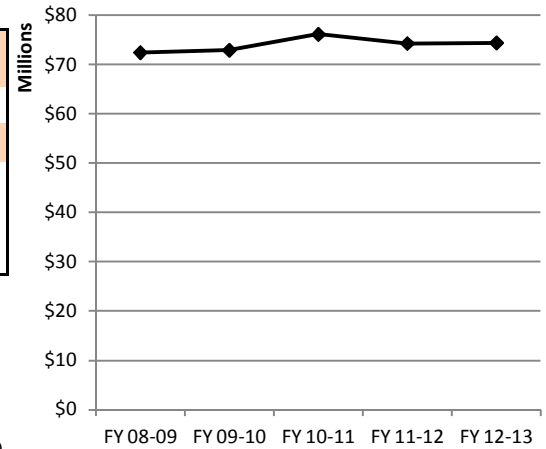
ΔGF Catastrophic Med Expense per offender = +\$69.69

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

| | | | | | | |
|---------------------|---|---|---|---|---|---|
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
|---------------------|---|---|---|---|---|---|

| | | | | | | |
|---|------------|------------|------------|------------|------------|-----------|
| SUBTOTAL - Medical Services Subprogram | 72,563,822 | 73,050,352 | 76,267,860 | 74,504,581 | 74,636,409 | 2,072,587 |
| FTE | 320.4 | 353.2 | 364.3 | 371.9 | 371.9 | 51.5 |
| General Fund | 72,388,374 | 72,888,876 | 76,111,039 | 74,230,096 | 74,359,028 | 1,970,654 |
| FTE | 317.4 | 350.2 | 362.3 | 368.9 | 368.9 | 51.5 |
| Cash Funds | 175,448 | 161,476 | 156,821 | 274,485 | 277,381 | 101,933 |
| FTE | 3.0 | 3.0 | 2.0 | 3.0 | 3.0 | 0.0 |

Medical Services Subprogram
(ΔGF = +\$1,970,654)



(F) Laundry Subprogram

Primary Function: Issue and maintains all clothing, bedding, jackets, and footwear for inmates.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 2,264,323 | 2,161,658 | 2,305,138 | 2,175,148 | 2,143,648 | (120,675) |
| FTE | 37.2 | 34.9 | 36.8 | 35.8 | 35.1 | (2.1) |
| Operating Expenses - GF | 2,191,334 | 2,222,217 | 2,206,751 | 2,143,923 | 2,104,023 | (87,311) |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| SUBTOTAL - Laundry Subprogram - GF | 4,455,657 | 4,383,875 | 4,511,889 | 4,319,071 | 4,247,671 | (207,986) |
| FTE | 37.2 | 34.9 | 36.8 | 35.8 | 35.1 | (2.1) |

(G) Superintendents Subprogram

Primary Function: Develop facility policy, procedures, and practices that conform with applicable laws, consent decrees, court orders, legislative mandates, and executive orders.

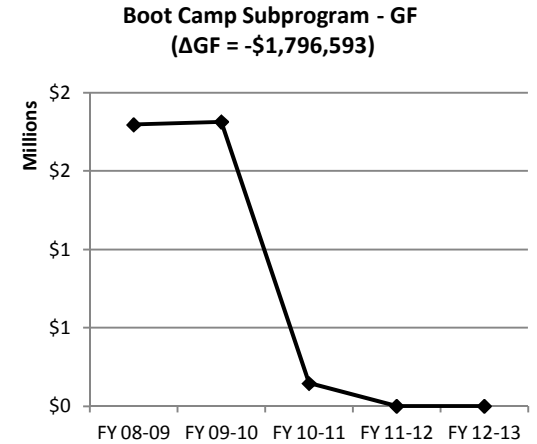
| | | | | | | |
|------------------------|------------|-----------|-----------|-----------|-----------|-------------|
| Personal Services - GF | 10,535,254 | 9,869,648 | 9,991,369 | 9,669,571 | 9,411,261 | (1,123,993) |
| FTE | 166.8 | 158.9 | 166.5 | 153.9 | 147.9 | (18.9) |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Operating Expenses - GF | 3,237,012 | 3,143,667 | 3,364,780 | 3,944,006 | 3,262,190 | 25,178 |
| Dress out - GF | 949,076 | 810,337 | 719,027 | 675,433 | 675,433 | (273,643) |
| Start-up Costs - GF | 2,492 | 0 | 580,470 | 0 | 54,955 | 52,463 |
| SUBTOTAL - Superintendents Subprogram - | 14,723,834 | 13,823,652 | 14,655,646 | 14,289,010 | 13,403,839 | (1,319,995) |
| FTE | 166.8 | 158.9 | 166.5 | 153.9 | 147.9 | (18.9) |

(Formerly H) Boot Camp Subprogram (Decommissioned)

Primary Function: Operate a 90-day minimum security military discipline training program with 100 beds.

| | | | | | | |
|---|------------------|------------------|----------------|----------|----------|--------------------|
| Personal Services - GF | 1,744,178 | 1,762,033 | 145,852 | 0 | 0 | (1,744,178) |
| FTE | 32.2 | 28.3 | 0.0 | 0.0 | 0.0 | (32.2) |
| Operating Expenses - GF | 52,415 | 52,413 | 0 | 0 | 0 | (52,415) |
| SUBTOTAL - Boot Camp Subprogram - GF | 1,796,593 | 1,814,446 | 145,852 | 0 | 0 | (1,796,593) |
| FTE | 32.2 | 28.3 | 0.0 | 0.0 | 0.0 | (32.2) |



(H) Youthful Offender System Subprogram

Primary Function: Target offenders aged 14 to 18 years at the time of offense who have committed violent class 3 to 6 felonies. All sentences are determinate of 2-6 years.

| | | | | | | |
|-------------------------|------------|------------|------------|-----------|-----------|-----------|
| Personal Services - GF | 10,202,480 | 10,182,871 | 10,010,451 | 9,924,537 | 9,994,181 | (208,299) |
| FTE | 165.6 | 162.5 | 161.8 | 162.7 | 162.7 | (2.9) |
| Operating Expenses - GF | 197,663 | 197,670 | 333,350 | 469,028 | 604,705 | 407,042 |
| Contract Services - GF | 28,816 | 23,716 | 28,800 | 28,820 | 28,820 | 4 |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|

| | | | | | | |
|---------------------------|---------|---------|---------|---------|---------|-------|
| Purchase of Services - GF | 621,460 | 620,218 | 624,451 | 624,589 | 624,589 | 3,129 |
|---------------------------|---------|---------|---------|---------|---------|-------|

| | | | | | | |
|--|------------|------------|------------|------------|------------|---------|
| SUBTOTAL - Y.O.S. Subprogram - GF | 11,050,419 | 11,024,475 | 10,997,052 | 11,046,974 | 11,252,295 | 201,876 |
| FTE | 165.6 | 162.5 | 161.8 | 162.7 | 162.7 | (2.9) |

(I) Case Management Subprogram

Primary Function: Responsible for case analysis, classification reviews, performance assessment, earned time evaluations, etc.

| | | | | | | |
|------------------------|------------|------------|------------|------------|------------|-----------|
| Personal Services - GF | 15,876,128 | 15,045,661 | 15,489,473 | 15,213,864 | 14,969,184 | (906,944) |
| FTE | 228.9 | 212.0 | 223.1 | 218.8 | 213.6 | (15.3) |

| | | | | | | |
|-------------------------|---------|---------|---------|---------|---------|-------|
| Operating Expenses - GF | 153,664 | 150,874 | 160,578 | 158,803 | 160,189 | 6,525 |
|-------------------------|---------|---------|---------|---------|---------|-------|

| | | | | | | |
|---------------------|---|---|---|---|---|---|
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
|---------------------|---|---|---|---|---|---|

| | | | | | | |
|---|------------|------------|------------|------------|------------|-----------|
| SUBTOTAL - Case Management Subprogram - GF | 16,029,792 | 15,196,535 | 15,650,051 | 15,372,667 | 15,129,373 | (900,419) |
| FTE | 228.9 | 212.0 | 223.1 | 218.8 | 213.6 | (15.3) |

(J) Mental Health Subprogram

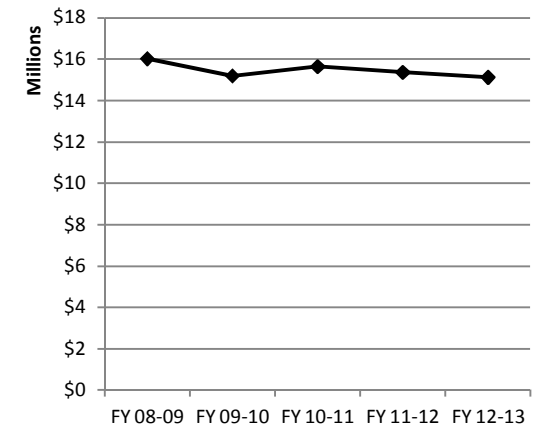
Primary Function: Provide a full range of professional psychiatric, psychological, social work and other mental health services to inmates.

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 7,237,373 | 7,255,423 | 8,553,941 | 9,008,822 | 9,030,129 | 1,792,756 |
| FTE | 83.5 | 83.2 | 96.1 | 120.6 | 120.6 | 37.1 |

| | | | | | | |
|-------------------------|--------|--------|---------|---------|---------|---------|
| Operating Expenses - GF | 91,846 | 91,904 | 266,162 | 268,508 | 256,272 | 164,426 |
|-------------------------|--------|--------|---------|---------|---------|---------|

| | | | | | | |
|--------------------------------|---------|---------|---------|---------|-----------|---------|
| Medical Contract Services - GF | 572,577 | 526,030 | 560,790 | 616,894 | 1,034,762 | 462,185 |
|--------------------------------|---------|---------|---------|---------|-----------|---------|

Case Management Subprogram - GF
(ΔGF = -\$900,419)



ΔGF Case management per offender = -\$48.59

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Mental Health Grants - RF | 0 | 60,293 | 251,294 | 230,000 | 64,799 | 64,799 |
| Start-up Costs - GF | 77,995 | 0 | 0 | 0 | 0 | (77,995) |
| SUBTOTAL - Mental Health Subprogram | 7,979,791 | 7,933,650 | 9,632,187 | 10,124,224 | 10,385,962 | 2,406,171 |
| FTE | <u>83.5</u> | <u>83.2</u> | <u>96.1</u> | <u>120.6</u> | <u>120.6</u> | <u>37.1</u> |
| General Fund | 7,979,791 | 7,873,357 | 9,380,893 | 9,894,224 | 10,321,163 | 2,341,372 |
| Reappropriated Funds | 0 | 60,293 | 251,294 | 230,000 | 64,799 | 64,799 |

(K) Inmate Pay Subprogram

Primary Function: Provide pay between \$0.23 and \$0.60 per day to inmates for labor positions such as janitorial services, etc

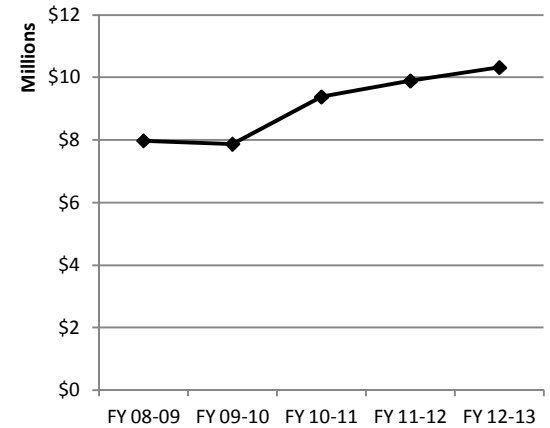
| | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|----------------|
| Inmate Pay - GF | 1,501,642 | 1,457,836 | 1,527,421 | 1,533,490 | 1,498,362 | (3,280) |
| SUBTOTAL - Inmate Pay Subprogram - GF | 1,501,642 | 1,457,836 | 1,527,421 | 1,533,490 | 1,498,362 | (3,280) |

(L) San Carlos Subprogram

Primary Function: Operate a 250-bed specialized facility designed to provide mental health treatment services

| | | | | | | | |
|-------------------------|------------|------------|------------|------------|------------|-----------|---|
| Personal Services - GF | 12,499,777 | 12,760,256 | 12,635,246 | 12,341,633 | 12,363,936 | (135,841) | To enhance comparisons, San Carlos is not consolidated. |
| FTE | 184.1 | 177.1 | 175.2 | 178.2 | 178.2 | (5.9) | |
| Operating Expenses - GF | 199,082 | 193,024 | 199,092 | 199,092 | 199,092 | 10 | |
| Service Contracts - GF | 725,309 | 708,746 | 725,306 | 725,309 | 725,309 | 0 | |

**Mental Health Subprogram
(ΔGF = +\$2,341,372)**



ΔGF mental health per offender = +\$168.88
(Increase in FY12-13 is due to Ad Seg Bill)

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| SUBTOTAL - San Carlos Subprogram - GF | 13,424,168 | 13,662,026 | 13,559,644 | 13,266,034 | 13,288,337 | (135,831) |
| FTE | 184.1 | 177.1 | 175.2 | 178.2 | 178.2 | (5.9) |

(M) Legal Access Subprogram

Primary Function: Provide inmates with resources to research and file claims with the courts.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 1,433,562 | 1,377,292 | 1,359,467 | 1,237,412 | 1,239,623 | (193,939) |
| FTE | 21.5 | 21.5 | 23.0 | 20.5 | 20.5 | (1.0) |
| Operating Expenses - GF | 299,602 | 294,090 | 284,622 | 284,622 | 299,602 | 0 |
| Contract Services - GF | 70,905 | 70,905 | 70,905 | 70,905 | 70,905 | 0 |

| | | | | | | |
|--------------------------------|----------------|----------------|----------------|----------------|----------------|-------------|
| SUBTOTAL - INSTITUTIONS | 388,086,571 | 376,573,957 | 390,831,363 | 386,863,358 | 381,260,341 | (6,826,230) |
| FTE | <u>4,759.9</u> | <u>4,628.7</u> | <u>4,950.8</u> | <u>4,898.5</u> | <u>4,805.4</u> | <u>45.5</u> |
| General Fund | 387,005,360 | 375,426,573 | 389,294,800 | 384,555,761 | 379,784,440 | (7,220,920) |
| Cash Funds | 1,081,211 | 1,079,438 | 1,073,094 | 1,809,969 | 1,331,102 | 249,891 |
| Reappropriated Funds | 0 | 67,946 | 318,641 | 230,000 | 64,799 | 64,799 |
| Federal Funds | 0 | 0 | 144,828 | 267,628 | 80,000 | 80,000 |

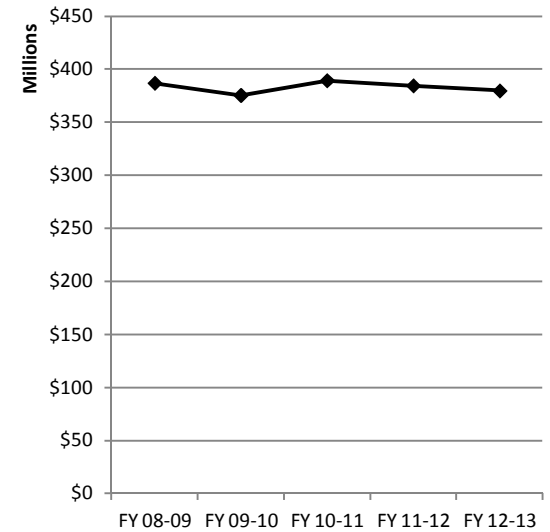
(3) SUPPORT SERVICES

(A) Business Operations Subprogram

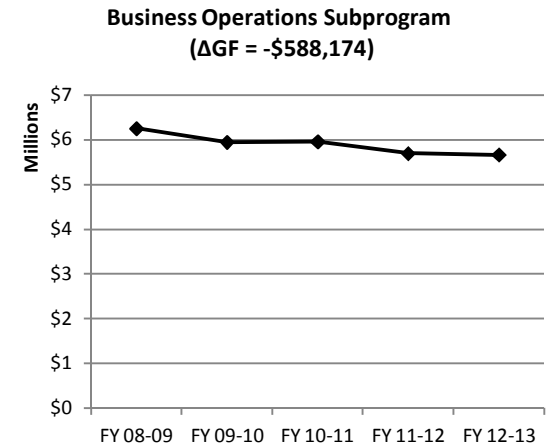
Primary Function: Provide all fiscal management and budgeting services for the Department.

| | | | | | | |
|-------------------|--------------|-------------|--------------|-------------|-------------|---------------|
| Personal Services | 6,475,887 | 6,172,208 | 6,152,048 | 5,913,209 | 5,853,289 | (622,598) |
| FTE | <u>104.4</u> | <u>94.8</u> | <u>106.9</u> | <u>92.3</u> | <u>90.8</u> | <u>(13.6)</u> |
| General Fund | 5,997,046 | 5,714,564 | 5,734,914 | 5,472,762 | 5,429,810 | (567,236) |
| FTE | 95.8 | 86.2 | 96.3 | 81.7 | 80.2 | (15.6) |

INSTITUTIONS
(ΔGF = -\$7,220,920)



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Cash Funds | 478,841 | 457,644 | 417,134 | 432,425 | 415,623 | (63,218) |
| FTE | 8.6 | 8.6 | 10.6 | 10.6 | 10.6 | 2.0 |
| Reappropriated Funds | 0 | 0 | 0 | 8,022 | 7,856 | 7,856 |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Operating Expenses - GF | 231,627 | 230,733 | 224,245 | 223,630 | 234,201 | 2,574 |
| Start-up Costs - GF | 23,512 | 0 | 0 | 0 | 0 | (23,512) |
| SUBTOTAL - Business Operations Subprogram | 6,731,026 | 6,402,941 | 6,376,293 | 6,136,839 | 6,087,490 | (643,536) |
| FTE | <u>104.4</u> | <u>94.8</u> | <u>106.9</u> | <u>92.3</u> | <u>90.8</u> | <u>(13.6)</u> |
| General Fund | 6,252,185 | 5,945,297 | 5,959,159 | 5,696,392 | 5,664,011 | (588,174) |
| FTE | 95.8 | 86.2 | 96.3 | 81.7 | 80.2 | (15.6) |
| Cash Funds | 478,841 | 457,644 | 417,134 | 432,425 | 415,623 | (63,218) |
| FTE | 8.6 | 8.6 | 10.6 | 10.6 | 10.6 | 2.0 |
| Reappropriated Funds | 0 | 0 | 0 | 8,022 | 7,856 | 7,856 |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |



(B) Personnel Subprogram

Primary Function: Provide services, including recruitment, examination, position classification, personnel records, affirmative action, appeals, grievance, benefits administration, etc.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|---------------|
| Personal Services - GF | 1,143,845 | 1,177,019 | 1,221,132 | 1,220,014 | 1,216,502 | 72,657 |
| FTE | 16.4 | 15.7 | 21.6 | 17.0 | 17.0 | 0.6 |
| Operating Expenses - GF | 93,431 | 93,296 | 89,259 | 82,259 | 86,931 | (6,500) |
| Start-up Costs - GF | 8,210 | 0 | 4,795 | 0 | 0 | (8,210) |
| SUBTOTAL - Personnel Subprogram - GF | 1,245,486 | 1,270,315 | 1,315,186 | 1,302,273 | 1,303,433 | 57,947 |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|-----|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| FTE | 16.4 | 15.7 | 21.6 | 17.0 | 17.0 | 0.6 |

(C) Offender Services Subprogram

Primary Function: Provide offender population management, offender classification, offender case management, sentence computation, release operations, jail backlog monitoring, etc.

| | | | | | | |
|-------------------------|-----------|-----------|-----------|-----------|-----------|----------|
| Personal Services - GF | 2,731,888 | 2,880,983 | 2,894,279 | 2,839,945 | 2,946,423 | 214,535 |
| FTE | 40.8 | 42.0 | 42.8 | 42.1 | 44.1 | 3.3 |
| Operating Expenses - GF | 95,944 | 58,182 | 55,332 | 55,332 | 60,144 | (35,800) |
| Start-up Costs - GF | 0 | 31,368 | 0 | 0 | 0 | 0 |

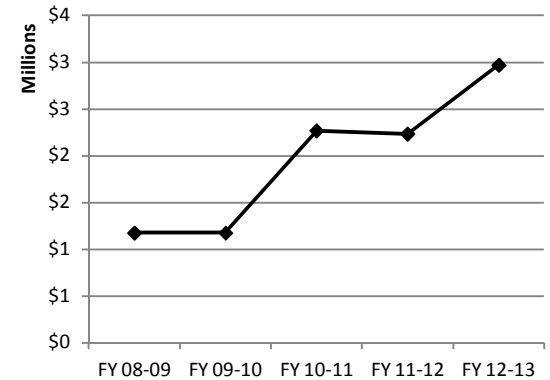
| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|---------|
| SUBTOTAL - Offender Services Subprogram - GF | 2,827,832 | 2,970,533 | 2,949,611 | 2,895,277 | 3,006,567 | 178,735 |
| FTE | 40.8 | 42.0 | 42.8 | 42.1 | 44.1 | 3.3 |

(D) Communications Subprogram

Primary Function: Manage staff voice communication, radio systems and equipment, cellular telephones, pagers, and video conferences.

| | | | | | | |
|---------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Personal Services - GF | 640,011 | 611,670 | 0 | 0 | 0 | (640,011) |
| FTE | 7.7 | 7.6 | 0.0 | 0.0 | 0.0 | (7.7) |
| Operating Expenses - GF | 1,501,168 | 1,522,718 | 1,538,605 | 1,477,045 | 1,523,550 | 22,382 |
| Multiuse Network Payments | <u>1,242,017</u> | <u>1,242,017</u> | <u>2,338,576</u> | <u>2,303,077</u> | <u>3,063,333</u> | <u>1,821,316</u> |
| General Fund | 1,174,948 | 1,174,948 | 2,268,419 | 2,233,566 | 2,970,876 | 1,795,928 |
| Cash Funds | 67,069 | 67,069 | 70,157 | 69,511 | 92,457 | 25,388 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Dispatch Services - GF | 163,492 | 129,836 | 108,781 | 190,218 | 200,000 | 36,508 |

**Multiuse Network Payments
(ΔGF = +\$1,795,928)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

| | | | | | | |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|--------|
| Communications Services Payments - GF | 1,687,070 | 1,687,070 | 1,624,537 | 1,736,517 | 1,766,769 | 79,699 |
|---------------------------------------|-----------|-----------|-----------|-----------|-----------|--------|

| | | | | | | |
|---|------------|------------|------------|------------|------------|-----------|
| SUBTOTAL - Communications Subprogram | 5,233,758 | 5,193,311 | 5,610,499 | 5,706,857 | 6,553,652 | 1,319,894 |
| FTE | <u>7.7</u> | <u>7.6</u> | <u>0.0</u> | <u>0.0</u> | <u>0.0</u> | (7.7) |
| General Fund | 5,166,689 | 5,126,242 | 5,540,342 | 5,637,346 | 6,461,195 | 1,294,506 |
| Cash Funds | 67,069 | 67,069 | 70,157 | 69,511 | 92,457 | 25,388 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

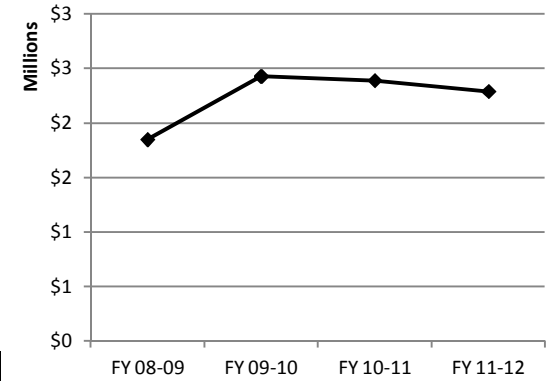
(E) Transportation Subprogram

Primary Function: Manage the Department's vehicle fleet as well as the Central Transportation Unit.

| | | | | | | |
|-------------------------|------------------|------------------|------------------|------------------|----------------|----------------|
| Personal Services - GF | 1,942,249 | 1,971,441 | 1,916,008 | 1,889,649 | 1,892,650 | (49,599) |
| FTE | 35.9 | 35.9 | 35.3 | 35.9 | 35.9 | 0.0 |
| Operating Expenses - GF | 291,545 | 291,079 | 277,550 | 269,888 | 284,794 | (6,751) |
| Vehicle Lease Payments | <u>1,911,143</u> | <u>2,506,639</u> | <u>2,649,554</u> | <u>2,540,883</u> | <u>Pending</u> | <u>Pending</u> |
| General Fund | 1,848,075 | 2,428,331 | 2,389,884 | 2,289,725 | | |
| Cash Funds | 63,068 | 78,308 | 259,670 | 251,158 | | |
| Reappropriated Funds | 0 | 0 | 0 | 0 | | |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|------------|
| SUBTOTAL - Transportation Subprogram | 4,144,937 | 4,769,159 | 4,843,112 | 4,700,420 | 2,177,444 | (56,350) |
| FTE | <u>35.9</u> | <u>35.9</u> | <u>35.3</u> | <u>35.9</u> | <u>35.9</u> | <u>0.0</u> |
| General Fund | 4,081,869 | 4,690,851 | 4,583,442 | 4,449,262 | 2,177,444 | (56,350) |
| Cash Funds | 63,068 | 78,308 | 259,670 | 251,158 | 0 | 0 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

**Vehicle Lease Payments
(ΔGF = +\$Pending)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

(F) Training Subprogram

Primary Function: Provide basic, extended, in-service and advanced training to DOC employees.

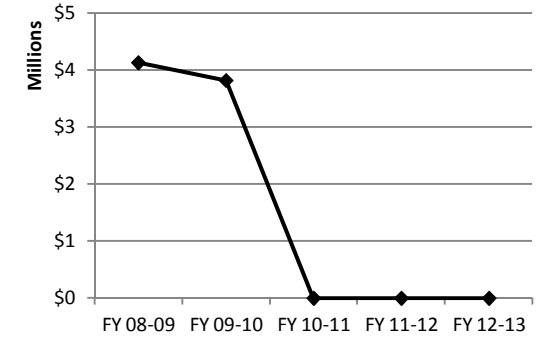
| | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|-----------------|
| Personal Services - GF | 1,887,222 | 1,972,439 | 1,971,214 | 1,869,329 | 1,836,366 | (50,856) |
| FTE | 26.1 | 27.0 | 27.6 | 25.7 | 25.0 | (1.1) |
| Operating Expenses - GF | 278,971 | 273,333 | 270,432 | 267,050 | 279,758 | 787 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
| SUBTOTAL - Training Subprogram - GF | 2,166,193 | 2,245,772 | 2,241,646 | 2,136,379 | 2,116,124 | (50,069) |
| FTE | 26.1 | 27.0 | 27.6 | 25.7 | 25.0 | (1.1) |

(G) Information Systems Subprogram

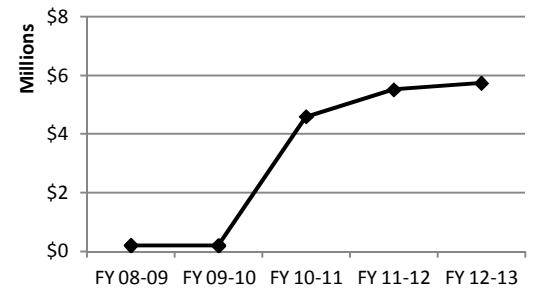
Primary Function: Responsible for the development and maintenance of automated information systems within the DOC.

| | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|----------------|
| Personal Services - GF | 4,133,944 | 3,822,764 | 0 | 0 | 0 | (4,133,944) |
| FTE | 45.8 | 44.5 | 0.0 | 0.0 | 0.0 | (45.8) |
| Operating Expenses | <u>1,378,378</u> | <u>1,582,629</u> | <u>1,565,322</u> | <u>1,537,964</u> | <u>1,607,319</u> | <u>228,941</u> |
| General Fund | 1,378,378 | 1,582,629 | 1,565,322 | 1,537,964 | 1,607,319 | 228,941 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Purchase of Services From Computer Center - GF | 202,327 | 194,860 | 4,596,322 | 5,516,687 | 5,738,360 | 5,536,033 |
| Management and Administration of OIT - GF | 142,138 | 128,028 | 434,410 | 439,320 | 437,228 | 295,090 |
| Start-up Costs - GF | 0 | 0 | 27,928 | 0 | 0 | 0 |

**Info Systems Personal Services - GF
(ΔGF = -\$4,133,944)**



**Info Systems Purchase of Services From
Computer Center - GF
(ΔGF = +\$5,536,033)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|
| SUBTOTAL - Information Systems Subprogram | 5,856,787 | 5,728,281 | 6,623,982 | 7,493,971 | 7,782,907 | 1,926,120 |
| FTE | 45.8 | 44.5 | 0.0 | 0.0 | 0.0 | (45.8) |
| General Fund | 5,856,787 | 5,728,281 | 6,623,982 | 7,493,971 | 7,782,907 | 1,926,120 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

(H) Facility Services Subprogram

Primary Function: Duties include contractor/design team selection, design review, contract administration, etc

| | | | | | | |
|-------------------------|---------|---------|---------|---------|---------|---------|
| Personal Services - GF | 945,620 | 965,921 | 963,577 | 942,136 | 937,113 | (8,507) |
| FTE | 10.8 | 9.5 | 10.5 | 9.4 | 9.4 | (1.4) |
| Operating Expenses - GF | 83,096 | 80,820 | 78,941 | 78,941 | 83,096 | 0 |
| Start-up Costs - GF | 8,210 | 0 | 0 | 0 | 0 | (8,210) |

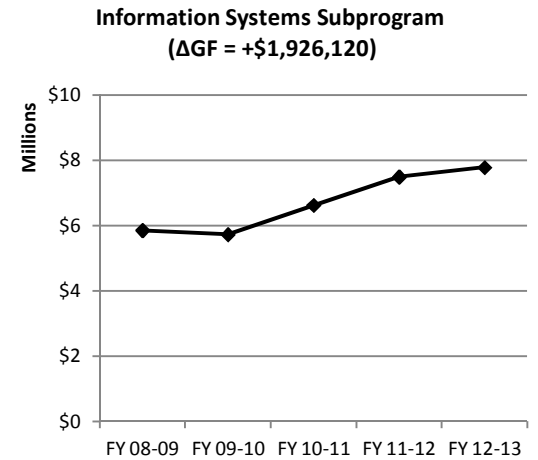
| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|----------|
| SUBTOTAL - Facility Services Subprogram - | 1,036,926 | 1,046,741 | 1,042,518 | 1,021,077 | 1,020,209 | (16,717) |
| FTE | 10.8 | 9.5 | 10.5 | 9.4 | 9.4 | (1.4) |

| | | | | | | |
|------------------------------------|--------------|--------------|--------------|--------------|--------------|---------------|
| SUBTOTAL - SUPPORT SERVICES | 29,242,945 | 29,627,053 | 31,002,847 | 31,393,093 | 30,047,826 | 2,716,024 |
| FTE | <u>287.9</u> | <u>277.0</u> | <u>244.7</u> | <u>222.4</u> | <u>222.2</u> | <u>(65.7)</u> |
| General Fund | 28,633,967 | 29,024,032 | 30,255,886 | 30,631,977 | 29,531,890 | 2,745,998 |
| Cash Funds | 608,978 | 603,021 | 746,961 | 753,094 | 508,080 | (37,830) |
| Reappropriated Funds | 0 | 0 | 0 | 8,022 | 7,856 | 7,856 |

(4) INMATE PROGRAMS

(A) Labor Subprogram

Primary Function: Supervise inmate work assignments involving physical labor to assist the DOC and outside agencies with reclamation, landscaping, construction, etc.



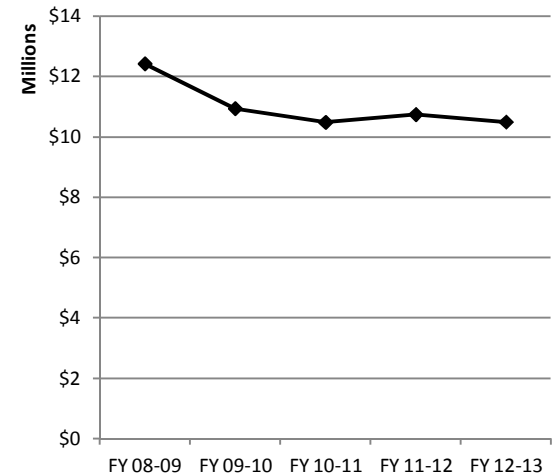
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Personal Services - GF | 5,688,326 | 5,421,160 | 5,355,627 | 5,292,470 | 5,194,206 | (494,120) |
| FTE | 95.4 | 91.3 | 89.4 | 90.9 | 88.7 | (6.7) |
| Operating Expenses - GF | 91,040 | 81,117 | 91,420 | 90,297 | 88,052 | (2,988) |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
| SUBTOTAL - Labor Subprogram - GF | 5,779,366 | 5,502,277 | 5,447,047 | 5,382,767 | 5,282,258 | (497,108) |
| FTE | 95.4 | 91.3 | 89.4 | 90.9 | 88.7 | (6.7) |

(B) Education Subprogram

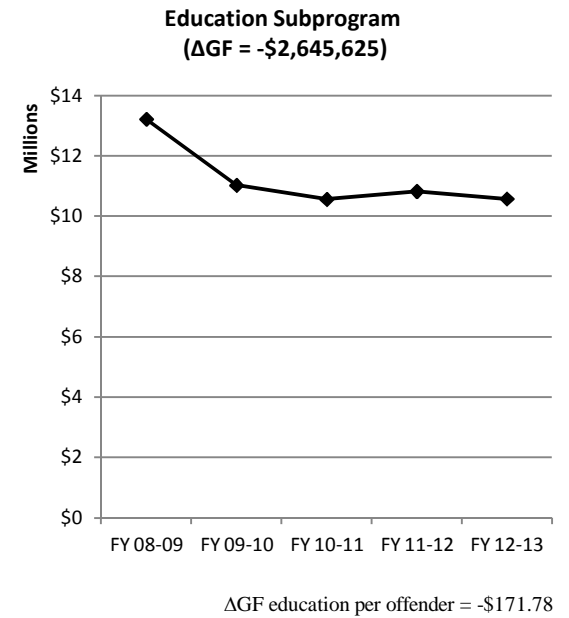
Primary Function: Assist inmates in improving basic skills such as English, reading, writing, spelling, and math.

| | | | | | | |
|----------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Personal Services | 14,216,540 | 14,343,937 | 14,692,837 | 11,664,100 | 11,395,978 | (2,820,562) |
| FTE | <u>215.5</u> | <u>218.1</u> | <u>225.4</u> | <u>183.4</u> | <u>175.9</u> | <u>(39.6)</u> |
| General Fund | 12,431,974 | 10,943,992 | 10,491,125 | 10,749,839 | 10,500,196 | (1,931,778) |
| FTE | 215.5 | 218.1 | 225.4 | 183.4 | 175.9 | (39.6) |
| Cash Funds | 1,784,566 | 3,399,945 | 4,201,712 | 914,261 | 895,782 | (888,784) |
| FTE | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Operating Expenses | <u>1,541,903</u> | <u>1,582,522</u> | <u>1,353,025</u> | <u>2,470,367</u> | <u>2,453,237</u> | <u>911,334</u> |
| General Fund | 19,999 | 18,252 | 0 | 0 | 0 | (19,999) |
| Cash Funds | 1,064,485 | 1,185,653 | 963,882 | 1,859,352 | 1,842,222 | 777,737 |
| Reappropriated Funds | 457,419 | 378,617 | 389,143 | 611,015 | 611,015 | 153,596 |
| Contract Services | <u>591,984</u> | <u>67,715</u> | <u>71,704</u> | <u>73,276</u> | <u>73,276</u> | <u>(518,708)</u> |
| General Fund | 591,984 | 67,715 | 71,704 | 73,276 | 73,276 | (518,708) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |

**Education Personal Services
(ΔGF = -\$888,784)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Education Grants | 824,698 | 356,128 | 633,469 | 1,286,297 | 113,894 | (710,804) |
| FTE | <u>1.0</u> | <u>2.0</u> | <u>0.0</u> | <u>2.0</u> | <u>2.0</u> | <u>1.0</u> |
| Cash Funds | 0 | 76 | 59 | 10,000 | 10,000 | 10,000 |
| Reappropriated Funds | 59,852 | 0 | 202,446 | 273,735 | 76,244 | 16,392 |
| FTE | 1.0 | 2.0 | 0.0 | 2.0 | 2.0 | 1.0 |
| Federal Funds | 764,846 | 356,052 | 430,964 | 1,002,562 | 27,650 | (737,196) |
| Indirect Cost Recoveries - FF | 0 | 0 | 0 | 5,476 | 5,476 | 5,476 |
| Start-up Costs - GF | 175,140 | 0 | 0 | 0 | 0 | (175,140) |
| SUBTOTAL - Education Subprogram | 17,350,265 | 16,350,302 | 16,751,035 | 15,499,516 | 14,041,861 | (3,308,404) |
| FTE | <u>216.5</u> | <u>220.1</u> | <u>225.4</u> | <u>185.4</u> | <u>177.9</u> | <u>(38.6)</u> |
| General Fund | 13,219,097 | 11,029,959 | 10,562,829 | 10,823,115 | 10,573,472 | (2,645,625) |
| Cash Funds | 2,849,051 | 4,585,674 | 5,165,653 | 2,783,613 | 2,748,004 | (101,047) |
| Reappropriated Funds | 517,271 | 378,617 | 591,589 | 884,750 | 687,259 | 169,988 |
| Federal Funds | 764,846 | 356,052 | 430,964 | 1,008,038 | 33,126 | (731,720) |



(C) Recreation Subprogram

Primary Function: Provide standardized, staff supervised recreational programs to inmates.

| | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--------------|
| Personal Services - GF | 6,606,127 | 6,503,268 | 6,456,657 | 6,317,842 | 6,249,074 | (357,053) |
| FTE | 116.5 | 115.7 | 118.3 | 114.8 | 111.8 | (4.7) |
| Operating Expenses - CF | 75,367 | 73,864 | 73,132 | 74,033 | 71,200 | (4,167) |
| SUBTOTAL - Recreation Subprogram | 6,681,494 | 6,577,132 | 6,529,789 | 6,391,875 | 6,320,274 | (361,220) |
| FTE | <u>116.5</u> | <u>115.7</u> | <u>118.3</u> | <u>114.8</u> | <u>111.8</u> | <u>(4.7)</u> |
| General Fund | 6,606,127 | 6,503,268 | 6,456,657 | 6,317,842 | 6,249,074 | (357,053) |

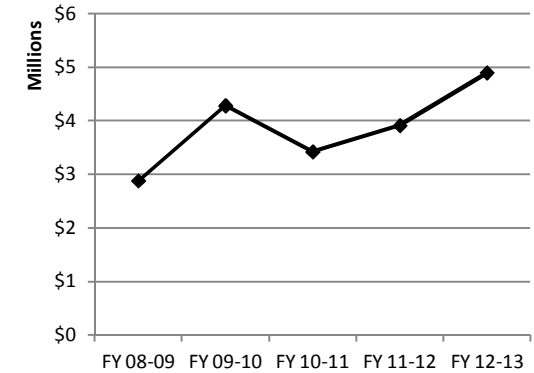
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Cash Funds | 75,367 | 73,864 | 73,132 | 74,033 | 71,200 | (4,167) |

(D) Drug and Alcohol Treatment Subprogram

Primary Function: Provide drug and alcohol treatment services to inmates.

| | | | | | | |
|--------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Personal Services - GF | 2,878,997 | 4,281,165 | 3,421,546 | 3,911,133 | 4,894,469 | 2,015,472 |
| FTE | 40.8 | 57.0 | 60.9 | 42.8 | 64.8 | 24.0 |
| Operating Expenses - GF | 74,000 | 117,580 | 117,580 | 117,316 | 110,932 | 36,932 |
| Drug Offender Surcharge Program - CF | 995,127 | 995,127 | 845,858 | 995,127 | 995,127 | 0 |
| Contract Services | <u>2,074,956</u> | <u>2,309,908</u> | <u>2,322,581</u> | <u>2,290,957</u> | <u>2,172,183</u> | <u>97,227</u> |
| General Fund | 2,074,956 | 2,059,908 | 2,110,081 | 2,040,957 | 1,922,183 | (152,773) |
| Cash Funds | 0 | 250,000 | 212,500 | 250,000 | 250,000 | 250,000 |
| Treatment Grants | <u>230,695</u> | <u>218,023</u> | <u>211,652</u> | <u>312,461</u> | <u>126,682</u> | <u>(104,013)</u> |
| Reappropriated Funds | 66,259 | 0 | 169,173 | 312,461 | 126,682 | 60,423 |
| Federal Funds | 164,436 | 218,023 | 42,479 | 0 | 0 | (164,436) |
| Start-up Costs - GF | 0 | 265,586 | 0 | 0 | 0 | 0 |

**Drug & Alcohol Personal Services
(ΔGF = +\$2,015,472)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| SUBTOTAL - Drug/Alcohol Treatment Subprogram | | | | | | |
| FTE | 40.8 | 57.0 | 60.9 | 42.8 | 64.8 | 24.0 |
| General Fund | 5,027,953 | 6,724,239 | 5,649,207 | 6,069,406 | 6,927,584 | 1,899,631 |
| Cash Funds | 995,127 | 1,245,127 | 1,058,358 | 1,245,127 | 1,245,127 | 250,000 |
| Reappropriated Funds | 66,259 | 0 | 169,173 | 312,461 | 126,682 | 60,423 |
| Federal Funds | 164,436 | 218,023 | 42,479 | 0 | 0 | (164,436) |

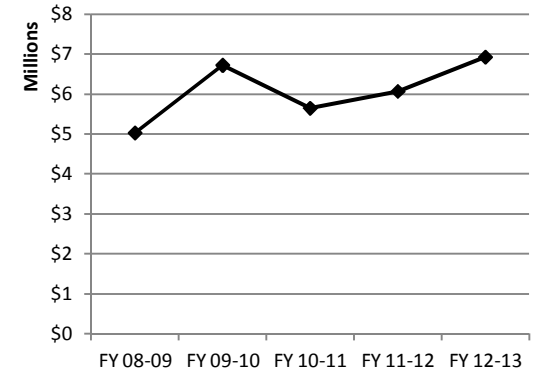
(E) Sex Offender Treatment Subprogram

Primary Function: Provide treatment to sex offenders who are motivated to eliminate such behavior.

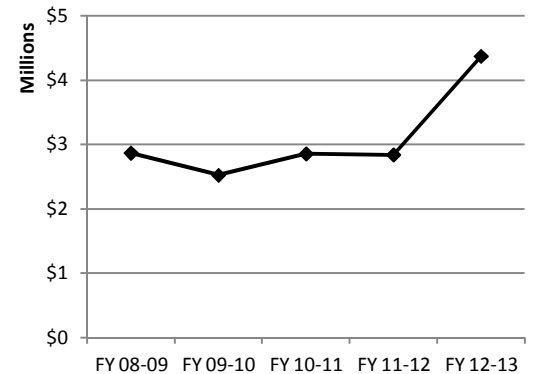
| | | | | | | |
|---|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services | 2,690,550 | 2,362,965 | 2,702,034 | 2,685,839 | 3,889,451 | 1,198,901 |
| FTE | 40.5 | 39.8 | 39.8 | 40.8 | 63.3 | 22.8 |
| General Fund | 2,667,015 | 2,341,482 | 2,673,778 | 2,657,460 | 3,861,671 | 1,194,656 |
| FTE | 39.5 | 38.8 | 38.8 | 39.8 | 62.3 | 22.8 |
| Cash Funds | 23,535 | 21,483 | 28,256 | 28,379 | 27,780 | 4,245 |
| FTE | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 0.0 |
| Operating Expenses | 102,704 | 84,776 | 84,776 | 84,776 | 97,411 | (5,293) |
| General Fund | 102,204 | 84,276 | 84,276 | 84,276 | 96,911 | (5,293) |
| Cash Funds | 500 | 500 | 500 | 500 | 500 | 0 |
| Polygraph Testing - GF | 99,569 | 99,569 | 99,500 | 99,569 | 231,686 | 132,117 |
| Sex Offender Treatment Grants - FF | 0 | 0 | 171,330 | 511,587 | 65,597 | 65,597 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 183,689 | 183,689 |
| SUBTOTAL - Sex Offender Treatment Subprogram | 2,892,823 | 2,547,310 | 3,057,640 | 3,381,771 | 4,467,834 | 1,575,011 |
| FTE | 40.5 | 39.8 | 39.8 | 40.8 | 63.3 | 22.8 |

ΔGF drug & alcohol treatment per offender = +\$135.78

**Drug/Alcohol Treatment Subprogram
(ΔGF = +\$1,899,631)**



**Sex Offender Treatment
(ΔGF = +\$1,505,169)**



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|---------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| General Fund | 2,868,788 | 2,525,327 | 2,857,554 | 2,841,305 | 4,373,957 | 1,505,169 |
| Cash Funds | 24,035 | 21,983 | 28,756 | 28,879 | 28,280 | 4,245 |
| Federal Funds | 0 | 0 | 171,330 | 511,587 | 65,597 | 65,597 |

(F) Volunteers Subprogram

Primary Function: Manage volunteer programs including volunteer chaplain services to inmates.

| | | | | | | |
|-------------------------|---------|---------|---------|---------|---------|-------|
| Personal Services - CF | 542,978 | 520,521 | 501,308 | 547,280 | 543,722 | 744 |
| FTE | 7.6 | 7.2 | 7.0 | 7.4 | 7.4 | (0.2) |
| Operating Expenses - CF | 17,912 | 17,518 | 16,577 | 17,912 | 17,912 | 0 |

| | | | | | | |
|--|---------|---------|---------|---------|---------|-------|
| SUBTOTAL - Volunteers Subprogram - CF | 560,890 | 538,039 | 517,885 | 565,192 | 561,634 | 744 |
| FTE | 7.6 | 7.2 | 7.0 | 7.4 | 7.4 | (0.2) |

| | | | | | | |
|-----------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| SUBTOTAL - INMATE PROGRAMS | 39,518,613 | 39,702,449 | 39,222,613 | 38,848,115 | 38,973,254 | (545,359) |
| FTE | <u>517.3</u> | <u>531.1</u> | <u>540.8</u> | <u>482.1</u> | <u>513.9</u> | <u>(3.4)</u> |
| General Fund | 33,501,331 | 32,285,070 | 30,973,294 | 31,434,435 | 33,406,345 | (94,986) |
| Cash Funds | 4,504,470 | 6,464,687 | 6,843,784 | 4,696,844 | 4,654,245 | 149,775 |
| Reappropriated Funds | 583,530 | 378,617 | 760,762 | 1,197,211 | 813,941 | 230,411 |
| Federal Funds | 929,282 | 574,075 | 644,773 | 1,519,625 | 98,723 | (830,559) |

(5) COMMUNITY SERVICES

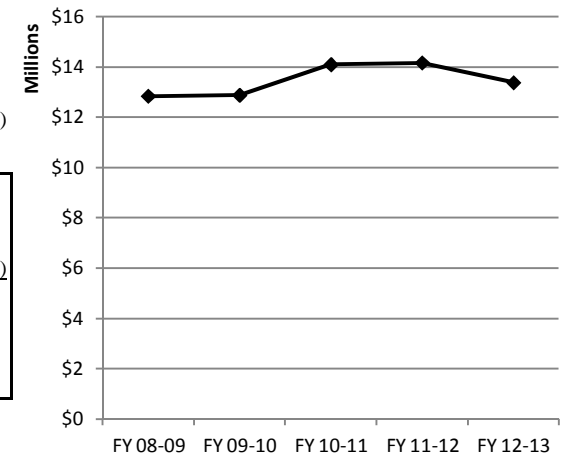
(A) Parole Subprogram

Primary Function: Supervise offenders who have been placed on parole by the Parole Board.

| | | | | | | |
|------------------------|------------|------------|------------|------------|-----------|-------------|
| Personal Services - GF | 10,626,318 | 10,724,211 | 10,163,769 | 10,100,007 | 9,457,866 | (1,168,452) |
| FTE | 159.1 | 165.4 | 165.8 | 160.5 | 145.6 | (13.5) |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Operating Expenses - GF | 1,078,376 | 1,116,326 | 1,087,337 | 1,114,583 | 1,051,387 | (26,989) |
| Administrative Law Judge Services - GF | 4,495 | 3,841 | 4,461 | 4,449 | Pending | Pending |
| Contract Services | <u>889,040</u> | <u>980,027</u> | <u>1,631,373</u> | <u>3,216,589</u> | <u>3,091,894</u> | <u>2,202,854</u> |
| General Fund | 889,040 | 980,027 | 1,621,440 | 1,741,589 | 1,666,894 | 777,854 |
| Reappropriated Funds | 0 | 0 | 9,933 | 1,475,000 | 1,425,000 | 1,425,000 |
| Wrap-Around Services Program - GF | 0 | 0 | 1,108,764 | 1,207,225 | 1,207,225 | 1,207,225 |
| Parole Grants | <u>55,612</u> | <u>32,301</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>(55,612)</u> |
| Reappropriated Funds | 55,612 | 0 | 0 | 0 | 0 | (55,612) |
| Federal Funds | 0 | 32,301 | 0 | 0 | 0 | 0 |
| Start-up Costs - GF | 245,578 | 58,257 | 116,294 | 0 | 0 | (245,578) |
| SUBTOTAL - Parole Subprogram | 12,899,419 | 12,914,963 | 14,111,998 | 15,642,853 | 14,808,372 | 1,913,448 |
| FTE | <u>159.1</u> | <u>165.4</u> | <u>165.8</u> | <u>160.5</u> | <u>145.6</u> | <u>(13.5)</u> |
| General Fund | 12,843,807 | 12,882,662 | 14,102,065 | 14,167,853 | 13,383,372 | 544,060 |
| Reappropriated Funds | 55,612 | 0 | 9,933 | 1,475,000 | 1,425,000 | 1,369,388 |
| Federal Funds | 0 | 32,301 | 0 | 0 | 0 | 0 |

**Parole Subprogram
(ΔGF = +\$544,060)**



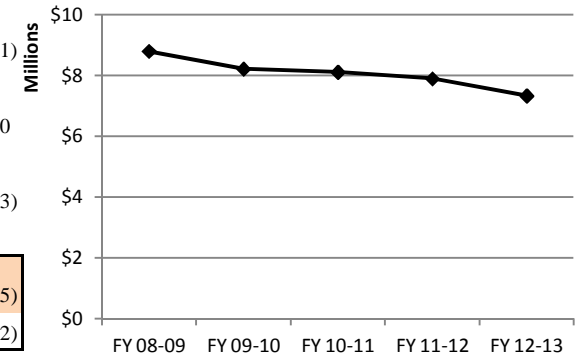
(B) Parole Intensive Supervision Subprogram (ISP)

Primary Function: Manage high-risk offenders who are placed on parole by the Parole Board.

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 5,197,081 | 5,180,138 | 4,784,601 | 4,647,198 | 4,361,770 | (835,311) |
| FTE | 82.6 | 80.5 | 87.1 | 73.3 | 66.4 | (16.2) |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Operating Expenses - GF | 487,061 | 508,384 | 476,428 | 466,730 | 435,144 | (51,917) |
| Contract Services - GF | 1,642,164 | 1,451,178 | 1,598,972 | 1,550,100 | 1,411,141 | (231,023) |
| Non-residential Services - GF | 1,265,879 | 1,006,856 | 1,188,017 | 1,158,436 | 1,051,318 | (214,561) |
| Home Detention - GF | 69,383 | 35,647 | 59,927 | 69,383 | 69,383 | 0 |
| Start-up Costs - GF | 129,343 | 30,660 | 0 | 0 | 0 | (129,343) |
| SUBTOTAL - Parole ISP Subprogram - GF | 8,790,911 | 8,212,863 | 8,107,945 | 7,891,847 | 7,328,756 | (1,462,155) |
| FTE | 82.6 | 80.5 | 87.1 | 73.3 | 66.4 | (16.2) |

**Parole ISP Subprogram - GF
(ΔGF = -\$1,462,155)**

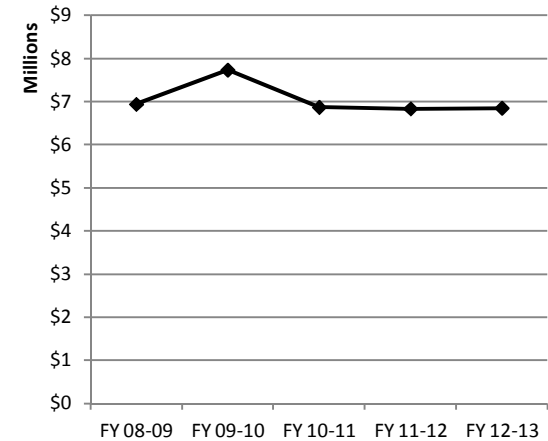


**(C) Community Intensive Supervision
Subprogram (ISP)**

Primary Function: Monitor and supervises offenders in who are in non-residential, transition community corrections programs.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|-----------------|
| Personal Services - GF | 3,630,061 | 3,440,743 | 3,185,694 | 3,146,345 | 3,158,067 | (471,994) |
| FTE | 52.3 | 50.8 | 48.0 | 45.6 | 45.6 | (6.7) |
| Operating Expenses - GF | 535,728 | 519,823 | 515,731 | 515,113 | 517,792 | (17,936) |
| Contract Services - GF | 2,777,375 | 3,775,111 | 3,169,618 | 3,174,885 | 3,174,885 | 397,510 |
| Start-up Costs - GF | 0 | 0 | 0 | 0 | 0 | 0 |
| SUBTOTAL - Community ISP Subprogram - GF | 6,943,164 | 7,735,677 | 6,871,043 | 6,836,343 | 6,850,744 | (92,420) |
| FTE | 52.3 | 50.8 | 48.0 | 45.6 | 45.6 | (6.7) |

**Community ISP Subprogram - GF
(ΔGF = -\$92,420)**



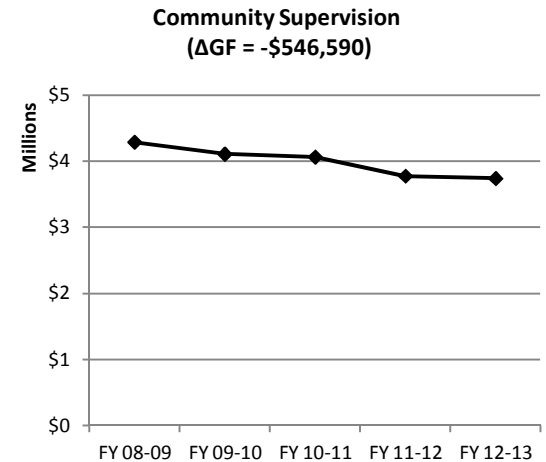
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

(D) Community Supervision Subprogram

(1) Community Supervision

Primary Function: Supervise transition offenders who are placed in residential community corrections facilities.

| | | | | | | |
|--|---------------|---------------|---------------|---------------|---------------|--------------|
| Personal Services - GF | 2,997,614 | 3,017,184 | 2,886,593 | 2,770,847 | 2,775,119 | (222,495) |
| FTE | 41.2 | 39.4 | 41.4 | 35.5 | 35.5 | (5.7) |
| Operating Expenses - GF | 178,297 | 171,323 | 151,099 | 138,366 | 139,269 | (39,028) |
| Community Mental Health Services | 584,491 | 471,702 | 525,245 | 449,803 | 589,617 | 5,126 |
| General Fund | 584,491 | 471,702 | 525,245 | 449,803 | 409,617 | (174,874) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 180,000 | 180,000 |
| Psychotropic Medication - GF | 131,400 | 119,975 | 177,947 | 131,760 | 131,400 | 0 |
| Contract Services for High Risk Offenders - GF | 310,939 | 285,996 | 280,355 | 243,162 | 243,162 | (67,777) |
| Contract Services for Fugitive Returns | <u>73,036</u> | <u>70,027</u> | <u>70,027</u> | <u>74,524</u> | <u>74,524</u> | <u>1,488</u> |
| General Fund | 42,049 | 42,049 | 42,049 | 42,049 | 42,049 | 0 |
| Reappropriated Funds | 30,987 | 27,978 | 27,978 | 32,475 | 32,475 | 1,488 |
| Start-up Costs - GF | 42,416 | 0 | 0 | 0 | 0 | (42,416) |
| SUBTOTAL - Community Supervision | 4,318,193 | 4,136,207 | 4,091,266 | 3,808,462 | 3,953,091 | (365,102) |
| FTE | <u>41.2</u> | <u>39.4</u> | <u>41.4</u> | <u>35.5</u> | <u>35.5</u> | <u>(5.7)</u> |
| General Fund | 4,287,206 | 4,108,229 | 4,063,288 | 3,775,987 | 3,740,616 | (546,590) |
| Reappropriated Funds | 30,987 | 27,978 | 27,978 | 32,475 | 212,475 | 181,488 |



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|

(2) Youthful Offender System Aftercare

Primary Function: Assist YOS offenders as they return to their communities after confinement in YOS.

| | | | | | | |
|---|------------------|------------------|------------------|------------------|------------------|---------------|
| Personal Services - GF | 611,837 | 628,307 | 626,918 | 624,163 | 623,577 | 11,740 |
| FTE | 7.8 | 7.8 | 7.6 | 8.0 | 8.0 | 0.2 |
| Operating Expenses - GF | 141,063 | 130,903 | 140,362 | 140,362 | 141,067 | 4 |
| Contract Services - GF | 1,062,382 | 1,031,196 | 1,060,770 | 1,062,396 | 1,062,396 | 14 |
| SUBTOTAL - Y.O.S. Aftercare - GF | 1,815,282 | 1,790,406 | 1,828,050 | 1,826,921 | 1,827,040 | 11,758 |
| FTE | 7.8 | 7.8 | 7.6 | 8.0 | 8.0 | 0.2 |

(E) Community Re-entry Subprogram

Primary Function: Provide emergency assistance to inmates who require temporary shelter, work clothes, bus tokens, small work tools, or other short-term emergency assistance upon release from custody.

| | | | | | | |
|------------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Personal Services - GF | 1,182,143 | 1,961,539 | 1,959,348 | 1,950,504 | 1,954,182 | 772,039 |
| FTE | 20.3 | 35.3 | 35.7 | 35.6 | 35.6 | 15.3 |
| Operating Expenses - GF | 40,236 | 120,501 | 122,586 | 122,586 | 123,202 | 82,966 |
| Offender Emergency Assistance - GF | 96,768 | 85,458 | 96,768 | 96,768 | 96,768 | 0 |
| Contract Services - GF | 189,976 | 186,590 | 172,282 | 190,000 | 190,000 | 24 |
| Offender Re-employment Center | <u>100,000</u> | <u>363,618</u> | <u>364,000</u> | <u>374,000</u> | <u>374,000</u> | <u>274,000</u> |
| General Fund | 100,000 | 363,618 | 364,000 | 364,000 | 364,000 | 264,000 |
| Cash Funds | 0 | 0 | 0 | 10,000 | 10,000 | 10,000 |

| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Community Reintegration Grants | 414,741 | 135,077 | 23,176 | 129,213 | 48,779 | (365,962) |
| FTE | <u>0.0</u> | <u>1.0</u> | <u>0.0</u> | <u>1.0</u> | <u>1.0</u> | <u>1.0</u> |
| Cash Funds | 295,706 | 25,045 | 749 | 0 | 0 | (295,706) |
| Reappropriated Funds | 0 | 0 | 2,250 | 90,115 | 9,681 | 9,681 |
| Federal Funds | 119,035 | 110,032 | 20,177 | 39,098 | 39,098 | (79,937) |
| FTE | 0.0 | 1.0 | 0.0 | 1.0 | 1.0 | 1.0 |
| Start-up Costs - GF | 69,980 | 0 | 0 | 0 | 0 | (69,980) |
| SUBTOTAL - Community Re-entry Subprogram | 2,093,844 | 2,852,783 | 2,738,160 | 2,863,071 | 2,786,931 | 693,087 |
| FTE | <u>20.3</u> | <u>36.3</u> | <u>35.7</u> | <u>36.6</u> | <u>36.6</u> | <u>16.3</u> |
| General Fund | 1,679,103 | 2,717,706 | 2,714,984 | 2,723,858 | 2,728,152 | 1,049,049 |
| Cash Funds | 295,706 | 25,045 | 749 | 10,000 | 10,000 | (285,706) |
| Reappropriated Funds | 0 | 0 | 2,250 | 90,115 | 9,681 | 9,681 |
| Federal Funds | 119,035 | 110,032 | 20,177 | 39,098 | 39,098 | (79,937) |

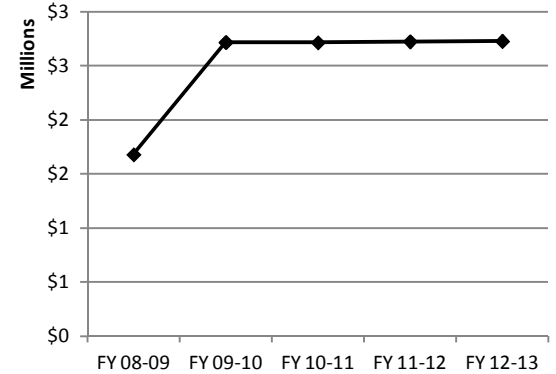
| | | | | | | |
|--------------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|----------------|
| SUBTOTAL - COMMUNITY SERVICES | 36,860,813 | 37,642,899 | 37,748,462 | 38,869,497 | 37,554,934 | 698,616 |
| FTE | <u>363.3</u> | <u>380.2</u> | <u>385.6</u> | <u>359.5</u> | <u>337.7</u> | <u>(25.6)</u> |
| General Fund | 36,359,473 | 37,447,543 | 37,687,375 | 37,222,809 | 35,858,680 | (496,298) |
| Cash Funds | 295,706 | 25,045 | 749 | 10,000 | 10,000 | (285,706) |
| Reappropriated Funds | 86,599 | 27,978 | 40,161 | 1,597,590 | 1,647,156 | 1,560,557 |
| Federal Funds | 119,035 | 142,333 | 20,177 | 39,098 | 39,098 | (79,937) |

(6) PAROLE BOARD

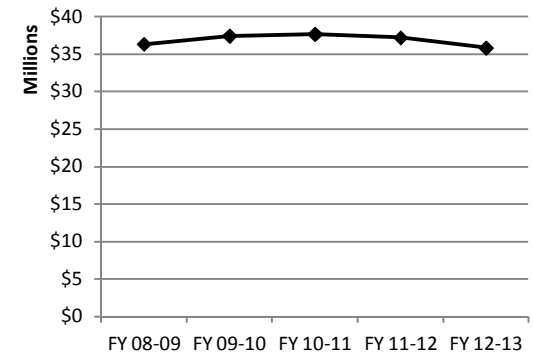
Primary Function: Conduct all parole hearings and parole revocation hearings statewide.

| | | | | | | |
|------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Personal Services - GF | 1,397,000 | 1,361,506 | 1,174,465 | 1,164,841 | 1,173,268 | (223,732) |
| FTE | 14.0 | 16.4 | 16.8 | 12.5 | 12.5 | (1.5) |

Community Re-entry Subprogram
(ΔGF = +\$1,049,049)



COMMUNITY SERVICES
(ΔGF = -\$496,298)



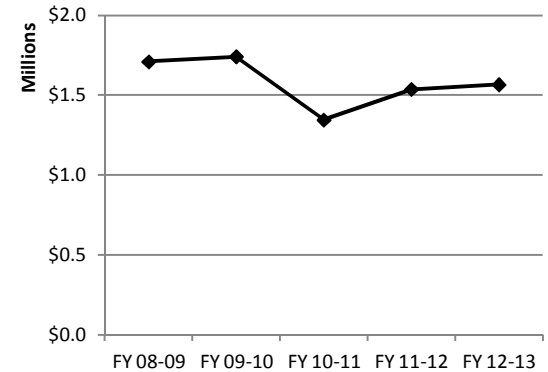
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|-------------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Operating Expenses - GF | 106,890 | 227,838 | 101,545 | 99,545 | 104,890 | (2,000) |
| Contract Services - GF | 151,993 | 152,000 | 70,071 | 272,437 | 288,437 | 136,444 |
| Start-up Costs - GF | 54,369 | 0 | 0 | 0 | 0 | (54,369) |
| SUBTOTAL - PAROLE BOARD - GF | 1,710,252 | 1,741,344 | 1,346,081 | 1,536,823 | 1,566,595 | (143,657) |
| FTE | 14.0 | 16.4 | 16.8 | 12.5 | 12.5 | (1.5) |

(7) CORRECTIONAL INDUSTRIES

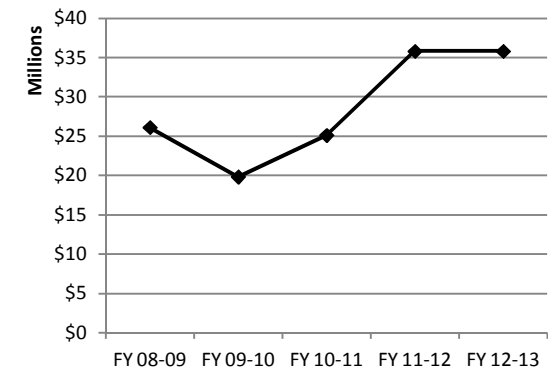
Primary Function: Employ inmates in profit-oriented industries, usually within DOC facilities.

| | | | | | | |
|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------------------|
| Personal Services | 9,580,030 | 8,233,323 | 9,211,534 | 9,981,031 | 10,958,067 | 1,378,037 |
| FTE | <u>143.2</u> | <u>139.5</u> | <u>134.3</u> | <u>142.1</u> | <u>161.1</u> | <u>17.9</u> |
| Cash Funds | 2,299,824 | 1,666,741 | 2,074,216 | 2,942,246 | 3,919,285 | 1,619,461 |
| FTE | 143.2 | 139.5 | 134.3 | 39.2 | 58.2 | (85.0) |
| Reappropriated Funds | 7,280,206 | 6,566,582 | 7,137,318 | 7,038,785 | 7,038,782 | (241,424) |
| FTE | 0.0 | 0.0 | 0.0 | 102.9 | 102.9 | 102.9 |
| Operating Expenses | <u>5,285,330</u> | <u>5,429,374</u> | <u>5,572,585</u> | <u>5,928,190</u> | <u>5,937,690</u> | <u>652,360</u> |
| Cash Funds | 1,174,467 | 1,667,706 | 1,704,437 | 1,817,327 | 1,826,827 | 652,360 |
| Reappropriated Funds | 4,110,863 | 3,761,668 | 3,868,148 | 4,110,863 | 4,110,863 | 0 |
| Raw Materials | <u>26,115,258</u> | <u>19,834,608</u> | <u>25,115,021</u> | <u>35,823,826</u> | <u>35,823,826</u> | <u>9,708,568</u> |
| Cash Funds | 5,356,709 | 4,609,638 | 5,528,887 | 8,441,080 | 8,441,080 | 3,084,371 |
| Reappropriated Funds | 20,758,549 | 15,224,970 | 19,586,134 | 27,382,746 | 27,382,746 | 6,624,197 |
| Inmate Pay | <u>1,582,845</u> | <u>1,491,700</u> | <u>1,591,311</u> | <u>1,649,702</u> | <u>1,877,702</u> | <u>294,857</u> |

**PAROLE BOARD - GF
(ΔGF = -\$143,657)**



**Raw Materials
(ΔTotal Funds = +\$9,708,568)**



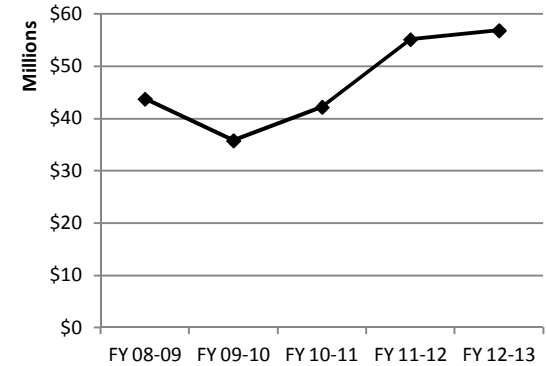
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12-13 Rec |
|---|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Cash Funds | 401,596 | 468,453 | 453,345 | 468,453 | 696,453 | 294,857 |
| Reappropriated Funds | 1,181,249 | 1,023,247 | 1,137,966 | 1,181,249 | 1,181,249 | 0 |
| Capital Outlay | <u>804,794</u> | <u>447,392</u> | <u>370,702</u> | <u>1,406,200</u> | <u>1,406,200</u> | <u>601,406</u> |
| Cash Funds | 165,077 | 69,904 | 55,222 | 337,094 | 337,094 | 172,017 |
| Reappropriated Funds | 639,717 | 377,488 | 315,480 | 1,069,106 | 1,069,106 | 429,389 |
| Correctional Industries Grants - FF | 0 | 0 | 0 | 0 | 503,050 | 503,050 |
| Indirect Cost Assessment | <u>372,933</u> | <u>354,981</u> | <u>330,462</u> | <u>347,654</u> | <u>341,462</u> | <u>(31,471)</u> |
| Cash Funds | 89,399 | 71,447 | 46,928 | 64,120 | 57,928 | (31,471) |
| Reappropriated Funds | 283,534 | 283,534 | 283,534 | 283,534 | 283,534 | 0 |
| SUBTOTAL - CORRECTIONAL INDUSTRIES | 43,741,190 | 35,791,378 | 42,191,615 | 55,136,603 | 56,847,997 | 13,106,807 |
| FTE | <u>143.2</u> | <u>139.5</u> | <u>134.3</u> | <u>142.1</u> | <u>161.1</u> | <u>17.9</u> |
| Cash Funds | 9,487,072 | 8,553,889 | 9,863,035 | 14,070,320 | 15,278,667 | 5,791,595 |
| Reappropriated Funds | 34,254,118 | 27,237,489 | 32,328,580 | 41,066,283 | 41,066,280 | 6,812,162 |
| Federal Funds | 0 | 0 | 0 | 0 | 503,050 | 503,050 |

(8) CANTEEN OPERATION

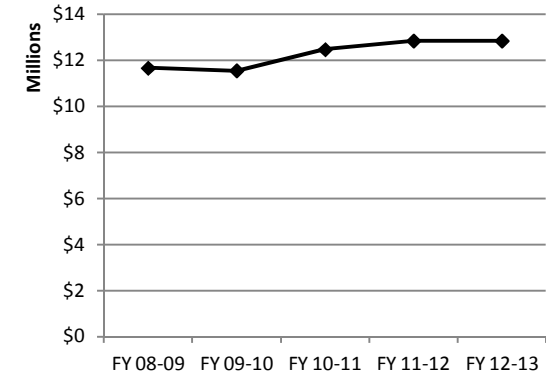
Primary Function: Provide various items for sale to DOC inmates at all DOC facilities.

| | | | | | | |
|----------------------|-------------------|-------------------|-------------------|-------------------|-------------------|------------------|
| Personal Services | 1,777,727 | 1,661,975 | 1,670,580 | 1,732,307 | 1,733,575 | (44,152) |
| FTE | <u>28.5</u> | <u>26.6</u> | <u>29.0</u> | <u>26.9</u> | <u>26.9</u> | <u>(1.6)</u> |
| Cash Funds | 1,777,727 | 1,661,975 | 1,670,580 | 1,732,307 | 1,733,575 | (44,152) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Operating Expenses | <u>11,673,987</u> | <u>11,558,167</u> | <u>12,480,086</u> | <u>12,851,987</u> | <u>12,851,987</u> | <u>1,178,000</u> |
| Cash Funds | 11,673,987 | 11,558,167 | 12,480,086 | 12,851,987 | 12,851,987 | 1,178,000 |

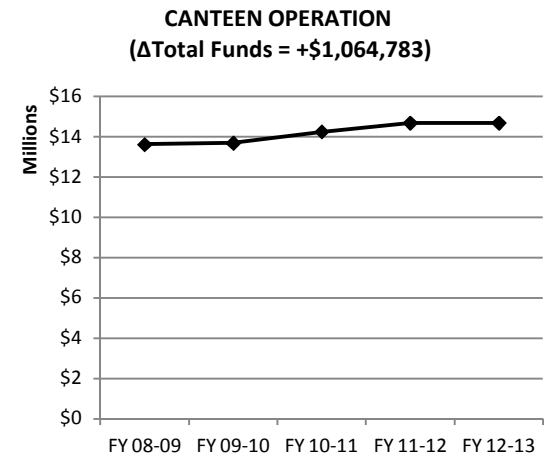
CORRECTIONAL INDUSTRIES
(ΔTotal Funds = +\$13,106,807)



Canteen Operating Expenses
(ΔTotal Funds = +\$1,178,000)



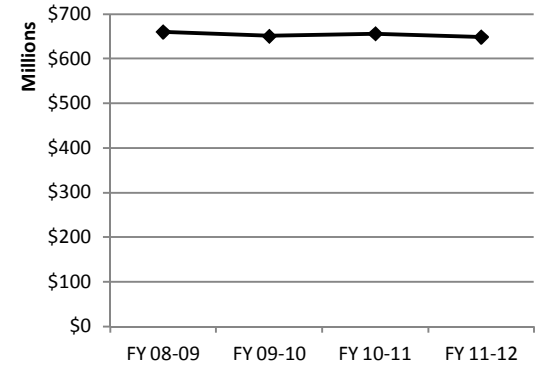
| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|-------------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Inmate Pay | <u>40,386</u> | <u>40,386</u> | <u>40,386</u> | <u>40,386</u> | <u>40,386</u> | <u>0</u> |
| Cash Funds | 40,386 | 40,386 | 40,386 | 40,386 | 40,386 | 0 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Indirect Cost Assessment | <u>67,416</u> | <u>64,171</u> | <u>49,837</u> | <u>51,127</u> | <u>49,451</u> | <u>(17,965)</u> |
| Cash Funds | 67,416 | 64,171 | 49,837 | 51,127 | 49,451 | (17,965) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Start-up Costs | <u>51,100</u> | <u>356,317</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>(51,100)</u> |
| General Fund | 0 | 0 | 0 | 0 | 0 | 0 |
| Cash Funds | 51,100 | 356,317 | 0 | 0 | 0 | (51,100) |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| SUBTOTAL - CANTEEN OPERATION | 13,610,616 | 13,681,016 | 14,240,889 | 14,675,807 | 14,675,399 | 1,064,783 |
| FTE | <u>28.5</u> | <u>26.6</u> | <u>29.0</u> | <u>26.9</u> | <u>26.9</u> | <u>(1.6)</u> |
| General Fund | 0 | 0 | 0 | 0 | 0 | 0 |
| Cash Funds | 13,610,616 | 13,681,016 | 14,240,889 | 14,675,807 | 14,675,399 | 1,064,783 |
| Reappropriated Funds | 0 | 0 | 0 | 0 | 0 | 0 |



| | FY 08-09 Actual (adjusted) | FY 09-10 Actual (adjusted) | FY 10-11 Actual (adjusted) | FY 11-12 Approp (adjusted) | FY 12-13 Recomnd (adjusted) | Change FY08-09 to FY12- 13 Rec |
|--|----------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|--------------------------------------|
| GRAND TOTAL - DEPARTMENT OF CORRECTIONS | 733,125,321 | 716,679,502 | 727,694,733 | 737,738,706 | Pending | 6,900,615 |
| FTE | <u>6,198.2</u> | <u>6,086.7</u> | <u>6,386.9</u> | <u>6,220.6</u> | | (41.0) |
| General Fund | 660,514,382 | 651,044,176 | 656,452,008 | 648,950,165 | | (7,148,153) |
| Cash Funds | 35,952,776 | 36,792,525 | 36,617,918 | 42,118,065 | | 5,820,544 |
| Reappropriated Funds | 35,184,480 | 27,810,000 | 33,731,671 | 44,473,375 | | 8,688,124 |
| Federal Funds | 1,473,683 | 1,032,801 | 893,136 | 2,197,101 | | (459,900) |

| | | | | | |
|---|--------|--------|--------|--------|--------|
| Offenders in DOC facilities (actual & LCS forecast) | 14,630 | 14,464 | 14,771 | 14,528 | 14,449 |
| Offenders in Private Prisons (including CMRC, actual & LCS forecast) | 5,336 | 5,182 | 4,520 | 4,304 | 4,036 |
| Offenders in DOC & Priv Prisons (including CMRC) | 19,966 | 19,646 | 19,291 | 18,832 | 18,485 |

**Grand Total, DOC, Change from
FY08-09 to FY11-12
(ΔAdjusted GF = -\$7,148,153)**



MEMORANDUM

TO: Members of the Joint Budget Committee

FROM: Steve Allen, JBC Staff, 303-866-4961

SUBJECT: Staff Comeback for the Department of Corrections (DOC)

DATE: March 16, 2012

The Committee has not yet made decision on staff recommendations for the first two divisions that are found in the March 8, 2012, DOC figure setting document. Division 1, Management, begins on page 52 and Division 2, Institutions, begins on page 68.

Staff will refer to this document during the comeback presentation.