

**COLORADO GENERAL ASSEMBLY
JOINT BUDGET COMMITTEE**



**FY 2016-17 STAFF FIGURE SETTING
DEPARTMENT OF CORRECTIONS**

**JBC Working Document - Subject to Change
Staff Recommendation Does Not Represent Committee Decision**

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How to Use this Document

The Department Overview contains a table summarizing the staff recommended incremental changes followed by brief explanations of each incremental change. A similar overview table is provided for each division, but the description of incremental changes is not repeated, since it is available under the Department Overview. More details about the incremental changes are provided in the sections following the Department Overview and the division summary tables.

Decision items, both department-requested items and staff-initiated items, are discussed either in the Decision Items Affecting Multiple Divisions or at the beginning of the most relevant division. Within a section, decision items are listed in the requested priority order, if applicable.

Department Overview

The Department is responsible for

- Managing, supervising, and controlling the correctional facilities operated and supported by the State;
- Supervising the population of offenders placed in the custody of the Department, including inmates in prison, parolees, and transition inmates who are placed into community corrections programs and other community settings;
- Planning for the projected, long-range needs of the institutions under the Department's control; and
- Developing educational programs, treatment programs, and correctional industries within the facilities that have a rehabilitative or therapeutic value for inmates and supply necessary products for state institutions and other public purposes.

The Department's FY 2015-16 appropriation is 89.9% General Fund and represents 8.1 percent of statewide General Fund appropriations.

SUMMARY OF STAFF RECOMMENDATIONS

Department of Corrections						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$868,560,106	\$779,703,369	\$40,931,411	\$46,665,389	\$1,259,937	6,239.8
5 year appropriations in criminal sentencing bills passed in prior sessions	260,765	260,765	0	0	0	0.0
2015 session bills	(843,676)	656,324	(1,500,000)	0	0	0.0
H.B. 16-1238 (DOC supplemental)	<u>(16,635,816)</u>	<u>(16,551,129)</u>	<u>(84,687)</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$851,341,379	\$764,069,329	\$39,346,724	\$46,665,389	\$1,259,937	6,239.8
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$851,341,379	\$764,069,329	\$39,346,724	\$46,665,389	\$1,259,937	6,239.8
Annualize prior year budget actions	5,190,744	4,998,617	192,127	0	0	2.1
Non-prioritized requests	859,791	703,825	155,966	0	0	0.0
R3 Medical caseload	397,158	397,158	0	0	0	0.0
R2 Utilities inflation	333,230	315,236	17,994	0	0	0.0
R1 Food service inflation	328,981	328,981	0	0	0	0.0
Indirect cost assessment adjustments	70,506	(70,505)	(17,227)	60,534	97,704	0.0
CSP II Lease Payment Adjustment	3,500	3,500	0	0	0	0.0
R5 Provider rate decrease	0	0	0	0	0	0.0

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Department of Corrections						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
Centrally appropriated line item adjustments	(5,415,864)	(5,123,533)	(279,734)	(12,597)	0	0.0
Funding already in statute from criminal sentencing bills	(3,344,870)	(3,344,870)	0	0	0	0.0
R4 External capacity caseload	(2,523,251)	(2,523,251)	0	0	0	0.0
Remove Leap Day Funding	<u>(255,504)</u>	<u>(255,504)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$846,985,800	\$759,498,983	\$39,415,850	\$46,713,326	\$1,357,641	6,241.9
Increase/(Decrease)	(\$4,355,579)	(\$4,570,346)	\$69,126	\$47,937	\$97,704	2.1
Percentage Change	(0.5%)	(0.6%)	0.2%	0.1%	7.8%	0.0%
FY 2016-17 Executive Request	\$846,511,492	\$759,041,673	\$39,398,412	\$46,713,766	\$1,357,641	6,241.9
Request Above/(Below) Recommendation	(\$474,308)	(\$457,310)	(\$17,438)	\$440	\$0	(0.0)

Description of Incremental Changes

FY 2016-17

Annualize prior year budget actions: The recommendation annualizes prior budget actions for Mental Health Staff (\$20,475), Buena Vista Wastewater (\$210,748), prior year salary survey (\$1,906,474), and merit base pay (\$2,860,920).

Non-prioritized requests: The recommendation includes the Annual Fleet Vehicle Request and Secure Colorado.

R3 Medical caseload: The recommendation adjusts for offender caseload changes and for the cost per offender for pharmaceuticals and external medical care. The General Fund appropriations for external medical care costs are expected to decline by \$123,386 and pharmaceuticals to increase by \$520,544.

R2 Utilities inflation: The recommendation increases the appropriation for utilities due to utilities inflation by \$333,230 total funds, including \$315,236 General Fund.

R1 Food service inflation: The recommendation increases the appropriation for food service by \$328,981 total funds due to food inflation. The increase is comprised of \$283,004 for *Operating Expenses* and \$45,977 for *Purchases of Services*. The latter increase pays for meals prepared by the Colorado Mental Health Institute at Pueblo for DOC facilities on that campus, which requires an increase of reappropriated funds for the Department of Human Services.

Indirect cost assessment adjustments: The recommendation increases indirect cost assessments from various cash, reappropriated, and federal fund sources and uses the additional assessments to reduce General Fund appropriations to the Business Operations subprogram.

CSP II Lease Payment Adjustment: The recommendation increases the appropriation for CSP II lease purchase payments by \$3,500 General Fund as required by the state's debt agreements.

R5 Provider rate decrease: The recommendation does not adjust the provider rate, pursuant to provider rate common policy.

Funding already in statute from criminal sentencing bills: The recommendation reduces the appropriation that would otherwise be in the Long Bill for housing and security by \$3,344,870, the amount already appropriated in criminal sentencing bills with five-year statutory appropriations.

Centrally appropriated line item adjustments. The appropriation adjusts central appropriations for Health, life, and dental, Short-term disability, AED, SAED, Salary survey, Merit pay, Shift differential, Workers' compensation, Legal services, Payment to risk management / property funds, Leased space, Capitol Complex leased space, Payments to OIT, and CORE operations.

R4 External capacity caseload: The recommendation adopts the Division of Criminal Justice prison population forecast and, based on that forecast, recommends a decrease of \$2,523,251 General Fund.

Remove Leap Day Funding: The recommendation removes funding for the leap day that occurred at the end of February 2016. Private prisons, jails, and the community corrections facilities that house some of the State's revoked parolees are paid on a per offender per day basis. The FY 2015-16 leap day required an extra day of payments.

Major Differences from the Request

There are a number of differences in the centrally appropriated line items, the largest being Workers' Compensation where the request exceeds the recommendation by \$577,698. Requested Payments to Risk Management and Property funds exceed the recommendation by \$217,258, Requested Payments to OIT exceed the recommendation by \$207,708.

In the external capacity appropriations, the recommendation exceeds the request by \$181,749,414; this difference due to the requested provider rate decrease. For non-external-capacity providers, the requested provider rate decrease accounts for a \$217,770 difference.

Decision Items Affecting Multiple Divisions



Selection of a Population Forecast and R4-BA3 External Capacity Caseload

Request: Based on the December 2015 DCJ population forecast, the Department requests the following adjustments to external capacity appropriations for FY 2016-17. The request includes a provider rate reduction of one percent:

Provider	FY 15-16 Appropriation	Requested Change	Requested FY 16-17 Appropriation
Local jails	11,739,144	(178,516)	11,560,628
In-state private prisons	65,784,375	(2,893,095)	62,891,280
Pre-release and parole revocation facilities	12,035,219	(683,310)	11,351,909
Community Return to Custody Facilities	3,955,659	(52,814)	3,902,845
Total	9,3514,397	(3,807,735)	89,706,662

Recommendation: Staff recommends the following external capacity appropriations.

Provider	FY 15-16 Appropriation	Recommended Change	Staff FY 16-17 Recommendation
Local jails	11,739,144	(31,141)	11,708,003
In-state private prisons	65,784,375	(2,193,272)	63,591,103
Pre-release and parole revocation facilities	12,035,219	(543,836)	11,491,383
Community Return to Custody Facilities	3,955,659	(10,506)	3,945,153
Total	93,514,397	(2,778,755)	90,735,642

Analysis:

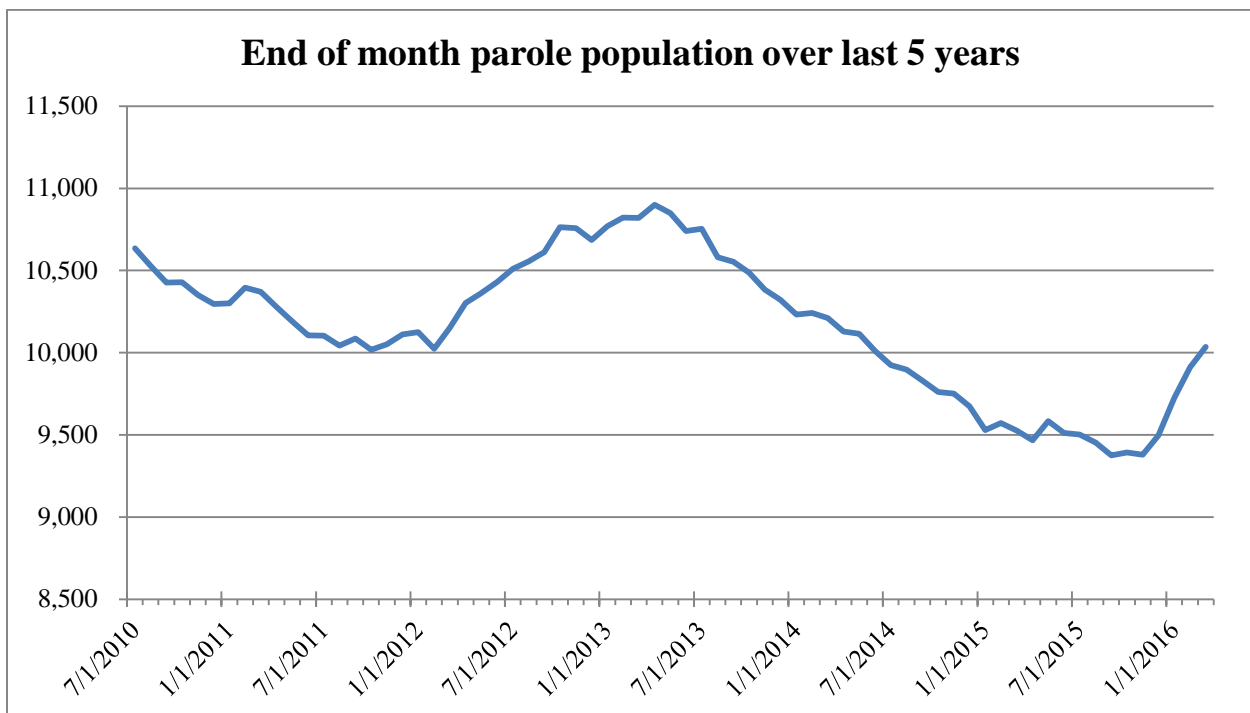
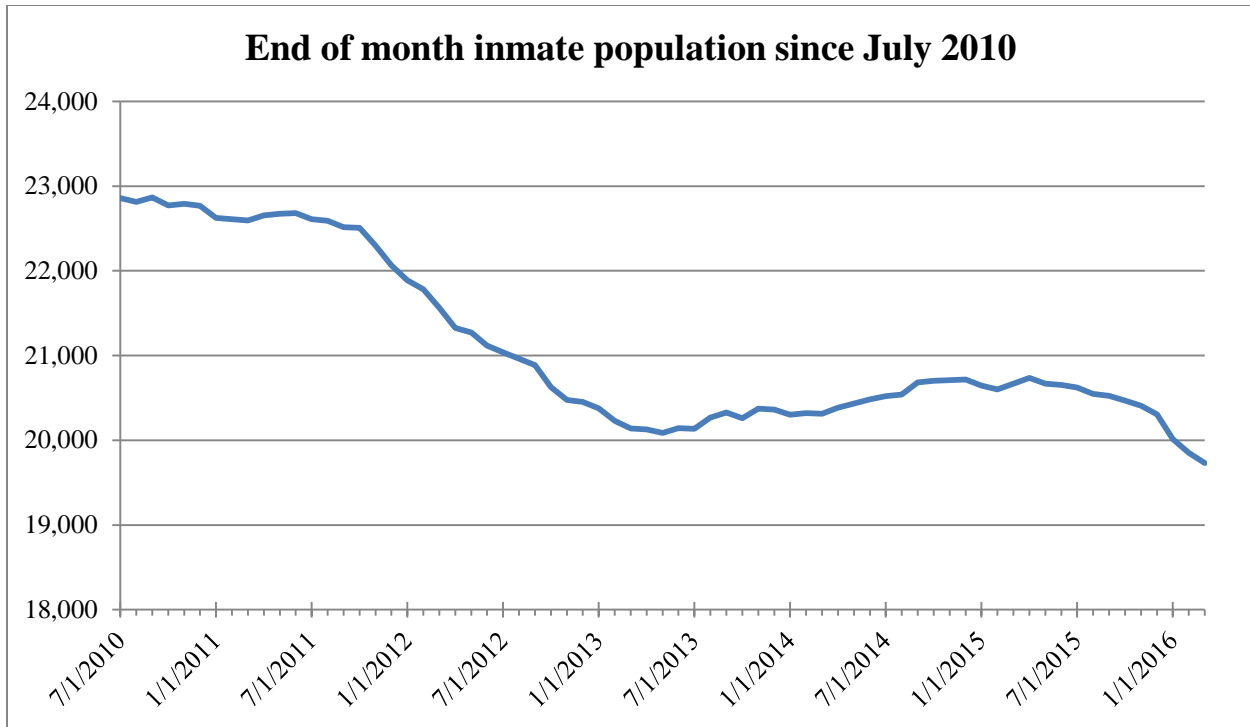
Part 1: Staff recommends that the Committee adopt the Division of Criminal Justice (DCJ) inmate population forecast rather than the Legislative Council Staff (LCS) forecast and use it as the basis for computing some of the FY 2016-17 appropriations contained in the Long Bill.

Part 2: Staff recommends that the Committee approve the Department's caseload adjustment request. Note that the Department also requests a 1 percent provider rate decrease, so the request and recommendation columns in the numbers pages do not match.

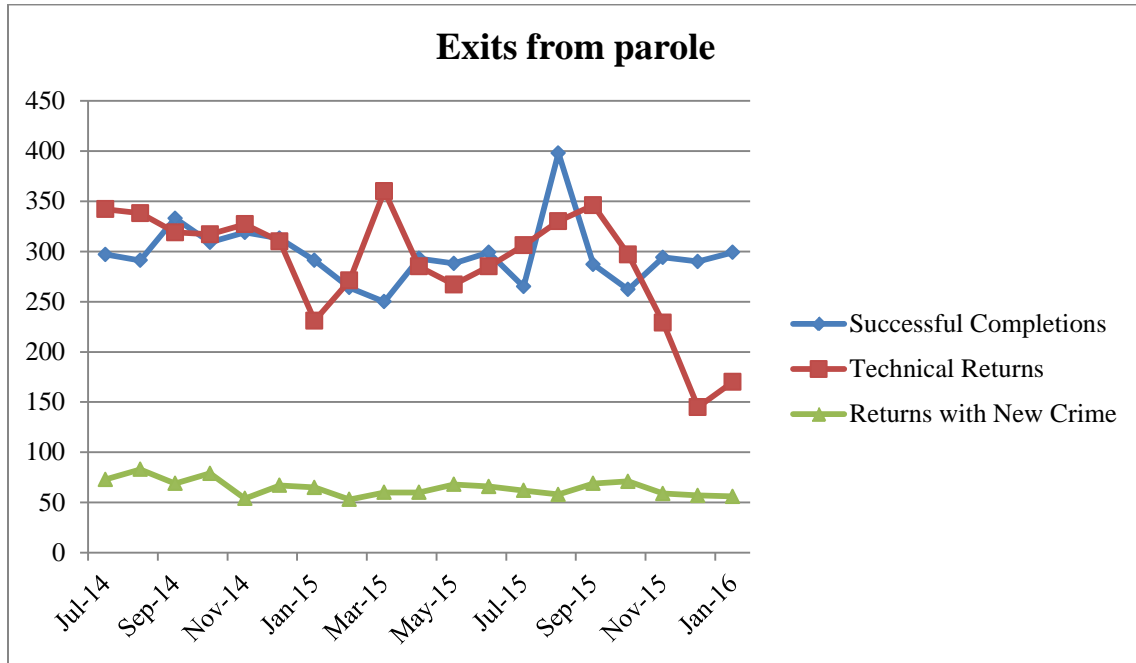
Analysis: Inmate population forecasts affect the cost of two decision items requested by the Department of Corrections: the External Capacity decision item and the Medical Caseload decision item. Normally, the Department's budget request would also include a parole decision item that would depend on the parole population forecast. However, as will be discussed during the presentation of Division (5) Community Services, for FY 2016-17 the Department requests that its parole appropriations not be altered for FY 2016-17.

The DOC bases its External Capacity and Medical Caseload requests upon the December 2015 Division of Criminal Justice (DCJ) population forecasts. The Committee can adopt the DCJ forecast or it can adopt the December 2015 Legislative Council Staff (LCS) forecast.

The following charts show the inmate and parole populations over the last 5 years.

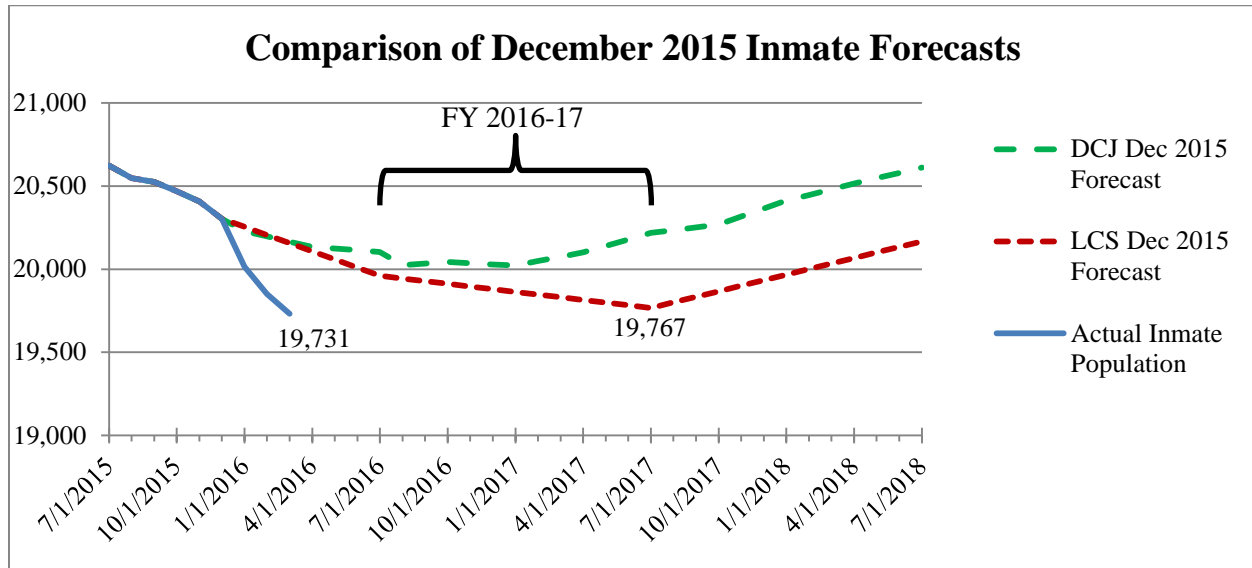


The following graph shows the recent sharp dip in technical parole revocations, which is a key reason for recent population trends.



Decreases in technical revocations decrease the inmate population and increase the parole population; increases in the rate of parole would have a similar effect.

The next chart contrasts the December DCJ forecast with the lower LCS forecast. Note that for FY 2016-17 figure setting purposes, it's the average population between July 1, 2016 and July 1, 2017 (i.e. the bracketed part of chart) that matters. Both forecasts were issued in December 2015 when the end-of-November actual population was known. As the chart shows, the inmate population subsequently declined much faster than either forecast predicted.



The inmate forecast and external capacity. The Department follows a simple rule for filling beds: it fills its own beds first and places remaining offenders in external capacity beds. This rule, in combination with the DCJ and LCS forecasts and independent data from DOC about the usage of jails and Community Return to Custody facilities, implies the following average daily need for external capacity beds in FY 2016-17 under each forecast:

Provider	DCJ FY 16-17 projected average daily bed need	LCS FY 16-17 projected average daily bed need	DCJ exceeds LCS by
Local jails	598	598	0
In-state private prisons	3,110	2,875	235
Pre-release and parole revocation facilities (Cheyenne Mountain Reentry Center, CMRC)	562	519	43
Community Return to Custody Facilities (community corrections programs that house revoked parolees)	216	216	0
Total	4,486	4,208	278

The following table shows the cost of these beds if daily rates per bed remain at the FY 2015-16 level.

Provider	FY 15-16 approp.	FY15-16 daily rate	FY 16-17 approp with DCJ and no rate change	FY 16-17 approp with LCS and no rate change	Difference
Local jails	\$11,739,144	\$53.64	\$11,708,003	\$11,708,003	\$0
In-state private prisons	65,784,375	56.02	63,591,103	58,785,988	4,805,115
Pre-release and parole revocation facilities	12,035,219	56.02	11,491,383	10,612,149	879,234
Community Return to Custody Facilities	3,955,659	50.04	3,945,154	3,945,154	0
Total	\$95,077,873		\$90,735,643	\$85,051,294	\$5,684,349

This table includes a leap year adjustment: A leap day occurred at the end of February 2016, meaning that there were 366 days in FY 2015-16. Private prisons, jails, and community return to custody facilities are paid on a per-offender-per-day basis and this leap day required an extra day of payments during FY 2015-16. The table above adjusts for this leap day, i.e. the appropriations

in the *FY 15-16 approp* column are based on a 366 day year while the appropriations in the FY 16-17 DCJ and LCS columns are based on a 365 day year.

Recommendation: Staff recommends that the Committee adopt the December 2015 DCJ population forecast even though the December 2015 DCJ population forecast results in an External Capacity appropriation that is \$5,684,349 General Fund higher than the LCS forecast. Staff recommends the DCJ forecast because it is less likely to require additional appropriations during supplementals next January to make up for shortfalls.



R5 Provider Rate Decrease

Request: The Department requests that the community provider rate be decreased by 1.0 percent. The requested General Fund appropriation adjustments are as follows:

Line Item	Effect of 1% rate decrease
External Capacity Providers:	
Payments to local jails	
Payments to in-state private prisons	
Payments to pre-release and parole revocation facilities	
Community corrections programs	
Other Providers (9 line items)*	
Total	(\$907,356)

*Other providers supply medical and mental health services and are listed in the Analysis section.

Recommendation: In accord with Committee common policy, Staff recommends no rate adjustment for providers.

Analysis:

Note on the calculation of provider rate adjustments: If the Committee later decides upon a 1 percent provider rate reduction, the savings from Other Providers will be as shown in the above table. The savings from External Capacity Providers will differ. Why? Applying a rate change before or after computing an external capacity population change can make a big difference in the reported dollar effect of a rate change. A smaller source of differences is the manner in which the calculation is done: reducing a total dollar appropriation by 1 percent and rounding the result to the nearest dollar (technique 1) does not give the same result as reducing a daily rate by 1 percent, rounding to the nearest cent, multiplying by the population, and rounding the result to the nearest dollar (technique 2). Yet another source of difference is whether or not the rate reduction applies to the (relatively small) amount of cash funds in the external capacity line items. For external capacity, the Department applies the rate changes to the pre-change population, excludes cash funds, and uses technique 1. Staff does the opposite. Caseload and rate information is less readily available for Other Providers so staff uses the Department's technique.

A provider rate change affects two sets of costs at the Department of Corrections (DOC):

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- External Capacity costs, i.e. the costs of placing inmates in private prisons, jails, and Community Return to Custody Facilities (CRCF), and
- Costs for Other Providers who supply medical and mental health services.

External Capacity – savings from a provider rate decrease: The projected effect of a provider rate change on external capacity costs depends upon the number of external-capacity inmates, i.e. the number of inmates who are forecast to be in private prisons, jails, and CRCF.

DCJ Forecast: The following table summarizes the effects of a 1 percent rate decrease on providers that house state prisoners, based on the DCJ forecast:

Effect of a 1% provider rate decrease on External Capacity appropriations with DCJ forecast						
Provider	FY 16-17 bed need based on DCJ forecast	FY15-16 daily rate	FY16-17 daily rate reduced 1%	Cost of FY 16-17 beds with no rate change	Cost of beds with 1% rate decrease	Difference = effect of 1% rate decrease
Local jails	598	\$53.64	\$53.10	11,708,003	11,590,923	(117,866)
In-state private prisons	3,110	56.02	55.46	63,591,103	62,955,192	(635,684)
Pre-release and parole revocation facilities	562	56.02	55.46	11,491,383	11,376,469	(114,873)
Community Return to Custody Facilities	216	50.04	49.54	3,945,154	3,905,702	(39,420)
Total	4,208			90,735,642	89,828,286	(907,843)

LCS Forecast: The next table summarizes the effects of a 1 percent rate decrease on providers that house state prisoners, based on the LCS forecast:

Effect of a 1% provider rate decrease on external capacity appropriations with LCS forecast						
Provider	FY 16-17 bed need based on LCS forecast	FY15-16 daily rate	FY16-17 daily rate reduced 1%	Cost of FY 16-17 beds with no rate change	Cost of beds with 1% rate decrease	Difference = effect of 1% rate decrease
Local jails	598	\$53.64	\$53.10	11,708,003	11,590,923	(117,866)
In-state private prisons	2875	56.02	55.46	58,785,988	58,198,128	(587,650)
Pre-release and parole revocation facilities	519	56.02	55.46	10,612,149	10,506,027	(106,084)
Community Return to Custody Facilities	216	50.04	49.54	3,945,154	3,905,702	(39,420)
Total	4,208			85,051,293	84,200,780	(850,513)

Other Providers – savings from a provider rate decrease: The next table summarizes the effects of a 1% rate decrease for Other Providers. In several cases only a portion of a line item pays for contract providers and is subject to the 1 percent reduction.

Other Providers				
Long Bill subdivision and line	FY 15-16 approp.	FY 15-16 contracts	Effect of a 1.0% decrease	Types of providers or services provided
(2)(E) Medical Services				
Personal Services	\$32,143,287	\$3,500,000	(\$35,000)	Physicians, nurses, dentists, medical assistants
Contract Services	\$2,490,075	\$2,490,075	(\$24,901)	
(2)(J) Mental Health				
Personal Services	\$12,370,450	\$635,000	(\$6,350)	Psychiatrists, psychologists, mental health professionals, clinical therapists
Medical Contract Services	\$4,034,958	\$4,034,958	(\$40,350)	

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Other Providers				
Long Bill subdivision and line	FY 15-16 approp.	FY 15-16 contracts	Effect of a 1.0% decrease	Types of providers or services provided
(4)(D) Drug & Alcohol Treatment, Contract Services	\$2,075,799	\$2,075,799	(\$20,758)	Substance abuse therapists, clinical therapists
(5)(A) Parole				
Contract Services (GF only)	\$5,488,978	\$5,488,978	(\$54,890)	Cognitive behavioral therapists, short term intensive residential
Wrap-Around Services	\$1,834,291	(\$18,343)		treatment, case management,
(5)(B)(1) Community Supervision				mental health evaluations,
Community Mental Health Services	\$640,062	\$640,062	(\$6,401)	psychological testing and
Contract Services	\$2,912,001	\$2,912,001	(\$29,120)	evaluation
Total	\$63,989,901	\$21,758,530	(\$217,770)	

➔ NP Indirect cost adjustments

Request: The Department requests that its indirect cost assessments from various cash, reappropriated, and federal fund sources be increased by \$70,505 and that the additional assessments be used to reduce General Fund appropriations to the Business Operations subprogram by \$70,505. The indirect cost plan is summarized in Appendix B.

Recommendation: Staff recommends approval of this request.

(1) MANAGEMENT

The management division contains three subprograms:

- The Executive Director's Office, which is responsible for the management, leadership, and direction of the Department.
- The External Capacity Subprogram, which monitors private prison facilities and makes payments to county jails, private prisons, and community corrections facilities that house revoked parolees, and
- The External Capacity Subprogram, which investigates all criminal activities within the prison system, including activities of staff and inmates.

	Management					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$231,196,702	\$224,574,183	\$6,053,065	\$276,542	\$292,912	93.9
2015 session bills	(1,563,476)	(1,563,476)	0	0	0	0.0
H.B. 16-1238 (DOC supplemental)	<u>(12,006,077)</u>	<u>(12,006,077)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1.0)</u>
TOTAL	\$217,627,149	\$211,004,630	\$6,053,065	\$276,542	\$292,912	92.9
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$217,627,149	\$211,004,630	\$6,053,065	\$276,542	\$292,912	92.9
Annualize prior year budget actions	91,893	91,893	0	0	0	0.0
Non-prioritized requests	0	0	0	0	0	0.0
Centrally appropriated line item adjustments	(3,915,568)	(3,655,102)	(260,466)	0	0	0.0
R4 External capacity caseload	(2,523,251)	(2,523,251)	0	0	0	0.0
R5 Provider rate decrease	0	0	0	0	0	0.0
Remove Leap Day Funding	<u>(255,504)</u>	<u>(255,504)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$211,024,719	\$204,662,666	\$5,792,599	\$276,542	\$292,912	92.9
Increase/(Decrease)	(\$6,602,430)	(\$6,341,964)	(\$260,466)	\$0	\$0	0.0
Percentage Change	(3.0%)	(3.0%)	(4.3%)	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$210,532,458	\$204,144,113	\$5,818,891	\$276,542	\$292,912	92.9
Request Above/(Below) Recommendation	(\$492,261)	(\$518,553)	\$26,292	\$0	\$0	(0.0)

DECISION ITEMS – MANAGEMENT (NONE)

LINE ITEM DETAIL – MANAGEMENT

(1) Management

(A) Executive Director's Office Subprogram

The Executive Director's Office (EDO) is responsible for the management, leadership, and direction of the Department. The staff of the office include top Department employees, legislative liaison, community relations, public information, the Office of Planning and Analysis unit, and the budget unit. Appropriations to the EDO also include central appropriations for such things as salary survey, merit pay, shift differential, health, life, and dental insurance, short-term disability, and legal services.

Personal Services

As with all personal services line items in this department, this line item funds salaries of regular employees, as well as the associated state contribution to the Public Employees Retirement Association (PERA) and the state share of federal Medicare taxes. Also included are wages of temporary employees, payments for contracted services, and termination/retirement payouts for accumulated vacation and sick leave.

Reappropriated funds are from Victims Assistance and Law Enforcement Fund (State VALE) grants transferred from the Division of Criminal Justice in the Department of Public Safety.

Statutory Authority: Section 17-1-103 C.R.S. - Duties of executive director

Request and Recommendation: The following table summarizes the request and the recommendation.

Management, Executive Director's Office, Subprogram, Personal Services				
	Total Funds	General Fund	Reappropriated Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$2,022,081	\$1,778,276	\$243,805	27.8
H.B. 16-1238 DOC supplemental	<u>(57,732)</u>	<u>(57,732)</u>	<u>0</u>	<u>(1.0)</u>
TOTAL	\$1,964,349	\$1,720,544	\$243,805	26.8
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$1,964,349	\$1,720,544	\$243,805	26.8
Annualize prior year budget actions	<u>27,434</u>	<u>27,434</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$1,991,783	\$1,747,978	\$243,805	26.8
Increase/(Decrease)	\$27,434	\$27,434	\$0	0.0
Percentage Change	1.4%	1.6%	0.0%	0.0%

Management, Executive Director's Office, Subprogram, Personal Services				
	Total Funds	General Fund	Reappropriated Funds	FTE
FY 2016-17 Executive Request:	\$1,991,783	\$1,747,978	\$243,805	26.8
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Restorative Justice Program with Victim-Offender Dialogues in Department Facilities.

This appropriation funds the Restorative Justice Program to facilitate victim-offender dialogues within the Department's facilities. The Department will arrange the dialogues if requested by the victim and agreed to by the offender.

The Victim-Offender Dialog (VOD) program conducts victim-initiated conferences in which a victim of violent crime meets face-to-face in a secure environment with the offender who committed the crime. All parties must agree to the meeting and the offender must be in the custody of DOC. The objective is to (1) provide victims with a safe opportunity to be heard and to experience a sense of justice and healing and (2) provide the offender who committed the crime with an opportunity to express remorse and regret and to experience a sense of accountability. The meetings are carefully controlled and are overseen by DOC employees or volunteer facilitators approved by the DOC.

Statutory Authority: Section 17-28-101 and 103, C.R.S., Section 18-1-901 (2) (o.5), C.R.S.

Request and Recommendation: The Department requests and Staff recommends a continuation appropriation of \$75,000 General Fund for this line item.

Health, Life, and Dental (HLD)

This line item funds the employer's share of the cost of group benefit plans providing health, life, and dental insurance for the Department's employees.

Statutory authority: Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (9), C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

Management, Executive Director's Office, Subprogram, Health, Life, and Dental				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$51,579,140</u>	<u>\$50,015,018</u>	<u>\$1,564,122</u>	<u>0.0</u>
TOTAL	\$51,579,140	\$50,015,018	\$1,564,122	0.0

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, Executive Director's Office, Subprogram, Health, Life, and Dental				
	Total Funds	General Fund	Cash Funds	FTE
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$51,579,140	\$50,015,018	\$1,564,122	0.0
Centrally appropriated line item adjustments	<u>(1,097,553)</u>	<u>(1,015,668)</u>	<u>(81,885)</u>	<u>0.0</u>
TOTAL	\$50,481,587	\$48,999,350	\$1,482,237	0.0
Increase/(Decrease)	(\$1,097,553)	(\$1,015,668)	(\$81,885)	0.0
Percentage Change	(2.1%)	(2.0%)	(5.2%)	0.0%
FY 2016-17 Executive Request:	\$50,481,587	\$48,999,350	\$1,482,237	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Short-term Disability (STD)

This line item funds the cost of short term disability insurance for the Department's employees. STD coverage provides for a partial payment of an employee's salary if an individual becomes temporarily disabled and cannot work.

Statutory Authority: Pursuant to Section 24-50-611, C.R.S., and defined in Section 24-50-603 (13), C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

Management, Executive Director's Office, Subprogram, Short-term Disability				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$733,991</u>	<u>\$711,870</u>	<u>\$22,121</u>	<u>0.0</u>
TOTAL	\$733,991	\$711,870	\$22,121	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$733,991	\$711,870	\$22,121	0.0
Centrally appropriated line item adjustments	<u>(116,690)</u>	<u>(112,884)</u>	<u>(3,806)</u>	<u>0.0</u>

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, Executive Director's Office, Subprogram, Short-term Disability				
	Total Funds	General Fund	Cash Funds	FTE
TOTAL	\$617,301	\$598,986	\$18,315	0.0
Increase/(Decrease)	(\$116,690)	(\$112,884)	(\$3,806)	0.0
Percentage Change	(15.9%)	(15.9%)	(17.2%)	0.0%
FY 2016-17 Executive Request:	\$617,301	\$598,986	\$18,315	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

S.B. 04-257 Amortization Equalization Disbursement (AED)

This line item provides additional funding for the Public Employees' Retirement Association (PERA).

Statutory Authority: Pursuant to Section 24-51-411, C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

Management, Executive Director's Office, Subprogram, S.B. 04-257 Amortization Equalization Disbursement				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$15,015,963</u>	<u>\$14,570,654</u>	<u>\$445,309</u>	<u>0.0</u>
TOTAL	\$15,015,963	\$14,570,654	\$445,309	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$15,015,963	\$14,570,654	\$445,309	0.0
Centrally appropriated line item adjustments	<u>939,765</u>	<u>920,936</u>	<u>18,829</u>	<u>0.0</u>
TOTAL	\$15,955,728	\$15,491,590	\$464,138	0.0
Increase/(Decrease)	\$939,765	\$920,936	\$18,829	0.0
Percentage Change	6.3%	6.3%	4.2%	0.0%
FY 2016-17 Executive Request:	\$15,955,728	\$15,491,590	\$464,138	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

S.B. 06-235 Supplemental Amortization Equalization Disbursement (SAED)

This line item provides additional funding for the Public Employees' Retirement Association (PERA).

Statutory Authority: Pursuant to Section 24-51-411, C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

Management, Executive Director's Office, Subprogram, S.B. 06-235 Supplemental Amortization Equalization Disbursement				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$14,498,673</u>	<u>\$14,068,545</u>	<u>\$430,128</u>	<u>0.0</u>
TOTAL	\$14,498,673	\$14,068,545	\$430,128	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$14,498,673	\$14,068,545	\$430,128	0.0
Centrally appropriated line item adjustments	<u>1,290,849</u>	<u>1,261,674</u>	<u>29,175</u>	<u>0.0</u>
TOTAL	\$15,789,522	\$15,330,219	\$459,303	0.0
Increase/(Decrease)	\$1,290,849	\$1,261,674	\$29,175	0.0
Percentage Change	8.9%	9.0%	6.8%	0.0%
FY 2016-17 Executive Request:	\$15,789,522	\$15,330,219	\$459,303	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Salary Survey

This line item pays for annual increases for salary survey and Senior Executive Service (SES) positions. The sources of cash funds are Correctional Industries sales and Canteen funds.

Statutory Authority: Pursuant to Section 24-50-104 (4) (c), C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, Executive Director's Office, Subprogram, Salary Survey				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$2,016,911</u>	<u>\$1,906,474</u>	<u>\$110,437</u>	<u>0.0</u>
TOTAL	\$2,016,911	\$1,906,474	\$110,437	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$2,016,911	\$1,906,474	\$110,437	0.0
Centrally appropriated line item adjustments	<u>(1,433,334)</u>	<u>(1,326,031)</u>	<u>(107,303)</u>	<u>0.0</u>
TOTAL	\$583,577	\$580,443	\$3,134	0.0
Increase/(Decrease)	(\$1,433,334)	(\$1,326,031)	(\$107,303)	0.0
Percentage Change	(71.1%)	(69.6%)	(97.2%)	0.0%
FY 2016-17 Executive Request:	\$583,577	\$580,443	\$3,134	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Merit Pay

This line item provides funding for pay that is tied to an employee's performance.

Statutory Authority: Pursuant to Section 24-50-104 (1) (c), C.R.S.

Request: The Department requests no appropriation for Merit Pay.

Recommendation: In accord with Committee common policy, Staff recommends no merit pay appropriation.

Shift Differential

This line item is used to compensate employees for work performed outside the regular 8:00 a.m. to 5:00 p.m. work schedule and is a consequence of the need to provide 24-hour supervision for inmates. Most facilities have three shifts: a day shift paid at the regular rate, an afternoon-evening shift that receives a 7.5 premium, and a night shift that receives a 10 percent premium. These premiums are required by state personnel rules.

Statutory Authority: Pursuant to Section 24-50-104 (1) (a), C.R.S.

Request: The following table summarizes the request.

Recommendation: Staff recommends the request, which accords with Committee common policy.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, Executive Director's Office, Subprogram, Shift Differential				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$7,687,883</u>	<u>\$7,648,987</u>	<u>\$38,896</u>	<u>0.0</u>
TOTAL	\$7,687,883	\$7,648,987	\$38,896	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$7,687,883	\$7,648,987	\$38,896	0.0
Centrally appropriated line item adjustments	<u>252,835</u>	<u>257,436</u>	<u>(4,601)</u>	<u>0.0</u>
TOTAL	\$7,940,718	\$7,906,423	\$34,295	0.0
Increase/(Decrease)	\$252,835	\$257,436	(\$4,601)	0.0
Percentage Change	3.3%	3.4%	(11.8%)	0.0%
FY 2016-17 Executive Request:	\$7,940,718	\$7,906,423	\$34,295	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Workers' Compensation

This line item pays the Department's share of the cost of the workers' compensation program for state employees, a program that is administered by the Department of Personnel and Administration. The cash fund appropriation derives from workers' compensation coverage for employees of Colorado Correctional Industries and the Canteen.

Statutory Authority: Pursuant to Section 24-30-1510.7, C.R.S.

Request: The Department requests an appropriation of \$8,464,606, including \$8,197,125 General Fund.

Recommendation: Staff recommends approval of this request, which accords with Committee common policy.

Operating Expenses

This line item provides funding for operating expenses of the Executive Director's Office Subprogram.

The sources of cash funds are Victims Assistance and Law Enforcement Fund (State VALE) grants and Federal Victims of Crime Act (VOCA) grants, both transferred from the Division of Criminal Justice in the Department of Public Safety.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request: The Department requests a continuation appropriation of \$357,759 total funds and \$267,759 General Fund.

Recommendation: Staff recommends this request.

Legal Services

This line item pays for legal services provided to the Department of Corrections by the Department of Law.

Each year the Department of Corrections is involved in numerous inmate lawsuits, as well as a smaller number of lawsuits concerning employment and other matters. Many of the inmate cases are filed in federal court by incarcerated offenders who represent themselves. Federal court decisions require the Department to provide offenders with access to the legal resources that they need to represent themselves, however the Department does not provide legal representation for offenders. The cost of providing access to legal resources is appropriated in the Institutions Division.

Inmate cases typically allege that employees of the DOC have violated the offender's constitutional rights, for example:

- A lawsuit alleges deficient medical care and cites the 8th amendment ban on cruel and unusual punishment.
- A lawsuit alleges failure to provide meals that conform with religious beliefs and cites 1st amendment freedom of religion guarantees;
- A lawsuit alleges harassment by prison staff in connection with the filing of a prison grievance and cites 1st amendment freedom of speech guarantees,
- A lawsuit alleges a failure to comply with the 14th Amendment requirement that that states not deprive anyone of life, liberty, or property, without due process of law.

These cases do not involve appeals of criminal convictions; appropriations for criminal appellate work and for legal representation of an offender appealing a conviction are in the Department of Law's budget and in the Public Defender's budget. However, this legal work does involve numerous Habeas Corpus cases in which offenders seek relief from alleged unlawful imprisonment.

The state almost always prevails in these lawsuits, but a loss can be expensive. For example, when the Department settled the Montez class action lawsuit, which claimed violations of the American's with Disabilities Act, it paid \$1.1 million for the plaintiff's legal expenses and made costly modifications to DOC facilities and programs. More recent federal lawsuits have led the Department to request funding for outdoor recreation for maximum security offenders.

Click [here](#) to get a sense of the large number of cases that the Department of Corrections is involved in.

The appropriation also supports a contract attorney who works on a water rights lawsuit involving the Rifle Correctional Facility.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Statutory Authority: Pursuant to 24-31-101 (1) (a), C.R.S., and defined in Section 24-75-112 (1) (i), C.R.S.

Request: The following table presents the Department's FY 2016-17 legal services request, which is a continuation of the private law firm payment and of the number of legal services hours in the FY 2015-16 appropriation. The request assumes that Department of Law hours will cost \$96.15 in FY 2016-17, which is a 1.2 percent increase over the FY 2015-16 blended legal services rate of 95.01.

Cost	Cost	Purpose
\$81,746	\$81,746	Contract legal work performed by a private law firm that is defending the state's interests in an action involving water rights for the Rifle Correctional Facility
Hours	Cost @ \$96.15	
19,082	\$1,834,734	Legal services provided by the Department of Law for General Fund programs
671	\$64,517	Cash fund legal work by the Department of Law for Correctional Industries
19,753	\$1,899,251	Total services provided by the Department of Law
	\$1,980,997	Total at the \$96.15 rate

Recommendation: Staff recommends the number of legal hours shown in the above table and the dollar amount requested for the private law firm. **The total dollar recommendation for this appropriation is pending Committee approval of a rate for Department of Law legal services.** After the JBC approves an hourly rate for Department of Law legal services, staff will multiply it by the approved hours and add the private attorney costs to determine the total appropriation.

Staff recommends that this line item be letter noted in the Long Bill to show the portion of the funding to be paid to the Department of Law and the portion of the funding to be paid to the private contract attorney.

Payments to Risk Management and Property Fund

This line item provides funding for the Department's share of the statewide costs for two programs operated by the Department of Personnel and Administration: (1) the liability program, and (2) the property program. The liability program pays liability claims and expenses brought against the State. The property program provides insurance coverage for state buildings and their contents.

Statutory Authority: Pursuant to Section 24-30-1510 and 24-30-1510.5, C.R.S.

Request: The Department requests an appropriation of \$4,459,168 total funds, including \$4,283,477 General Fund.

Recommendation: Staff recommends an appropriation of \$4,241,910, including \$4,074,779 general fund, which accords with Committee common policy.

Leased Space

Almost all leased space for the Department is consolidated into this section, including office space for DOC's headquarters in Colorado Springs, Parole and Community Services offices throughout the state, and the DOC's training academy in Cañon City. The one exception is the lease cost for the Offender Re-employment Center.

The requested additional appropriation reflects escalators that are built into many of the Department's long term real property leases. Escalators are designed to cover increases in the cost of such things as property taxes, utilities, and maintenance.

Statutory Authority: Section 17-1-103, C.R.S.

Request and Recommendation: The following table summarizes the request and the recommendation:

Management, Executive Director's Office, Subprogram, Leased Space				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$4,128,638</u>	<u>\$3,882,449</u>	<u>\$246,189</u>	<u>0.0</u>
TOTAL	\$4,128,638	\$3,882,449	\$246,189	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$4,128,638	\$3,882,449	\$246,189	0.0
Centrally appropriated line item adjustments	<u>367,893</u>	<u>358,045</u>	<u>9,848</u>	<u>0.0</u>
TOTAL	\$4,496,531	\$4,240,494	\$256,037	0.0
Increase/(Decrease)	\$367,893	\$358,045	\$9,848	0.0
Percentage Change	8.9%	9.2%	4.0%	0.0%
FY 2016-17 Executive Request:	\$4,496,531	\$4,240,494	\$256,037	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Capitol Complex Leased Space

This line item pays for the lease and the utilities for the Colorado Correctional Center (CCC) at Camp George West. The cash funds portion of the appropriation pays for square footage and utilities used by Correctional Industries while the General Fund portion of the appropriation pays for the remainder of the space and utilities.

Statutory Authority: Section 24-30-1104 (4) and Part 1 of Article 82 of Title 24, C.R.S.

Request: The Department requests an appropriation of \$56,535 including \$40,386 General Fund.

Recommendation: Staff recommends approval of this request, which accords with common policy.

Planning and Analysis Contracts

This line item provides contract research and statistical support for the Colorado Commission on Criminal and Juvenile Justice (CCJJ).

Statutory Authority: Section 16-11.3-103, C.R.S.

Request: The Department requests a continuation appropriation of \$82,410 General Fund for this line item.

Recommendation: Staff recommends this request.

Payments to District Attorneys

When a crime occurs in a Department of Corrections facility, the local district attorney prosecutes the case, and, pursuant to statute, the Department of Corrections reimburses the DA for costs incurred. Expenses chargeable to the Department include professional services, witness fees, supplies, lodging, and per diem. The Department reviews the DA's reimbursement request and limits reimbursement to rate-ranges that it has established. For example, work by attorneys is reimbursed at \$50 to \$65 per hour while work by legal assistants is reimbursed at \$15 to \$25 per hour. The Department periodically audits the relevant records of the DA's that it reimburses and the DOC Inspector General's Office (which investigates cases for the prosecution) compares the bills with its own records. There is no cap on the amount that can be paid to a DA.

Jim Bullock, the District Attorney for the 16th Judicial District, has announced that he will seek the death penalty for Miguel Alonso Contreras-Perez, a convicted sex offender who is accused of the 2012 murder of Sgt. Mary Ricard, a DOC corrections officer at Arkansas Valley Correctional Facility. Offender Contreras-Perez has decided to represent himself in this case. Based on a previous case in which the 18th Judicial District's District Attorney sought the death penalty for an inmate who killed a corrections officer in 2004, staff expects the Contreras-Perez case to require substantial appropriations for this line item, appropriations that are likely to last for a number of years as the case works its way through the courts.

Statutory Authority: Section 16-18-101 (3), C.R.S. (Costs in criminal cases).

Request: The Department requests a continuation appropriation of \$681,102 General Fund for this line item.

Recommendation: Staff recommends this request.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

Request and Recommendation: The Department requests and staff recommends no appropriation for start-up costs.

(1) Management

(B) External Capacity Subprogram

This subprogram monitors private prison facilities and makes payments to county jails, private prisons, and community corrections facilities that house parolees who have been revoked. (Most payments to community corrections facilities are made by the Division of Criminal Justice.) These jails, private prisons and community corrections facilities house state inmates who have been sentenced to the custody of the DOC, but for whom no state prison beds are available.

(1) Management

(B) External Capacity Subprogram

(1) Private Prison Monitoring Unit

The DOC monitors all private contract prisons. Monitoring costs of in-state private prisons are paid from the General Fund. Prior to FY 2006-07, in-state prisons paid their own monitoring costs, which meant that dollars the state paid to contractors came right back to the DOC to pay for monitoring and counted as TABOR revenue. House Bill 04-1419 ended monitoring payments to the state from in-state contractors, substituted a General Fund appropriation, and reduced payments to private prisons by an offsetting 81¢ per offender per day.

The DOC does not monitor jails that house state prisoners.

Included in this unit is the spending authority for staff to review, audit, and monitor private prisons for contract compliance. The functions performed by the unit include the following:

- The inmate population is reviewed to ensure it meets classification and risk standards set by the Department.
- All private facilities in Colorado must meet minimum standards for American Correctional Association accreditation within two years after opening. The Department monitors the private facilities to ensure they obtain this accreditation.
- The Department reviews and audits private prisons for security, construction, religious programming, educational programming, medical, mental health, food service, case management, hearing boards, and administrative policy.

Statutory Authority: Section 17-1-202 (1) (g), C.R.S.

Personal Services

Request and Recommendation: The Department requests \$14,576 General Fund increase for this subdivision, which reflects annualization of salary survey and merit pay.

Recommendation: Staff recommends that the Committee approve the Department's request.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, External Capacity Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,155,402</u>	<u>\$1,155,402</u>	<u>15.7</u>
TOTAL	\$1,155,402	\$1,155,402	15.7
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,155,402	\$1,155,402	15.7
Annualize prior year budget actions	<u>14,576</u>	<u>14,576</u>	<u>0.0</u>
TOTAL	\$1,169,978	\$1,169,978	15.7
Increase/(Decrease)	\$14,576	\$14,576	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$1,169,978	\$1,169,978	15.7
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

The fund source for this appropriation is revenue that the unit receives for monitoring private Colorado prisons that house out-of-state offenders.

Statutory Authority: Section 17-1-103 C.R.S. - Duties of executive director

Request and Recommendation: The Department requests a continuation appropriation of \$213,443, comprised of \$183,976 General Fund and \$29,467 cash funds. Staff recommends approval of this request.

(1) Management

(B) External Capacity Subprogram

(2) Payments to House State Prisoners

Pursuant to statute, in-state private facilities are permitted to house state inmates with a “custody” level of medium or below. These private facilities are located in Colorado Springs, Bent County, Crowley County, and Kit Carson County. The correctional facilities at Brush, Huerfano County, and Hudson are now closed. The private prison in Kit Carson County also houses offenders from Idaho. Crowley Correctional Center may start housing North Dakota offenders in coming months.

The appropriations in this subdivision pay for

- Holding DOC inmates in county jails;

- Placing DOC inmates classified as medium or below in in-state private prison facilities and in the "pre-release and parole revocation facility" in Colorado Springs; and
- Placing inmates in "community return-to-custody" beds pursuant S.B. 03-252 . These beds are operated by community corrections providers.

Statutory Authority: Title 17, Article 1, Part 2 (Use of private contract prisons), Section 17-1-105.5, C.R.S. (General Assembly sets the maximum reimbursement rate for private prisons, jails, and other contract facilities in the Long Bill).

Request and recommendation. The request and recommendation were presented earlier during the discussion of R4-BA3 (External Capacity Caseload) and R5 (Provider Rate Decrease). In that discussion Staff recommended that

- The Committee adopt the DCJ inmate population forecast,
- The appropriation be based on a 365 day year, and
- Provider rates not be adjusted.

Staff recommended an appropriation for external capacity placements of \$90,735,642, which corresponds to the following populations and rates:

	Average Daily Population	Daily Rate	Appropriation
Jails	598	\$53.64	\$11,708,003
Private Prisons	3,110	56.02	63,591,103
Pre-release and parole revocation facility	562	56.02	11,491,383
Community return to custody facilities	<u>216</u>	50.04	<u>3,945,153</u>
Total	4,486		\$90,735,642

Payments to local jails at a rate of \$53.64 per inmate per day

This line item is used to reimburse local jails for housing state inmates and parolees who have been sentenced to the Department of Corrections. These offenders fall into a number of categories:

- Offenders newly sentenced to the DOC by a court who are awaiting transport to DOC (DOC pays jails to hold these offenders starting 72 hours after they are sentenced).
- Technical parole violators.
- Parole violators who have committed new crimes.
- Inmates who have been regressed from community corrections and are awaiting return to prison.
- DOC inmates who have been placed in a jail that has a contract to house DOC offenders.

The jail population includes a number of parolees who have been arrested and do not have inmate status. Because they are not inmates, these offenders are not counted by the DCJ or LCS inmate forecasts.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Statutory Authority: Sections 16-11-308.5 and 17-1-112, C.R.S.

Request: The Department requests an appropriation of \$11,560,628 General Fund for this line item.

Recommendation: Staff recommends an appropriation of \$11,708,003. **Staff further recommends that this line item's name be adjusted to reflect the daily rate ultimately approved by the Committee.**

Management, External Capacity Subprogram, Payments to local jails			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$14,683,980	\$14,683,980	0.0
H.B. 16-1238 DOC supplemental	(2,944,836)	(2,944,836)	0.0
TOTAL	\$11,739,144	\$11,739,144	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$11,739,144	\$11,739,144	0.0
R4 External capacity caseload	933	933	0.0
R5 Provider rate decrease	0	0	0.0
Remove Leap Year Adjustment	(32,074)	(32,074)	0.0
TOTAL	\$11,708,003	\$11,708,003	0.0
Increase/(Decrease)	(\$31,141)	(\$31,141)	0.0
Percentage Change	(0.3%)	(0.3%)	0.0%
FY 2016-17 Executive Request:	\$11,560,628	\$11,560,628	0.0
Request Above/(Below) Recommendation	(\$147,375)	(\$147,375)	0.0

Payments to in-state private prisons at a rate of \$53.74 per inmate per day

This line item is used to reimburse private prisons that house state prisoners. The rate for private facilities is higher than the rate for local jails because the private facilities provide more programming for inmates (i.e. educational programs, vocational programs, recreational programs, etc.). Section 17-1-202, C.R.S., requires private prisons to provide a range of services to offenders. The cash funds derive from the State Criminal Alien Assistance Program Cash Fund.

Statutory Authority: Title 17, Article 1, Part 2 (Corrections Privatization), C.R.S. Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.), Section 17-1-107.5, C.R.S. (State Criminal Alien Assistance Program Cash Fund).

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Request: The Department requests an appropriation of \$62,891,280 total funds for this line item.

Recommendation: Staff recommends an appropriation of \$63,591,103 total funds. **Staff further recommends that this line item's name be adjusted to reflect the daily rate ultimately approved by the Committee.**

Management, External Capacity Subprogram, Payments to in-state private prisons				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$74,709,244	\$72,350,537	\$2,358,707	0.0
H.B. 16-1238 DOC supplemental	(7,729,752)	(7,729,752)	0	0.0
2015 session bills	<u>(1,195,117)</u>	<u>(1,195,117)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$65,784,375	\$63,425,668	\$2,358,707	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$65,784,375	\$63,425,668	\$2,358,707	0.0
R5 Provider rate decrease	0	0	0	0.0
R4 External capacity caseload	(2,013,533)	(2,013,533)	0	0.0
Remove Leap Year Adjustment	<u>(179,739)</u>	<u>(179,739)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$63,591,103	\$61,232,396	\$2,358,707	0.0
Increase/(Decrease)	(\$2,193,272)	(\$2,193,272)	\$0	0.0
Percentage Change	(3.3%)	(3.5%)	0.0%	0.0%
FY 2016-17 Executive Request:	\$62,891,280	\$60,532,573	\$2,358,707	0.0
Request Above/(Below) Recommendation	(\$699,823)	(\$699,823)	\$0	0.0

Payments to pre-release and parole revocation facilities at a rate of \$53.74 per inmate per day

Pursuant to Section 17-206.5, C.R.S., DOC is authorized to contract with a private prison to serve as a pre-parole and parole revocation facility. The population eligible for placement in the facility includes inmates who are within 19 months of their parole eligibility date for nonviolent offenses and parolees whose parole has been revoked for a period not to exceed 180 days. Parolees are not eligible if their parole was revoked for a new crime.

Statutory Authority: Section 17-1-206.5 (1), C.R.S. (Preparole Release and Revocation Facility – Community Return-to-custody Facility).

Request: The Department requests an appropriation of \$11,351,909 General Fund for this line item.

JBC Staff Figure Setting – FY 2016-17
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Recommendation: Staff recommends an appropriation of \$11,491,383. **Staff further recommends that this line item's name be adjusted to reflect the daily rate ultimately approved by the Committee.**

Management, External Capacity Subprogram, Payments to pre-release parole revocation facilities			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$14,330,890	\$14,330,890	0.0
H.B. 16-1238 DOC supplemental	(1,927,312)	(1,927,312)	0.0
2015 session bills	<u>(368,359)</u>	<u>(368,359)</u>	<u>0.0</u>
TOTAL	\$12,035,219	\$12,035,219	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$12,035,219	\$12,035,219	0.0
R5 Provider rate decrease	0	0	0.0
R4 External capacity caseload	(510,953)	(510,953)	0.0
Remove Leap Year Adjustment	<u>(32,883)</u>	<u>(32,883)</u>	<u>0.0</u>
TOTAL	\$11,491,383	\$11,491,383	0.0
Increase/(Decrease)	(\$543,836)	(\$543,836)	0.0
Percentage Change	(4.5%)	(4.5%)	0.0%
FY 2016-17 Executive Request:	\$11,351,909	\$11,351,909	0.0
Request Above/(Below) Recommendation	(\$139,474)	(\$139,474)	0.0

Community corrections programs

The parole board is authorized to revoke nonviolent parolees for a period of up to 180 days to a community-return-to-custody facility (CRCF), which is essentially a community corrections program. However, unlike traditional community corrections programs, placement in a CRCF bed does not require approval of the judicial district's community corrections board. The Department currently pays \$50.04 per inmate per day for these beds, a rate that is not specified in the Long Bill.

Statutory Authority: Section 17-1-206.5 (3) (Preparole Release and Revocation Facility – Community Return-to-custody Facility), C.R.S. Section 17-2-103, C.R.S. (Arrest of Parolee – Revocation Proceedings)

Request: The Department requests an appropriation of \$3,902,845 General Fund for this line item.

Recommendation: Staff recommends an appropriation of \$3,944,851.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Management, External Capacity Subprogram, Community Corrections Programs			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$4,212,064	\$4,212,064	0.0
H.B. 16-1238 DOC supplemental	(256,405)	(256,405)	0.0
TOTAL	\$3,955,659	\$3,955,659	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$3,955,659	\$3,955,659	0.0
R5 Provider rate decrease	0	0	0.0
Remove Leap Year Adjustment	(10,808)	(10,808)	0.0
TOTAL	\$3,944,851	\$3,944,851	0.0
Increase/(Decrease)	(\$10,808)	(\$10,808)	0.0
Percentage Change	(0.3%)	(0.3%)	0.0%
FY 2016-17 Executive Request:	\$3,902,845	\$3,902,845	0.0
Request Above/(Below) Recommendation	(\$42,006)	(\$42,006)	0.0

Inmate Education and Benefit Programs at In-state Private Prisons

This appropriation compensates private prison providers for the revenue lost when S.B. 15-195 eliminated the profits generated by the inmate phone system.

Statutory Authority: Section 17-1-202 (1), C.R.S. (Contract requirements for private prisons)

Request: This is a new appropriation that was added during supplementals. The Department did not submit a request for this line item.

Recommendation: Staff recommends a continuation appropriation of \$534,079 General Fund.

Inmate Education and Benefit Programs at Prerelease and Parole Revocation Facilities

This appropriation compensates the pre-release and parole revocation provider (Cheyenne Mountain Reentry Center) for the revenue lost when S.B. 15-195 eliminated the profits generated by the inmate phone system.

Statutory Authority: Section 17-1-206.5, C.R.S. (Contract requirements for private prisons)

Request: This is a new appropriation that was added during supplementals. The Department did not submit a request for this line item.

Recommendation: Staff recommends a continuation appropriation of \$119,476 General Fund.

(1) Management

(C) Inspector General Subprogram

The Inspector General's Office is responsible for

- Investigating, detecting, and preventing any crimes, criminal enterprises, or conspiracies originating within the department and any originating outside correctional facilities that are related to the safety and security of correctional facilities. This includes illegal actions of staff and inmates.
- Investigating, detecting, and preventing any violations of administrative regulations or state policy and procedure and any waste or mismanagement of departmental resources and corruption that may occur within the department.
- Conducting pre-employment investigations and integrity interviews of all persons who apply for employment with the department, including employment as contractors and subcontractors.

The Inspector General reports to the Executive Director.

Statutory Authority: Section 17-1-103 (o) and 17-1-103.8, C.R.S.

Personal Services

Request: The Department requests an increase of \$49,883 General Fund for this subdivision, reflecting annualization of salary survey and merit pay.

Recommendation: Staff recommends the Department's request.

Management, Inspector General Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$4,060,241</u>	<u>\$3,954,008</u>	<u>\$106,233</u>	<u>48.2</u>
TOTAL	\$4,060,241	\$3,954,008	\$106,233	48.2
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$4,060,241	\$3,954,008	\$106,233	48.2
Annualize prior year budget actions	<u>49,883</u>	<u>49,883</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$4,110,124	\$4,003,891	\$106,233	48.2
Increase/(Decrease)	\$49,883	\$49,883	\$0	0.0
Percentage Change	1.2%	1.3%	0.0%	0.0%
FY 2016-17 Executive Request:	\$4,110,124	\$4,003,891	\$106,233	48.2
Request Above/(Below) Recommendation	\$0	\$0	\$0	(0.0)

Operating Expenses

Request: The Department requests a continuation appropriation of \$428,723 total funds, including \$345,546 General Fund and \$83,187 cash funds.

Recommendation: Staff recommends this request.

Inspector General Grants

This line item reflects grants that the Department expects to receive, including federal grant funding the Department received to address Prison Rape Elimination Act (PREA) standards and promote community partnerships through the Colorado Information Analysis Center.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request and recommendation: The Department requests an unchanged appropriation of \$235,649 total funds. There is no General Fund in the request.

Recommendation: Staff recommends this request.

(2) INSTITUTIONS

The Institutions division is the largest division within the department. It contains appropriations for the functions and costs directly connected with the operations of DOC prisons. The division is divided into the following subprograms, each separately appropriated:

- The utilities subprogram provides utility services to the entire department.
- The maintenance subprogram is responsible for general facility and grounds maintenance as well as boiler house, janitorial, and life safety projects.
- The housing and security subprogram ensures the safety and security of staff and inmates in housing units and elsewhere within prison facilities.
- The food service subprogram plans and prepares meals for inmates.
- The medical services subprogram provides health care services to inmates using both state employees and contracted health care providers and facilities.
- The laundry subprogram issues, cleans, and maintains inmate clothing, bedding, jackets, and footwear.
- The superintendents subprogram includes the wardens and staff who manage individual facilities.
- The Youthful Offender System (YOS) serves juvenile offenders who have been convicted as adults of serious felonies and sentenced to YOS. It also serves young adults who committed serious crimes as 18 or 19 year olds who have been sentenced to YOS.
- The case management subprogram provides case management services for offenders, including classification reviews, earned time evaluations, assessment and program assignment, and release preparation.
- The mental health subprogram provides psychiatric, psychological, social work, and other mental health services to DOC inmates.
- The inmate pay subprogram provides nominal pay to DOC inmates.
- The legal access subprogram provides inmates with court-mandated access to legal materials within DOC facilities.
- The dress out subprogram provides inmates who are paroled or discharged from a correctional facility with street clothes, \$100, and, if necessary, fare for transportation to the inmate's place of residence.
- The capital lease purchase payments subdivision contains appropriations to pay for the lease-purchase agreement for Centennial Correctional Facility South, commonly called CSP II.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

	Institutions					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$427,632,239	\$426,095,776	\$1,391,664	\$64,799	\$80,000	4,731.9
5 year appropriations in criminal sentencing bills passed in prior sessions	260,765	260,765	0	0	0	0.0
H.B. 16-1238 (DOC supplemental)	<u>(3,441,917)</u>	<u>(3,441,917)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$424,451,087	\$422,914,624	\$1,391,664	\$64,799	\$80,000	4,731.9
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$424,451,087	\$422,914,624	\$1,391,664	\$64,799	\$80,000	4,731.9
Annualize prior year budget actions	3,864,576	3,864,576	0	0	0	2.1
R3 Medical caseload	397,158	397,158	0	0	0	0.0
R2 Utilities inflation	333,230	315,236	17,994	0	0	0.0
R1 Food service inflation	328,981	328,981	0	0	0	0.0
CSP II Lease Payment Adjustment	3,500	3,500	0	0	0	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
Funding already in statute from criminal sentencing bills	(3,344,870)	(3,344,870)	0	0	0	0.0
Indirect cost assessment adjustments	(960)	0	(960)	0	0	0.0
TOTAL	\$426,032,702	\$424,479,205	\$1,408,698	\$64,799	\$80,000	4,734.0
Increase/(Decrease)	\$1,581,615	\$1,564,581	\$17,034	\$0	\$0	2.1
Percentage Change	0.4%	0.4%	1.2%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$425,926,101	\$424,372,604	\$1,408,698	\$64,799	\$80,000	4,734.0
Request Above/(Below) Recommendation	(\$106,601)	(\$106,601)	\$0	\$0	\$0	(0.0)

DECISION ITEMS – INSTITUTIONS

➔ *R2 Utilities Inflation*

Request: For FY 2016-17 and subsequent years, the Department requests an increase of \$315,236 General Fund and \$17,994 Cash Funds, totaling \$333,230 for the Utilities line item in the Utilities Subprogram.

Recommendation: Staff recommends that the Committee approve this request. The following table summarizes the request and the recommendation.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Utilities Subprogram, Utilities				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$21,392,466</u>	<u>\$20,244,927</u>	<u>\$1,147,539</u>	<u>0.0</u>
TOTAL	\$21,392,466	\$20,244,927	\$1,147,539	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$21,392,466	\$20,244,927	\$1,147,539	0.0
R2 Utilities inflation	333,230	315,236	17,994	0.0
Annualize prior year budget actions (Buena Vista wastewater)	<u>210,748</u>	<u>210,748</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$21,936,444	\$20,770,911	\$1,165,533	0.0
Increase/(Decrease)	\$543,978	\$525,984	\$17,994	0.0
Percentage Change	2.5%	2.6%	1.6%	0.0%
FY 2016-17 Executive Request:	\$21,936,444	\$20,770,911	\$1,165,533	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Analysis: For FY 2014-15 the Department received an inflationary increase for the four major utilities (electricity, sewer, water, gas). For FY 2015-16 it received a special increase for wastewater costs at Buena Vista Correctional Center. The FY 2015-16 Buena Vista increase is part of a series of JBC-approved increases spanning three years. To compute utilities costs for FY 2016-17 (excluding Buena Vista wastewater), the Department multiplied its FY 2014-15 usage (again excluding Buena Vista sewer) by rates for January 2017 that have already been announced by utility providers. This adjustment increases the utilities appropriation by 1.5 percent. Since no facilities have opened or closed since FY 2014-15 and rates have already been announced, staff considers this a reasonable forecast of usage and costs.

The rate change for Request 2 represents an overall 1.6 percent increase to the Department's utilities line.



R1 Food Service Inflation

Request: The Department requests a \$328,981 General Fund increase for food inflation for FY 2016-17 and subsequent years. The increase is comprised of \$283,004 of additional General Fund for *Operating Expenses* and \$45,977 for *Purchases of Services*. The *Purchases of Services* line item pays for meals prepared by the Colorado Mental Health Institute at Pueblo (CMHIP). As a consequence, the Department of Human Services requests an equal reappropriation \$45,977 so it can spend the money obtained from DOC. The reappropriation appears on the DHC Behavioral Health Services, Operating Expenses line item.

JBC Staff Figure Setting – FY 2016-17
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The request represents a 2.0 percent increase for the combined Operating Expenses and Purchase of Services appropriation, with a 1.7 percent increase for Operating Expenses and a 2.6 percent increase for meals prepared by CMHIP. The higher increase for CMHIP reflects increases in the salaries of the CMHIP personnel who prepare the meals.

Recommendation: Staff recommends that the Committee approve this request. The next two tables summarize each component of the recommendation.

Institutions, Food Service Subprogram, Operating Expenses				
	Total Funds	General Fund	Federal Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$16,727,019</u>	<u>\$16,647,019</u>	<u>\$80,000</u>	<u>0.0</u>
TOTAL	\$16,727,019	\$16,647,019	\$80,000	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$16,727,019	\$16,647,019	\$80,000	0.0
R1 Food service inflation	<u>283,004</u>	<u>283,004</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$17,010,023	\$16,930,023	\$80,000	0.0
Increase/(Decrease)	\$283,004	\$283,004	\$0	0.0
Percentage Change	1.7%	1.7%	0.0%	0.0%
FY 2016-17 Executive Request:	\$17,010,023	\$16,930,023	\$80,000	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Institutions, Food Service Subprogram, Purchase of Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,746,939</u>	<u>\$1,746,939</u>	<u>0.0</u>
TOTAL	\$1,746,939	\$1,746,939	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,746,939	\$1,746,939	0.0
R1 Food service inflation	<u>45,977</u>	<u>45,977</u>	<u>0.0</u>
TOTAL	\$1,792,916	\$1,792,916	0.0

Institutions, Food Service Subprogram, Purchase of Services			
	Total Funds	General Fund	FTE
Increase/(Decrease)	\$45,977	\$45,977	0.0
Percentage Change	2.6%	2.6%	0.0%
FY 2016-17 Executive Request:	\$1,792,916	\$1,792,916	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Analysis: The [U.S. Department of Agriculture's Economic Research Service](#) predicts that supermarket food prices will rise between 2 and 3 percent during 2016.

Operating expense increase: For FY 2015-16, the Department expects to spend \$14,150,188 of its food-service operating expense appropriation for the raw food that is used to prepare meals at state facilities (excluding the Youthful Offender System, San Carlos Correctional Facility, and La Vista Correctional Facility, which receive meals from DHS). Applying a 2.0 percent inflation rate to this cost, yields an operating cost increase of $\$14,150,188 * 2.0\% = \$283,004$, which equals the requested General Fund increase for Operating Expenses.

Purchases of Services increase: The Youthful Offender System, San Carlos Correctional Facility, and La Vista Correctional Facility receive meals from DHS. Due to a reconfiguration, La Vista now has an extra 16 beds. The Department requests \$34,959 General Fund for a 2.6 percent increase for meals supplied by DHS plus \$11,038 for the extra 16 offenders, who will collectively be served $16 * 3 * 365 = 17,520$ more meals during FY 2016-17. The higher percentage increase (2.6 percent vs 2.0 percent for operating expenses) reflects increased labor costs for food preparation at DHS.

Five-year appropriations in criminal sentencing bills

This adjustment has been in the long bill for a number of years. It is an unusual adjustment, so staff included it among the decision items.

Request: The Department requests that the personal services appropriation for the Housing and Security Subprogram be partially funded with FY 2016-17 appropriations already placed in statute by 5-year criminal sentencing bills from prior sessions.

Recommendation: Staff recommends that the Committee approve this request.

Analysis: This use of 5-year criminal sentencing appropriations is not required by statute but has been customary at least since 1998. These statutory appropriations, which are required by Section 2-2-703, C.R.S., will provide the following amounts from the General Fund to the Department for FY 2016-17:

JBC Staff Figure Setting – FY 2016-17
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Statutory 5-year Appropriations to DOC for FY 2016-17:			
Bill	GF Approp.	Title	C.R.S. Section
H.B. 13-1154	\$76,665	Crimes Against Pregnant Women	17-18-108
S.B. 14-049	42,968	Public Transportation and Utility Endangerment	17-18-111
S.B. 14-092	19,640	Insurance Fraud Crime	17-18-113
S.B. 14-161	19,640	Update Uniform Election Code	17-18-112
S.B. 14-176	42,968	Criminal Penalties for Chop Shops	17-18-116
H.B. 14-1037	21,484	Enforcing Laws Against Designer Drugs	17-18-114
S.B. 15-067	219,576	Second Degree Assault Injury to Emergency Responders	17-18-121
H.B. 15-1043	2,581,944	Felony Offense for Repeat DUI Offenders	17-18-120
H.B. 15-1229	22,068	Retaliation Against a Prosecutor	17-18-117
H.B. 15-1305	22,068	Unlawful Manufacture Marijuana Concentrate	17-18-118
H.B. 15-1341	<u>275,849</u>	Increase penalty sexual exploitation of a child	17-18-119
Total	\$3,344,870	GF	

No cash is set aside for the future when a criminal sentencing bill with a 5-year sentencing clause becomes law. If a criminal sentencing bill appropriates \$50,000 to the DOC in FY 2015-16 and another \$30,000 in FY 2016-17, then \$50,000 of FY 2015-16 General Fund revenues will be made available to the DOC in FY 2015-16 and \$30,000 of FY 2016-17 General Fund revenue will be made available in FY 2016-17. It's much like an appropriation for the next fiscal year in a typical special bill -- the appropriation provides spending authority but no cash is set aside. The difference is that five-year sentencing bills provide spending authority several years in advance of when it will be used while most special bills provide spending authority one year in advance. If for some reason a General Fund appropriation is not used by the DOC, then it's gone because nothing is set aside in the future year either.

Five year sentencing clauses provide General Fund appropriations to the Department to pay incarceration costs but the clauses do not specify the line items where the appropriations are to be used.

Staff recommends that these statutory appropriations for FY 2016-17 be used to pay a portion of the Personal Services cost of the Housing and Security Subprogram for FY 2016-17. Thus of the total appropriation that staff recommends for this line item, \$3,344,870 would come from General Fund appropriations that are already in statute and the remainder would be provided in the Long Bill. Additionally, staff recommends that the following footnote be added to this line item in the FY 2016-17 Long Bill:

n In addition to the moneys appropriated here, the Department of Corrections has available \$3,344,870 of FY 2016-17 General Fund appropriations contained in Sections 17-18-108, 17-18-111, 17-18-113, 17-18-112, 17-18-116, 17-18-114, 17-18-121, 17-18-120, 17-18-117, 17-18-118, and 17-18-119, C.R.S., which the General assembly assumes will be utilized for this line item.

If the Committee does not make this or a similar adjustment, the DOC will have unrestricted appropriations of \$3,344,870 of General Fund in FY 2016-17 in excess of its computed needs that it can do with as it pleases. This reduced appropriation coupled with the letter note will force the Department to spend the five year appropriations on Housing and Security because the Long Bill appropriation will be \$3,344,870 lower than the Department needs.

➔ R3 Medical Caseload

Request: For its Medical Services Subprogram, the Department requests a FY 2016-17 General Fund increase of \$520,544 for *Purchases of Pharmaceuticals* and a reduction of \$123,386 for *Purchases of Medical Services from Other Medical Facilities*. The combined net increase of \$397,158 represents a 1.0 percent increase over the combined FY 2015-16 appropriation for these two line items.

Recommendation: Staff recommends that the Committee approve this request, which is based on the DCJ forecast. The next two tables summarize each component of the recommendation and the request.

For more information about the Medical Services Subprogram see the description of (2) Institutions, (E) Medical Services Subprogram

Institutions, Medical Services Subprogram, Purchase of Pharmaceuticals			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$18,080,579	\$18,080,579	0.0
H.B. 16-1238 DOC supplemental	<u>(2,781,253)</u>	<u>(2,781,253)</u>	<u>0.0</u>
TOTAL	\$15,299,326	\$15,299,326	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$15,299,326	\$15,299,326	0.0
R3 Medical caseload	<u>520,544</u>	<u>520,544</u>	<u>0.0</u>
TOTAL	\$15,819,870	\$15,819,870	0.0
Increase/(Decrease)	\$520,544	\$520,544	0.0
Percentage Change	3.4%	3.4%	0.0%
FY 2016-17 Executive Request:	\$15,819,870	\$15,819,870	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Medical Services Subprogram, Purchase of Medical Services from Other Medical Facilities			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$23,058,674	\$23,058,674	0.0
H.B. 16-1238 DOC supplemental	<u>3,020,242</u>	<u>3,020,242</u>	<u>0.0</u>
TOTAL	\$26,078,916	\$26,078,916	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$26,078,916	\$26,078,916	0.0
R3 Medical caseload	<u>(123,386)</u>	<u>(123,386)</u>	<u>0.0</u>
TOTAL	\$25,955,530	\$25,955,530	0.0
Increase/(Decrease)	(\$123,386)	(\$123,386)	0.0
Percentage Change	(0.5%)	(0.5%)	0.0%
FY 2016-17 Executive Request:	\$25,955,530	\$25,955,530	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Analysis: Despite its name, this request is not for caseload changes alone. It also adjusts for changes of the cost per offender for pharmaceuticals and external medical care.

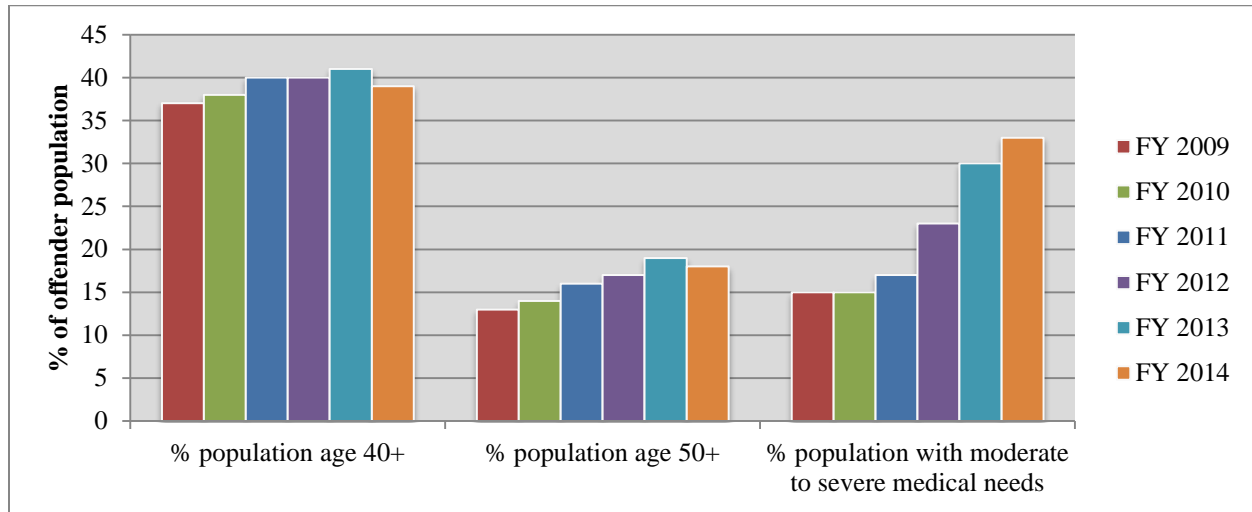
The projected decline of *Purchase of Medical Services from Other Medical Facilities* is the net result of two factors:

- The population of offenders receiving external medical care through this appropriation is expected to decline 0.9 percent
- The cost of external medical care per offender is expected to increase 0.4 percent.

The projected increase of *Purchase of Medical Services from Other Medical Facilities* is the net result of two factors:

- The population of offenders receiving pharmaceuticals is expected to decline 0.3 percent.
- The cost of pharmaceuticals per offender is expected to increase 3.7 percent.

Forecasts of cost per offender are based on DOC's recent cost experience. Staff considers these increases to be remarkably low, especially in view the increasing portion of the DOC population with severe medical needs. As the following chart shows, the portion of the DOC population over 40 and over 50 has declined recently after years of increase. Perhaps this has held down cost per offender increases.



LINE ITEM DETAIL – INSTITUTIONS

(2) Institutions

(A) Utilities Subprogram

The utilities subprogram provides utility services to all Department of Corrections' buildings, equipment, and other systems to provide a secure, safe living and work environment.

Statutory Authorization: Section 24-82-602, C.R.S. (Energy conservation in state buildings). Section 24-30-1305, C.R.S., (Life-cycle cost), Title 24, Article 30, Part 20, C.R.S. (Utility saving measures).

Personal Services

Request and Recommendation: The Department requests and the staff recommends that the appropriation be increased by \$3,965 General Fund due to annualization of salary survey and merit pay.

Institutions, Utilities Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$314,289	\$314,289	2.6
TOTAL	\$314,289	\$314,289	2.6
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$314,289	\$314,289	2.6
Annualize prior year budget actions (Salary survey and merit pay)	3,965	3,965	0.0

JBC Staff Figure Setting – FY 2016-17
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Institutions, Utilities Subprogram, Personal Services			
	Total Funds	General Fund	FTE
TOTAL	\$318,254	\$318,254	2.6
Increase/(Decrease)	\$3,965	\$3,965	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$318,254	\$318,254	2.6
Request Above/(Below) Recommendation	\$0	\$0	0.0

Utilities

This line item provides funding for all of the Department's utility costs. The Department uses [EnergyCap](#) software to manage these costs. EnergyCap has a number of components; for example, the benchmarking component compares similar buildings, adjusting for average temperatures, floor area, billing period length, etc., and identifies the outlier structures and facilities that are the most promising candidates for utility-conservation efforts.

Statutory Authority: 17-1-103.7, C.R.S. (Duties of the executive director).

Request: As discussed at the beginning of this division, the Department requests that the appropriation be increased by \$333,230 General Fund due to utilities inflation. In addition, the Department requests that the appropriation be increased by an additional \$210,748 due to annualization of last year's Buena Vista wastewater decision item.

Recommendation: Staff recommends that the Committee approve this request.

Institutions, Utilities Subprogram, Utilities				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$21,392,466</u>	<u>\$20,244,927</u>	<u>\$1,147,539</u>	<u>0.0</u>
TOTAL	\$21,392,466	\$20,244,927	\$1,147,539	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$21,392,466	\$20,244,927	\$1,147,539	0.0
R2 Utilities inflation	333,230	315,236	17,994	0.0
Annualize prior year budget actions (Buena Vista wastewater)	<u>210,748</u>	<u>210,748</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$21,936,444	\$20,770,911	\$1,165,533	0.0
Increase/(Decrease)	\$543,978	\$525,984	\$17,994	0.0
Percentage Change	2.5%	2.6%	1.6%	0.0%

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Utilities Subprogram, Utilities				
	Total Funds	General Fund	Cash Funds	FTE
FY 2016-17 Executive Request:	\$21,936,444	\$20,770,911	\$1,165,533	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

(2) Institutions

(B) Maintenance Subprogram

The maintenance subprogram is responsible for general facility and grounds maintenance as well as boiler house; janitorial; and vehicle maintenance, and life safety projects for over 6 million square feet of building space. Most of this work is performed by inmates. The staff in this subprogram are responsible for supervising and training inmates.

Statutory Authority: 17-1-103.7, C.R.S. (Duties of the executive director), Section 17-1-105, C.R.S. (Powers of executive director).

Personal Services

Request and Recommendation: The following table summarizes the request and the recommendation.

Institutions, Maintenance Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$18,049,837</u>	<u>\$18,049,837</u>	<u>276.8</u>
TOTAL	\$18,049,837	\$18,049,837	276.8
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$18,049,837	\$18,049,837	276.8
Annualize prior year budget actions (Salary survey and merit pay)	<u>252,713</u>	<u>252,713</u>	<u>0.0</u>
TOTAL	\$18,302,550	\$18,302,550	276.8
Increase/(Decrease)	\$252,713	\$252,713	0.0
Percentage Change	1.4%	1.4%	0.0%
FY 2016-17 Executive Request:	\$18,302,550	\$18,302,550	276.8
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request: The Department requests a continuation appropriation of \$5,714,113 General Fund.

Recommendation: Staff recommends this request.

Purchase of Services

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$1,545,553 General Fund.

(2) Institutions

(C) Housing and Security Subprogram

The major mission of the housing and security subprogram is to ensure the safety and security of staff, inmates, and property through the daily management of inmates in the various housing units. Inmates can spend between 10 and 24 hours per day in their housing units depending upon the type of facility and/or custody level. The Department uses custody level designations (Levels I through V) when describing the different types of housing units. The higher the level, the more secure the facility. Typically, the more secure the housing unit the more staff intensive the supervision levels requested by the Department.

Security is responsible for the safety, management, and control of staff, inmates, and the general public at each of the Department's facilities. The security staff is distinct from the housing staff. Currently, the Department has allocated security positions based on a facility's size, mission, architectural design, and the need to provide relief coverage for posts. Security personnel are responsible for operating master control, control towers, perimeter patrols, roving escort teams, etc.

Statutory Authority: 17-1-103, C.R.S. (Duties of the executive director). Section 17-1-109, C.R.S., (Duties and functions of the warden).

Personal Services

Request 2: The Department requests a total appropriation of \$170,532,675 for personal services in the Housing and Security Subprogram, comprised \$170,529,728 General Fund and \$2,947 cash funds. Of this total, \$3,344,870 General Fund is already in statute for criminal sentencing bills and should not be appropriated in the Long Bill.

Recommendation 2: Staff recommends that the Committee approve this request.

The following table computes the recommended Long Bill appropriation, removing the General Fund that is already in statute.

JBC Staff Figure Setting – FY 2016-17
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Institutions, Housing and Security Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$168,105,726	\$168,102,779	\$2,947	2,973.4
5 year appropriations in criminal sentencing bills passed in prior sessions	<u>260,765</u>	<u>260,765</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$168,366,491	\$168,363,544	\$2,947	2,973.4
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation (including 5-year sentencing bills)	\$168,366,491	\$168,363,544	\$2,947	2,973.4
Annualize prior year budget actions (Salary survey and merit pay, Mental health staff annualization)	<u>2,166,184</u>	<u>2,166,184</u>	<u>0</u>	<u>1.0</u>
TOTAL appropriation	\$170,532,675	\$170,529,728	\$2,947	2,974.4
Funding already in Statute from criminal sentencing bills	<u>(3,344,870)</u>	<u>(3,344,870)</u>	<u>0</u>	<u>0.0</u>
TOTAL Long Bill appropriation	\$167,187,805	\$167,184,858	\$2,947	2,974.4
Increase/(Decrease)	(\$1,178,686)	(\$1,178,686)	\$0	1.0
Percentage Change	(0.7%)	(0.7%)	0.0%	0.0%
FY 2016-17 Executive Request:	\$170,532,675	\$170,529,728	\$2,947	2,974.4
Request Above/(Below) Recommendation	\$3,344,870	\$3,344,870	\$0	0.0

Operating Expenses

Request and recommendation: The Department Requests and Staff recommends a continuation appropriation of \$1,808,941 General Fund for operating expenses.

(2) Institutions

(D) Food Service Subprogram

The Department's centrally managed food service operation is responsible for planning and preparing approximately 13.7 million meals and 577,000 snacks annually. This is accomplished through food service operations at most of the facilities, though a couple of central food preparation operations service more than one facility. For example, the Fremont Correctional Facility's food service bakery operation services Fremont, Colorado State Penitentiary and Centennial Correctional Facility. Meals for San Carlos Correctional Facility and the Youthful Offender System are purchased via an interagency purchase agreement from the Colorado Mental Health Institute at Pueblo. The food service subprogram employs approximately 1,600 inmates in its kitchen and food service operations. Overall, the Department states that its average cost per offender per day for meals served is \$2.96 (this includes raw food and operating

JBC Staff Figure Setting – FY 2016-17
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costs but excludes labor and utilities costs). Incorporated into this cost are the more expensive special meals, such as:

- Basic Meal Rate: \$.86 per meal
- Therapeutic Diets: increases basic meal rate by 20%
- Religious Diets: increases basic meal rate by 10%
- Kosher Diets: increase basic meal rate by 63%
- Work Crew Meals: increases basic meal rate by 25%
- Restrictive Housing: increases basic meal rate by 10%
- Meals provided when a facility or part of a utility is locked down: increases basic meal rate by 20%

Statutory Authority: Section 17-1-103, C.R.S. (Duties of executive director)

Personal Services

Request and recommendation: The following table shows the request and the recommendation.

Institutions, Food Service Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$18,164,797</u>	<u>\$18,164,797</u>	<u>317.8</u>
TOTAL	\$18,164,797	\$18,164,797	317.8
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$18,164,797	\$18,164,797	317.8
Annualize prior year budget actions (Salary survey and merit pay)	<u>204,163</u>	<u>204,163</u>	<u>0.0</u>
TOTAL	\$18,368,960	\$18,368,960	317.8
Increase/(Decrease)	\$204,163	\$204,163	0.0
Percentage Change	1.1%	1.1%	0.0%
FY 2016-17 Executive Request:	\$18,368,960	\$18,368,960	317.8
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

The operating expense appropriation pays for raw food, for equipment and building repair and maintenance, for gradual equipment replacement, and for a number of smaller items. The Federal Funds appropriation is for in-kind grants of food that are sometimes received from the U.S. Department of Agriculture. The last federal grant was in FY 2011-12 when the DOC received food worth \$261,815.

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Request: As discussed at the beginning of this division, the Department requests an increase of \$283,004 General Fund for Food Service inflation.

Recommendation: Staff recommends that the Committee approve this recommendation.

Institutions, Food Service Subprogram, Operating Expenses				
	Total Funds	General Fund	Federal Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$16,727,019</u>	<u>\$16,647,019</u>	<u>\$80,000</u>	<u>0.0</u>
TOTAL	\$16,727,019	\$16,647,019	\$80,000	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$16,727,019	\$16,647,019	\$80,000	0.0
R1 Food service inflation	<u>283,004</u>	<u>283,004</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$17,010,023	\$16,930,023	\$80,000	0.0
Increase/(Decrease)	\$283,004	\$283,004	\$0	0.0
Percentage Change	1.7%	1.7%	0.0%	0.0%
FY 2016-17 Executive Request:	\$17,010,023	\$16,930,023	\$80,000	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Purchase of Services

This line item pays for food services for the La Vista Correctional Facility, the San Carlos Correctional Facility, and the Youthful Offender System, which are located on the campus of the Colorado Mental Health Institute at Pueblo (CMHIP). The Institute, which is operated by the Department of Human Services (DHS), provides food service for these facilities and DOC pays DHS for it.

Two equal appropriations are required for this line item. The first gives DOC an appropriation with which to purchase food from DHS; the second gives DHS the ability to spend the revenue that it receives from DOC to pay food service workers, buy food, etc. A reappropriation appears on the DHS Behavioral Health Services, Operating Expenses line item.

Request: As discussed at the beginning of this division, the Department requests an increase of \$45,977 General Fund for food service inflation and the Department of Human Services requests an equal increase of the corresponding reappropriation to DHS's Behavioral Health Services, Operating Expenses line item.

Recommendation: Staff recommends that the Committee approve this recommendation. Staff also recommends that the Committee approve an equal increase of the reappropriation to DHS..

Institutions, Food Service Subprogram, Purchase of Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,746,939</u>	<u>\$1,746,939</u>	<u>0.0</u>
TOTAL	\$1,746,939	\$1,746,939	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,746,939	\$1,746,939	0.0
R1 Food service inflation	<u>45,977</u>	<u>45,977</u>	<u>0.0</u>
TOTAL	\$1,792,916	\$1,792,916	0.0
Increase/(Decrease)	\$45,977	\$45,977	0.0
Percentage Change	2.6%	2.6%	0.0%
FY 2016-17 Executive Request:	\$1,792,916	\$1,792,916	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

(2) Institutions

(E) Medical Services Subprogram

Background on DOC Medical Expenditures: DOC Administrative Regulation 700-02 states that the Department provides offenders with “access to medical care which is consistent with [the] community standard of care.” The community standard of care is based on such sources as the Milliman Care Guidelines and the American Correctional Association accreditation standards. Within this framework, decisions regarding medical, dental and mental health are the sole province of health professionals. As a consequence, budgeting DOC’s external healthcare expenses is not unlike budgeting for Medicaid: (1) establish the rules that determine who qualifies for care, (2) establish rules governing which procedures and medications are covered, including a medical review process (3) the decentralized decisions of medical providers and patients working within this framework then determines the cost.

The DOC provides medical, nursing, psychiatric, optometric, pharmacy, dental, mental health, sex offender, and drug and alcohol diagnostic and treatment services to all offenders incarcerated in the DOC. Upon admission, all new inmates undergo a medical, dental, and mental health screening.

The medical services subprogram is a centrally-managed operation that provides acute and long-term health care services to all inmates in the DOC system, using both state FTE personnel and contracted health care providers and facilities. Upon entering the DOC system, all inmates are given a comprehensive medical evaluation, including patient history and physical exam, immunizations, blood testing, other diagnostic tests, and are assigned a medical classification

code prior to permanent assignment to a DOC Facility. The Department operates outpatient walk-in clinics in all major facilities on a daily basis, two infirmaries and pharmacies (Denver Reception and Diagnostic Center and Colorado Territorial Correctional Facility), and provides hospital care at private hospitals.

Medical care provided by the Department of Corrections to inmates can be divided into two categories: internal care and external care. Clinical staff who are employees of the Department and contract staff who work within the Department provide primary care in each state correctional facility as well as some emergency care. External physicians, hospitals, and other health care facilities provide specialty services, outpatient tests and procedures, more extensive emergency services, and inpatient hospital care. When an offender receives external care, he must be accompanied by corrections officers, or by contractors who provide security. The Department contracts with Correctional Health Partners to manage external health care services for inmates.

Medicaid: Senate Bill 13-200 expanded Medicaid eligibility in Colorado. Almost all inmates now qualify for Medicaid because they are childless adults who earn less than 133 percent of the Federal Poverty Level. The basic Medicaid rules for inmate care are:

- Medicaid will not pay for internal medical care, i.e. for medical care delivered within a prison.
- Medicaid will pay for *inpatient* external medical care for Medicaid-eligible inmates but not for outpatient external care. If the offender is in a medical facility for 24 hours or more, it is considered inpatient care.

Offenders in community corrections facilities are deemed by CMS to be incarcerated and are subject to the same rules. Parolees are not incarcerated, so they can qualify for Medicaid without the inpatient exclusion.

Most decision items and supplement requests involve pharmaceuticals and external medical care. The two line items involved are:

a. Purchase of Pharmaceuticals: The Department provides pharmaceuticals for offenders incarcerated in DOC-owned facilities. These pharmaceuticals are bought using the Purchase of Pharmaceuticals appropriation. Private prisons and jails, at their own expense, provide pharmaceuticals for the offenders that they hold. DOC transition offenders in community corrections centers usually pay for their own medications but may receive psychotropic medication from DOC, however appropriations for these medications are contained in DOC division (5) Community Services.

b. Purchase of Medical Services from Other Medical Facilities: When required medical care goes beyond that which can be provided within a DOC facility or within a private prison, the offender is taken to an outside medical provider and the cost of care is paid from the *Purchase of Medical Services from Other Medical Facilities* appropriation. Jails must generally pay for

outside care for the DOC offenders they hold. Transition offenders in community corrections must pay for their own care.

Catastrophic Medical Expenses: Prior to the Department's January 2016 supplemental, external medical care appropriations were divided into *Purchase of Medical Services from Other Medical Facilities* and *Catastrophic Medical Expenses*. The January supplemental combined these two line items. When Medicaid eligibility for inmates began in January 2014, it substantially reduced *Catastrophic Medical Expenses*, making separate presentation unnecessary.

Setting appropriations for pharmaceuticals and external medical costs in brief: To determine its request for pharmaceuticals and external medical care, DOC extrapolates the trends that have been observed in monthly per offender costs for external medical care and for pharmaceuticals. It then multiplies projected per offender costs by its projected population. In the case of pharmaceutical costs per offender, the Department takes into account drugs that have gone generic and further adjusts the costs by a forecast of the pharmaceutical component of the Consumer Price Index. Especially in external medical costs, there is a large random component. Hospitalization for a single offender can sometimes cost as in the hundreds of thousands of dollars. Internal medical costs (i.e. costs of care provided within DOC walls) are much more predictable and depend largely on what DOC pays its own staff and contract providers.

The following table summarizes the populations that qualify for each appropriation.

Population	Used to compute appropriations for	Includes offenders in DOC facilities (including YOS*)	Includes offenders in private prisons	Includes offenders in community corrections, jails, on parole, ISP-I*
Pharmaceutical population	<i>Purchase of Pharmaceuticals</i>	Yes	No	No
External medical care populations	<i>Purchase of Medical Services from Other Medical Facilities</i>	Yes	Yes	No

*YOS is the Youthful Offender System. ISP-I is Intensive Supervision-Inmate status.

Estimate annual costs by multiplying the eligible population by the cost per offender times 12. To establish appropriations for the three lines under consideration, one must estimate the number of offenders who will qualify for care and then multiply by the relevant cost per offender. Costs are traditionally measured on a per offender per month (POPM) basis, which leads to the following measures.

- Cost of pharmaceuticals per offender per month (pharmaceutical POPM)
- Cost of medical services purchased from other medical facilities per month (outside medical POPM)

For example, if the average pharmaceutical population (the ADP) was projected to equal 10,000, and the pharmaceutical POPM equaled \$50 per month, the projected cost of pharmaceuticals for the year would equal

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10,000 ADP * \$50 per month * 12 months = \$6,000,000.

Statutory authority: Title 17, Article 40, C.R.S. (Colorado Diagnostic Program), Section 17-1-101 (Medical personnel), Section 17-1-103 (3) (Panel of medical consultants).

Personal Services

The cash funds for this line item derive from co-payments that offenders pay for health care. The charge is \$3 for a health care visit initiated by an offender. Offender-initiated mental health visits cost \$1. There is no charge for subsequent appointments that result from the first visit.

Statutory Authority: Section 17-1-113, C.R.S., (Medical visits – charge to inmates), DOC Administrative Regulation 700-30 (Offender Health Care Co-Payment Program). These citations are in addition to the statutory authority listed above for the Medical Services Subprogram as a whole.

Request and recommendation: The following table shows the request and the recommendation.

Institutions, Medical Services Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$32,143,287</u>	<u>\$31,904,904</u>	<u>\$238,383</u>	<u>387.5</u>
TOTAL	\$32,143,287	\$31,904,904	\$238,383	387.5
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$32,143,287	\$31,904,904	\$238,383	387.5
Annualize prior year budget actions (Salary Survey and merit pay)	367,505	367,505	0	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$32,510,792	\$32,272,409	\$238,383	387.5
Increase/(Decrease)	\$367,505	\$367,505	\$0	0.0
Percentage Change	1.1%	1.2%	0.0%	0.0%
FY 2016-17 Executive Request:	\$32,475,792	\$32,237,409	\$238,383	387.5
Request Above/(Below) Recommendation	(\$35,000)	(\$35,000)	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$2,579,052 General Fund.

Purchase of Pharmaceuticals

Statutory Authority: Section 17-1-113.1, C.R.S. (Administration or monitoring of medications to persons in correctional facilities)

Request and recommendation: The following table shows the request and the recommendation.

Institutions, Medical Services Subprogram, Purchase of Pharmaceuticals			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$18,080,579	\$18,080,579	0.0
H.B. 16-1238 DOC supplemental	<u>(2,781,253)</u>	<u>(2,781,253)</u>	<u>0.0</u>
TOTAL	\$15,299,326	\$15,299,326	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$15,299,326	\$15,299,326	0.0
R3 Medical caseload	<u>520,544</u>	<u>520,544</u>	<u>0.0</u>
TOTAL	\$15,819,870	\$15,819,870	0.0
Increase/(Decrease)	\$520,544	\$520,544	0.0
Percentage Change	3.4%	3.4%	0.0%
FY 2016-17 Executive Request:	\$15,819,870	\$15,819,870	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Purchase of Medical Expenses from Other Medical Facilities

Request and recommendation: The following table shows the request and the recommendation.

Institutions, Medical Services Subprogram, Purchase of Medical Services from Other Medical Facilities			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$23,058,674	\$23,058,674	0.0
H.B. 16-1238 DOC supplemental	<u>3,020,242</u>	<u>3,020,242</u>	<u>0.0</u>
TOTAL	\$26,078,916	\$26,078,916	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$26,078,916	\$26,078,916	0.0

Institutions, Medical Services Subprogram, Purchase of Medical Services from Other Medical Facilities			
	Total Funds	General Fund	FTE
R3 Medical caseload	(123,386)	(123,386)	0.0
TOTAL	\$25,955,530	\$25,955,530	0.0
Increase/(Decrease)	(\$123,386)	(\$123,386)	0.0
Percentage Change	(0.5%)	(0.5%)	0.0%
FY 2016-17 Executive Request:	\$25,955,530	\$25,955,530	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Catastrophic Medical Expenses

During supplementals, this line item was combined with the *Purchase of Medical Expenses from Other Medical Facilities* appropriation. When Medicaid eligibility for inmates began in January 2014, it substantially reduced *Catastrophic Medical Expenses*, making separate presentation of this information less necessary.

Service Contracts

This line item provides funds to purchase contract medical and support services, including physicians, dentists, psychiatrists, psychologists, and medical assistants.

Request and recommendation:

Institutions, Medical Services Subprogram, Service Contracts			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$2,490,075	\$2,490,075	0.0
TOTAL	\$2,490,075	\$2,490,075	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,490,075	\$2,490,075	0.0
R5 Provider rate decrease	0	0	0.0
TOTAL	\$2,490,075	\$2,490,075	0.0
Increase/(Decrease)	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$2,465,174	\$2,465,174	0.0
Request Above/(Below) Recommendation	(\$24,901)	(\$24,901)	0.0

Indirect Cost Recoveries

These cash funds represent assessments on the fees that inmates pay for medical appointments. The Appendix contains more information on the Department's indirect cost plan.

Statutory Authority: Section 17-1-113, C.R.S., (Medical visits – charge to inmates), [State of Colorado Fiscal Rules](#), Rule 8-3 (Cost Allocation Plans). DOC Administrative Regulation 700-30. These citations are in addition to the statutory authority listed above for the Medical Services Subprogram as a whole.

Request and Recommendation: The following table details the request and the recommendation.

Institutions, Medical Services Subprogram, Indirect Cost Assessment				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$2,795</u>	<u>\$0</u>	<u>\$2,795</u>	<u>0.0</u>
TOTAL	\$2,795	\$0	\$2,795	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$2,795	\$0	\$2,795	0.0
Indirect cost assessment adjustments	<u>(960)</u>	<u>0</u>	<u>(960)</u>	<u>0.0</u>
TOTAL	\$1,835	\$0	\$1,835	0.0
Increase/(Decrease)	(\$960)	\$0	(\$960)	0.0
Percentage Change	(34.3%)	0.0%	(34.3%)	0.0%
FY 2016-17 Executive Request:				
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

(2) Institutions

(F) Laundry Subprogram

The Department's laundry operations are responsible for issuing, maintaining, and controlling the clothing, bedding, jackets, and footwear worn by inmates housed in state correctional facilities.

Statutory Authority: Section 17-24-109, C.R.S., Section 25-1.5-101 (1) (i), C.R.S.

Personal Services

Request and Recommendation: The Department requests an appropriation of \$2,414,728 General Fund and 37.4 FTE. Staff recommends this request.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Laundry Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,384,643</u>	<u>\$2,384,643</u>	<u>37.4</u>
TOTAL	\$2,384,643	\$2,384,643	37.4
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,384,643	\$2,384,643	37.4
Annualize prior year budget actions (Salary survey and merit pay)	<u>30,085</u>	<u>30,085</u>	<u>0.0</u>
TOTAL	\$2,414,728	\$2,414,728	37.4
Increase/(Decrease)	\$30,085	\$30,085	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$2,414,728	\$2,414,728	37.4
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$2,197,545 General Fund.

(2) Institutions

(G) Superintendents Subprogram

The superintendents subprogram includes the superintendents (i.e. wardens) of DOC facilities as well as the staff involved in the day-to-day management of the facilities. The superintendents subprogram is responsible for facility policies, procedures, and practices that are congruent with applicable laws, consent decrees, court orders, legislative mandates, executive orders, and DOC administrative regulations. The superintendents function is also responsible for all staffing assignments and resource allocations within a given facility as well as coordination of all inmate assignments and programs.

Statutory Authority: Section 17-1-103 (1) (a), C.R.S., (Executive Director to manage, supervise, and control the correctional institutions operated and supported by the state), Section 17-1-109, C.R.S., (Duties and functions of warden).

Personal Services

Request and recommendation: The following table shows the request and the recommendation.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Superintendents Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$10,598,456</u>	<u>\$10,598,456</u>	<u>155.9</u>
TOTAL	\$10,598,456	\$10,598,456	155.9
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$10,598,456	\$10,598,456	155.9
Annualize prior year budget actions (Salary survey and merit pay)	<u>153,707</u>	<u>153,707</u>	<u>0.0</u>
TOTAL	\$10,752,163	\$10,752,163	155.9
Increase/(Decrease)	\$153,707	\$153,707	0.0
Percentage Change	1.5%	1.5%	0.0%
FY 2016-17 Executive Request:	\$10,752,163	\$10,752,163	155.9
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request: The Department requests an unchanged appropriation of \$5,181,501 General Fund.

Recommendation: Staff recommends approval of this request.

Dress Out

The Department is statutorily mandated to provide all inmates who are paroled or discharged from a correctional facility with suitable clothing and \$100. In instances where an inmate is released to a detainer, the Department is not required to provide the payment. The Department is also not required to provide the payment to inmates who have previously been discharged from the Department and then returned to custody. Additionally, when an inmate is unable to provide for transportation to his/her place of residence within Colorado, the Department provides transportation fare.

Statutory Authority: Section 17-22.5-202, C.R.S., (Ticket to leave – discharge – clothes, money, transportation)

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$735,433 General Fund.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel. When the Department adds corrections officers, it incurs start-up costs for initial issue of uniforms and attendance at basic training.

Request and recommendation: The Department requests that FY 2015-16 start-up costs be eliminated since none are needed for FY 2016-17.

Institutions, Superintendents Subprogram, Start-up Costs			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$38,830</u>	<u>\$38,830</u>	<u>0.0</u>
TOTAL	\$38,830	\$38,830	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$38,830	\$38,830	0.0
Annualize prior year budget actions	<u>(38,830)</u>	<u>(38,830)</u>	<u>0.0</u>
TOTAL	\$0	\$0	0.0
Increase/(Decrease)	(\$38,830)	(\$38,830)	0.0
Percentage Change	(100.0%)	(100.0%)	0.0%
FY 2016-17 Executive Request:	\$0	\$0	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

(2) Institutions

(H) Youthful Offender System Subprogram

The Youthful Offender System (YOS), which began operation in 1994, is a sentencing option for violent offenders aged 14 to 17 at the time of the offense and violent young adult offenders aged 18 and 19. In all cases the offender must be convicted as an adult, which for those under 18 means there was a direct filing in adult court or a transfer to adult court following a juvenile court filing. Upon conviction, the offender receives a sentence to YOS and a separate suspended sentence to adult prison. The DOC can return unmanageable offenders before the court for imposition of the sentence to adult prison. The median sentence to YOS for FY 2013-14 admissions was 3.9 years, while the median suspended adult sentence was 10 years.

Offenders sentenced to YOS are housed and serve their sentences in a Pueblo facility specifically designed and programmed for YOS. Offenders sentenced to the YOS are housed separately from offenders in adult prison. Offenders sentenced to YOS do not receive earned time or good time credit.

YOS offenders serve their sentence in a controlled and regimented environment that promotes work and self-discipline and develops useful skills through programming. YOS blends security, treatment, case management, and re-entry to provide a comprehensive “middle-tier” correctional sentencing option for the courts. Public safety, academic achievement, rehabilitation, the development of pro-social behaviors, and re-entry planning are the primary focus.

A sentence to YOS consists of four phases:

- The Intake, Diagnostic, and Orientation (IDO) Phase, during which a needs assessment and evaluation is completed, an individualized progress plan is developed, re-entry challenges are identified, and offenders are acclimated to the facility;
- Phase I, which provides a range of core programming, supplemental activities, treatment services, and educational and prevocational programs and services;
- Phase II (Pre-Release), which provides 90 days of pre-release programming;
- Phase III (Community Supervision, also called Aftercare), during which the offender is closely monitored as he or she reintegrates into society. This phase serves as the final 6 to 12 months of a YOS sentence.

The appropriations to this subprogram support the IDO Phase, Phase I, and Phase II. Phase III is supported by an appropriation to the Community Services Division's Community Supervision Subprogram, Youthful Offender System Aftercare, which will be presented later.

The majority of YOS admissions in FY 2013-14 needed career and technical education and treatment for anger management and substance abuse problems. Upon arrival at YOS, on average, offenders have obtained only three high school credits. Over a third of YOS admissions in FY 2013-14 had mental health needs.

Statutory Authority: Section 18-1.3-407, C.R.S., (Sentences – youthful offenders – authorization for youthful offender system), Section 18-1.3-407.5, C.R.S., (Sentences – young adult offenders), Section 19-2-517, C.R.S., (Direct Filing), Section 19-2-518, C.R.S., (Transfers of youthful offenders to adult court), and Section 17-1-104.3, C.R.S., (YOS is a level 3 facility).

Personal Services

Recommendation: The Department requests an appropriation of \$10,716,122 General Fund and 160.7 FTE.

Recommendation: Staff recommends approval of this request.

Institutions, Youthful Offender System Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$10,592,490</u>	<u>\$10,592,490</u>	<u>160.7</u>
TOTAL	\$10,592,490	\$10,592,490	160.7
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$10,592,490	\$10,592,490	160.7
Annualize prior year budget actions (Salary survey and merit pay)	<u>123,632</u>	<u>123,632</u>	<u>0.0</u>
TOTAL	\$10,716,122	\$10,716,122	160.7

Institutions, Youthful Offender System Subprogram, Personal Services			
	Total Funds	General Fund	FTE
Increase/(Decrease)	\$123,632	\$123,632	0.0
Percentage Change	1.2%	1.2%	0.0%
FY 2016-17 Executive Request:	\$10,716,122	\$10,716,122	160.7
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Recommendation and Request: The Department requests a continuation appropriation of \$604,705 General Fund. Staff recommends this request.

Contract Services

The funds in this line item are used to enhance educational programs, training, anger management, etc., for youths sentenced to the YOS.

Recommendation and Request: The Department requests a continuation appropriation of \$28,820 General Fund. Staff recommends this request.

Purchase of Services

This line item is used to purchase services such as maintenance from the Colorado Mental Health Institute at Pueblo.

Recommendation: The Department requests a continuation appropriation of \$681,031 General Fund.

Request: Staff recommends this request.

(2) Institutions

(I) Case Management Subprogram

Case managers are the primary source of contact for offenders and serve as a link to facility administration, central administration, the Parole Board, outside agencies, attorneys, families, and victims. Some of the responsibilities of case managers are: case analysis, classification reviews, inmate performance assessment, earned time evaluations, sentence computation, and parole and release preparations.

Statutory Authority: 17-1-103, C.R.S. (Duties of the executive director).

Personal Services

Request and recommendation: The Department request and the staff recommendation are summarized in the following table.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Institutions, Case Management Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$17,676,980</u>	<u>\$17,676,980</u>	<u>247.3</u>
TOTAL	\$17,676,980	\$17,676,980	247.3
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$17,676,980	\$17,676,980	247.3
Annualize prior year budget actions (Salary survey and merit pay)	<u>203,009</u>	<u>203,009</u>	<u>0.0</u>
TOTAL	\$17,879,989	\$17,879,989	247.3
Increase/(Decrease)	\$203,009	\$203,009	0.0
Percentage Change	1.1%	1.1%	0.0%
FY 2016-17 Executive Request:	\$17,879,989	\$17,879,989	247.3
Request Above/(Below) Recommendation	\$0	\$0	(0.0)

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$172,581 General Fund.

Offender ID Program

The offender identification program helps eligible offenders obtain government-issued identity documents prior to release from a prison in order to eliminate potential barriers to obtaining employment, housing, and support services. The program operates on-site DMV offices at the Denver Reception & Diagnostic Center and the Colorado Territorial Correctional Facility. The DOR employees who operate this program work inside prisons several days per week and the DOR's Division of Motor Vehicles receives a reappropriation of some of this appropriation in order to pay for DOR's work.

Request and recommendation: The Department requests and staff recommends a continuation General Fund appropriation of \$341,135.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

Request and recommendation: The Department requests and staff recommends no appropriation for this line item.

(2) Institutions

(J) Mental Health Subprogram

The mental health subprogram provides a full range of professional psychiatric, psychological, social work, and other mental health services to DOC inmates. Three broad categories of mental health services are provided, including: clinical mental health services, rehabilitative services, and services for inmates who are mentally ill and/or developmentally disabled. The staffing and operating funds identified in this subprogram are used to provide services to all inmates who are not deemed severe enough to require assignment to the San Carlos Correctional Facility, which is designed to serve the most severely chronically mentally ill inmates.

Statutory Authority: Title 16, Article 11.9 (Standardized Screening Process for Mentally Ill Offenders), Title 17, Article 40, C.R.S., (Diagnostic Programs).

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Institutions, Mental Health Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$12,370,450</u>	<u>\$12,370,450</u>	<u>151.0</u>
TOTAL	\$12,370,450	\$12,370,450	151.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$12,370,450	\$12,370,450	151.0
Annualize prior year budget actions (Salary survey and merit pay, Mental Health Staff Annualization)	231,067	231,067	1.1
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$12,601,517	\$12,601,517	152.1
Increase/(Decrease)	\$231,067	\$231,067	1.1
Percentage Change	1.9%	1.9%	0.7%
FY 2016-17 Executive Request:	\$12,595,167	\$12,595,167	152.1
Request Above/(Below) Recommendation	(\$6,350)	(\$6,350)	0.0

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$280,266 General Fund.

Medical Contract Services

These funds are used to contract with psychiatrists and psychologists who supplement the services provided by DOC mental health staff.

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Institutions, Mental Health Subprogram, Medical Contract Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$4,034,958	\$4,034,958	0.0
TOTAL	\$4,034,958	\$4,034,958	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$4,034,958	\$4,034,958	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$4,034,958	\$4,034,958	0.0
Increase/(Decrease)	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$3,994,608	\$3,994,608	0.0
Request Above/(Below) Recommendation	(\$40,350)	(\$40,350)	0.0

Mental Health Grants

This line item includes funding from the Division of Criminal Justice, in the Department of Public Safety, to form a partnership with Aurora Community Mental Health to provide community-based services to male offenders with mental illness that are transitioning to the city of Aurora.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request and Recommendation: The Department requests and staff recommends an unchanged appropriation of \$64,799 General Fund for this line item.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Request and Recommendation: The Department requests and staff recommends that last-year's start-up appropriation, which resulted from the expansion of mental health services at San Carlos and Denver Women's Correctional Facility, be eliminated.

Institutions, Mental Health Subprogram, Start-up Costs			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$61,139</u>	<u>\$61,139</u>	<u>0.0</u>
TOTAL	\$61,139	\$61,139	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$61,139	\$61,139	0.0
Annualize prior year budget actions (Mental Health Staff Annualization)	<u>(61,139)</u>	<u>(61,139)</u>	<u>0.0</u>
TOTAL	\$0	\$0	0.0
Increase/(Decrease)	(\$61,139)	(\$61,139)	0.0
Percentage Change	(100.0%)	(100.0%)	0.0%
FY 2016-17 Executive Request:	\$0	\$0	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

(2) Institutions

(K) Inmate Pay Subprogram

The inmate pay subprogram provides nominal pay to DOC inmates. Inmates paid from this appropriation are those who are not employed by Correctional Industries or the Canteen operations, for whom there are separate pay appropriations. The primary function of this subprogram is to pay inmates for work performed. Inmate labor is used in janitorial services, facility maintenance, food services, laundry operations, clerical services, grounds maintenance, as aides to staff in providing educational, recreational, and vocational training programs, and as aides for other offenders with disabilities. Several minimum security facilities also operate community labor programs that provide inmate labor crews to assist in municipal, county, and other government operations outside of the facility confines. Inmates participating in educational programs (both vocational and academic) are also paid through this subprogram.

The inmate pay scale is found in DOC Administrative Regulation 850-03. The rate is 28¢ per day for inmates with no assignment, 37¢ per day for those with half-time assignments, 74¢ per day for full time assignments, 82¢ per day for kitchen assignments, and 99¢ to \$2.31 per day for offender care aides who help other offenders who have disabilities. Note that offenders are required to purchase their own hygiene items.

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Statutory Authority: Section 17-20-115, C.R.S. (Persons to perform labor), Section 17-29-103 (2), C.R.S. (Executive director to establish work program), 17-1-103.7, C.R.S. (Duties of the executive director).

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$1,947,885.

(2) Institutions

(L) Legal Access Subprogram

The funds in this subprogram are used to provide constitutionally mandated legal access services to inmates incarcerated in DOC facilities. The Department maintains law libraries and legal assistants at most facilities.

Statutory Authority: There is no statutory authority for this subprogram, but a number of federal court decisions (for example *Bounds v. Smith*, 430 U.S. 817 (1977)) have affirmed the right of an inmate to access of the courts, which includes access to an adequate legal library.

Personal Services

Request: The Department requests an appropriation of \$1,426,036 General Fund.

Recommendation: Staff recommends that the Committee approve this request

Institutions, Legal Access Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,408,269</u>	<u>\$1,408,269</u>	<u>21.5</u>
TOTAL	\$1,408,269	\$1,408,269	21.5
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,408,269	\$1,408,269	21.5
Annualize prior year budget actions (Salary survey and merit pay)	<u>17,767</u>	<u>17,767</u>	<u>0.0</u>
TOTAL	\$1,426,036	\$1,426,036	21.5
Increase/(Decrease)	\$17,767	\$17,767	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:			
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Recommendation and Request: The Department requests a continuation appropriation of \$299,602 General Fund. Staff recommends this request.

Contract Services

The line item pays for a sign language interpreter for DOC inmates. This service is a requirement of the settlement agreement of the Montez lawsuit.

Recommendation and Request: The Department requests a continuation appropriation of \$70,905 General Fund. Staff recommends this request.

(2) Institutions

(J) Capital Lease Purchase Payments

This appropriation funds the lease purchase payments for Colorado State Penitentiary II (CSP II), which is officially called Centennial South because it is part of Centennial Correctional Facility. Prior to FY 2015-16 this appropriation was in the Capital Construction section of the Long Bill.

The CSP II lease-purchase agreement originally had a term of 15 years, but it has been twice refinanced. The second refinance in 2013 reduced the term of the payments to 13 years. Two payments are made per year. The following table shows the payments that have already been made and anticipated future payments. Note that no principal payments were made until the facility was complete. The FY 2016-17 payment is surrounded by a dark border.

Year	Principal	Interest	Total Payment
FY 2006-07	\$0	\$5,710,350	\$5,710,350
FY 2007-08	0	5,710,350	5,710,350
FY 2008-09	0	5,710,350	5,710,350
FY 2009-10	0	2,855,175	2,855,175
FY 2010-11	1,545,000	6,503,292	8,048,292
FY 2011-12	11,875,000	6,559,900	18,434,900
FY 2012-13	12,040,000	6,390,500	18,430,500
FY 2013-14	17,450,000	979,354	18,429,354
FY 2014-15	16,860,000	1,566,771	18,426,771
FY 2015-16	18,850,000	1,404,768	20,254,768
FY 2016-17	19,125,000	1,133,268	20,258,268
FY 2017-18	19,505,000	751,546	20,256,546
FY 2018-19	19,990,000	265,667	20,255,667

Statutory Authority: House Bill 03-1256, Sections 24-82-102 (1) (b) and 24-82-801, C.R.S.

Recommendation and Request: The Department requests an increase of \$3,500 General Fund for Capital Lease Purchase Payments for FY 2016-17. Staff recommends this request. The state's debt agreements require this increase.

(3) SUPPORT SERVICES

This division contains the Department's support operations, including business operations, personnel, offender services, transportation, training, information services, and facility services.

Support Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$42,392,030	\$40,908,320	\$679,726	\$803,984	241.2
2015 session bills	9,800	9,800	0	0	0.0
H.B. 16-1238 (DOC supplemental)	<u>(591,999)</u>	<u>(507,312)</u>	<u>(84,687)</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$41,809,831	\$40,410,808	\$595,039	\$803,984	241.2
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$41,809,831	\$40,410,808	\$595,039	\$803,984	241.2
Annualize prior year budget actions	204,614	203,308	1,306	0	0.0
Non-prioritized requests	859,791	703,825	155,966	0	0.0
Indirect cost assessment adjustments	0	(70,505)	0	70,505	0.0
Centrally appropriated line item adjustments	<u>(1,500,296)</u>	<u>(1,468,431)</u>	<u>(19,268)</u>	<u>(12,597)</u>	<u>0.0</u>
TOTAL	\$41,373,940	\$39,779,005	\$733,043	\$861,892	241.2
Increase/(Decrease)	(\$435,891)	(\$631,803)	\$138,004	\$57,908	0.0
Percentage Change	(1.0%)	(1.6%)	23.2%	7.2%	0.0%
FY 2016-17 Executive Request:	\$41,628,006	\$40,076,361	\$689,313	\$862,332	241.2
Request Above/(Below) Recommendation	\$254,066	\$297,356	(\$43,730)	\$440	(0.0)

DECISION ITEMS – SUPPORT SERVICES (NONE)

There are no decision items for the Support Services Division.

LINE ITEM DETAIL – SUPPORT SERVICES

(3) Support Services

(A) Business Operations Subprogram

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

The business operations subprogram includes the controller's office (accounts payable/receivable, cashier, general accounting, inmate banking), business office (all fiscal liaisons located at each facility as well as central budgeting), the warehouse operations (two centralized facilities and four self-supporting warehouse centers), payroll office, and purchasing.

The source of the reappropriated funds is indirect cost recoveries from Correctional Industries, the Canteen Operation, restitution payments, and a few other small fund sources within the Department as well as indirect cost recoveries from federal grants. Subsequent to this figure setting, **the Committee may makes changes to common policies that alter indirect cost assessments. If this occurs, staff requests permission to recalculate the assessments.**

Statutory Authority: Section 17-1-103 (1) (a), C.R.S., (Executive Director of the DOC to supervise the business, fiscal, budget, personnel, and financial operations of the Department), Section 24-17-102 C.R.S., (Each executive department of the state government to maintain systems of internal accounting and control).

Personal Services

Request and Recommendation: During FY 2016-17, the Department will collect \$766,522 of indirect cost assessments from cash and federal sources. The Department requests and staff recommends that the revenue from these assessments be used to offset what would otherwise be an additional \$766,522 of General Fund appropriations for this line item.

The following table summarizes the Department request and the staff recommendation.

Support Services, Business Operations Subprogram, Personal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$6,227,011	\$5,421,498	\$38,991	\$766,522	99.8
TOTAL	\$6,227,011	\$5,421,498	\$38,991	\$766,522	99.8
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$6,227,011	\$5,421,498	\$38,991	\$766,522	99.8
Annualize prior year budget actions (Salary Survey and Merit Pay)	79,703	78,397	1,306	0	0.0
Indirect cost assessment adjustments	0	(70,505)	0	70,505	0.0
TOTAL	\$6,306,714	\$5,429,390	\$40,297	\$837,027	99.8
Increase/(Decrease)	\$79,703	\$7,892	\$1,306	\$70,505	0.0
Percentage Change	1.3%	0.1%	3.3%	9.2%	0.0%
FY 2016-17 Executive Request:	\$6,306,714	\$5,429,390	\$40,297	\$837,027	99.8
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	(0.0)

Operating Expenses

Recommendation and Request: The Department requests a continuation appropriation of \$234,201 General Fund. Staff recommends this request.

(3) Support Services

(B) Personnel Subprogram

Section 17-1-103 (1) (a) requires the Executive Director of the Department to supervise the business, fiscal, budget, personnel, and financial operations of the Department. Colorado Constitution, Article XII, Section 13 establishes a state personnel system based upon merit, as ascertained by objective criteria. Title 24, Article 50, Part I, C.R.S., contains numerous personnel rules that govern the Department.

This subprogram is responsible for all employment and pre-employment services provided to DOC employees. Many of the services provided are delegated by the State Personnel Director to the Executive Director, including recruitment, examination, position classification, personnel records, affirmative action, appeals, grievance, benefits administration, and training and development.

Statutory Authority: Title 24, Article 50, Parts 1 through 8, [Colorado Personnel Board Rules and Personnel Director's Administrative Procedures](#)

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Support Services, Personnel Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$1,303,223</u>	<u>\$1,303,223</u>	<u>18.7</u>
TOTAL	\$1,303,223	\$1,303,223	18.7
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,303,223	\$1,303,223	18.7
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>16,441</u>	<u>16,441</u>	<u>0.0</u>
TOTAL	\$1,319,664	\$1,319,664	18.7
Increase/(Decrease)	\$16,441	\$16,441	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:			
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Recommendation and Request: The Department requests a continuation appropriation of \$86,931 General Fund. Staff recommends this request.

(3) Support Services

(C) Offender Services Subprogram

The offender services subprogram provides numerous services required to manage the offender population, including monitoring system prison beds to best utilize available bed space, offender classification reviews, and auditing of inmate assignments, sentence computation, administration of the offender disciplinary process (DOC code of penal discipline violations), jail backlog monitoring, court services, detainer operations, interstate corrections compact administration.

Statutory Authority: Section 17-1-103 C.R.S. (Duties of executive director), Title 17, Article 22.5 (Inmate and Parole Time Computation).

Personal Services

Recommendation and Request: The Department requests an appropriation of \$3,142,637 General Fund. Staff recommends that the Committee approve this request.

Support Services, Offender Services Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$3,103,484</u>	<u>\$3,103,484</u>	<u>44.1</u>
TOTAL	\$3,103,484	\$3,103,484	44.1
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$3,103,484	\$3,103,484	44.1
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>39,153</u>	<u>39,153</u>	<u>0.0</u>
TOTAL	\$3,142,637	\$3,142,637	44.1
Increase/(Decrease)	\$39,153	\$39,153	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$3,142,637	\$3,142,637	44.1
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Recommendation and Request: The Department requests and Staff recommends a continuation appropriation of \$62,044 General Fund.

(3) Support Services

(D) Communications Subprogram

The communications subprogram is responsible for staff voice communication systems, radio systems and radio equipment, cellular telephones, pagers, and video conferences.

Operating Expenses

This line item is used to pay for telephone service as well as the purchase, maintenance, and repair of telecommunications equipment for the Department.

Recommendation and Request: The Department requests and staff recommends a continuation appropriation of \$1,624,365 General Fund for this line item.

Dispatch Services

This line item provides funding for dispatch services provided by the Colorado State Patrol. When DOC transports offenders, they remain in contact with the Colorado State Patrol (CSP); CSP monitors progress in order to provide assistance, if needed. Community Parole Officers use dispatch services to provide radio cover during field contacts with parolees, communicating with CSP prior to contact and clearing after contact is made. Parole officers also use dispatch services to provide radio cover during transports of parolees, as well as for warrant entries and checks. In addition, CSP dispatch is used as a primary radio link to other law enforcement in areas where DOC lacks the radio frequencies that would allow direct access.

Statutory Authority: Section 17-1-103, C.R.S., Title 24, Article 37.5, Part 5 (Telecommunications Coordination Within State Government).

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$224,477 General Fund.

Multiuse Network Payments

This line item formerly provided funding for the Department's share of the costs of the State's data, voice, video, text, and graphics communication services. In FY 2014-15, the Committee eliminated this line item and consolidated the funding into the "Payments to OIT" line item in the FY 2014-15 Long Bill.

Communications Services Payments

This line item formerly provided funding to pay the Governor's Office of Information Technology for the Department's share of the costs associated with operating the public safety communications infrastructure. In FY 2014-15, the Committee eliminated this line item and consolidated the funding into the "Payments to OIT" line item in the FY 2014-15 Long Bill.

(3) Support Services

(E) Transportation Subprogram

The transportation subprogram is responsible for maintaining the Department's vehicle fleet, which consists of nearly 1200 vehicles that are leased from the State Fleet Management program. This program provides preventive maintenance, general maintenance, motor pool services,

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vehicle records maintenance, and monthly billing reports. The Department's central transportation unit (CTU) is also funded out of this subprogram. The CTU provides inmate movements between facilities, to community corrections, to Denver area courts, to the CMHIP for medical procedures, and out-of-state inmate returns.

Personal Services

Request and recommendation: The following table summarizes the request and the recommendation.

Support Services, Transportation Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,062,715</u>	<u>\$2,062,715</u>	<u>35.9</u>
TOTAL	\$2,062,715	\$2,062,715	35.9
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,062,715	\$2,062,715	35.9
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>26,022</u>	<u>26,022</u>	<u>0.0</u>
TOTAL	\$2,088,737	\$2,088,737	35.9
Increase/(Decrease)	\$26,022	\$26,022	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$2,088,737	\$2,088,737	35.9
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$433,538 General Fund for this line item.

Vehicle Lease Payments

The funds in this line item are used to provide central accounting and payment for leased vehicles department-wide. The Department's fleet is maintained and serviced by Correctional Industries, though in areas away from Cañon City the maintenance departments may do minor maintenance, not Correctional Industries. The DOC request list was sent to DPA Fleet, which in consultation with OSPB made the final selection of vehicles submitted to the JBC for replacement. From this list, the JBC has already selected the vehicles that will be replaced. The Department has a total of 1,234 vehicles and 93 have been approved by the JBC for replacement, 7.5% of the total.

Statutory Authority: Pursuant to Section 24-30-1104 (2), C.R.S.

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Request and Recommendation: The following table summarizes the common policy decision, which staff recommends.

Support Services, Transportation Subprogram, Vehicle Lease Payments				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$3,263,106	\$2,763,118	\$499,988	0.0
H.B. 16-1238 (DOC supplemental)	<u>(591,999)</u>	<u>(507,312)</u>	<u>(84,687)</u>	<u>0.0</u>
TOTAL	\$2,671,107	\$2,255,806	\$415,301	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$2,671,107	\$2,255,806	\$415,301	0.0
Non-prioritized requests	<u>654,579</u>	<u>499,844</u>	<u>154,735</u>	<u>0.0</u>
TOTAL	\$3,325,686	\$2,755,650	\$570,036	0.0
Increase/(Decrease)	\$654,579	\$499,844	\$154,735	0.0
Percentage Change	24.5%	22.2%	37.3%	0.0%
FY 2016-17 Executive Request:	\$3,363,849	\$2,839,170	\$524,679	0.0
Request Above/(Below) Recommendation	\$38,163	\$83,520	(\$45,357)	0.0

(3) Support Services

(F) Training Subprogram

The training subprogram administers centrally the training needs, both for new employees and continuing training, for Department personnel. Staff training is categorized into four components: (1) basic training for all new employees (19 day, 152 hour course); (2) extended orientation and training program (40 hour course); (3) in-service training for current staff members; and, (4) advanced/specialized training such as cultural diversity, crisis intervention, training for trainers, violence in the workplace, pressure point control tactics, Americans With Disabilities Act, etc.

Statutory Authority: Section 17-1-103, C.R.S.

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

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Support Services, Training Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,467,693</u>	<u>\$2,467,693</u>	<u>33.0</u>
TOTAL	\$2,467,693	\$2,467,693	33.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,467,693	\$2,467,693	33.0
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>31,132</u>	<u>31,132</u>	<u>0.0</u>
TOTAL	\$2,498,825	\$2,498,825	33.0
Increase/(Decrease)	\$31,132	\$31,132	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$2,498,825	\$2,498,825	33.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$286,981 General Fund.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

Request and recommendation: The Department requests and staff recommends no appropriation for start-up costs.

(3) Support Services

(G) Information Systems Subprogram

The information systems subprogram is responsible for the development and maintenance of automated information systems within the Department. In recent years, staff in this subprogram designed, developed and implemented a victim notification web registration site, a tracking system for the American Correctional Association, and an internal incident tracking system. It also created an approved treatment provider web application for clinical staff.

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$1,644,122 General Fund.

Payments to OIT

This line item, created in FY 2014-15, consolidated the funding for several line items that no longer exist (Purchase of Services from Computer Center; Colorado State Network; Management and Administration of OIT; Communications Services Payments; and Information Technology Security).

Request: The Department requests an appropriation of \$16,838,721 total funds, including \$16,737,667 General Fund

Recommendation: Staff recommends an appropriation of \$16,631,013 total funds, including 16,531,206 General Fund, in accord with common policy.

CORE Operations

This line item helps fund the statewide CORE accounting system which records all state revenues and expenditures.

Statutory Authority: Section 24-30-209, C.R.S.

Request: The Department requests an appropriation of \$412,815 total funds, including \$364,227 General Fund.

Recommendation: Staff recommends an appropriation of 404,620, including 356,852 General Fund, which accords with Committee common policy.

Purchase of Services from Computer Center

This line item provided funding for the Department's share of statewide computer services provided by the Governor's Office of Information Technology. In FY 2014-15, the Committee eliminated this line item and consolidated the funding into the "Payments to OIT" line item in the FY 2014-15 Long Bill.

Management and Administration of OIT

This line item was consolidated into the Payments to OIT line item.

Information Technology Security

This line item was also consolidated into the Payments to OIT line item.

(3) Support Services

(H) Facility Services Subprogram

The facility services subprogram is responsible for managing construction and controlled maintenance projects for the Department. These responsibilities include procurement (requests for proposals and qualifications development, review, and award), contractor/design team selection, design review, contract administration, and fiscal management. This office is also responsible for developing facility master plans.

Statutory Authority: Section 17-1-105, C.R.S. (Powers of executive director).

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Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Support Services, Facility Services Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$964,126</u>	<u>\$964,126</u>	<u>9.7</u>
TOTAL	\$964,126	\$964,126	9.7
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$964,126	\$964,126	9.7
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>12,163</u>	<u>12,163</u>	<u>0.0</u>
TOTAL	\$976,289	\$976,289	9.7
Increase/(Decrease)	\$12,163	\$12,163	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$976,289	\$976,289	9.7
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests a continuation appropriation of \$83,096 General Fund. Staff recommends this request.

(4) INMATE PROGRAMS

This division includes all vocational, educational, recreational, and labor programs for offenders operated by the Department. Also included are the sex offender treatment and the drug and alcohol treatment programs, as well as the volunteer program.

Inmate Programs						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$44,515,655	\$39,557,784	\$2,905,175	\$1,959,068	\$93,628	545.7
2015 session bills	0	1,500,000	(1,500,000)	0	0	0.0
H.B. 16-1238 DOC supplemental	<u>(653,555)</u>	<u>(653,555)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$43,862,100	\$40,404,229	\$1,405,175	\$1,959,068	\$93,628	545.7
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$43,862,100	\$40,404,229	\$1,405,175	\$1,959,068	\$93,628	545.7
Annualize prior year budget actions (Salary Survey and Merit Pay)	468,089	468,089	0	0	0	0.0
Indirect cost assessment adjustments	(4)	0	0	0	(4)	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$44,330,185	\$40,872,318	\$1,405,175	\$1,959,068	\$93,624	545.7
Increase/(Decrease)	\$468,085	\$468,089	\$0	\$0	(\$4)	0.0
Percentage Change	1.1%	1.2%	0.0%	0.0%	(0.0%)	0.0%
FY 2016-17 Executive Request:	\$44,309,427	\$40,851,560	\$1,405,175	\$1,959,068	\$93,624	545.7
Request Above/(Below) Recommendation	(\$20,758)	(\$20,758)	\$0	\$0	\$0	0.0

DECISION ITEMS – INMATE PROGRAMS (NONE)

There are no decision items for the Inmate Programs Division except for the provider rate decrease presented earlier.

LINE ITEM DETAIL – INMATE PROGRAMS

(3) Inmate Programs

(A) Labor Subprogram

The labor subprogram is responsible for the development and supervision of inmate work assignments involving physical labor to assist the Department with reclamation, landscaping, construction and other related projects. The only department facility without a specific labor

program for inmates is the Colorado State Penitentiary due to its administrative segregation mission. The Department identifies three components of the labor program: (1) intensive labor - - operated on an incentive basis so that the inmate is able to demonstrate that he or she is willing to modify his or her behavior; (2) inter-facility labor -- concentrated in the Cañon minimum centers, using inmates for land reclamation and erosion control; and (3) off grounds labor -- usually reserved for minimum security facilities to provide off grounds inmate work crews for a variety of governmental projects.

Statutory Authority: Title 17, Article 29, C.R.S. (Physical Labor by Inmates). Section 17-1-103 (1) (a) and (f), C.R.S., Section 17-20-115, C.R.S. (Persons to perform labor)

Personal Services

This line item funds staff who supervise inmates working in labor crews.

Request and Recommendation: The following table summarizes the request and the recommendation.

Inmate Programs, Labor Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$5,597,050</u>	<u>\$5,597,050</u>	<u>88.7</u>
TOTAL	\$5,597,050	\$5,597,050	88.7
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$5,597,050	\$5,597,050	88.7
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>70,611</u>	<u>70,611</u>	<u>0.0</u>
TOTAL	\$5,667,661	\$5,667,661	88.7
Increase/(Decrease)	\$70,611	\$70,611	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$5,667,661	\$5,667,661	88.7
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request: The Department requests a continuation appropriation of \$88,017.

Recommendation: Staff recommends that the Committee approve this request.

(4) Inmate Programs

(B) Education Subprogram

The education portion of this subprogram provides academic and other basic skills education to the total population on a daily basis. The Department operates programs such as Adult Basic Education (ABE), General Educational Development (GED), Special Education, Cognitive Education, Anger Management, English as a Second Language (ESL), Victim's Education, Life Skills, etc. The Department also contracts with several community colleges for the provision of additional educational and vocational services.

The vocational portion of this subprogram develops competency-based vocational/technical programs designed to equip inmates with job entry skills. The Community Colleges of Colorado approves the programs based on state labor and employment needs. Some of the programs provided include: auto body repair, carpentry, printing trades, landscaping, electronics, graphic design, machine, computer information systems, video production, welding, etc.

Statutory Authority: Title 17, Article 32, C.R.S. (Correctional Education Program). A portion of the funding for Education Programs derives from the legislative declaration of H.B. 12-1223 (Earned Time), which states that the General Fund savings generated by the bill can be appropriated either for Education or Wrap-around services.

Personal Services

Request and Recommendation:

Inmate Programs, Education Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$12,474,355	\$11,456,366	\$1,017,989	189.1
2015 session bills	<u>0</u>	<u>1,017,989</u>	<u>(1,017,989)</u>	<u>0.0</u>
TOTAL	\$12,474,355	\$12,474,355	\$0	189.1
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$12,474,355	\$12,474,355	\$0	189.1
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>197,373</u>	<u>197,373</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$12,671,728	\$12,671,728	\$0	189.1
Increase/(Decrease)	\$197,373	\$197,373	\$0	0.0
Percentage Change	1.6%	1.6%	0.0%	0.0%
FY 2016-17 Executive Request:	\$12,671,728	\$12,671,728	\$0	189.1
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

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Operating Expenses

The Department of Corrections has vocational education programs at its facilities. These programs are designed to improve the education of inmates by providing them with an opportunity to develop occupational skills. Programs include drafting, welding, computers, carpentry, cooking, machine shop, welding, heavy equipment, auto body, graphic art, culinary arts, cosmetology, construction technology, construction trades, office equipment repair, video production, and janitorial. These vocational programs are reimbursed with sales revenues earned, which is reflected in the cash funds appropriation for this line item.

Request and Recommendation: The following table summarizes the request and the recommendation:

Inmate Programs, Education Subprogram, Operating Expenses					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	\$5,172,718	\$3,622,619	\$1,139,084	\$411,015	0.0
2015 session bills	0	(154,318)	154,318	0	0.0
H.B. 16-1238 DOC supplemental	<u>(653,555)</u>	<u>(653,555)</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$4,519,163	\$2,814,746	\$1,293,402	\$411,015	0.0
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	<u>\$4,519,163</u>	<u>\$2,814,746</u>	<u>\$1,293,402</u>	<u>\$411,015</u>	<u>0.0</u>
TOTAL	\$4,519,163	\$2,814,746	\$1,293,402	\$411,015	0.0
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$4,519,163	\$2,814,746	\$1,293,402	\$411,015	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Contract Services

The Department contracts with local community colleges to provide a variety of educational and vocational programs to inmates. This line item provides the funding for those contracts.

Request and Recommendation: The department requests a continuation appropriation of \$237,128 General Fund. Staff recommends this request.

Education Grants

The Department receives a variety of education grants to provide life skills training, ABE/GED education, transition services, family parenting education, etc. to inmates. This line item provides the spending authority for those grants.

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The source of cash funds is gifts, grants, and donations. The source of reappropriated funds is federal funds appropriated to the Department of Education and the Department of Public Health and Environment.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request: The Department requests a continuation appropriation of \$113,894 total funds for this line item. There is no General Fund in the appropriation.

Recommendation: Staff recommends this request.

Inmate Programs, Education Subprogram, Education Grants						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	<u>\$113,894</u>	<u>\$0</u>	<u>\$10,000</u>	<u>\$76,244</u>	<u>\$27,650</u>	<u>2.0</u>
TOTAL	\$113,894	\$0	\$10,000	\$76,244	\$27,650	2.0
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	<u>\$113,894</u>	<u>\$0</u>	<u>\$10,000</u>	<u>\$76,244</u>	<u>\$27,650</u>	<u>2.0</u>
TOTAL	\$113,894	\$0	\$10,000	\$76,244	\$27,650	2.0
Percentage Change	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$113,894	\$0	\$10,000	\$76,244	\$27,650	2.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

Indirect Cost Recoveries

This line item shows indirect cost recoveries from federal grants received in this subprogram.

Statutory Authority: [State of Colorado Fiscal Rules](#), Rule 8-3 (Cost Allocation Plans).

Request and Recommendation: The following table summarizes the requested and recommended indirect cost adjustment.

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Inmate Programs, Education Subprogram, Indirect Cost Assessment				
	Total Funds	General Fund	Federal Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$381</u>	<u>\$0</u>	<u>\$381</u>	<u>0.0</u>
TOTAL	\$381	\$0	\$381	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$381	\$0	\$381	0.0
Indirect cost assessment adjustments	<u>(4)</u>	<u>0</u>	<u>(4)</u>	<u>0.0</u>
TOTAL	\$377	\$0	\$377	0.0
Increase/(Decrease)	(\$4)	\$0	(\$4)	0.0
Percentage Change	(1.0%)	0.0%	(1.0%)	0.0%
FY 2016-17 Executive Request:	\$377	\$0	\$377	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

(4) Inmate Programs

(C) Recreation Subprogram

The recreation subprogram is responsible for developing, implementing, and supervising all recreational programs including leisure time activities and outdoor exercise. Most facilities (with the exception of Colorado State Penitentiary) have recreation programs. Almost all the FTE assigned to this program are Correctional Officers; despite the label "recreation", their primary task is security. If they were not present, other corrections officers would have to supervise.

Statutory Authority: Section 17-1-103, C.R.S., (Duties of the executive director)

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Inmate Programs, Recreation Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$6,813,173</u>	<u>\$6,813,173</u>	<u>116.7</u>
TOTAL	\$6,813,173	\$6,813,173	116.7

Inmate Programs, Recreation Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$6,813,173	\$6,813,173	116.7
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>85,954</u>	<u>85,954</u>	<u>0.0</u>
TOTAL	\$6,899,127	\$6,899,127	116.7
Increase/(Decrease)	\$85,954	\$85,954	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$6,899,127	\$6,899,127	116.7
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests and staff recommends a continuation appropriation of \$71,232 cash funds.

(4) Inmate Programs

(D) Drug and Alcohol Treatment Subprogram

The drug and alcohol treatment subprogram is responsible for providing the following substance abuse services to inmates: (1) intake evaluation, assessment, and orientation; (2) self-help meetings; (3) facility-based education and treatment groups; (4) drug testing; (5) intensive treatment; and (6) community/parole services. The Department estimates that approximately 80.0 percent of all inmates incarcerated in DOC facilities are assessed as needing some level of substance abuse treatment.

Statutory Authority: Title 16, Article 11.5, C.R.S. (Substance Abuse in the Criminal Justice System).

Personal Services

Request and recommendation: The following table summarizes the request and the recommendation.

Inmate Programs, Drug and Alcohol Treatment Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$5,240,141</u>	<u>\$5,240,141</u>	<u>85.4</u>
TOTAL	\$5,240,141	\$5,240,141	85.4

JBC Staff Figure Setting – FY 2016-17
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Inmate Programs, Drug and Alcohol Treatment Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$5,240,141	\$5,240,141	85.4
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>61,109</u>	<u>61,109</u>	<u>0.0</u>
TOTAL	\$5,301,250	\$5,301,250	85.4
Increase/(Decrease)	\$61,109	\$61,109	0.0
Percentage Change	1.2%	1.2%	0.0%
FY 2016-17 Executive Request:	\$5,301,250	\$5,301,250	85.4
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests a continuation appropriation of \$110,932 General Fund for this line item. Staff recommends that the Committee approve the request.

Services for Substance Abuse and Co-occurring Disorders

This line item receives money from the Correctional Treatment Cash fund, which is appropriated to the Judicial Department and then reappropriated to the Department of Corrections and other agencies.

Statutory Authority: Section 18-19-103, C.R.S., (Correctional Treatment Cash Fund and Board).

Request and Recommendation: The Department requests and staff recommends an unchanged appropriation of \$995,127 reappropriated funds for this line item. This corresponds with the Correctional Treatment Board's FY 2016-17 recommendations, which the JBC approved.

Contract Services

These funds are used to contract with individuals who are certified by the Division of Alcohol and Drug Abuse (ADAD) to provide facility-based treatment and counseling services. Reappropriated funds come from the Correctional Treatment Cash Fund.

Request and Recommendation: The following table summarizes the request and the staff recommendation. The \$350,000 appropriation from the Correctional Treatment Cash Fund agrees with the Correctional Treatment Board's FY 2016-17 recommendations, which the JBC approved.

JBC Staff Figure Setting – FY 2016-17
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Inmate Programs, Drug and Alcohol Treatment Subprogram, Contract Services				
	Total Funds	General Fund	Reappropriated Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$2,425,799</u>	<u>\$2,075,799</u>	<u>\$350,000</u>	<u>0.0</u>
TOTAL	\$2,425,799	\$2,075,799	\$350,000	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$2,425,799	\$2,075,799	\$350,000	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$2,425,799	\$2,075,799	\$350,000	0.0
Increase/(Decrease)	\$0	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$2,405,041	\$2,055,041	\$350,000	0.0
Request Above/(Below) Recommendation	(\$20,758)	(\$20,758)	\$0	0.0

Treatment Grants

This line item reflects funding received from the Division of Criminal Justice to fund the therapeutic community project at the Arrowhead Correctional Center.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request and Recommendation: The Department requests and staff recommends an unchanged continuation appropriation of \$126,682 General Fund.

(4) Inmate Programs

(E) Sex Offender Treatment Subprogram

The Sex Offender Treatment and Monitoring Program (SOTMP) provides evaluation, treatment, and monitoring services to offenders who are motivated to stop their sexual abuse. The treatment program uses cognitive behavioral treatment groups and individual therapy to address factors associated with sexual offending behaviors. Treatment participants are assessed to determine their level of risk for committing another sexual offense and participate in a level of treatment based on their individual needs.

Pursuant to Section 18-1.3-1004, C.R.S., offenders who commit certain sex offenses are sentenced to the Department of Corrections for an indeterminate period with the minimum sentence dependant upon the offense committed and the maximum sentence equal to the offender's natural life. After reaching the minimum sentence, as reduced by earned time, inmates are eligible to be considered for parole.

JBC Staff Figure Setting – FY 2016-17
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Statutory Authority: Title 16, Article 11.7, C.R.S. (Standardized Treatment Program for Sex Offenders). Title 18, Article 1.3, Part 10, C.R.S. (Lifetime supervision of sex offenders)

Personal Services

Request and Recommendation: The following table summarizes the request and the recommendation.

Inmate Programs, Sex Offender Treatment Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$4,012,324</u>	<u>\$3,982,283</u>	<u>\$30,041</u>	<u>55.8</u>
TOTAL	\$4,012,324	\$3,982,283	\$30,041	55.8
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$4,012,324	\$3,982,283	\$30,041	55.8
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>45,240</u>	<u>45,240</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$4,057,564	\$4,027,523	\$30,041	55.8
Increase/(Decrease)	\$45,240	\$45,240	\$0	0.0
Percentage Change	1.1%	1.1%	0.0%	0.0%
FY 2016-17 Executive Request:	\$4,057,564	\$4,027,523	\$30,041	55.8
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Operating Expenses

Request: The Department requests a continuation appropriation of \$92,276 total funds, including \$91,776 General Fund. The source is the Sex Offender Surcharge Fund.

Recommendation: Staff recommends that the Committee approve this request.

Polygraph Testing

This line item provides funding used to perform polygraph tests to assist in the treatment of sex offenders.

Request and recommendation: The Department requests a continuation appropriation of \$242,500 General Fund. Staff recommends approval of this request.

Sex Offender Treatment Grants

This line item reflects federal funding from the Sex Offender Management Grant from the U.S. Department of Justice.

Request and recommendation: The Department requests a continuation appropriation of \$65,597 federal funds. Staff recommends approval of this request.

Start-up costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

There were no start-up costs in FY 2015-16, nor are any requested for FY 2016-17, so no appropriation and no adjustment to this appropriation is necessary.

(4) Inmate Programs

(F) Volunteers Subprogram

The Department uses volunteers to assist in the development and participate in the implementation of programs for the rehabilitation of offenders. An office of volunteer services was created by the Department effective July 1, 1995, in order to provide religious and non-religious volunteer programs to offenders. The Department converted its previous chaplain positions to form a coordinated volunteer program consisting of a volunteer services administrator, two administrative assistants, a religious services administrator, and area volunteer coordinators located at field offices throughout the State. No groups accessing DOC facilities to provide volunteer services are paid by the Department.

Statutory Authority: Title 17, Article 31, C.R.S. (Volunteerism in the Juvenile and Adult Criminal Justice System), Section 17-42-101, C.R.S., (Freedom of worship)

Personal Services

Request and recommendation: The following table summarizes the Department request and the Staff recommendation.

Inmate Programs, Volunteers Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	\$618,417	\$0	\$618,417	8.0
2015 session bills	<u>0</u>	<u>618,417</u>	<u>(618,417)</u>	<u>0.0</u>
TOTAL	\$618,417	\$618,417	\$0	8.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$618,417	\$618,417	\$0	8.0
Annualize prior year budget actions (Salary Survey and Merit Pay)	<u>7,802</u>	<u>7,802</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$626,219	\$626,219	\$0	8.0
Increase/(Decrease)	\$7,802	\$7,802	\$0	0.0

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Inmate Programs, Volunteers Subprogram, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
Percentage Change	1.3%	1.3%	0.0%	0.0%
FY 2016-17 Executive Request:	\$626,219	\$626,219	\$0	8.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests a continuation appropriation of \$17,912 General Fund. Staff recommends this request.

(5) COMMUNITY SERVICES

The community services section of the Long Bill funds the costs associated with supervising offenders in a community setting following incarceration. These offenders are on parole, in community corrections, or in the Department's intensive-supervision inmate program, which places offenders in the community after they finish community corrections. Offenders who were in the Youthful Offender System are in aftercare.

Community Services						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	\$48,974,882	\$46,746,528	\$10,000	\$2,179,256	\$39,098	427.6
2015 session bills	<u>710,000</u>	<u>710,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$49,684,882	\$47,456,528	\$10,000	\$2,179,256	\$39,098	427.6
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$49,684,882	\$47,456,528	\$10,000	\$2,179,256	\$39,098	427.6
Annualize prior year budget actions (Salary Survey and Merit Pay)	352,559	352,559	0	0	0	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$50,037,441	\$47,809,087	\$10,000	\$2,179,256	\$39,098	427.6
Increase/(Decrease)	\$352,559	\$352,559	\$0	\$0	\$0	0.0
Percentage Change	0.7%	0.7%	0.0%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$49,928,687	\$47,700,333	\$10,000	\$2,179,256	\$39,098	427.6
Request Above/(Below) Recommendation	(\$108,754)	(\$108,754)	\$0	\$0	\$0	0.0

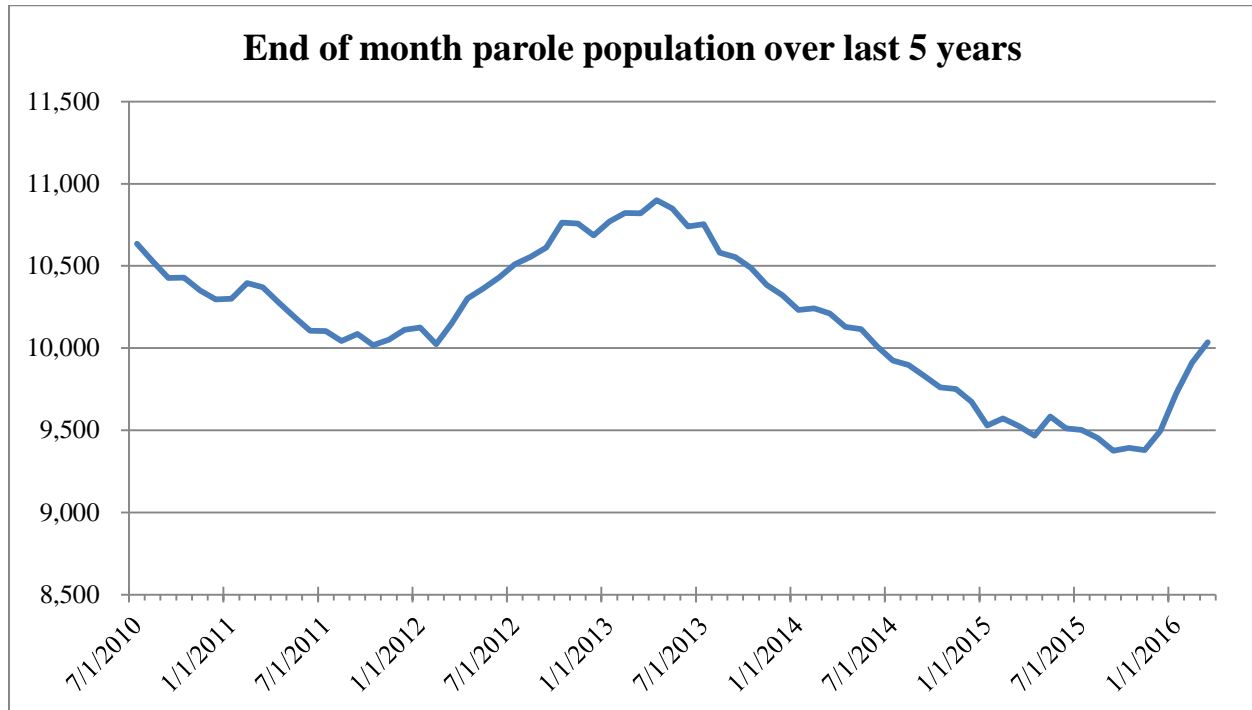
DECISION ITEMS – COMMUNITY SERVICES

➔ *DOC requests no caseload adjustment for the Community Services appropriation.*

Request: The Department's FY 2016-17 budget submission includes a letter from the Executive Director requesting that there be no adjustment to the caseload appropriation for the Community Services Division for FY 2016-17. The letter is reproduced at the back of this packet.

Recommendation: Staff recommends that the Committee approve this request.

Analysis: The following chart shows the actual parole population over the last 5 years.

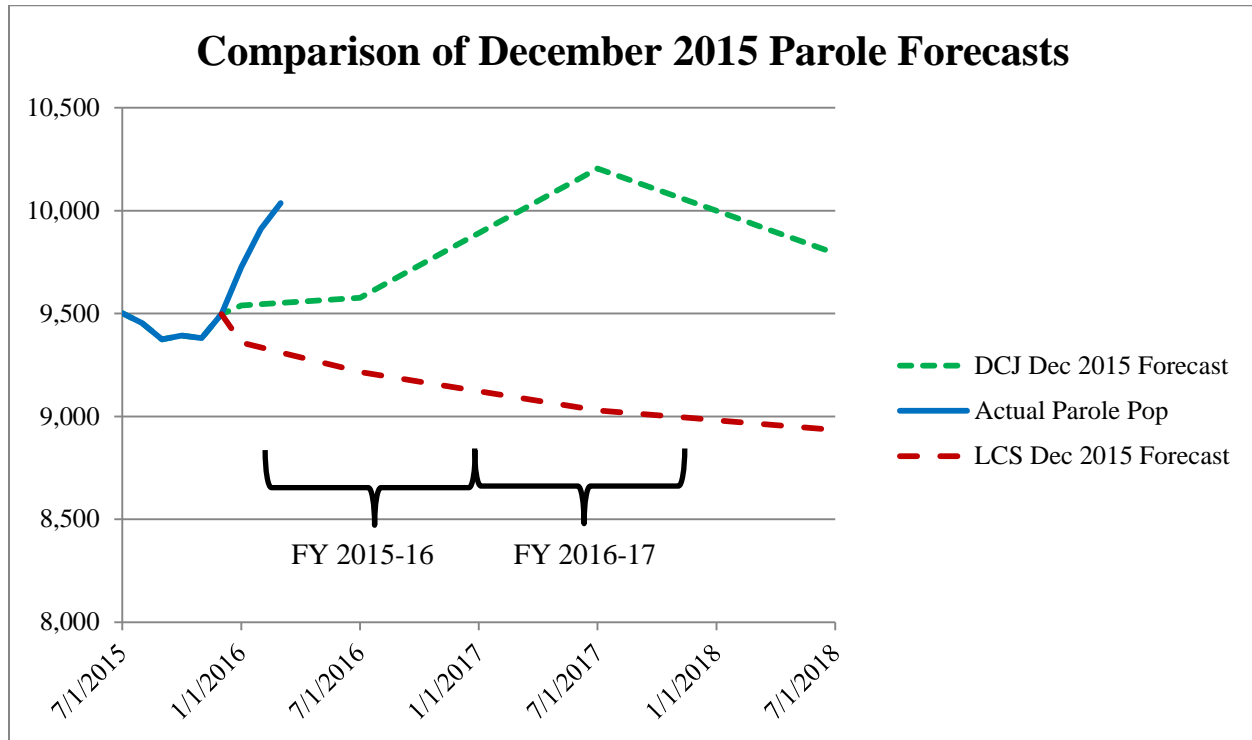


This is the second year that the Department has requested no caseload adjustment for the Community Services Division. Last year a continuation appropriation for caseload accorded fairly closely with the DCJ parole population forecast. In addition, the Department was in the midst of implementing H.B. 14-1355 (Reentry Programs for Adult Parolees), which established a number of new parole programs; a caseload supplemental would have complicated the implementation process.

This year's letter from the Executive Director's acknowledges the DCJ prediction that the parole population will be higher in FY 2016-17 but asks for no caseload increase. According to the letter, "Maintaining the current staffing levels will provide stability to the Division of Adult Parole as we continue to implement initiatives that are focused on successful re-entry of offenders as they return to their communities."

As the following chart indicates, there is a substantial difference between the December 2015 DCJ and LCS parole population forecasts for FY 2016-17. The LCS forecast indicates that population will decline while the DCJ forecast implies that it will rise.

In light of this uncertainty and the Executive Director's letter, Staff recommends that the Committee approve the Department's request for no parole caseload change.



LINE ITEM DETAIL – COMMUNITY SERVICES

(5) Community Services

(A) Parole Subprogram

This subdivision was formed in FY 2015-16 by combining the Parole Subprogram and the Parole Intensive Supervision Subprogram.

Typical functions performed by parole officers include conducting pre-release investigations, performing new parolee classification, monitoring parolee compliance with the terms of parole, coordinating treatment needs of parolees, investigating alleged parole violations, and testifying before a member of the Parole Board in revocation hearing.

The parole ISP subprogram targets high-risk offenders who are on parole. Most parolees are placed on ISP at the discretion of the DOC parole office; a small number are placed on ISP at the direction of the parole board, but the parole board allows the vast majority of the offenders it paroles (approximately 97 percent) to be placed on ISP at the discretion of the DOC. Offenders who are placed on ISP are usually assigned this status immediately upon release, but an offender on "regular" parole who is having difficulty may be placed on ISP in an effort to avoid a parole revocation. Offenders are typically placed on ISP for 6 months.

For ISP Parole, statute requires weekly face to face contact and daily telephone contact of program staff and the parolee, a monitored curfew at the parolee's place of residence, employment visitation at least twice a month, home visitation, drug and alcohol screening, and treatment referrals and monitoring. Some of the monitoring is by parole officers, some by

contractors. The parole ISP program also uses electronic monitoring for all ISP parolees, and uses home detention strategies in conjunction with the ISP program.

Statutory authority: The Division of Adult Parole is established in Section 17-2-101, C.R.S. and the rules governing parole are found in Sections 17-2-102 through 17-2-106, C.R.S. Section 17-27.5-101, C.R.S., establishes intensive supervision programs for parolees. Section 24-1-128.5 (2) (a), C.R.S., (Department of Corrections - Creation).

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Community Services, Parole Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$18,754,082</u>	<u>\$18,754,082</u>	<u>293.2</u>
TOTAL	\$18,754,082	\$18,754,082	293.2
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$18,754,082	\$18,754,082	293.2
Annualize prior year budget actions (salary survey and merit pay)	<u>236,597</u>	<u>236,597</u>	<u>0.0</u>
TOTAL	\$18,990,679	\$18,990,679	293.2
Increase/(Decrease)	\$236,597	\$236,597	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$18,990,679	\$18,990,679	293.2
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request: The Department requests a continuation appropriation of \$2,612,240 General Fund.

Recommendation: Staff recommends approval of this request.

Contract Services

This line item contains funding for services for substance abuse and co-occurring disorders. Formerly these services were provided through a contract with TASC (Treatment Accountability for Safer Communities). In February 2016 the DOC transitioned to a contract with First Alliance Treatment Services, which provides full case management services, mental health assessment and referrals, sex offender assessment and referrals, urinalysis testing, and alcohol/substance

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abuse evaluation and referrals. Some of the General Fund appropriation pays for fugitive returns.

The reappropriated funds for this appropriation include \$2,112,100 from the Correctional Treatment Cash Fund, which is appropriated to the Judicial Branch and then reappropriated to the Department of Corrections. The remaining \$25,000 is transferred from the Judicial Branch for day reporting services. The \$2,112,100 appropriation from the Correctional Treatment Cash Fund corresponds to the Correctional Treatment Board's FY 2016-17 recommendations, which the JBC approved.

Statutory Authority: Section 17-27.5-101 (1) (c), C.R.S., (Department has authority to contract for intensive supervision services). Title 17, Article 2, Part 3, C.R.S. (Cooperative return of parole and probation violators).

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Community Services, Parole Subprogram, Contract Services				
	Total Funds	General Fund	Reappropriated Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$7,626,078</u>	<u>\$5,488,978</u>	<u>\$2,137,100</u>	<u>0.0</u>
TOTAL	\$7,626,078	\$5,488,978	\$2,137,100	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$7,626,078	\$5,488,978	\$2,137,100	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$7,626,078	\$5,488,978	\$2,137,100	0.0
Increase/(Decrease)	\$0	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$7,571,188	\$5,434,088	\$2,137,100	0.0
Request Above/(Below) Recommendation	(\$54,890)	(\$54,890)	\$0	0.0

Wrap-Around Services Program

This line item was added to the Long Bill in FY 2008-09 to provide comprehensive assistance, such as substance abuse treatment and job placement, through local community-based service providers for parolees transitioning from prison. The line item was intended to provide funding for approximately 200 parolees for a year-long program at up to \$9,000 per parolee as well as up to \$3,000 in follow-up services per parolee in the second year. Service components include: mental health services, substance abuse treatment, and housing and vocational assistance.

Statutory Authority: A portion of the funding for Wrap-around Services derives from the legislative declaration of H.B. 12-1223 (Earned Time), which states that the General Fund savings generated by that bill can be appropriated either for Education or Wrap-around services.

Request and recommendation: The Department requests a continuation appropriation of \$1,834,291 General Fund for this line item.

Recommendation: Staff recommends approval of the Department's request.

Grants to Community-based Organizations for Parolee Support

This General Fund line item supports community and faith-based organizations (CFBOs) that provide reentry services to offenders. The appropriation was added to statute by H.B. 14-1355 (Reentry Programs for Adult Parolees) and was increased \$710,000 by S.B. 15-124.

The appropriation provides grant funding to CFBOs that provide direct services to parolees in their local communities. These organizations are selected through a competitive process with oversight provided by an intermediary, the Latino Coalition for Community Leadership. The intermediary monitors fiscal and program compliance and provides training, technical assistance, capacity building help, and program data management tools for the CFBOs. The CFBOs collaborate with DOC reentry staff to expand the range of services available to parolees. These services include employment preparation and placement, mentoring, tool and work clothing assistance (i.e. assistance with the purchase of tools, loaner clothing for interviews, and clothing required for a job), identification assistance (e.g. fees for a driver's license), vocational training cost assistance, transportation assistance (e.g. a bus pass), gang disengagement help, medical and mental health benefits acquisition help, housing assistance (such as shelter fees or hotel rent), family reunification and parenting help, and education assistance (e.g. fees for classes and books). Seven CFBOs have been awarded grants in three rounds of funding. These CFBOs serve 8 cities: Aurora, Boulder, Colorado Springs, Denver, Ft. Collins, Grand Junction, Greeley and Pueblo.

Statutory Authority: Section 17-33-101 (7), C.R.S.

Request: The Department requests a continuation appropriation of \$1,710,000 General Fund.

Recommendation: Staff recommends approval of this request.

Non-Residential Services

This line item funds services such as drug screens, substance abuse monitoring, medication management, daily call-ins to a day reporting center, etc. for offenders on intensive supervision parole.

Request and Recommendation: The Department requests a continuing \$1,215,818 General Fund appropriation.

Recommendation: Staff recommends approval of this request.

Home Detention

This line item provides funding for in-home electronic monitoring.

Request: The Department requests a continuing \$69,383 General Fund appropriation.

Recommendation: Staff recommends approval of this request.

Statutory Authority: Section 17-27.8-105, C.R.S.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

Start-up costs provide one-time funding for costs associated with increasing the number of parole officers. The line item funds the following types of expenses: furniture, computers, guns, hand cuffs, mobile radios, phones, search gloves, bullet proof vests, vehicle cages, digital cameras, uniforms, and training.

Request and recommendation: The number of parole officers was not adjusted last year and no change is requested or recommended this year, so there are no Start-up Costs for FY 2016-17.

(5) Community Services

(Formerly B) Parole Intensive Supervision Subprogram

This subdivision was merged into (A) Parole Subprogram.

(5) Community Services

(Formerly C) Community Intensive Supervision Subprogram

This subdivision was merged into (B) (1) Community Supervision.

(5) Community Services

(B) Community Supervision Subprogram

(1) Community Supervision

The community supervision subprogram is responsible for the management and supervision of transition inmates who are placed in community corrections facilities prior to parole. Staff in this subprogram regularly interact with residential facility staff to ensure that transition inmates are supervised in a fashion that promotes public safety.

The ISP-Inmate program is responsible for daily monitoring and close supervision of transition inmates who have completed their community corrections programs and are allowed to live in their own home or an approved private residence. Based on an offender's risk assessment score, a community ISP officer will develop a supervision plan with curfew times, electronic

monitoring, support services, drug screens, and any drug/alcohol or mental health programs that may be necessary.

The Community Supervision Subprogram also includes a YOS "aftercare" program for offenders who have completed the first two phases of the Youthful Offender System (YOS).

Statutory Authority: Article 27 of Title 17, C.R.S., establishes community corrections programs. Payments to these programs are funded through the Division of Criminal Justice in the Department of Public Safety but Section 17-27-105.5, C.R.S., requires the DOC to maintain jurisdiction over offenders placed in community corrections facilities, so there is also an appropriation to the DOC. The community ISP subprogram (ISP-Inmate) is authorized by Section 17-27.5-101, C.R.S.

Personal Services

Request and recommendation: The following table summarizes the Department request and the staff recommendation.

Community Services, Community Supervision Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$6,100,515</u>	<u>\$6,100,515</u>	<u>83.8</u>
TOTAL	\$6,100,515	\$6,100,515	83.8
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$6,100,515	\$6,100,515	83.8
Annualize prior year budget actions (Salary survey and merit pay)	<u>76,962</u>	<u>76,962</u>	<u>0.0</u>
TOTAL	\$6,177,477	\$6,177,477	83.8
Increase/(Decrease)	\$76,962	\$76,962	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$6,177,477	\$6,177,477	83.8
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The department requests a continuation appropriation \$632,650 General Fund. The following table summarizes the request and the staff recommendation:

JBC Staff Figure Setting – FY 2016-17
Staff Working Document – Does Not Represent Committee Decision

Community Services, Community Supervision Subprogram, Operating Expenses			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$632,650</u>	<u>\$632,650</u>	<u>0.0</u>
TOTAL	\$632,650	\$632,650	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	<u>\$632,650</u>	<u>\$632,650</u>	<u>0.0</u>
TOTAL	\$632,650	\$632,650	0.0
Percentage Change	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$632,650	\$632,650	0.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Community Mental Health Services

This line item provides funding for services to inmates who are seriously mentally ill. The funding allows the Department to provide services to the neediest inmates.

Request and recommendation: The following table summarizes the Department's request and the staff recommendation. The requested provider rate decrease, which Staff does not recommend, accounts for the difference between the request and the recommendation.

Community Services, Community Supervision Subprogram, Community Mental Health Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$640,062</u>	<u>\$640,062</u>	<u>0.0</u>
TOTAL	\$640,062	\$640,062	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$640,062	\$640,062	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$640,062	\$640,062	0.0
Increase/(Decrease)	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%

Community Services, Community Supervision Subprogram, Community Mental Health Services			
	Total Funds	General Fund	FTE
FY 2016-17 Executive Request:	\$633,661	\$633,661	0.0
Request Above/(Below) Recommendation	(\$6,401)	(\$6,401)	0.0

Psychotropic Medication

This line item provides psychotropic medications for offenders with mental health treatment needs in community transition programs and community return to custody facilities. Upon transition from prison to the community, offenders routinely receive a 30-day supply of appropriate medications and become eligible for the psychotropic medication program after the supply of these medications has been exhausted. Participating offenders receives a voucher for their prescribed psychotropic medications that is honored by participating pharmacies.

Request: The Department requests a continuation appropriation of \$131,400 General Fund for this line item.

Recommendation: Staff recommends that the Committee approve this request.

Contract Services

This line item provides funding for drug screens, substance abuse monitoring, medication management, daily call-ins to a day reporting center, etc. for offenders on intensive supervision inmate status.

Request: The Department requests an appropriation of \$2,882,881 General Fund for this line item, which reflects a one percent provider rate decrease.

Recommendation: In accord with Committee common policy, Staff recommends that the provider rate not be decreased.

Community Services, Community Supervision Subprogram, Contract Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,912,001</u>	<u>\$2,912,001</u>	<u>0.0</u>
TOTAL	\$2,912,001	\$2,912,001	0.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,912,001	\$2,912,001	0.0
R5 Provider rate decrease	<u>0</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$2,912,001	\$2,912,001	0.0

Community Services, Community Supervision Subprogram, Contract Services			
	Total Funds	General Fund	FTE
Increase/(Decrease)	\$0	\$0	0.0
Percentage Change	0.0%	0.0%	0.0%
FY 2016-17 Executive Request:	\$2,882,881	\$2,882,881	0.0
Request Above/(Below) Recommendation	(\$29,120)	(\$29,120)	0.0

Contract Services for High Risk Offenders

This line item provides funding for contract services to provide global positioning devices, paging systems, etc. for tracking high risk offenders released to the community.

Request and recommendation: The Department requests a continuation appropriation of \$221,200 General Fund for this line item. Staff recommends that the Committee approve this request.

Contract Services for Fugitive Returns

This line item provides funding for contract services used to return fugitives to custody.

Request and recommendation: The Department requests a continuation appropriation of \$74,524 total fund for this line item, including \$42,049 General Fund. The reappropriated funds are transferred from the Department of Public Safety, Division of Criminal Justice. Staff recommends that the Committee approve this request.

(5) Community Services

(B) Community Supervision Subprogram

(2) Youthful Offender System Aftercare

The Youthful Offender System (YOS) is described in more detail in the Institutions, Youthful Offender System Subprogram portion of this document.

The YOS Aftercare appropriation supports Phase III of the YOS program. In Phase III, a graduated decrease in supervision intensity accompanies positive program participation, measurable attainment of goals and objectives, and increasingly pro-social involvement.

Statutory Authority: Section 18-1.3-407, C.R.S., (Sentences – youthful offenders – authorization for youthful offender system), and Section 18-1.3-407.5, C.R.S., (Sentences – young adult offenders).

Personal Services

Request and recommendation: The Department requests an increase of \$8,377 General Fund for this line item, which reflects the annualization of salary survey and merit pay. Staff recommends that the Committee approve this request.

Community Services, Community Supervision Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$664,025</u>	<u>\$664,025</u>	<u>8.0</u>
TOTAL	\$664,025	\$664,025	8.0
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$664,025	\$664,025	8.0
Annualize prior year budget actions (Salary survey and merit pay)	<u>8,377</u>	<u>8,377</u>	<u>0.0</u>
TOTAL	\$672,402	\$672,402	8.0
Increase/(Decrease)	\$8,377	\$8,377	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$672,402	\$672,402	8.0
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests a continuation appropriation of \$141,067 General Fund for this line item. Staff recommends that the Committee approve this request.

Contract Services

This line item provides funding for the contract portion of the YOS aftercare. This includes housing, food, alcohol and drug intervention, and mental health counseling. The purpose of Phase III is to prepare youth to live on their own or to return to their families. In prior years, this line item was adjusted based on anticipated changes in caseload. However, caseload has been relatively stable in recent years.

Request and recommendation: The Department requests a continuation appropriation of \$1,062,396 General Fund for this line item. Staff recommends that the Committee approve this request.

(5) Community Services

(B) Community Supervision Subprogram

(C) Community Re-entry Subprogram

The Community Re-entry Subprogram consists of pre- and post-release components. The pre-release component includes activities that screen inmates to identify the individual skill requirements necessary to increase the probability of success following release and the development of the personal life and pre-employment skills critical to transition from an institutional setting to the community.

The post-release component consists of assistance and support to the offender in the transition process, in accessing community services, and in securing employment and/or training. Support services are also available to those offenders for whom limited financial support in areas such as housing, clothing, and tools will increase the opportunity of success.

Statutory Authority: Section 17-33-101, C.R.S., (Reentry planning and programs for adult parole)

Personal Services

Request and recommendation: The Department requests an increase of \$30,623 General Fund for this line item to annualize salary survey and merit pay. Staff recommends that the Committee approve the request. Note that some of the reentry FTE work inside prisons.

Community Services, Community Re-entry Subprogram, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	<u>\$2,427,401</u>	<u>\$2,427,401</u>	<u>41.6</u>
TOTAL	\$2,427,401	\$2,427,401	41.6
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$2,427,401	\$2,427,401	41.6
Annualize prior year budget actions	<u>30,623</u>	<u>30,623</u>	<u>0.0</u>
TOTAL	\$2,458,024	\$2,458,024	41.6
Increase/(Decrease)	\$30,623	\$30,623	0.0
Percentage Change	1.3%	1.3%	0.0%
FY 2016-17 Executive Request:	\$2,458,024	\$2,458,024	41.6
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and recommendation: The Department requests a continuation appropriation of \$146,202 General Fund for this line item. Staff recommends that the Committee approve this request.

Offender Emergency Assistance

This line item provides funding for one-time or short-term services for offenders who are in need of such things as housing, clothing, transportation, and work tools. The assistance increases the likelihood of reintegration success.

Request and recommendation: The Department requests a continuation appropriation of \$96,768 General Fund for this line item. Staff recommends that the Committee approve the request.

Contract Services

This line item provides funding for personal services costs for contracted reintegration staff positions.

Request and recommendation: The Department requests a continuation appropriation of \$190,000 General Fund for this line item. Staff recommends that the Committee approve the request.

Offender Re-employment Center

This line item funds a center in central Denver that provides employment resources to offenders upon their return to the community.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request: The Department requests a continuation appropriation of \$374,000 total funds, including \$364,000 General Fund.

Recommendation: Staff recommends that the Committee approve this request.

Community Reintegration Grants

The Community Re-entry Program offers pre-release and reintegration (post-release) services. The federal funding is for a Second Chance Act Demonstration Field Experiment. “Fostering Desistance through Effective Supervision” is an effort to enhance public safety and to improve outcomes of parolees by implementing and rigorously testing innovative approaches. The grant’s goals are to Improve offender’s motivation to change; address cognitive and behavioral function regarding crime-prone thoughts and behaviors; address core criminogenic needs that affect offender behavior. Housing and transportation for study participants is also included. The reappropriated funding is for housing assistance through DOLA.

Request: The Department requests a continuation appropriation of \$48,779 Total Funds and 1.0 FTE.

Recommendation: Staff recommends that the Committee approve this request.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel.

This line item provides one-time funding for costs associated with expanding the Community Re-entry Subprogram.

Request and Recommendation: No appropriation is requested or recommended for this line item.

(6) PAROLE BOARD

The Colorado State Board of Parole is comprised of seven members, appointed by the Governor to three-year terms and confirmed by the Senate, who perform their duties full-time with the support of the Parole Board staff. The Board submits its budget as part of the Department of Corrections budget, but it is a type one agency, i.e. an independent decision making body. The Board's primary functions are granting and revoking parole. After parole is granted, parolees are supervised by Community Parole Officers assigned to the Community Services Division of the DOC. The Board conducts all parole release hearings as well as most parole revocation hearings in which it acts on all parole violation complaints filed by the Community Services Division.

The Parole Board has the discretion to grant or deny parole to offenders who have reached their parole eligibility date but the Board must parole offenders when they reach their mandatory parole date. The Board imposes conditions of parole on parolees and it may revoke parole when those conditions are violated.

Parole Board			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$1,820,778	\$1,820,778	16.5
H.B. 16-1238 DOC supplemental	<u>57,732</u>	<u>57,732</u>	<u>1.0</u>
TOTAL	\$1,878,510	\$1,878,510	17.5
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,878,510	\$1,878,510	17.5
Annualize prior year budget actions	<u>18,192</u>	<u>18,192</u>	<u>0.0</u>
TOTAL	\$1,896,702	\$1,896,702	17.5
Increase/(Decrease)	\$18,192	\$18,192	0.0
Percentage Change	1.0%	1.0%	0.0%
FY 2016-17 Executive Request:	\$1,896,702	\$1,896,702	17.5
Request Above/(Below) Recommendation	\$0	\$0	0.0

DECISION ITEMS – PAROLE BOARD (NONE)

LINE ITEM DETAIL – PAROLE BOARD

Statutory Authority for all line items: Section 17-2-201 (1) (a), C.R.S., (Parole Board created), Sections 17-2-201 through 17-2-217, C.R.S., contain numerous provisions governing the Board

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including Section 17-2-103, C.R.S., (Revocation). Parole eligibility rules and guidelines, including rules for special needs parole, are contained in Sections 17-22.5-403 to 17-22.5-404.7, C.R.S. Section 24-1-128.5 (3), C.R.S. (Department of Corrections - Creation)

Personal Services

Request and Recommendation: The following table details the request and the recommendation.

Parole Board, Personal Services			
	Total Funds	General Fund	FTE
FY 2015-16 Appropriation			
S.B. 15-234 (Long Bill)	\$1,441,951	\$1,441,951	16.5
H.B. 16-1238 DOC supplemental	<u>57,732</u>	<u>57,732</u>	<u>1.0</u>
TOTAL	\$1,499,683	\$1,499,683	17.5
FY 2016-17 Recommended Appropriation			
FY 2015-16 Appropriation	\$1,499,683	\$1,499,683	17.5
Annualize prior year budget actions	<u>18,192</u>	<u>18,192</u>	<u>0.0</u>
TOTAL	\$1,517,875	\$1,517,875	17.5
Increase/(Decrease)	\$18,192	\$18,192	0.0
Percentage Change	1.2%	1.2%	0.0%
FY 2016-17 Executive Request:	\$1,517,875	\$1,517,875	17.5
Request Above/(Below) Recommendation	\$0	\$0	0.0

Operating Expenses

Request and Recommendation: The Parole Board requests and staff recommends a continuation appropriation of \$106,390 General Fund.

Contract Services

The Parole Board uses these funds to hire administrative hearing officers on a contract basis for parole revocation hearings.

Statutory authority: Section 17-2-202.5, C.R.S., (Administrative hearing officers and release hearing officers)

Request and Recommendation: The Department requests a continuation appropriation of \$272,437 General Fund, which Staff recommends.

Start-up Costs

This line item is used when necessary for any one time costs associated with new programs or personnel. None is requested or recommended for FY 2016-17.

(7) CORRECTIONAL INDUSTRIES

The Division of Correctional Industries employs approximately 1,900 inmates who work in approximately 50 different industries at 16 DOC facilities. Some of the industries operated by this program include: license plate factory, license plate tabs, sign shop, furniture production and refurbishing, dairy, computer manufacturing, surplus property, farming operations, metal products, saddle making, greenhouse operations, print shop, wild horse management, garment factory, inmate forest fighting crews, and canine training programs. The main goals of this program are to reduce inmate idleness, to train inmates in meaningful skills and work ethics, and to operate in a business-like manner so that a profit is realized to maintain solvency.

Correctional Industries						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	<u>\$57,069,610</u>	<u>\$0</u>	<u>\$14,933,571</u>	<u>\$41,381,740</u>	<u>\$754,299</u>	<u>155.0</u>
TOTAL	\$57,069,610	\$0	\$14,933,571	\$41,381,740	\$754,299	155.0
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$57,069,610	\$0	\$14,933,571	\$41,381,740	\$754,299	155.0
Annualize prior year budget actions (salary survey and merit pay)	124,799	0	124,799	0	0	0.0
Indirect cost assessment adjustments	<u>78,341</u>	<u>0</u>	<u>(9,396)</u>	<u>(9,971)</u>	<u>97,708</u>	<u>0.0</u>
TOTAL	\$57,272,750	\$0	\$15,048,974	\$41,371,769	\$852,007	155.0
Increase/(Decrease)	\$203,140	\$0	\$115,403	(\$9,971)	\$97,708	0.0
Percentage Change	0.4%	0.0%	0.8%	(0.0%)	13.0%	0.0%
FY 2016-17 Executive Request:	\$57,272,750	\$0	\$15,048,974	\$41,371,769	\$852,007	155.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

DECISION ITEMS – CORRECTIONAL INDUSTRIES (NONE)

LINE ITEM DETAIL – CORRECTIONAL INDUSTRIES

Statutory authority for all line items: The Division of Correctional Industries is created in Section 17-24-104 (1), C.R.S. Pursuant to this subsection, Correctional Industries is an enterprise. Despite its enterprise status, the General Assembly controls expenditures through the appropriations process. Sections 17-24-101 through 126, C.R.S. contain the rules that govern the division. Section 24-1-128.5 (2) (b), C.R.S., (Department of Corrections - Creation)

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Personal Services

Request and Recommendation: The Department requests and Staff recommends an appropriation that annualizes the salary survey distribution to this line item.

Correctional Industries, Personal Services					
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	FTE
FY 2015-16 Appropriation					
S.B. 15-234 (Long Bill)	<u>\$10,521,747</u>	<u>\$0</u>	<u>\$3,350,744</u>	<u>\$7,171,003</u>	<u>155.0</u>
TOTAL	\$10,521,747	\$0	\$3,350,744	\$7,171,003	155.0
FY 2016-17 Recommended Appropriation					
FY 2015-16 Appropriation	\$10,521,747	\$0	\$3,350,744	\$7,171,003	155.0
Annualize prior year budget actions (Salary survey and merit pay)	<u>124,799</u>	<u>0</u>	<u>124,799</u>	<u>0</u>	<u>0.0</u>
TOTAL	\$10,646,546	\$0	\$3,475,543	\$7,171,003	155.0
Increase/(Decrease)	\$124,799	\$0	\$124,799	\$0	0.0
Percentage Change	1.2%	0.0%	3.7%	0.0%	0.0%
FY 2016-17 Executive Request:	\$10,646,546	\$0	\$3,475,543	\$7,171,003	155.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	0.0

Operating Expenses

Request: The Department requests a continuation level of funding of \$5,928,190 total funds comprised of \$1,817,327 cash funds and \$4,110,863 reappropriated funds.

Recommendation: Staff recommends that the Committee approve this request.

Raw Materials

This line item provides cash funds spending authority to purchase the raw materials used in the production of Correctional Industries products. In recent years, the appropriation for this line item has been about \$8 million above actual expenditures. There is a reason for this "slack". In FY 2007-08 a large supplemental for this line was required in order to add spending authority during a period of rapidly increasing commodity prices. The run up affected prices for items like aluminum for license plates and agricultural feed. CCI raw materials prices were on pace to jump over 60% in the course of a single year. Staff views the slack in the appropriation as a harmless buffer against future raw-material price volatility.

Request and Recommendation: The Department requests a continuation level of funding of \$35,823,826 total funds, comprised of \$8,441,080 cash funds and \$27,382,746 reappropriated funds. Staff recommends approval of the request.

Inmate Pay

This line item provides cash funds spending authority to pay the inmates employed within Correctional Industries programs. Offenders who work for CCI receive higher pay than offenders who work in most other prison jobs.

Request and Recommendation: The Department requests and staff recommends a continuation appropriation of \$2,208,992 total funds, comprised of \$846,343 cash funds and \$1,362,649 reappropriated funds. Staff recommends approval of the request.

Capital Outlay

This line item provides spending authority for capital expenditures of Correctional Industries programs.

Request and Recommendation: The Department requests a continuation level of funding of \$1,406,200 total funds, comprised of \$337,094 cash funds and \$1,069,106 reappropriated funds. Staff recommends the request.

Correctional Industries Grants

This item reflects federal funds received for the Department's International Correctional Management Training Center from the U.S. Department of State, Bureau of International Narcotics and Law Enforcement Affairs.

Statutory Authority: Section 17-1-107, C.R.S. (DOC can accept gifts, grants and donations for any purpose connected with the Department's work.)

Request and Recommendation: The Department requests a continuation level of funding of \$503,050 federal funds. Staff recommends approval of the request.

Indirect Cost Assessment

Request: As discussed more thoroughly in appendix B, the Department requests an appropriation of \$755,946 total funds for this line item as detailed in the table below.

Statutory Authority: [State of Colorado Fiscal Rules](#), Rule 8-3 (Cost Allocation Plans).

Recommendation: Staff recommends this assessment. The following table details the recommended adjustment.

Correctional Industries, Indirect Cost Assessment						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2015-16 Appropriation						
S.B. 15-234 (Long Bill)	<u>\$677,605</u>	<u>\$0</u>	<u>\$140,983</u>	<u>\$285,373</u>	<u>\$251,249</u>	<u>0.0</u>
TOTAL	\$677,605	\$0	\$140,983	\$285,373	\$251,249	0.0

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Correctional Industries, Indirect Cost Assessment						
	Total Funds	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FTE
FY 2016-17 Recommended Appropriation						
FY 2015-16 Appropriation	\$677,605	\$0	\$140,983	\$285,373	\$251,249	0.0
Indirect cost assessment adjustments	<u>78,341</u>	<u>0</u>	<u>(9,396)</u>	<u>(9,971)</u>	<u>97,708</u>	<u>0.0</u>
TOTAL	\$755,946	\$0	\$131,587	\$275,402	\$348,957	0.0
Increase/(Decrease)	\$78,341	\$0	(\$9,396)	(\$9,971)	\$97,708	0.0
Percentage Change	11.6%	0.0%	(6.7%)	(3.5%)	38.9%	0.0%
FY 2016-17 Executive Request:	\$755,946	\$0	\$131,587	\$275,402	\$348,957	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	\$0	\$0	0.0

(8) CANTEEN OPERATION

The Canteen operation is part of Correctional Industries. Inmates can purchase food, personal items and phone time through the Canteen Operation, paying with personal bank accounts maintained by the Department. Funds in these accounts come from inmate pay and deposits made by people outside prison, typically gifts from relatives and friends. The Canteen Operation operates two central distribution centers. One is located near the Arrowhead Correctional Center in the East Cañon Prison Complex. The other is at the Denver Women's Correctional Facility.

The Canteen must price items to cover costs and allow for a reasonable profit. The Canteen's goal is to provide quality products to inmates that are consistent with the security requirements. Section 17-24-126, C.R.S., requires that Correctional Industries account for the canteen separately from its industries operations and establishes a separate Canteen, Vending Machine, and Library Account for depositing all revenues generated through the canteen. Unlike profits in the Correctional Industries Account, profits deposited into the Canteen Account cannot be transferred to the General Fund and must be used to pay for inmate benefits programs, such as recreation, education, and entertainment, or to supplement direct inmate needs. In practice, this means that the net proceeds from the Canteen Fund are used to offset the need for General Fund in the Education Subprogram.

Canteen Operation				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$14,958,210</u>	<u>\$0</u>	<u>\$14,958,210</u>	<u>28.0</u>
TOTAL	\$14,958,210	\$0	\$14,958,210	28.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$14,958,210	\$0	\$14,958,210	28.0
Annualize prior year budget actions (salary survey and merit pay)	66,022	0	66,022	0.0
Indirect cost assessment adjustments	<u>(6,871)</u>	<u>0</u>	<u>(6,871)</u>	<u>0.0</u>
TOTAL	\$15,017,361	\$0	\$15,017,361	28.0
Increase/(Decrease)	\$59,151	\$0	\$59,151	0.0
Percentage Change	0.4%	0.0%	0.4%	0.0%
FY 2016-17 Executive Request:	\$15,017,361	\$0	\$15,017,361	28.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

DECISION ITEMS – CANTEEN OPERATION (NONE)

LINE ITEM DETAIL – CANTEEN OPERATION

Statutory Authority for all line items: Section 17-24-106 (1) (t), C.R.S., authorizes the DOC to establish and operate a canteen for the use and benefit of the inmates of state correctional facilities. The Canteen is an enterprise pursuant to Section 17-24-126, C.R.S. Its revenues are continuously appropriated to the Department pursuant to 17-24-126 (1), C.R.S. Long Bill appropriations are thus informational.

Personal Services

Request and Recommendation: The following table details the request and the recommendation.

Canteen Operation, Personal Services				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$1,970,856</u>	<u>\$0</u>	<u>\$1,970,856</u>	<u>28.0</u>
TOTAL	\$1,970,856	\$0	\$1,970,856	28.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$1,970,856	\$0	\$1,970,856	28.0
Annualize prior year budget actions (salary survey and merit pay)	<u>66,022</u>	<u>0</u>	<u>66,022</u>	<u>0.0</u>
TOTAL	\$2,036,878	\$0	\$2,036,878	28.0
Increase/(Decrease)	\$66,022	\$0	\$66,022	0.0
Percentage Change	3.3%	0.0%	3.3%	0.0%
FY 2016-17 Executive Request:	\$2,036,878	\$0	\$2,036,878	28.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Operating Expenses

In addition to conventional operating expenses, this appropriation is used to purchase the canteen items that are resold to offenders. These items are purchased from producers who specialize in products that can be safely introduced into prison environments, such as toothbrushes that cannot be turned into shanks.

Request and Recommendation: The Department requests a continuation level of funding of \$12,851,987 cash funds. Staff recommends the request.

Inmate Pay

This line item provides cash funds spending authority to pay offenders employed by the Canteen Operation. Offenders who work for the Canteen receive higher pay than offenders who work in most other prison jobs. The recent audit of CCI and Canteen states that an average of about 85

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inmates are employed by the two canteen warehouses. The current Inmate Pay appropriation equals \$49,626 or \$584 per inmate employee. Assuming that inmates work 5 days per week, 52 weeks per year, this equates to daily pay of \$2.25 (= \$584/(5 * 52)). For comparison, the daily pay for a non-CCI, non-canteen offender with a full time assignment is 66¢.

Request: The Department requests a continuation cash funds appropriation of \$49,626.

Recommendation: Staff recommends approval of the Department's request.

Indirect Cost Assessment

Statutory Authority: [State of Colorado Fiscal Rules](#), Rule 8-3 (Cost Allocation Plans)

Request: As discussed more thoroughly in appendix B, the Department requests an appropriation of \$78,870 cash funds for this line item.

Recommendation: Staff recommends this assessment. The following table details the recommended adjustment.

Canteen Operation, Indirect Cost Assessment				
	Total Funds	General Fund	Cash Funds	FTE
FY 2015-16 Appropriation				
S.B. 15-234 (Long Bill)	<u>\$85,741</u>	<u>\$0</u>	<u>\$85,741</u>	<u>0.0</u>
TOTAL	\$85,741	\$0	\$85,741	0.0
FY 2016-17 Recommended Appropriation				
FY 2015-16 Appropriation	\$85,741	\$0	\$85,741	0.0
Indirect cost assessment adjustments	<u>(6,871)</u>	<u>0</u>	<u>(6,871)</u>	<u>0.0</u>
TOTAL	\$78,870	\$0	\$78,870	0.0
Increase/(Decrease)	(\$6,871)	\$0	(\$6,871)	0.0
Percentage Change	(8.0%)	0.0%	(8.0%)	0.0%
FY 2016-17 Executive Request:	\$78,870	\$0	\$78,870	0.0
Request Above/(Below) Recommendation	\$0	\$0	\$0	0.0

Long Bill Footnotes and Requests for Information

LONG BILL FOOTNOTES

Staff recommends the following **new** footnote:

- n** **Department of Corrections, Institutions, Housing and Security Subprogram, Personal Services** -- In addition to the moneys appropriated here, the Department of Corrections has available \$3,344,870 of FY 2016-17 General Fund appropriations contained in Sections 17-18-108, 17-18-111, 17-18-113, 17-18-112, 17-18-116, 17-18-114, 17-18-121, 17-18-120, 17-18-117, 17-18-118, and 17-18-119, C.R.S., which the General assembly assumes will be utilized for this line item.

Comment: During the presentation of Personal Services for the Housing and Security Subprogram earlier in this document, Staff recommended that these statutory appropriations be used to pay a portion of the cost for the line item. The resulting reduced appropriation, coupled with this footnote, forces the Department to spend the statutory appropriations on Housing and Security because the Long Bill appropriation for the line item is \$3,344,870 lower than the Department needs. Previously this information was contained in a Long Bill letternote; pursuant to Section 24-75-112 (2), C.R.S., a Long Bill footnote is better place for it.

Staff recommends **continuing** the following footnotes, without modification:

- 2** **Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners** -- The Department of Corrections is authorized to transfer up to 5.0 percent of the total appropriation for external capacity subprogram between line items in the external capacity subprogram for purposes of reimbursing local jails, private prison providers, and community corrections providers.

Comment: This footnote was added in FY 2006-07 to give the Department of Corrections flexibility in managing its external capacity line items. This footnote helps to prevent year end over expenditures and reduces the potential need for interim supplemental requests. Staff believes that there is an ongoing benefit associated with continuing this flexibility.

- 4** **Department of Corrections, Management, External Capacity Subprogram, Payments to House State Prisoners** -- It is the intent of the General Assembly that the appropriations made for payments to private facilities housing state inmates shall be used exclusively for the purpose of per diem payments. It is the intent of the General Assembly that the department not withhold funds from the per diem payments to cover major medical expenses incurred by state inmates assigned to private facilities. It is the intent of the General Assembly that appropriations made in the medical services subprogram are sufficient to cover major medical expenses incurred by state inmates held in both state and private facilities.

Comment: Staff understands that it is not the Department's policy to withhold funds from the per diem payments to cover major medical expenses incurred by state inmates assigned to private facilities. Staff believes that there may be an ongoing benefit associated with expressing the legislative intent for this line item.

REQUESTS FOR INFORMATION

Staff recommends continuing the following request for information, with modifications in struck type and small caps:

2. **Department of Corrections, Institutions, Mental Health Subprogram** -- It is requested that the Department of Corrections submit a report to the House Judiciary Committee and the Senate Judiciary Committee by January 31, ~~2016~~, 2017, detailing the progress related to the mental health unit at Centennial Correctional Facility.

Comment: Staff understands that some of the members of the Senate Judiciary Committee are very interested in this issue. For this reason Staff recommends that the request be continued. Note that the mental health unit has been moved to Centennial Correctional Facility.

Staff recommends discontinuing the following request for information:

3. **Department of Corrections, Community Services, Community Supervision Subprogram, Community Supervision, and Psychotropic Medication** -- The Department is requested to submit a report to the Joint Budget Committee on or before February 1, ~~2016~~, 2017, summarizing the outcomes of offenders who were provided psychotropic medication from this line item. The report is requested to include the number of mentally ill offenders who receive medication from this line item, the regression rate of the offenders, and the number of offenders who commit new crimes.

Comment: This request for information was originally added as a footnote in the FY 2006-07 supplemental bill (S.B. 07-160). It requested a report on the effects of a then-new appropriation that created a psychotropic medication program for DOC offenders with mental health needs in community corrections programs and in community return to custody facilities. The DOC has submitted this report each year since 2008, comparing regression rates and new crime rates with FY 2005-06 rates. The reports suggests that the psychotropic medication program has substantially improved completion rates for parole violators with mental health needs in community return to custody facilities. However, the reports also suggests that the program has had little effect on the success of transition offenders with mental health needs; parole and regression rates are little changed since FY 2005-06. As the report notes, these results must be interpreted very cautiously because the FY 2005-06 cohort of offenders was exposed to different external factors than subsequent cohorts and the observed differences may be due to something other than medication. A request for further reports is unlikely to add meaningfully to the evidence already collected.

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

Appendix A: Number Pages

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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DEPARTMENT OF CORRECTIONS
Rick Raemisch, Executive Director

(1) MANAGEMENT

Primary Functions: Central management, appropriations for private prisons, and the Inspector General's Office.

(A) Executive Director's Office, Subprogram

Primary Function: Provide oversight and develop policies for the entire Department.

Personal Services	<u>1,600,251</u>	<u>1,921,409</u>	<u>1,964,349</u>	<u>1,991,783</u>	<u>1,991,783</u>
FTE	20.3	27.3	26.8	26.8	26.8
General Fund	1,375,225	1,676,363	1,720,544	1,747,978	1,747,978
Reappropriated Funds	225,026	134,601	243,805	243,805	243,805
Federal Funds	0	110,445	0	0	0
Restorative Justice Program with Victim-Offender					
Dialogues in Department Facilities	<u>0</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>	<u>75,000</u>
FTE	0.0	0.0	1.2	1.2	1.2
General Fund	0	75,000	75,000	75,000	75,000
Health, Life, and Dental					
General Fund	<u>44,623,647</u>	<u>43,068,249</u>	<u>51,579,140</u>	<u>50,481,587</u>	<u>50,481,587</u>
Cash Funds	43,108,254	41,632,194	50,015,018	48,999,350	48,999,350
	1,515,393	1,436,055	1,564,122	1,482,237	1,482,237
Short-term Disability					
General Fund	<u>606,866</u>	<u>723,516</u>	<u>733,991</u>	<u>617,301</u>	<u>617,301</u>
Cash Funds	587,122	699,867	711,870	598,986	598,986
	19,744	23,649	22,121	18,315	18,315

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
S.B. 04-257 Amortization Equalization					
Disbursement	<u>11,374,795</u>	<u>13,463,331</u>	<u>15,015,963</u>	<u>15,955,728</u>	<u>15,955,728</u>
General Fund	11,000,858	13,030,812	14,570,654	15,491,590	15,491,590
Cash Funds	373,937	432,519	445,309	464,138	464,138
S.B. 06-235 Supplemental Amortization					
Equalization Disbursement	<u>10,228,268</u>	<u>12,623,005</u>	<u>14,498,673</u>	<u>15,789,522</u>	<u>15,789,522</u>
General Fund	9,890,686	12,217,519	14,068,545	15,330,219	15,330,219
Cash Funds	337,582	405,486	430,128	459,303	459,303
Salary Survey	<u>6,467,735</u>	<u>8,687,747</u>	<u>2,016,911</u>	<u>583,577</u>	<u>583,577</u>
General Fund	6,234,775	8,397,125	1,906,474	580,443	580,443
Cash Funds	232,960	290,622	110,437	3,134	3,134
Merit Pay	<u>4,550,598</u>	<u>3,401,363</u>	<u>3,485,908</u>	<u>0</u>	<u>0</u>
General Fund	4,402,970	3,287,652	3,384,324	0	0
Cash Funds	147,628	113,711	101,584	0	0
Shift Differential	<u>7,073,831</u>	<u>7,390,750</u>	<u>7,687,883</u>	<u>7,940,718</u>	<u>7,940,718</u>
General Fund	7,046,447	7,352,834	7,648,987	7,906,423	7,906,423
Cash Funds	27,384	37,916	38,896	34,295	34,295
Workers' Compensation	<u>8,481,245</u>	<u>9,484,276</u>	<u>8,583,237</u>	<u>8,464,606</u>	<u>7,886,908</u> *
General Fund	8,212,977	9,184,573	8,312,007	8,197,125	7,637,682
Cash Funds	268,268	299,703	271,230	267,481	249,226

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Operating Expenses	<u>305,968</u>	<u>349,905</u>	<u>357,759</u>	<u>357,759</u>	<u>357,759</u>
General Fund	210,943	267,757	267,759	267,759	267,759
Reappropriated Funds	10,025	5,000	5,000	5,000	5,000
Federal Funds	85,000	77,148	85,000	85,000	85,000
Legal Services	<u>1,291,486</u>	<u>1,959,874</u>	<u>1,958,479</u>	<u>1,980,997</u>	<u>1,980,997</u>
General Fund	1,244,124	1,893,437	1,894,727	1,916,480	1,916,480
Cash Funds	47,362	66,437	63,752	64,517	64,517
Payment to Risk Management and Property Funds	<u>3,672,653</u>	<u>3,905,311</u>	<u>4,203,591</u>	<u>4,459,168</u>	<u>4,241,910</u>
General Fund	3,525,748	3,751,442	4,037,970	4,283,477	4,074,779
Cash Funds	146,905	153,869	165,621	175,691	167,131
Leased Space	<u>3,586,478</u>	<u>3,971,427</u>	<u>4,128,638</u>	<u>4,496,531</u>	<u>4,496,531</u>
General Fund	3,369,967	3,732,348	3,882,449	4,240,494	4,240,494
Cash Funds	216,511	239,079	246,189	256,037	256,037
Capitol Complex Leased Space	<u>171,071</u>	<u>55,636</u>	<u>56,300</u>	<u>56,535</u>	<u>58,367</u>
General Fund	133,025	39,744	40,218	40,386	41,695
Cash Funds	38,046	15,892	16,082	16,149	16,672
Planning and Analysis Contracts	<u>82,410</u>	<u>82,407</u>	<u>82,410</u>	<u>82,410</u>	<u>82,410</u>
General Fund	82,410	82,407	82,410	82,410	82,410
Payments to District Attorneys	<u>837,725</u>	<u>518,362</u>	<u>681,102</u>	<u>681,102</u>	<u>681,102</u>
General Fund	837,725	518,362	681,102	681,102	681,102
Start-up Costs	<u>0</u>	<u>4,703</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	4,703	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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SUBTOTAL - (A) Executive Director's Office,					
Subprogram	104,955,027	111,686,271	117,109,334	114,014,324	113,221,200
<i>FTE</i>	<u>20.3</u>	<u>27.3</u>	<u>28.0</u>	<u>28.0</u>	<u>28.0</u>
General Fund	101,263,256	107,844,139	113,300,058	110,439,222	109,672,390
Cash Funds	3,371,720	3,514,938	3,475,471	3,241,297	3,215,005
Reappropriated Funds	235,051	139,601	248,805	248,805	248,805
Federal Funds	85,000	187,593	85,000	85,000	85,000

(B) External Capacity Subprogram

Primary Function: Oversee and fund private prisons

(1) Private Prison Monitoring Unit

Personal Services	<u>1,065,094</u>	<u>1,117,081</u>	<u>1,155,402</u>	<u>1,169,978</u>	<u>1,169,978</u>
<i>FTE</i>	<u>14.4</u>	<u>14.8</u>	<u>15.7</u>	<u>15.7</u>	<u>15.7</u>
General Fund	1,065,094	1,117,081	1,155,402	1,169,978	1,169,978
Operating Expenses	<u>213,015</u>	<u>204,622</u>	<u>213,443</u>	<u>213,443</u>	<u>213,443</u>
General Fund	183,973	183,975	183,976	183,976	183,976
Cash Funds	29,042	20,647	29,467	29,467	29,467

SUBTOTAL - (1) Private Prison Monitoring					
Unit	1,278,109	1,321,703	1,368,845	1,383,421	1,383,421
<i>FTE</i>	<u>14.4</u>	<u>14.8</u>	<u>15.7</u>	<u>15.7</u>	<u>15.7</u>
General Fund	1,249,067	1,301,056	1,339,378	1,353,954	1,353,954
Cash Funds	29,042	20,647	29,467	29,467	29,467

(2) Payments to House State Prisoners

Payments to local jails	<u>15,141,029</u>	<u>13,676,168</u>	<u>11,739,144</u>	<u>11,560,628</u>	<u>11,708,003</u> *
General Fund	15,141,029	13,676,168	11,739,144	11,560,628	11,708,003

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Payments to in-state private prisons	<u>63,058,880</u>	<u>66,661,309</u>	<u>65,784,375</u>	<u>62,891,280</u>	<u>63,591,103</u> *
General Fund	60,700,173	66,661,284	63,425,668	60,532,573	61,232,396
Cash Funds	2,358,707	25	2,358,707	2,358,707	2,358,707
Payments to pre-release parole revocation facilities	<u>9,707,110</u>	<u>10,393,993</u>	<u>12,035,219</u>	<u>11,351,909</u>	<u>11,491,383</u> *
General Fund	9,707,110	10,393,993	12,035,219	11,351,909	11,491,383
Community Corrections Programs	<u>3,857,736</u>	<u>3,744,387</u>	<u>3,955,659</u>	<u>3,902,845</u>	<u>3,945,153</u> *
General Fund	3,857,736	3,744,387	3,955,659	3,902,845	3,945,153
Inmate Education and Benefit Programs at In-state					
Private Prisons	<u>0</u>	<u>0</u>	<u>534,079</u>	<u>534,079</u>	<u>534,079</u>
General Fund	0	0	534,079	534,079	534,079
Inmate Education and Benefit Programs at Pre-					
release Parole Revocation Facilities	<u>0</u>	<u>0</u>	<u>119,476</u>	<u>119,476</u>	<u>119,476</u>
General Fund	0	0	119,476	119,476	119,476
SUBTOTAL - (2) Payments to House State					
Prisoners	91,764,755	94,475,857	94,167,952	90,360,217	91,389,197
FTE	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	89,406,048	94,475,832	91,809,245	88,001,510	89,030,490
Cash Funds	2,358,707	25	2,358,707	2,358,707	2,358,707
SUBTOTAL - (B) External Capacity					
Subprogram	93,042,864	95,797,560	95,536,797	91,743,638	92,772,618
FTE	<u>14.4</u>	<u>14.8</u>	<u>15.7</u>	<u>15.7</u>	<u>15.7</u>
General Fund	90,655,115	95,776,888	93,148,623	89,355,464	90,384,444
Cash Funds	2,387,749	20,672	2,388,174	2,388,174	2,388,174

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(C) Inspector General Subprogram

Primary Function: Investigate crimes within the prison system.

Personal Services	<u>3,563,738</u>	<u>3,800,203</u>	<u>4,060,241</u>	<u>4,110,124</u>	<u>4,110,124</u>
FTE	44.5	45.5	48.2	48.2	48.2
General Fund	3,563,738	3,800,203	3,954,008	4,003,891	4,003,891
Cash Funds	0	0	106,233	106,233	106,233
Operating Expenses	<u>295,624</u>	<u>365,557</u>	<u>428,723</u>	<u>428,723</u>	<u>428,723</u>
General Fund	266,582	344,910	345,536	345,536	345,536
Cash Funds	29,042	20,647	83,187	83,187	83,187
Inspector General Grants	<u>184,640</u>	<u>195,729</u>	<u>235,649</u>	<u>235,649</u>	<u>235,649</u>
FTE	0.0	0.0	1.0	1.0	1.0
Reappropriated Funds	4,177	0	27,737	27,737	27,737
Federal Funds	180,463	195,729	207,912	207,912	207,912

SUBTOTAL - (C) Inspector General Subprogram	4,044,002	4,361,489	4,724,613	4,774,496	4,774,496
FTE	<u>44.5</u>	<u>45.5</u>	<u>49.2</u>	<u>49.2</u>	<u>49.2</u>
General Fund	3,830,320	4,145,113	4,299,544	4,349,427	4,349,427
Cash Funds	29,042	20,647	189,420	189,420	189,420
Reappropriated Funds	4,177	0	27,737	27,737	27,737
Federal Funds	180,463	195,729	207,912	207,912	207,912

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
TOTAL - (1) Management	202,041,893	211,845,320	217,370,744	210,532,458	210,768,314
<i>FTE</i>	<u>79.2</u>	<u>87.6</u>	<u>92.9</u>	<u>92.9</u>	<u>92.9</u>
General Fund	195,748,691	207,766,140	210,748,225	204,144,113	204,406,261
Cash Funds	5,788,511	3,556,257	6,053,065	5,818,891	5,792,599
Reappropriated Funds	239,228	139,601	276,542	276,542	276,542
Federal Funds	265,463	383,322	292,912	292,912	292,912

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(2) INSTITUTIONS

Primary Function: Fund all costs directly attributable to the operation of state-owned and operated prisons. These costs include utilities, maintenance, housing and security, food service, medical services, laundry, the Youth Offender System, case management, mental health, inmate pay, legal resources for inmates, and capital lease purchase payments.

(A) Utilities Subprogram

Primary Function: Provide heat, power, water, and sanitation at all facilities.

Personal Services	<u>300,791</u>	<u>286,811</u>	<u>314,289</u>	<u>318,254</u>	<u>318,254</u>
FTE	2.8	2.2	2.6	2.6	2.6
General Fund	300,791	286,811	314,289	318,254	318,254
Utilities	<u>19,819,349</u>	<u>21,166,541</u>	<u>21,392,466</u>	<u>21,936,444</u>	<u>21,936,444</u> *
General Fund	18,768,515	20,019,002	20,244,927	20,770,911	20,770,911
Cash Funds	1,050,834	1,147,539	1,147,539	1,165,533	1,165,533
SUBTOTAL - (A) Utilities Subprogram	20,120,140	21,453,352	21,706,755	22,254,698	22,254,698
FTE	2.8	2.2	2.6	2.6	2.6
General Fund	19,069,306	20,305,813	20,559,216	21,089,165	21,089,165
Cash Funds	1,050,834	1,147,539	1,147,539	1,165,533	1,165,533

(B) Maintenance Subprogram

Primary Functions Provide grounds and facilities maintenance, including the boiler house, janitorial services, and life safety.

Personal Services	<u>16,482,767</u>	<u>17,394,990</u>	<u>18,049,837</u>	<u>18,302,550</u>	<u>18,302,550</u>
FTE	289.1	284.2	276.8	276.8	276.8
General Fund	16,482,767	17,394,990	18,049,837	18,302,550	18,302,550
Operating Expenses	<u>4,978,431</u>	<u>5,014,112</u>	<u>5,714,113</u>	<u>5,714,113</u>	<u>5,714,113</u>
General Fund	4,978,431	5,014,112	5,714,113	5,714,113	5,714,113

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Purchase of Services	<u>1,457,252</u>	<u>1,463,583</u>	<u>1,545,553</u>	<u>1,545,553</u>	<u>1,545,553</u>
General Fund	1,457,252	1,463,583	1,545,553	1,545,553	1,545,553
SUBTOTAL - (B) Maintenance Subprogram	22,918,450	23,872,685	25,309,503	25,562,216	25,562,216
<i>FTE</i>	<u>289.1</u>	<u>284.2</u>	<u>276.8</u>	<u>276.8</u>	<u>276.8</u>
General Fund	22,918,450	23,872,685	25,309,503	25,562,216	25,562,216

(C) Housing and Security Subprogram

Primary Function: Provide inmate supervision, including the implementation and management of security operations.

Personal Services	<u>155,638,264</u>	<u>162,865,057</u>	<u>168,366,491</u>	<u>167,187,805</u>	<u>167,187,805</u>
FTE	2,945.0	2,955.8	2,973.4	2,974.4	2,974.4
General Fund	155,635,317	162,862,110	168,363,544	167,184,858	167,184,858
Cash Funds	2,947	2,947	2,947	2,947	2,947
Operating Expenses	<u>1,773,860</u>	<u>1,802,934</u>	<u>1,808,941</u>	<u>1,808,941</u>	<u>1,808,941</u>
General Fund	1,773,860	1,802,934	1,808,941	1,808,941	1,808,941
SUBTOTAL - (C) Housing and Security Subprogram	157,412,124	164,667,991	170,175,432	168,996,746	168,996,746
<i>FTE</i>	<u>2,945.0</u>	<u>2,955.8</u>	<u>2,973.4</u>	<u>2,974.4</u>	<u>2,974.4</u>
General Fund	157,409,177	164,665,044	170,172,485	168,993,799	168,993,799
Cash Funds	2,947	2,947	2,947	2,947	2,947

(D) Food Service Subprogram

Primary Function: Provide three meals daily to all inmates.

Personal Services	<u>17,023,013</u>	<u>17,573,273</u>	<u>18,164,797</u>	<u>18,368,960</u>	<u>18,368,960</u>
FTE	310.3	313.2	317.8	317.8	317.8
General Fund	17,023,013	17,573,273	18,164,797	18,368,960	18,368,960

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Operating Expenses	<u>15,514,191</u>	<u>16,102,018</u>	<u>16,727,019</u>	<u>17,010,023</u>	<u>17,010,023</u> *
General Fund	15,514,191	16,102,018	16,647,019	16,930,023	16,930,023
Federal Funds	0	0	80,000	80,000	80,000
Purchase of Services	<u>1,227,586</u>	<u>1,704,331</u>	<u>1,746,939</u>	<u>1,792,916</u>	<u>1,792,916</u> *
General Fund	1,227,586	1,704,331	1,746,939	1,792,916	1,792,916
SUBTOTAL - (D) Food Service Subprogram	33,764,790	35,379,622	36,638,755	37,171,899	37,171,899
FTE	<u>310.3</u>	<u>313.2</u>	<u>317.8</u>	<u>317.8</u>	<u>317.8</u>
General Fund	33,764,790	35,379,622	36,558,755	37,091,899	37,091,899
Federal Funds	0	0	80,000	80,000	80,000

(E) Medical Services Subprogram

Primary Function: Provide acute and long-term health care services for all inmates, using both state employees and contracted health care providers.

Personal Services	<u>29,907,666</u>	<u>30,120,199</u>	<u>32,143,287</u>	<u>32,475,792</u>	<u>32,510,792</u> *
FTE	373.5	373.4	387.5	387.5	387.5
General Fund	29,763,741	29,971,333	31,904,904	32,237,409	32,272,409
Cash Funds	143,925	148,866	238,383	238,383	238,383
Operating Expenses	<u>2,565,078</u>	<u>2,578,679</u>	<u>2,579,052</u>	<u>2,579,052</u>	<u>2,579,052</u>
General Fund	2,565,078	2,578,679	2,579,052	2,579,052	2,579,052
Purchase of Pharmaceuticals	<u>11,416,864</u>	<u>14,255,586</u>	<u>15,299,326</u>	<u>15,819,870</u>	<u>15,819,870</u> *
General Fund	11,416,864	14,255,586	15,299,326	15,819,870	15,819,870
Purchase of Medical Services from Other Medical Facilities	<u>21,296,973</u>	<u>19,778,739</u>	<u>26,078,916</u>	<u>25,955,530</u>	<u>25,955,530</u> *
General Fund	21,296,973	19,778,739	26,078,916	25,955,530	25,955,530

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Catastrophic Medical Expenses	<u>9,245,898</u>	<u>5,899,277</u>	<u>0</u>	<u>0</u>	<u>0</u> *
General Fund	9,245,898	5,899,277	0	0	0
Service Contracts	<u>1,892,851</u>	<u>2,448,451</u>	<u>2,490,075</u>	<u>2,465,174</u>	<u>2,490,075</u> *
General Fund	1,892,851	2,448,451	2,490,075	2,465,174	2,490,075
Indirect Cost Assessment	<u>2,932</u>	<u>0</u>	<u>2,795</u>	<u>1,835</u>	<u>1,835</u>
Cash Funds	2,932	0	2,795	1,835	1,835
SUBTOTAL - (E) Medical Services Subprogram	76,328,262	75,080,931	78,593,451	79,297,253	79,357,154
FTE	<u>373.5</u>	<u>373.4</u>	<u>387.5</u>	<u>387.5</u>	<u>387.5</u>
General Fund	76,181,405	74,932,065	78,352,273	79,057,035	79,116,936
Cash Funds	146,857	148,866	241,178	240,218	240,218

(F) Laundry Subprogram

Primary Function: Issue, clean, and maintain all inmate clothing, bedding, coats, and footwear .

Personal Services	<u>2,238,192</u>	<u>1,999,807</u>	<u>2,384,643</u>	<u>2,414,728</u>	<u>2,414,728</u>
FTE	<u>35.9</u>	<u>33.7</u>	<u>37.4</u>	<u>37.4</u>	<u>37.4</u>
General Fund	2,238,192	1,999,807	2,384,643	2,414,728	2,414,728
Operating Expenses	<u>2,086,917</u>	<u>2,197,540</u>	<u>2,197,545</u>	<u>2,197,545</u>	<u>2,197,545</u>
General Fund	2,086,917	2,197,540	2,197,545	2,197,545	2,197,545
SUBTOTAL - (F) Laundry Subprogram	4,325,109	4,197,347	4,582,188	4,612,273	4,612,273
FTE	<u>35.9</u>	<u>33.7</u>	<u>37.4</u>	<u>37.4</u>	<u>37.4</u>
General Fund	4,325,109	4,197,347	4,582,188	4,612,273	4,612,273

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(G) Superintendents Subprogram

Primary Function: Develop facility policies, procedures, and practices that conform with applicable laws, consent decrees, court orders, legislative mandates, and executive orders.

Personal Services	<u>9,867,789</u>	<u>10,188,282</u>	<u>10,598,456</u>	<u>10,752,163</u>	<u>10,752,163</u>
FTE	160.1	164.0	155.9	155.9	155.9
General Fund	9,867,789	10,188,282	10,598,456	10,752,163	10,752,163
Operating Expenses	<u>3,268,232</u>	<u>3,305,692</u>	<u>5,181,501</u>	<u>5,181,501</u>	<u>5,181,501</u>
General Fund	3,268,232	3,305,692	5,181,501	5,181,501	5,181,501
Dress-Out	<u>675,433</u>	<u>711,861</u>	<u>735,433</u>	<u>735,433</u>	<u>735,433</u>
General Fund	675,433	711,861	735,433	735,433	735,433
Start-up Costs	<u>231,213</u>	<u>159,385</u>	<u>38,830</u>	<u>0</u>	<u>0</u>
General Fund	231,213	159,385	38,830	0	0
SUBTOTAL - (G) Superintendents Subprogram	14,042,667	14,365,220	16,554,220	16,669,097	16,669,097
FTE	160.1	164.0	155.9	155.9	155.9
General Fund	14,042,667	14,365,220	16,554,220	16,669,097	16,669,097

(H) Youthful Offender System Subprogram

Primary Function: Target offenders aged 14 to 18 years at the time of offense who have committed violent class 2 to 6 felonies. All sentences are between 2 and 7 years.

Personal Services	<u>9,941,969</u>	<u>10,078,871</u>	<u>10,592,490</u>	<u>10,716,122</u>	<u>10,716,122</u>
FTE	163.5	163.0	160.7	160.7	160.7
General Fund	9,941,969	10,078,871	10,592,490	10,716,122	10,716,122

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Operating Expenses	<u>604,703</u>	<u>599,495</u>	<u>604,705</u>	<u>604,705</u>	<u>604,705</u>
General Fund	604,703	599,495	604,705	604,705	604,705
Contract Services	<u>28,820</u>	<u>28,820</u>	<u>28,820</u>	<u>28,820</u>	<u>28,820</u>
General Fund	28,820	28,820	28,820	28,820	28,820
Purchase of Services	<u>624,589</u>	<u>622,050</u>	<u>681,031</u>	<u>681,031</u>	<u>681,031</u>
General Fund	624,589	622,050	681,031	681,031	681,031
SUBTOTAL - (H) Youthful Offender System					
Subprogram	11,200,081	11,329,236	11,907,046	12,030,678	12,030,678
FTE	<u>163.5</u>	<u>163.0</u>	<u>160.7</u>	<u>160.7</u>	<u>160.7</u>
General Fund	11,200,081	11,329,236	11,907,046	12,030,678	12,030,678

(I) Case Management Subprogram

Primary Function: Responsible for case analysis, classification reviews, performance assessment, earned time evaluations, sentence computation, and parole preparation.

Personal Services	<u>14,871,925</u>	<u>16,762,735</u>	<u>17,676,980</u>	<u>17,879,989</u>	<u>17,879,989</u>
FTE	213.4	243.2	247.3	247.3	247.3
General Fund	14,871,925	16,762,735	17,676,980	17,879,989	17,879,989
Operating Expenses	<u>155,515</u>	<u>170,380</u>	<u>172,581</u>	<u>172,581</u>	<u>172,581</u>
General Fund	155,515	170,380	172,581	172,581	172,581
Offender ID Program	<u>0</u>	<u>257,227</u>	<u>341,135</u>	<u>341,135</u>	<u>341,135</u>
General Fund	0	257,227	341,135	341,135	341,135
Start-up Costs	<u>0</u>	<u>147,203</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	147,203	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
SUBTOTAL - (I) Case Management					
Subprogram	15,027,440	17,337,545	18,190,696	18,393,705	18,393,705
<i>FTE</i>	<u>213.4</u>	<u>243.2</u>	<u>247.3</u>	<u>247.3</u>	<u>247.3</u>
General Fund	15,027,440	17,337,545	18,190,696	18,393,705	18,393,705

(J) Mental Health Subprogram

Primary Function: Provide a full range of professional psychiatric, psychological, social, and other mental health services to inmates.

Personal Services	<u>8,293,266</u>	<u>9,872,350</u>	<u>12,370,450</u>	<u>12,595,167</u>	<u>12,601,517</u> *
FTE	107.1	111.2	151.0	152.1	152.1
General Fund	8,293,266	9,872,350	12,370,450	12,595,167	12,601,517
Operating Expenses	<u>258,343</u>	<u>264,548</u>	<u>280,266</u>	<u>280,266</u>	<u>280,266</u>
General Fund	258,343	264,548	280,266	280,266	280,266
Medical Contract Services	<u>2,800,547</u>	<u>3,792,225</u>	<u>4,034,958</u>	<u>3,994,608</u>	<u>4,034,958</u> *
General Fund	2,800,547	3,792,225	4,034,958	3,994,608	4,034,958
Mental Health Grants	<u>114,422</u>	<u>0</u>	<u>64,799</u>	<u>64,799</u>	<u>64,799</u>
Reappropriated Funds	114,422	0	64,799	64,799	64,799
Start-up Costs	<u>0</u>	<u>57,036</u>	<u>61,139</u>	<u>0</u>	<u>0</u>
General Fund	0	57,036	61,139	0	0
SUBTOTAL - (J) Mental Health Subprogram	11,466,578	13,986,159	16,811,612	16,934,840	16,981,540
<i>FTE</i>	<u>107.1</u>	<u>111.2</u>	<u>151.0</u>	<u>152.1</u>	<u>152.1</u>
General Fund	11,352,156	13,986,159	16,746,813	16,870,041	16,916,741
Reappropriated Funds	114,422	0	64,799	64,799	64,799

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(K) Inmate Pay Subprogram

Primary Function: Provide pay between \$0.37 and \$0.82 per day to inmates for performing their assigned duties. This includes labor assignments (such as janitorial services, facility maintenance, food services, laundry, or grounds keeping), education assignments (such as adult basic education or GED), and vocational education assignments. Health care aides are paid at higher rates. Offenders in Correctional Industries are paid from a separate appropriation.

Inmate Pay	<u>1,468,495</u>	<u>1,647,884</u>	<u>1,947,885</u>	<u>1,947,885</u>	<u>1,947,885</u>
General Fund	1,468,495	1,647,884	1,947,885	1,947,885	1,947,885
SUBTOTAL - (K) Inmate Pay Subprogram	1,468,495	1,647,884	1,947,885	1,947,885	1,947,885
<i>FTE</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	1,468,495	1,647,884	1,947,885	1,947,885	1,947,885

(L) Legal Access Subprogram

Primary Function: Provide inmates with resources to research and file claims with the courts.

Personal Services	<u>1,321,782</u>	<u>1,215,041</u>	<u>1,408,269</u>	<u>1,426,036</u>	<u>1,426,036</u>
FTE	23.5	23.2	21.5	21.5	21.5
General Fund	1,321,782	1,215,041	1,408,269	1,426,036	1,426,036
Operating Expenses	<u>299,598</u>	<u>285,119</u>	<u>299,602</u>	<u>299,602</u>	<u>299,602</u>
General Fund	299,598	285,119	299,602	299,602	299,602
Contract Services	<u>70,905</u>	<u>70,000</u>	<u>70,905</u>	<u>70,905</u>	<u>70,905</u>
General Fund	70,905	70,000	70,905	70,905	70,905
SUBTOTAL - (L) Legal Access Subprogram	1,692,285	1,570,160	1,778,776	1,796,543	1,796,543
<i>FTE</i>	<u>23.5</u>	<u>23.2</u>	<u>21.5</u>	<u>21.5</u>	<u>21.5</u>
General Fund	1,692,285	1,570,160	1,778,776	1,796,543	1,796,543

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(M) Capital Lease Purchase Payments

Primary Function: Fund the payments that must be made on the Certificates of Participation for Centennial South Correctional Facility (formerly called CSP II)

Lease Purchase of Colorado State Penitentiary II	<u>0</u>	<u>0</u>	<u>20,254,768</u>	<u>20,258,268</u>	<u>20,258,268</u>
General Fund	0	0	20,254,768	20,258,268	20,258,268

SUBTOTAL - (M) Capital Lease Purchase Payments	0	0	20,254,768	20,258,268	20,258,268
<i>FTE</i>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	0	0	20,254,768	20,258,268	20,258,268

TOTAL - (2) Institutions	369,766,421	384,888,132	424,451,087	425,926,101	426,032,702
<i>FTE</i>	<u>4,624.2</u>	<u>4,667.1</u>	<u>4,731.9</u>	<u>4,734.0</u>	<u>4,734.0</u>
General Fund	368,451,361	383,588,780	422,914,624	424,372,604	424,479,205
Cash Funds	1,200,638	1,299,352	1,391,664	1,408,698	1,408,698
Reappropriated Funds	114,422	0	64,799	64,799	64,799
Federal Funds	0	0	80,000	80,000	80,000

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(3) SUPPORT SERVICES

Primary Functions: Contains the costs associated with the Department's support programs, including business operations, personnel, offender services, transportation, training, information services, and facility services.

(A) Business Operations Subprogram

Primary Function: Provide fiscal management and budgeting services for the Department.

Personal Services	<u>5,914,629</u>	<u>5,716,363</u>	<u>6,227,011</u>	<u>6,306,714</u>	<u>6,306,714</u>
FTE	100.4	101.6	99.8	99.8	99.8
General Fund	5,416,011	5,206,850	5,421,498	5,429,390	5,429,390
Cash Funds	36,835	38,991	38,991	40,297	40,297
Reappropriated Funds	461,783	470,522	766,522	837,027	837,027
Operating Expenses	<u>234,199</u>	<u>234,200</u>	<u>234,201</u>	<u>234,201</u>	<u>234,201</u>
General Fund	234,199	234,200	234,201	234,201	234,201

SUBTOTAL - (A) Business Operations					
Subprogram	6,148,828	5,950,563	6,461,212	6,540,915	6,540,915
FTE	<u>100.4</u>	<u>101.6</u>	<u>99.8</u>	<u>99.8</u>	<u>99.8</u>
General Fund	5,650,210	5,441,050	5,655,699	5,663,591	5,663,591
Cash Funds	36,835	38,991	38,991	40,297	40,297
Reappropriated Funds	461,783	470,522	766,522	837,027	837,027

(B) Personnel Subprogram

Primary Function: Provides human resources services, including recruitment, examination, position classification, personnel records, affirmative action, appeals, grievance, and benefits administration.

Personal Services	<u>1,193,822</u>	<u>1,199,009</u>	<u>1,303,223</u>	<u>1,319,664</u>	<u>1,319,664</u>
FTE	20.2	18.8	18.7	18.7	18.7
General Fund	1,193,822	1,199,009	1,303,223	1,319,664	1,319,664

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Operating Expenses	<u>86,927</u>	<u>86,931</u>	<u>86,931</u>	<u>86,931</u>	<u>86,931</u>
General Fund	86,927	86,931	86,931	86,931	86,931
SUBTOTAL - (B) Personnel Subprogram	1,280,749	1,285,940	1,390,154	1,406,595	1,406,595
<i>FTE</i>	<u>20.2</u>	<u>18.8</u>	<u>18.7</u>	<u>18.7</u>	<u>18.7</u>
General Fund	1,280,749	1,285,940	1,390,154	1,406,595	1,406,595

(C) Offender Services Subprogram

Primary Function: Provide offender population management, offender classification, offender case management, sentence computation, release operations, jail backlog monitoring, etc.

Personal Services	<u>2,956,775</u>	<u>2,929,768</u>	<u>3,103,484</u>	<u>3,142,637</u>	<u>3,142,637</u>
FTE	48.0	47.3	44.1	44.1	44.1
General Fund	2,956,775	2,929,768	3,103,484	3,142,637	3,142,637
Operating Expenses	<u>62,041</u>	<u>62,036</u>	<u>62,044</u>	<u>62,044</u>	<u>62,044</u>
General Fund	62,041	62,036	62,044	62,044	62,044
SUBTOTAL - (C) Offender Services Subprogram	3,018,816	2,991,804	3,165,528	3,204,681	3,204,681
<i>FTE</i>	<u>48.0</u>	<u>47.3</u>	<u>44.1</u>	<u>44.1</u>	<u>44.1</u>
General Fund	3,018,816	2,991,804	3,165,528	3,204,681	3,204,681

(D) Communications Subprogram

Primary Function: Manage communication systems, including radio, cellular telephones, pagers, and video conferences.

Operating Expenses	<u>1,557,038</u>	<u>1,613,115</u>	<u>1,624,365</u>	<u>1,624,365</u>	<u>1,624,365</u>
General Fund	1,557,038	1,613,115	1,624,365	1,624,365	1,624,365

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Dispatch Services	<u>174,422</u>	<u>172,571</u>	<u>224,477</u>	<u>224,477</u>	<u>224,477</u>
General Fund	174,422	172,571	224,477	224,477	224,477
Multiuse Network Payments	<u>4,015,587</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	3,894,388	0	0	0	0
Cash Funds	121,199	0	0	0	0
Communication Services Payments	<u>2,016,459</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	2,016,459	0	0	0	0
SUBTOTAL - (D) Communications					
Subprogram	7,763,506	1,785,686	1,848,842	1,848,842	1,848,842
FTE	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	7,642,307	1,785,686	1,848,842	1,848,842	1,848,842
Cash Funds	121,199	0	0	0	0

(E) Transportation Subprogram

Primary Function: Manage the Department's vehicle fleet as well as the Central Transportation Unit, which transports offenders.

Personal Services	<u>1,912,571</u>	<u>1,969,113</u>	<u>2,062,715</u>	<u>2,088,737</u>	<u>2,088,737</u>
FTE	36.6	35.5	35.9	35.9	35.9
General Fund	1,912,571	1,969,113	2,062,715	2,088,737	2,088,737
Operating Expenses	<u>284,794</u>	<u>284,794</u>	<u>433,538</u>	<u>433,538</u>	<u>433,538</u>
General Fund	284,794	284,794	433,538	433,538	433,538
Vehicle Lease Payments	<u>2,728,185</u>	<u>2,652,998</u>	<u>2,671,107</u>	<u>3,363,849</u>	<u>3,325,686</u> *
General Fund	2,488,746	2,383,377	2,255,806	2,839,170	2,755,650
Cash Funds	239,439	269,621	415,301	524,679	570,036

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
SUBTOTAL - (E) Transportation Subprogram	4,925,550	4,906,905	5,167,360	5,886,124	5,847,961
<i>FTE</i>	<u>36.6</u>	<u>35.5</u>	<u>35.9</u>	<u>35.9</u>	<u>35.9</u>
General Fund	4,686,111	4,637,284	4,752,059	5,361,445	5,277,925
Cash Funds	239,439	269,621	415,301	524,679	570,036

(F) Training Subprogram

Primary Function: Provide basic, extended, in-service, and advanced training to DOC employees.

Personal Services	<u>1,855,681</u>	<u>2,049,680</u>	<u>2,467,693</u>	<u>2,498,825</u>	<u>2,498,825</u>
<i>FTE</i>	<u>26.5</u>	<u>28.9</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>
General Fund	1,855,681	2,049,680	2,467,693	2,498,825	2,498,825
Operating Expenses	<u>277,759</u>	<u>286,356</u>	<u>286,981</u>	<u>286,981</u>	<u>286,981</u>
General Fund	277,759	286,356	286,981	286,981	286,981
Start-up Costs	<u>0</u>	<u>37,623</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	37,623	0	0	0
SUBTOTAL - (F) Training Subprogram	2,133,440	2,373,659	2,754,674	2,785,806	2,785,806
<i>FTE</i>	<u>26.5</u>	<u>28.9</u>	<u>33.0</u>	<u>33.0</u>	<u>33.0</u>
General Fund	2,133,440	2,373,659	2,754,674	2,785,806	2,785,806

(G) Information Systems Subprogram

Primary Function: Develop and maintain of automated information systems within the DOC. The services are provided by OIT.

Operating Expenses	<u>1,618,999</u>	<u>1,639,121</u>	<u>1,644,122</u>	<u>1,644,122</u>	<u>1,644,122</u>
General Fund	1,618,999	1,639,121	1,644,122	1,644,122	1,644,122

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Payments to OIT	<u>0</u>	<u>18,643,647</u>	<u>17,719,596</u>	<u>16,838,721</u>	<u>16,631,013</u> *
General Fund	0	18,528,629	17,613,316	16,737,667	16,531,206
Cash Funds	0	115,018	106,280	101,054	99,807
CORE Operations	<u>544,510</u>	<u>723,058</u>	<u>611,121</u>	<u>412,815</u>	<u>404,620</u>
General Fund	480,395	637,959	539,192	364,227	356,852
Cash Funds	30,736	40,775	34,467	23,283	22,903
Reappropriated Funds	33,379	44,324	37,462	25,305	24,865
Purchase of Services from Computer Center	<u>8,261,721</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	8,261,721	0	0	0	0
Management and Administration of OIT	<u>288,515</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	288,515	0	0	0	0
Information Technology Security	<u>148,946</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	147,748	0	0	0	0
Cash Funds	1,198	0	0	0	0
SUBTOTAL - (G) Information Systems					
Subprogram	10,862,691	21,005,826	19,974,839	18,895,658	18,679,755
FTE	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>
General Fund	10,797,378	20,805,709	19,796,630	18,746,016	18,532,180
Cash Funds	31,934	155,793	140,747	124,337	122,710
Reappropriated Funds	33,379	44,324	37,462	25,305	24,865

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(H) Facility Services Subprogram

Primary Function: Contractor/design team selection, design review, contract administration, and fiscal management of the DOC's capital construction and controlled maintenance projects.

Personal Services	<u>909,125</u>	<u>917,856</u>	<u>964,126</u>	<u>976,289</u>	<u>976,289</u>
FTE	9.0	9.7	9.7	9.7	9.7
General Fund	909,125	917,856	964,126	976,289	976,289
Operating Expenses	<u>83,096</u>	<u>83,096</u>	<u>83,096</u>	<u>83,096</u>	<u>83,096</u>
General Fund	83,096	83,096	83,096	83,096	83,096
SUBTOTAL - (H) Facility Services Subprogram	992,221	1,000,952	1,047,222	1,059,385	1,059,385
FTE	<u>9.0</u>	<u>9.7</u>	<u>9.7</u>	<u>9.7</u>	<u>9.7</u>
General Fund	992,221	1,000,952	1,047,222	1,059,385	1,059,385
TOTAL - (3) Support Services	37,125,801	41,301,335	41,809,831	41,628,006	41,373,940
FTE	<u>240.7</u>	<u>241.8</u>	<u>241.2</u>	<u>241.2</u>	<u>241.2</u>
General Fund	36,201,232	40,322,084	40,410,808	40,076,361	39,779,005
Cash Funds	429,407	464,405	595,039	689,313	733,043
Reappropriated Funds	495,162	514,846	803,984	862,332	861,892

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(4) INMATE PROGRAMS

Primary Function: Includes the Department's educational, vocational, recreational, and labor programs for offenders, as well as Sex Offender Treatment and Drug and Alcohol Treatment.

(A) Labor Subprogram

Primary Function: The education portion of this subprogram provides academic and other basic education for offenders, including GEDs. The vocational portion of this subprogram provides vocational and technical programs that are designed to equip inmates with job skills.

Personal Services	<u>5,286,166</u>	<u>5,318,763</u>	<u>5,597,050</u>	<u>5,667,661</u>	<u>5,667,661</u>
FTE	86.1	88.5	88.7	88.7	88.7
General Fund	5,286,166	5,318,763	5,597,050	5,667,661	5,667,661
Operating Expenses	<u>85,485</u>	<u>88,009</u>	<u>88,017</u>	<u>88,017</u>	<u>88,017</u>
General Fund	85,485	88,009	88,017	88,017	88,017
SUBTOTAL - (A) Labor Subprogram	5,371,651	5,406,772	5,685,067	5,755,678	5,755,678
FTE	<u>86.1</u>	<u>88.5</u>	<u>88.7</u>	<u>88.7</u>	<u>88.7</u>
General Fund	5,371,651	5,406,772	5,685,067	5,755,678	5,755,678

(B) Education Subprogram

Primary Function: Assist inmates in improving basic skills such as English, reading, writing, spelling, and math.

Personal Services	<u>11,231,809</u>	<u>11,883,709</u>	<u>12,474,355</u>	<u>12,671,728</u>	<u>12,671,728</u>
FTE	193.8	193.6	189.1	189.1	189.1
General Fund	10,317,548	10,915,915	12,474,355	12,671,728	12,671,728
Cash Funds	914,261	967,794	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Operating Expenses	<u>1,034,512</u>	<u>1,969,753</u>	<u>4,519,163</u>	<u>4,519,163</u>	<u>4,519,163</u>
General Fund	193,895	1,093,900	2,814,746	2,814,746	2,814,746
Cash Funds	697,350	744,688	1,293,402	1,293,402	1,293,402
Reappropriated Funds	143,267	131,165	411,015	411,015	411,015
Contract Services	<u>73,276</u>	<u>173,275</u>	<u>237,128</u>	<u>237,128</u>	<u>237,128</u>
General Fund	73,276	173,275	237,128	237,128	237,128
Education Grants	<u>132,809</u>	<u>52,333</u>	<u>113,894</u>	<u>113,894</u>	<u>113,894</u>
FTE	0.0	0.0	2.0	2.0	2.0
Cash Funds	0	0	10,000	10,000	10,000
Reappropriated Funds	119,362	0	76,244	76,244	76,244
Federal Funds	13,447	52,333	27,650	27,650	27,650
Indirect Cost Assessment	<u>0</u>	<u>0</u>	<u>381</u>	<u>377</u>	<u>377</u>
Federal Funds	0	0	381	377	377
SUBTOTAL - (B) Education Subprogram	12,472,406	14,079,070	17,344,921	17,542,290	17,542,290
FTE	<u>193.8</u>	<u>193.6</u>	<u>191.1</u>	<u>191.1</u>	<u>191.1</u>
General Fund	10,584,719	12,183,090	15,526,229	15,723,602	15,723,602
Cash Funds	1,611,611	1,712,482	1,303,402	1,303,402	1,303,402
Reappropriated Funds	262,629	131,165	487,259	487,259	487,259
Federal Funds	13,447	52,333	28,031	28,027	28,027

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(C) Recreation Subprogram

Primary Function: Develop, implement, and supervise recreational programs including leisure time activities and outdoor exercise.

Personal Services	<u>6,394,752</u>	<u>6,576,211</u>	<u>6,813,173</u>	<u>6,899,127</u>	<u>6,899,127</u>
FTE	121.0	118.5	116.7	116.7	116.7
General Fund	6,394,752	6,576,211	6,813,173	6,899,127	6,899,127
Operating Expenses	<u>67,780</u>	<u>71,224</u>	<u>71,232</u>	<u>71,232</u>	<u>71,232</u>
Cash Funds	67,780	71,224	71,232	71,232	71,232
SUBTOTAL - (C) Recreation Subprogram	6,462,532	6,647,435	6,884,405	6,970,359	6,970,359
FTE	<u>121.0</u>	<u>118.5</u>	<u>116.7</u>	<u>116.7</u>	<u>116.7</u>
General Fund	6,394,752	6,576,211	6,813,173	6,899,127	6,899,127
Cash Funds	67,780	71,224	71,232	71,232	71,232

(D) Drug and Alcohol Treatment Subprogram

Primary Function: Provide drug and alcohol treatment services to inmates.

Personal Services	<u>4,958,245</u>	<u>4,341,764</u>	<u>5,240,141</u>	<u>5,301,250</u>	<u>5,301,250</u>
FTE	75.4	81.5	85.4	85.4	85.4
General Fund	4,958,245	4,341,764	5,240,141	5,301,250	5,301,250
Operating Expenses	<u>110,932</u>	<u>101,972</u>	<u>110,932</u>	<u>110,932</u>	<u>110,932</u>
General Fund	110,932	101,972	110,932	110,932	110,932
Services for Substance Abuse and Co-occurring Disorders	<u>995,127</u>	<u>995,127</u>	<u>995,127</u>	<u>995,127</u>	<u>995,127</u>
Reappropriated Funds	995,127	995,127	995,127	995,127	995,127

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Contract Services	<u>2,287,607</u>	<u>2,288,452</u>	<u>2,425,799</u>	<u>2,405,041</u>	<u>2,425,799</u> *
General Fund	2,037,607	1,938,452	2,075,799	2,055,041	2,075,799
Reappropriated Funds	250,000	350,000	350,000	350,000	350,000
Treatment Grants	<u>240,345</u>	<u>272,967</u>	<u>126,682</u>	<u>126,682</u>	<u>126,682</u>
Reappropriated Funds	240,345	0	126,682	126,682	126,682
Federal Funds	0	272,967	0	0	0
SUBTOTAL - (D) Drug and Alcohol Treatment					
Subprogram	8,592,256	8,000,282	8,898,681	8,939,032	8,959,790
FTE	<u>75.4</u>	<u>81.5</u>	<u>85.4</u>	<u>85.4</u>	<u>85.4</u>
General Fund	7,106,784	6,382,188	7,426,872	7,467,223	7,487,981
Reappropriated Funds	1,485,472	1,345,127	1,471,809	1,471,809	1,471,809
Federal Funds	0	272,967	0	0	0

(E) Sex Offender Treatment Subprogram

Primary Function: Provide treatment to sex offenders who are motivated to eliminate such behavior.

Personal Services	<u>3,311,957</u>	<u>2,956,369</u>	<u>4,012,324</u>	<u>4,057,564</u>	<u>4,057,564</u>
FTE	40.5	42.2	55.8	55.8	55.8
General Fund	3,284,318	2,927,558	3,982,283	4,027,523	4,027,523
Cash Funds	27,639	28,811	30,041	30,041	30,041
Operating Expenses	<u>91,193</u>	<u>83,027 0.0</u>	<u>92,276 0.0</u>	<u>92,276 0.0</u>	<u>92,276 0.0</u>
General Fund	90,693	82,527	91,776	91,776	91,776
Cash Funds	500	500	500	500	500
Polygraph Testing	<u>194,750</u>	<u>242,500</u>	<u>242,500</u>	<u>242,500</u>	<u>242,500</u>
General Fund	194,750	242,500	242,500	242,500	242,500

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Sex Offender Treatment Grants	<u>226,625</u>	<u>160,388</u>	<u>65,597</u>	<u>65,597</u>	<u>65,597</u>
Federal Funds	226,625	160,388	65,597	65,597	65,597
Start-up Costs	<u>77,570</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	77,570	0	0	0	0

SUBTOTAL - (E) Sex Offender Treatment					
Subprogram	3,902,095	3,442,284	4,412,697	4,457,937	4,457,937
FTE	<u>40.5</u>	<u>42.2</u>	<u>55.8</u>	<u>55.8</u>	<u>55.8</u>
General Fund	3,647,331	3,252,585	4,316,559	4,361,799	4,361,799
Cash Funds	28,139	29,311	30,541	30,541	30,541
Federal Funds	226,625	160,388	65,597	65,597	65,597

(F) Volunteers Subprogram

Primary Function: Manage volunteer programs, including volunteer chaplain services to inmates.

Personal Services	<u>533,657</u>	<u>575,039</u>	<u>618,417</u>	<u>626,219</u>	<u>626,219</u>
FTE	<u>7.8</u>	<u>7.4</u>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>
General Fund	0	0	618,417	626,219	626,219
Cash Funds	533,657	575,039	0	0	0
Operating Expenses	<u>17,909</u>	<u>17,906</u>	<u>17,912</u>	<u>17,912</u>	<u>17,912</u>
General Fund	0	0	17,912	17,912	17,912
Cash Funds	17,909	17,906	0	0	0
SUBTOTAL - (F) Volunteers Subprogram					
FTE	<u>7.8</u>	<u>7.4</u>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>
General Fund	0	0	636,329	644,131	644,131
Cash Funds	551,566	592,945	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
TOTAL - (4) Inmate Programs	37,352,506	38,168,788	43,862,100	44,309,427	44,330,185
<i>FTE</i>	<u>524.6</u>	<u>531.7</u>	<u>545.7</u>	<u>545.7</u>	<u>545.7</u>
General Fund	33,105,237	33,800,846	40,404,229	40,851,560	40,872,318
Cash Funds	2,259,096	2,405,962	1,405,175	1,405,175	1,405,175
Reappropriated Funds	1,748,101	1,476,292	1,959,068	1,959,068	1,959,068
Federal Funds	240,072	485,688	93,628	93,624	93,624

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(5) COMMUNITY SERVICES

Primary Function: Monitors and supervises offenders who are on parole, in community corrections facilities prior to parole, living in private residences under intensive supervision prior to parole, and in Youthful Offender System aftercare.

(A) Parole Subprogram

Primary Function: Supervise offenders who have been placed on parole by the Parole Board, including high-risk offenders who are on intensive supervision parole.

Personal Services	<u>10,766,888</u>	<u>17,152,006</u>	<u>18,754,082</u>	<u>18,990,679</u>	<u>18,990,679</u>
FTE	165.9	253.1	293.2	293.2	293.2
General Fund	10,766,888	17,152,006	18,754,082	18,990,679	18,990,679
Operating Expenses	<u>1,353,746</u>	<u>2,150,688</u>	<u>2,612,240</u>	<u>2,612,240</u>	<u>2,612,240</u>
General Fund	1,353,746	2,150,688	2,612,240	2,612,240	2,612,240
Contract Services	<u>3,393,555</u>	<u>6,877,449</u>	<u>7,626,078</u>	<u>7,571,188</u>	<u>7,626,078</u> *
General Fund	1,622,407	4,740,349	5,488,978	5,434,088	5,488,978
Reappropriated Funds	1,771,148	2,137,100	2,137,100	2,137,100	2,137,100
Wrap-Around Services Program	<u>1,178,285</u>	<u>1,539,243</u>	<u>1,834,291</u>	<u>1,815,948</u>	<u>1,834,291</u> *
General Fund	1,178,285	1,539,243	1,834,291	1,815,948	1,834,291
Grants to Community-based Organizations for					
Parolee Support	0	<u>483,286</u>	<u>1,710,000</u>	<u>1,710,000</u>	<u>1,710,000</u>
General Fund	0	483,286	1,710,000	1,710,000	1,710,000
Non-residential Services	0	<u>1,203,437</u>	<u>1,215,818</u>	<u>1,215,818</u>	<u>1,215,818</u>
General Fund	0	1,203,437	1,215,818	1,215,818	1,215,818
Home Detention	0	<u>69,383</u>	<u>69,383</u>	<u>69,383</u>	<u>69,383</u>
General Fund	0	69,383	69,383	69,383	69,383

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Start-up Costs	<u>350,621</u>	<u>387,954</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	350,621	387,954	0	0	0
Administrative Law Judge Services	<u>2,782</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	2,782	0	0	0	0
SUBTOTAL - (A) Parole Subprogram	17,045,877	29,863,446	33,821,892	33,985,256	34,058,489
FTE	<u>165.9</u>	<u>253.1</u>	<u>293.2</u>	<u>293.2</u>	<u>293.2</u>
General Fund	15,274,729	27,726,346	31,684,792	31,848,156	31,921,389
Reappropriated Funds	1,771,148	2,137,100	2,137,100	2,137,100	2,137,100

(Formerly B) Parole Intensive Supervision Subprogram

This subprogram was combined into the Parole Subprogram.

Personal Services	<u>4,876,339</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
FTE	79.8	0.0	0.0	0.0	0.0
General Fund	4,876,339	0	0	0	0
Operating Expenses	<u>485,193</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	485,193	0	0	0	0
Contract Services	<u>1,583,431</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	1,583,431	0	0	0	0
Non-residential Services	<u>1,112,400</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	1,112,400	0	0	0	0
Home Detention	<u>69,383</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	69,383	0	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Start-up Costs	<u>10,814</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	10,814	0	0	0	0
SUBTOTAL - (Formerly B) Parole Intensive Supervision Subprogram	8,137,560	0	0	0	0
<i>FTE</i>	<u>79.8</u>	<u>0.0</u>	<u>NaN</u>	<u>0.0</u>	<u>NaN</u>
General Fund	8,137,560	0	0	0	0

(Formerly C) Community Intensive Supervision Subprogram

This subprogram was combined into the Community Supervision Subprogram.

Personal Services	<u>3,002,440</u>	<u>0</u>	<u>0</u>	<u>0</u>	
FTE	46.6	0.0	0.0	0.0	
General Fund	3,002,440	0	0	0	
Operating Expenses	<u>502,068</u>	<u>0</u>	<u>0</u>	<u>0</u>	
General Fund	502,068	0	0	0	
Contract Services	<u>2,818,099</u>	<u>0</u>	<u>0</u>	<u>0</u>	
General Fund	2,818,099	0	0	0	
SUBTOTAL - (Formerly C) Community Intensive Supervision Subprogram	6,322,607	0	0	0	
<i>FTE</i>	<u>46.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	
General Fund	6,322,607	0	0	0	

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(B) Community Supervision Subprogram

Primary Function: Supervise DOC transition offenders who are in community corrections programs and youthful offenders who are in YOS aftercare. Monitor and supervise offenders who have finished their community corrections program but have not yet been paroled; these offenders are now living in the community under the Intensive-supervision Inmate program.

(1) Community Supervision

Personal Services	<u>2,676,438</u>	<u>5,912,446</u>	<u>6,100,515</u>	<u>6,177,477</u>	<u>6,177,477</u>
FTE	39.5	86.5	83.8	83.8	83.8
General Fund	2,676,438	5,912,446	6,100,515	6,177,477	6,177,477
Operating Expenses	<u>130,576</u>	<u>621,880</u>	<u>632,650</u>	<u>632,650</u>	<u>632,650</u>
General Fund	130,576	621,880	632,650	632,650	632,650
Community Mental Health Services	<u>614,013</u>	<u>629,363</u>	<u>640,062</u>	<u>633,661</u>	<u>640,062</u> *
General Fund	614,013	629,363	640,062	633,661	640,062
Psychotropic Medication	<u>131,400</u>	<u>59,482</u>	<u>131,400</u>	<u>131,400</u>	<u>131,400</u>
General Fund	131,400	59,482	131,400	131,400	131,400
Contract Services	<u>0</u>	<u>2,811,799</u>	<u>2,912,001</u>	<u>2,882,881</u>	<u>2,912,001</u> *
General Fund	0	2,811,799	2,912,001	2,882,881	2,912,001
Contract Services for High Risk Offenders	<u>221,200</u>	<u>221,200</u>	<u>221,200</u>	<u>221,200</u>	<u>221,200</u>
General Fund	221,200	221,200	221,200	221,200	221,200
Contract Services for Fugitive Returns	<u>72,361</u>	<u>66,263</u>	<u>74,524</u>	<u>74,524</u>	<u>74,524</u>
General Fund	42,049	42,049	42,049	42,049	42,049
Reappropriated Funds	30,312	24,214	32,475	32,475	32,475

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
SUBTOTAL - (1) Community Supervision	3,845,988	10,322,433	10,712,352	10,753,793	10,789,314
<i>FTE</i>	<u>39.5</u>	<u>86.5</u>	<u>83.8</u>	<u>83.8</u>	<u>83.8</u>
General Fund	3,815,676	10,298,219	10,679,877	10,721,318	10,756,839
Reappropriated Funds	30,312	24,214	32,475	32,475	32,475
(2) Youthful Offender System Aftercare					
Personal Services	<u>605,436</u>	<u>603,513</u>	<u>664,025</u>	<u>672,402</u>	<u>672,402</u>
<i>FTE</i>	<u>6.0</u>	<u>6.9</u>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>
General Fund	605,436	603,513	664,025	672,402	672,402
Operating Expenses	<u>60,766</u>	<u>108,427</u>	<u>141,067</u>	<u>141,067</u>	<u>141,067</u>
General Fund	60,766	108,427	141,067	141,067	141,067
Contract Services	<u>798,545</u>	<u>881,277</u>	<u>1,062,396</u>	<u>1,062,396</u>	<u>1,062,396</u>
General Fund	798,545	881,277	1,062,396	1,062,396	1,062,396
SUBTOTAL - (2) Youthful Offender System					
Aftercare	1,464,747	1,593,217	1,867,488	1,875,865	1,875,865
<i>FTE</i>	<u>6.0</u>	<u>6.9</u>	<u>8.0</u>	<u>8.0</u>	<u>8.0</u>
General Fund	1,464,747	1,593,217	1,867,488	1,875,865	1,875,865
SUBTOTAL - (B) Community Supervision					
Subprogram	5,310,735	11,915,650	12,579,840	12,629,658	12,665,179
<i>FTE</i>	<u>45.5</u>	<u>93.4</u>	<u>91.8</u>	<u>91.8</u>	<u>91.8</u>
General Fund	5,280,423	11,891,436	12,547,365	12,597,183	12,632,704
Reappropriated Funds	30,312	24,214	32,475	32,475	32,475

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(C) Community Re-entry Subprogram

Primary Function: This subprogram's prerelease component screens inmates to identify factors that will increase the probability of success following release. The post-release component provides assistance and support to offenders following release, including access to community services and assistance in securing employment.

Personal Services	<u>1,974,662</u>	<u>2,148,127</u>	<u>2,427,401</u>	<u>2,458,024</u>	<u>2,458,024</u>
FTE	35.3	33.7	41.6	41.6	41.6
General Fund	1,974,662	2,148,127	2,427,401	2,458,024	2,458,024
Operating Expenses	<u>123,199</u>	<u>132,079</u>	<u>146,202</u>	<u>146,202</u>	<u>146,202</u>
General Fund	123,199	132,079	146,202	146,202	146,202
Offender Emergency Assistance	<u>96,746</u>	<u>73,834</u>	<u>96,768</u>	<u>96,768</u>	<u>96,768</u>
General Fund	96,746	73,834	96,768	96,768	96,768
Contract Services	<u>138,071</u>	<u>124,330</u>	<u>190,000</u>	<u>190,000</u>	<u>190,000</u>
General Fund	138,071	124,330	190,000	190,000	190,000
Offender Re-employment Center	<u>364,000</u>	<u>364,000</u>	<u>374,000</u>	<u>374,000</u>	<u>374,000</u>
General Fund	364,000	364,000	364,000	364,000	364,000
Cash Funds	0	0	10,000	10,000	10,000
Community Reintegration Grants	<u>15,115</u>	<u>225,641</u>	<u>48,779</u>	<u>48,779</u>	<u>48,779</u>
FTE	0.0	0.0	1.0	1.0	1.0
Reappropriated Funds	0	0	9,681	9,681	9,681
Federal Funds	15,115	225,641	39,098	39,098	39,098
Start-up Costs	<u>0</u>	<u>131,166</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	131,166	0	0	0

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
SUBTOTAL - (C) Community Re-entry					
Subprogram	2,711,793	3,199,177	3,283,150	3,313,773	3,313,773
<i>FTE</i>	<u>35.3</u>	<u>33.7</u>	<u>42.6</u>	<u>42.6</u>	<u>42.6</u>
General Fund	2,696,678	2,973,536	3,224,371	3,254,994	3,254,994
Cash Funds	0	0	10,000	10,000	10,000
Reappropriated Funds	0	0	9,681	9,681	9,681
Federal Funds	15,115	225,641	39,098	39,098	39,098
TOTAL - (5) Community Services	39,528,572	44,978,273	49,684,882	49,928,687	50,037,441
<i>FTE</i>	<u>373.1</u>	<u>380.2</u>	<u>427.6</u>	<u>427.6</u>	<u>427.6</u>
General Fund	37,711,997	42,591,318	47,456,528	47,700,333	47,809,087
Cash Funds	0	0	10,000	10,000	10,000
Reappropriated Funds	1,801,460	2,161,314	2,179,256	2,179,256	2,179,256
Federal Funds	15,115	225,641	39,098	39,098	39,098

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
(6) PAROLE BOARD					
Primary Function: Conduct all parole application and parole revocation hearings.					
Personal Services	<u>1,197,525</u>	<u>1,170,102</u>	<u>1,499,683</u>	<u>1,517,875</u>	<u>1,517,875</u>
FTE	14.0	15.0	17.5	17.5	17.5
General Fund	1,197,525	1,170,102	1,499,683	1,517,875	1,517,875
Operating Expenses	<u>103,350</u>	<u>85,609</u>	<u>106,390</u>	<u>106,390</u>	<u>106,390</u>
General Fund	103,350	85,609	106,390	106,390	106,390
Contract Services	<u>248,086</u>	<u>242,880</u>	<u>272,437</u>	<u>272,437</u>	<u>272,437</u>
General Fund	248,086	242,880	272,437	272,437	272,437
Start-up Costs	<u>0</u>	<u>14,107</u>	<u>0</u>	<u>0</u>	<u>0</u>
General Fund	0	14,107	0	0	0
TOTAL - (6) Parole Board	1,548,961	1,512,698	1,878,510	1,896,702	1,896,702
FTE	<u>14.0</u>	<u>15.0</u>	<u>17.5</u>	<u>17.5</u>	<u>17.5</u>
General Fund	1,548,961	1,512,698	1,878,510	1,896,702	1,896,702

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(7) CORRECTIONAL INDUSTRIES

Primary Function: Employ inmates in profit-oriented industries, usually within DOC facilities.

Personal Services	<u>9,061,961</u>	<u>9,268,162</u>	<u>10,521,747</u>	<u>10,646,546</u>	<u>10,646,546</u>
FTE	137.1	136.1	155.0	155.0	155.0
Cash Funds	2,573,550	2,109,192	3,350,744	3,475,543	3,475,543
Reappropriated Funds	6,488,411	7,158,970	7,171,003	7,171,003	7,171,003
Operating Expenses	<u>5,347,709</u>	<u>5,338,112</u>	<u>5,928,190</u>	<u>5,928,190</u>	<u>5,928,190</u>
Cash Funds	1,657,790	1,816,783	1,817,327	1,817,327	1,817,327
Reappropriated Funds	3,689,919	3,521,329	4,110,863	4,110,863	4,110,863
Raw Materials	<u>23,699,666</u>	<u>25,146,785</u>	<u>35,823,826</u>	<u>35,823,826</u>	<u>35,823,826</u>
Cash Funds	8,343,747	6,507,400	8,441,080	8,441,080	8,441,080
Reappropriated Funds	15,355,919	18,639,385	27,382,746	27,382,746	27,382,746
Inmate Pay	<u>1,649,702</u>	<u>1,673,102</u>	<u>2,208,992</u>	<u>2,208,992</u>	<u>2,208,992</u>
Cash Funds	468,453	480,153	846,343	846,343	846,343
Reappropriated Funds	1,181,249	1,192,949	1,362,649	1,362,649	1,362,649
Capital Outlay	<u>331,773</u>	<u>273,580</u>	<u>1,406,200</u>	<u>1,406,200</u>	<u>1,406,200</u>
Cash Funds	49,766	0	337,094	337,094	337,094
Reappropriated Funds	282,007	273,580	1,069,106	1,069,106	1,069,106
Correctional Industries Grants	<u>1,879,059</u>	<u>2,084,472</u>	<u>503,050</u>	<u>503,050</u>	<u>503,050</u>
Federal Funds	1,879,059	2,084,472	503,050	503,050	503,050

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
Indirect Cost Assessment	<u>381,286</u>	<u>393,672</u>	<u>677,605</u>	<u>755,946</u>	<u>755,946</u>
Cash Funds	128,227	129,841	140,983	131,587	131,587
Reappropriated Funds	253,059	263,831	285,373	275,402	275,402
Federal Funds	0	0	251,249	348,957	348,957
TOTAL - (7) Correctional Industries	42,351,156	44,177,885	57,069,610	57,272,750	57,272,750
FTE	<u>137.1</u>	<u>136.1</u>	<u>155.0</u>	<u>155.0</u>	<u>155.0</u>
Cash Funds	13,221,533	11,043,369	14,933,571	15,048,974	15,048,974
Reappropriated Funds	27,250,564	31,050,044	41,381,740	41,371,769	41,371,769
Federal Funds	1,879,059	2,084,472	754,299	852,007	852,007

JBC Staff Staff Figure Setting - FY 2016-17
Staff Working Document - Does Not Represent Committee Decision

	FY 2013-14 Actual	FY 2014-15 Actual	FY 2015-16 Appropriation	FY 2016-17 Request	FY 2016-17 Recommendation
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(8) CANTEEN OPERATION

Primary Function: Sell snacks, personal care products, TV's, phone time, and other items to DOC inmates at all DOC facilities.

Personal Services	<u>1,694,607</u>	<u>1,801,397</u>	<u>1,970,856</u>	<u>2,036,878</u>	<u>2,036,878</u>
FTE	26.9	27.8	28.0	28.0	28.0
Cash Funds	1,694,607	1,801,397	1,970,856	2,036,878	2,036,878
Operating Expenses	<u>12,850,255</u>	<u>13,811,686</u>	<u>12,851,987</u>	<u>12,851,987</u>	<u>12,851,987</u>
Cash Funds	12,850,255	13,811,686	12,851,987	12,851,987	12,851,987
Inmate Pay	<u>39,325</u>	<u>43,386</u>	<u>49,626</u>	<u>49,626</u>	<u>49,626</u>
Cash Funds	39,325	43,386	49,626	49,626	49,626
Indirect Cost Assessment	<u>80,497</u>	<u>76,850</u>	<u>85,741</u>	<u>78,870</u>	<u>78,870</u>
Cash Funds	80,497	76,850	85,741	78,870	78,870
TOTAL - (8) Canteen Operation	14,664,684	15,733,319	14,958,210	15,017,361	15,017,361
FTE	<u>26.9</u>	<u>27.8</u>	<u>28.0</u>	<u>28.0</u>	<u>28.0</u>
Cash Funds	14,664,684	15,733,319	14,958,210	15,017,361	15,017,361
TOTAL - Department of Corrections	744,379,994	782,605,750	851,084,974	846,511,492	846,729,395
FTE	<u>6,019.8</u>	<u>6,087.3</u>	<u>6,239.8</u>	<u>6,241.9</u>	<u>6,241.9</u>
General Fund	672,767,479	709,581,866	763,812,924	759,041,673	759,242,578
Cash Funds	37,563,869	34,502,664	39,346,724	39,398,412	39,415,850
Reappropriated Funds	31,648,937	35,342,097	46,665,389	46,713,766	46,713,326
Federal Funds	2,399,709	3,179,123	1,259,937	1,357,641	1,357,641

Appendix B: Indirect Cost Assessment Methodology

Description of Indirect Cost Assessment Methodology

The Department of Corrections allocates *departmental* overhead in proportion to its *FTE* appropriations but allocates *statewide* overhead in proportion to the *dollar* appropriations to the programs that pay statewide indirect cost assessments.

Allocation of Departmental Indirect Costs

Departmental indirect cost assessments are based on three components: a departmental “*Indirect Cost Pool*”, a departmental “*Indirect Cost Base*”, and a departmental “*Indirect Cost Rate*”. The Indirect Cost Rate is computed by dividing the Indirect Cost Pool by the Indirect Cost Base. Table 1 shows the components of the Indirect Cost Pool for FY 2016-17. Some departments recover overhead costs with a lag, but the Department of Corrections does not; it sets departmental cost recovery rates and assessments at levels that will recover base overhead costs in the year that the corresponding outlays occur.

Table 1

Administrative overhead item	Requested appropriation
1. Executive Director's Office--Personal Services	\$2,049,515
2. Executive Director's Office--Operating Expenses	357,759
3. Business Operations--Personal Services	6,306,713
4. Business Operations--Operating Expenses	234,201
5. Personnel--Personal Services	1,319,674
6. Personnel--Operating Expenses	86,931
7. Training--Personal Services	2,498,825
8. Training-- Operating Expenses	286,981
9. Facility Services--Personal Services	976,289
10. Facility Services--Operating Expenses	83,096
11. Total overhead to be recovered (= Indirect Cost Pool)	\$14,199,984

The total overhead cost in row 11 of Table 1 is allocated among the Department’s personal services line items in proportion to the Department's base FTE request for the line items. For FY 2016-17, the requested FTE appropriation for the entire department, i.e. the Indirect Cost Base, equaled 6,241.9, which leads to a requested *Indirect Cost Rate* or assessment per FTE) of

$$\text{Indirect Cost Rate} = \frac{\$14,199,984 \text{ (the Pool)}}{6,241.9 \text{ (the Base)}} = \$2,274.95 \text{ per FTE}$$

Multiplying this rate by the FTE request for the Correctional Industries Division and the Canteen Division, which are the only two divisions in the Department with a significant number of FTE

who are supported with cash and reappropriated funds, leads to the indirect cost assessment requests in Table 2:

Table 2

Program	FTE Request	Assessment for Departmental Indirect Costs = FTE * \$2274.95
Correctional Industries	155.0	\$352,615
Canteen	28.0	63,699
Total Departmental Indirect Cost Recoveries		\$416,314

The departmental indirect cost assessment for the Correctional Industries division must then be allocated between the division's two fund sources: cash funds and reappropriated funds. The \$352,615 assessment is allocated in proportion to the requested dollar appropriations of cash and reappropriated funds for Correctional Industries personal services. There is no need to allocate the Canteen's departmental assessment because all appropriations to the Canteen Division are from cash funds. The resulting assessments appear in the Long Bill in the Correctional Industries Division and the Canteen Division, where they are labeled "Indirect Cost Assessment".

In addition, the Department assesses indirect costs against federal grant funds received in the Correctional Industries Division. Since the grant award amount varies from year to year, the Department's assessment will change as well. For FY 2016-17, the Department expects to assess \$342,100 of indirect costs to the federal grant

Allocation of Statewide Indirect Costs

The Department allocates *statewide* overhead in proportion to the *dollar* appropriations to the programs that pay statewide indirect cost assessments. The Department sets recovery rates and assessments at levels that recover appropriated statewide indirect costs in the year that the outlays occur.

For FY 2016-17, the Department's statewide indirect cost assessment, as requested by the Department of Personnel and Administration (DPA), equals \$78,614 and is comprised of \$42,799 cash funds, \$28,581 reappropriated funds, and \$7,234 federal funds. The DPA bases these by-fund-type assessments on studies that it conducts. The Department of Corrections must collect this much from its fund sources of each type, e.g. it must collect \$28,581 from its sources of reappropriated funds.

Separate assessment rates are computed for appropriations from cash funds, reappropriated funds, and federal funds. These assessment rates are multiplied by the corresponding dollar appropriations.

Table 3 shows the components of the indirect cost base for the Department's statewide assessment for FY 2016-17:

Table 3

Program Line Item	Indirect Cost Base = Requested Appropriations		
	CF	RF	FF
1. Medical Services--Personal Services	\$238,383	\$0	\$0
2. Education Grants	0	0	\$27,650
3. Correctional Industries--Personal Services	3,350,744	7,171,003	
4. Correctional Industries Federal Grants	0	0	\$503,050
5. Canteen--Personal Services	1,970,856	0	0
6. Total by fund source	\$5,559,983	\$7,171,003	\$530,700
7. Amount to recover from this fund source	42,799	28,581	7,234
8. Assessment rate = $\frac{7.\text{Amount to recover from this fund source}}{6.\text{Total for this fund source}}$	0.00770	0.00399	0.01363

Multiplying each element in rows 1 to 5 of Table 3 by the assessment rate in the corresponding column of row 8 of the same table yields the statewide indirect cost assessments shown in Table 4.

Table 4

Program	Assessments for Statewide Indirect Costs		
	CF	RF	FF
1. Medical Services	\$1,835	\$0	\$0
2. Education Grants	0	0	377
3. Correctional Industries (personal services component)	25,793	28,581	0
4. Correctional Industries (federal grants component)	0	0	6,857
5. Canteen	15,171	0	0
Amount to recover from this fund source	\$42,799	\$28,581	\$7,234

FY 2016-17 Indirect Cost Assessment Request

The Department's total indirect cost assessment request for FY 2016-17 is shown in Table 5 for each division or subdivision that pays indirect assessments. It equals the sum of the departmental and statewide assessments computed in Tables 2 and 4.

Table 5

Program	Combined Departmental and Statewide Indirect cost Assessment			
	CF	RF	FF	Total
1. Medical Services	\$1,835	\$0	\$0	\$1,835
2. Education Grants	0	0	377	377
3. Correctional Industries	131,587	275,402	348,957	755,946
4. Canteen	78,870	0	0	78,870
Total	\$212,292	\$275,402	\$349,334	\$837,028

Use of Revenue Collected from Indirect Cost Assessments

The Department uses the revenue collected by the assessments to offset the use of General Fund in the Business Services Subprogram's Personal Services line item. There the offset appears as reappropriated funds, reflecting the fact that these moneys are being appropriated for a second time after having already been appropriated on the indirect cost assessment lines in the divisions where the assessments were collected.

Appendix C: Letter from Executive Director requesting no adjustment for Parole



COLORADO
Department of Corrections
Office of the Executive Director

Mr. Steve Allen
Principal Legislative Analyst
Joint Budget Committee
200 East 14th Avenue, 3rd Floor
Denver, CO 80203

November 2, 2015

Dear Mr. Allen:

The Department of Corrections (DOC) respectfully requests that the General Assembly retain the current caseload funding level for the Division of Adult Parole for Fiscal Year (FY) 2016-17. The August 2015 Division of Criminal Justice (DCJ) FY 2016-17 interim projection reflects an increase in the parole population from current levels. The forecasted increase was influenced by the recent significant downward trend in parole revocations, specifically in the area of technical violations. The projections were significantly modified upward by the enactment of Senate Bill 15-124, *Reduce Parole Violations for Technical Violations*, which impacts the decline in revocations by providing for the consistent application and increased use of intermediate sanctions prior to revocation. The Division of Adult Parole is linking the Colorado Violation Decision Making Process (CVDMP) to the parole complaint program to better track the use of intermediate sanctions and revocations. The Division has also implemented the Sure and Swift program to quickly address violations with short-term jail stays in lieu of revocation to ensure that offender stability is not interrupted. The DCJ projected FY 2016-17 population is comparable to DCJ's December 2013 parole population forecast on which our current caseload funding is based.

The Department continues its work for the continued success of programs funded through House Bill 14-1355, *Reentry Initiatives for Successful Reintegration of Adult Offenders into the Community*. The recent reduction reported in recidivism is a reflection of the dedication and hard work of all DOC staff including officers and re-entry staff in the Division of Adult Parole. HB 14-1355 funded critical programs for the Department including but not limited to additional case managers, facility-based community parole officers, specialized training for parole staff, and grants to community-based organizations. Maintaining the current staffing levels will provide stability to the Division of Adult Parole as we continue to implement initiatives that are focused on successful re-entry of offenders as they return to their communities.

Please let me know if you have any questions. I appreciate the opportunity to provide this additional information on the positive improvements within the Division of Adult Parole.

Thank you,

Rick Raemisch
Executive Director
Department of Corrections



Cc: Mr. Henry Sobenet, Director, Governor's Office of State Planning and Budgeting
Mr. Erick Scheminske, Deputy Director, Governor's Office of State Planning and Budgeting
Ms. Melissa Bloom, Budget Analyst, Governor's Office of State Planning and Budgeting
Mr. John Ziegler, Staff Director, Joint Budget Committee

